



Central Depository Services (India) Limited

CDSL/A&I/PEN-INSP/UMC/2022-23

August 01, 2022



**KRCHOKSEY SHARES AND SECURITIES PVT. LTD.
DPID 10700**

KRC- ABHISHEK, 5TH FLOOR,
OFF.NEW LINK RD,
DALIA INDL. ESTATE,ANDHERI (W)
MUMBAI-400058

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

Please refer to the inspection report of your DP ID 10700 forwarded to you vide our letter CDSL/A&I/INSP/SDM/2022/172 dated 08-Apr-2022 and CDSL/A&I/INSP/SGM/2022/231 dated 11-May-2022 whereby we had instructed you to submit the complete compliance duly countersigned by the internal/concurrent auditors for pending observations of inspection 2021-22. Subsequently, we have sent reminders to you.

2. As we did not receive the complete compliance report within 70 days from the first intimation of non-compliance letter, monetary penalty of Rs. **5,000/-** has been imposed on you in accordance with decision of Member Committee.

3. The aforesaid penalty has been included in the monthly bill of July- 2022. Please note that in case of continued non-compliance or nonpayment of above-mentioned penalty amount within prescribed time limit, the further penalty will be levied to you.

5. You are requested to make payment of aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to Member Committee.

Thanking you.

Yours faithfully,
For **Central Depository Services (India) Limited**

Sd/-
Authorized Signatory
Audit Inspection & Compliance