Central Depository Services (India) Limited

CDSL/A&I/PEN-DIS/MRM/2024-25   November 30, 2024

CHOICE EQUITY BROKING PRIVATE LIMITED
DPID-66900
CHAKARAWARTI ASHOK CO OPHSG
CTS NO156,157,158, J.B.NAGAR
OFF SAHAR ROAD, ANDHERI (E)
MUMBAI 400099

Kind Attention: Compliance Officer

Dear Sir/Madam,

Subject: Imposition of penalty

In accordance with SEBI Circular No. CIR/MRD/DP/01/2014 dated January 7, 2014, and CDSL Communique No. CDSL/A,I&C/DP/POLCY/2024/428 dated August 1, 2024, Depository Participants (DPs) are required to scan and upload images of Delivery Instruction Slips (DIS) executed during the day into the CDSL system by the next day.

2. However, we wish to bring to your notice that **34** DISs were not scanned and uploaded by the DP within the stipulated timeframe and therefore monetary penalty as provided in the CDSL DP operating instructions has been imposed upon you as mentioned below:

Nature of non-compliance	Quantum of Penalty (Rs.)
Delivery Instruction Slip (DIS) scanned and uploaded in the system provided by Depositories. (* 34 DISs of **October-2024** @ Rs. 200 = Rs. 6800)	6800
Total	**6800/-**

(If deviation is observed in the 1st month- penalty of Rs. 200 per DIS to be imposed with a maximum Cap = Rs. 20,000
If deviation is observed in the 2nd month- penalty of Rs. 300 per DIS to be imposed with a maximum Cap = Rs. 30,000
If the total monetary penalty imposed under this head is more or equal to Rs. 1,00,000/- during one financial year, matter would be referred to Member Committee)

3. Please note that the aforesaid penalty has been included in the monthly bill of November-2024.

You are requested to submit compliance and make payment of the aforesaid penalty amount immediately on receipt of the bill and provide us with the payment details. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee

Thank you,

Yours faithfully,
For **Central Depository Services (India) Limited**

Sd/-
Authorized Signatory
Audit, Inspection & Compliance