Central Depository Services (India) Limited

EMPOWERING INVESTORS
A SEBI Initiative

CDSL/A&I/PEN-IAR/MRM/2023 November 03, 2023

LKP SECURITIES LIMITED
DP ID – 30000
GALA IMPECCA, 2nd FLOOR,
NEAR HOTEL COURTYARD MARRIOT,
CHAKALA,
ANDHERI EAST
MUMBAI-400059

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

As per the scale of penalty laid down in the penalty structure, a penalty of Rs.1000/- has been imposed on you. The details of compliance requirement, which was not adhered to, and penalty imposed upon you, are mentioned below:

Audit Type	Comp Id	Non - Compliance Description	Quantum of Penalty Rs.
Internal Audit -March-2023	375	IAR Compliance not submitted	1000
Total			**1000**

Please note that the aforesaid penalty has been included in the monthly bill of October-2023.

You are requested to make payment of the aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee.

Thanking you

Yours faithfully,
For **Central Depository Services (India) Limited**

Sd/-
Authorized Signatory
Audit Inspection & Compliance