



Central Depository Services (India) Limited



CDSL/A&I/PEN-INSP/SGM/2021-22/8

July 02, 2021

CHOICE EQUITY BROKING PRIVATE LIMITED

DP ID: 66900

CHAKARAWARTI ASHOK CO OPHSG

CTS NO156, 157, 158, J.B.NAGAR

OFF SAHAR ROAD, ANDHERI (E)

MUMBAI - 400099

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

Please refer to the inspection report of your DP ID 66900 forwarded to you vide our letter CDSL/A&I/INSP/PGB/2021/68 dated March 24, 2021 respectively whereby we had instructed you to submit the complete compliance duly countersigned by the internal/concurrent auditors for pending observations of inspection 2020-21. Subsequently we have sent reminders to you.

2. As we did not receive the complete compliance report within 90 days from the first intimation of non-compliance letter, monetary penalty of ₹ 5,000/- has been imposed on you in accordance with decision of EMC. The aforesaid penalty has been included in the monthly bill of June 2021.

3. Please note that in case of continued non-compliance or nonpayment of above-mentioned penalty amount within prescribed time limit, the further penalty will be levied to you.

Thanking you.

Yours faithfully,

For Central Depository Services (India) Limited

Sd/-

Authorized Signatory

Audit Inspection & Compliance

Cc: Managing Director

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