Central Depository Services (India) Limited



CDSL/A&I/PEN-IAR/UMC/2022-23 August 01, 2022

LKP SECURITIES LIMITED
DP ID - 30000
13 FLR, RAHEJA CENTRE BUILDING
FREE PRESS MARG,
NARIMAN POINT,
MUMBAI-400021

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

As per the scale of penalty laid down in the penalty structure, a penalty of Rs.2000/- has been imposed on you. The details of compliance requirement which was not adhered to and penalty imposed upon you, are mentioned below:

Audit Type	Comp Id	Non - Compliance Description	Quantum of Penalty
Internal Audit March-2022	375	IAR Compliance not submitted	2000
Total			**2000**

Please note that the aforesaid penalty has been included in the monthly bill of July 2022. You are requested to make payment of aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to Member Committee.

Thanking you.

Yours faithfully,
For **Central Depository Services (India) Limited**

Sd/-

Authorized Signatory
Audit Inspection & Compliance