



Central Depository Services (India) Limited

CDSL/A&I/PEN-INSP/HGD/2023-24

April 02, 2024



KRCHOKSEY SHARES AND SECURITIES PVT LTD

DP ID: 10700

701-702, DLH Plaza, Opp. Shoppers Stop 54-A,
S. V. Road, Andheri (W),
MUMBAI-400058

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

Please refer to the inspection report of your DP ID 10700 forwarded to you vide our letter reference no. CDSL/A&I/INSP/SAD/2024/92 dated March 21, 2024, along with list of non-compliances observed during the inspection.

As per the scale of penalty laid down in the penalty structure, penalty has been imposed on you for the non-compliance pointed out in the inspection report. The details of compliance requirement, which was not adhered to, and penalty imposed upon you, are mentioned below:

Audit Type	Comp Id	Non - Compliance Description	Quantum of Penalty Rs.
Inspection-September-2023	1.20	Valid/ factually correct/ meaningful data has been entered in demographic details.	11,000
Total			11,000

Please note that the aforesaid penalty has been included in the monthly bill of March-2024.

You are requested to make payment of the aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee.

Thanking you,

Yours faithfully,

For **Central Depository Services (India) Limited**

Sd/-

Authorized Signatory

Audit Inspection & Compliance