Central Depository Services (India) Limited



CDSL/A&I/CYB-VAPT/SNK/2026-27 April 30, 2026

ANAND RATHI SHARE AND STOCK BROKERS LIMITED
DPID-10600
EXPRESS ZONE, A-WING,
10TH FLOOR, WE HIGHWAY
MUMBAI 400063

Kind Attention: Compliance Officer

Dear Sir/Madam,

Subject: Imposition of penalty

We refer to the requirement of Half-Yearly Vulnerability Assessment and Penetration Testing (VAPT) reporting.

It is observed that the open vulnerabilities identified under the Half-Yearly VAPT reporting for the period April–September 2025 were not closed within the prescribed timeline. Accordingly, a monetary penalty has been imposed in terms of the scale of penalties laid down under Annexure 11.1 of CDSL's DP Operating Instructions, details of which are provided below:

Audit Type	Non - Compliance Description	Quantum of Penalty (Rs.)
Half Yearly VAPT reporting	Non closure of open vulnerability for Half-yearly VAPT reporting period April- September 2025	20000/-
	Total	**20,000**

The above penalty is levied with reference to Communiqué No. CDSL/OPS/DP/POLCY/2026/152 dated March 5, 2026, governing VAPT reporting requirements.

The said penalty has been included in the Monetary Penalty Bill for April 2026. You are requested to ensure payment of the aforesaid amount immediately upon receipt of the bill. Please note that non-payment within the prescribed due date shall attract additional penalty and continued non-compliance shall result in the matter being reported to the Member Committee.

For any queries or clarifications, you may contact us at **dpinfosec@cdslindia.com** or on telephone number (022) 6234 3333.

Thank you,

Yours faithfully,
For **Central Depository Services (India) Limited**

Sd/-
Sachin Kanawade
Audit Inspection & Compliance