



Central Depository Services (India) Limited

CDSL/A&I/PEN-DIS/SGM/2021-22/13

May 07, 2021



CHOICE EQUITY BROKING PRIVATE LIMITED

DP ID – 66900

CHAKARAWARTI ASHOK CO OPHSG

CTS NO156,157,158, J.B.NAGAR

OFF SAHAR ROAD, ANDHERI (E)

MUMBAI – 400099

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

In terms of SEBI circular no. CIR/MRD/DP/01/2014 dated January 07, 2014, DPs are required to scan and upload the images of Delivery Instruction Slip (DIS) executed during the day in the CDSL system by the next day.

2. However, we wish to bring to your notice that as on March 2021, 7 DIS were not scanned and uploaded by the DP and therefore monetary penalty as provided in the operating instructions has been imposed upon you as under: -

Nature of non-compliance	Quantum of Penalty
Delivery Instruction Slip (DIS) not scanned and uploaded in system provided by Depositories. (7 DIS @ ₹100 = ₹ 700, Max Cap = ₹ 10,000)	₹ 700
Total	₹ 700

3. Please note that the aforesaid penalty has been included in the monthly bill of April 2021. You are requested to make payment of aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to Member Committee.

Thanking you.

Yours faithfully,

For **Central Depository Services (India) Limited**

Sd/-

Authorized Signatory

Audit, Inspection & Compliance

Cc: Managing Director

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