Central Depository Services (India) Limited

CDSL/A&I/PEN-PAN-MOD/MRM/2025-26 April 03, 2025

ANAND RATHI SHARE AND STOCK BROKERS LIMITED
DPID-10600
EXPRESS ZONE, A-WING
10TH FLOOR, WE HIGHWAY
GOREGAON EAST
MUMBAI 400063

Kind Attention: Compliance Officer

Dear Sir/Madam,

Subject: Imposition of penalty

As per the scale of penalty laid down in the penalty structure in Annexure 11.1 of CDSL's DP Operating Instructions, a penalty of Rs.100/- per BO ID has been imposed on you for incorrect entry of PAN details in the CDSL System.

Audit Type	Comp Id	Non - Compliance Description	No. of BOs	Quantum of Penalty (Rs.)
PAN Modification	1112	Incorrect entry of PAN details in CDAS during the month of **February-2025**	1	100

Please ensure to select proper reason code while processing the PAN modifications requests.

Kindly refer to Communique nos. CDSL/OPS/DP/2221 dated 28-Dec-2010, CDSL/OPS/DP/1454 dated 05-Feb-2009 and CDSL/OPS/DP/ACCTS/6040 dated July 26, 2016 for the PAN modification process and proper reason capturing. DP may note that the penalty is imposed for following two reason codes**.**

Sr. No.	REASON DESCRIPTION	REASON CODE
1	Typographical error by DP staff	3
2	Rectification of erroneous PAN details previously entered	4

Further DPs should note that details of PAN Modification approved requests are available in CDAS under path: Master Files → System Parameters → BO PAN modification → Inquiry → Modification Date From – Modification To Date → Accepted.

Queries, if any, may be sent to vishalgs@cdslindia.com / sherons@cdslindia.com or on telephone no (022) 6234 3601/ 3595.

The aforesaid penalty has been included in the monetary penalty bill for **March-2025**. Please note that in case of nonpayment of the above-mentioned penalty amount within the prescribed time limit, an additional penalty will be imposed. You are kindly requested to make the payment of the aforementioned penalty amount immediately on receipt of the bill. Please also note that if the monetary penalty amount is not paid within the due date of the bill, the matter will be reported to the Member Committee.

Thank you,

Yours faithfully,
For **Central Depository Services (India) Limited**

Sd/-
Authorized Signatory
Audit Inspection & Compliance