



# Central Depository Services (India) Limited



CDSL/A&I/PEN-IAR/RAB/2025-26

November 29, 2025

**KISAN RATILAL CHOKSEY SHARES & SECURITIES PVT LTD-  
BARDOLI**

**DP ID: 10705**

O-7, ROSE PLAZA APARTMENT  
STATION ROAD,  
BARDOLI,  
GUJARAT- 394601

**Kind Attention: Compliance Officer**

Dear Sir/Madam,

**Sub: Imposition of penalty**

As we did not receive DP internal audit report for the half year ended 30<sup>th</sup> September 2025 within prescribed timeline, a monetary penalty as per the scale of penalty laid down in the penalty structure in Annexure 11.1 of CDSL's DP Operating Instructions has been imposed, as detailed below:

<b>Nature of non-compliance</b>	<b>Quantum of Penalty Rs.</b>
Non-Submission of Internal Audit Report (IAR) September-2025 (Rs.2000 per occasion plus additional Rs.1000 for any delay per fortnight)	2000/-
<b>Total</b>	2000/-

The aforesaid penalty has been included in the monetary penalty bill for **November-2025**. Please note that in case of non-submission of IAR and nonpayment of the above-mentioned penalty amount within the prescribed time limit, an additional penalty will be imposed.

You are kindly requested to make the payment of the aforementioned penalty amount immediately on receipt of the bill. Please also note that if the monetary penalty amount is not paid within the due date of the bill, the matter will be reported to the Member Committee.

Thank you,

Yours faithfully,  
For **Central Depository Services (India) Limited**

**Sd/-**  
**Authorized Signatory**  
**Audit Inspection & Compliance**

Internal