



# Central Depository Services (India) Limited

CDSL/A&I/PEN-INSP/KRT/2024-25

October 30, 2024



## RR EQUITY BROKERS PRIVATE LIMITED DPID 42300

412-422, INDRAPRAKASH BUILDING 21,  
BARAKHAMBA ROAD  
NEW DELHI DELHI  
INDIA - 110001

**Kind Attention: Compliance Officer**

Dear Sir/Madam,

**Sub: Imposition of penalty**

Please refer to the inspection report of your DP ID 42300 forwarded to you vide our letter reference no. CDSL/A&I/INSP/HGD/2024/518 dated October 15, 2024, along with list of non-compliances observed during the inspection.

As per the scale of penalty laid down in the penalty structure, a penalty of Rs. 1,000/- has been imposed on you for the non-compliance pointed out in the inspection report. The details of compliance requirement, which was not adhered to, and penalty imposed upon you, are mentioned below:

Audit Type	Comp Id	Non - Compliance Description	Quantum of Penalty
Inspection-April-2024	5.19	The information provided by the DP in Risk Assessment Template has been verified and found to be factual.	1,000
<b>Total</b>			<b>1,000</b>

3. Please note that the aforesaid penalty has been included in the monthly bill of October-2024.

4. You are requested to submit compliance and make payment of the aforesaid penalty amount immediately on receipt of the bill and provide us with the payment details. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee.

Thanking you,

Yours faithfully,

For **Central Depository Services (India) Limited**

Sd/-

**Authorized Signatory**  
**Audit Inspection & Compliance**