



Central Depository Services (India) Limited

CDSL/A&I/PEN-IAR/KBM/2024-25

October 30, 2024



KRCHOKSEY SHARES AND SECURITIES PVT LTD

DPID 10700

701-702, DLH Plaza,

Opp Shoppers Stop 54-A,

S. V. Road, Andheri (W) - 400058

MUMBAI

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

Please refer to the Internal Audit-March-2024 non-compliance letter of your DP ID 10700 forwarded to you vide our letter CDSL/A&I/IAR/SGM/2024/248 dated May 24, 2024, whereby we had instructed you to submit the complete compliance duly countersigned by the internal/concurrent auditors. Subsequently, we have sent reminders to you.

2. As we did not receive the complete compliance report with a delay of 154 days of the imposition of the first penalty, monetary penalty of Rs. **5,000/-** has been imposed on you in accordance with the decision of the Member Committee.

3. The aforesaid penalty has been included in the monthly bill of October 2024. Please note that in case of nonpayment of the above-mentioned penalty amount within the prescribed time limit, further penalty will be levied to you.

4. You are requested to make payment of the aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee.

Thanking you,

Yours faithfully,

For **Central Depository Services (India) Limited**

Sd/-

Authorized Signatory

Audit Inspection & Compliance