Central Depository Services (India) Limited

EMPOWERING INVESTORS
A SEBI Initiative

CDSL/A&I/PEN-IAR/MRM/2023 August 31, 2023

LKP SECURITIES LIMITED
DP ID – 30000
GALA IMPECCA, 2nd FLOOR,
NEAR HOTEL COURTYARD MARRIOT,
CHAKALA,
ANDHERI EAST
MUMBAI-400059

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

As per the scale of penalty laid down in the penalty structure, a penalty of Rs.3000/- has been imposed on you. The details of compliance requirement, which was not adhered to, and penalty imposed upon you, are mentioned below:

Audit Type	Comp Id	Non - Compliance Description	Quantum of Penalty Rs.
Internal Audit -March-2023	375	IAR Compliance not submitted	3000
Total			**3000**

Please note that the aforesaid penalty has been included in the monthly bill of August 2023. You are requested to make payment of the aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee.

Thanking you

Yours faithfully,
For **Central Depository Services (India) Limited**

Sd/-
Authorized Signatory
Audit Inspection & Compliance