

## Central Depository Services (India) Limited

CDSL/A&I/PEN-PAN-MOD/UMC/2021-22

October 05, 2021



SHARE INDIA SECURITIES LIMITED

DPID 38000 14 DELHI-110092

**Kind Attention: Compliance Officer** 

Dear Sir/Madam,

**Sub: Imposition of penalty** 

As per the scale of penalty laid down in the penalty structure in Annexure 11.1 of CDSL's DP Operating Instructions, a penalty of Rs. 50 per BOID has been imposed on you for incorrect entry of PAN details in the CDSL System

| Audit Type   | Comp<br>Id | Non - Compliance<br>Description | No. of<br>BOs | Quantum of<br>Penalty (Rs.) |
|--------------|------------|---------------------------------|---------------|-----------------------------|
| PAN          | 1112       | Incorrect entry of PAN details  |               |                             |
| Modification |            | in CDAS in the month July-      | 4             | 200                         |
|              |            | August-21                       |               |                             |

Please ensure to select proper reason code while processing PAN modifications requests. For details kindly refer communique nos. CDSL/OPS/DP/2221 dated 28-Dec-2010 and CDSL/OPS/DP/1454 dated 05-Feb-2009.

The detail of such PAN is made available in Billing Folder.

Queries, if any, may be sent to <a href="mailto:vijayk@cdslindia.com/skhot@cdslindia.com">vijayk@cdslindia.com</a>/skhot@cdslindia.com or on telephone no (022) 2305 8689 / 8522

Thanking you.

Yours faithfully,

For Central Depository Services (India) Limited

Sd/-

Authorized Signatory Audit Inspection & Compliance