Central Depository Services (India) Limited



CDSL/A&I/PEN-6KYC-Advisory/KBM/2026-27 June 3, 2026

ANAND RATHI SHARE AND STOCK BROKERS LIMITED - LUCKNOW
DPID-10606
Express Zone, A-wing, 10th Flr
Western Express Highway
MUMBAI 400063

Kind Attention: Compliance Officer

Dear Sir/Madam,

Subject: Advisory with respect to requirements of mandatory 6 KYC attributes in BO accounts

DPs were advised to refer to Communique no. CDSL/OPS/DP/POLCY/2021/152 dated April 05, 2021, regarding Mandatory Updation of Certain Attributes of KYC of Clients for all the categories of clients as decided in consultation with all MIIs and SEBI.

A detailed list of non-compliant Beneficial Owner (BO) accounts affiliated with your DP as of April 15, 2026, will be shared via email.

It is observed that the aforementioned mandatory 6 KYC compliance is not adhered to certain mentioned demat accounts affiliated to your DP.

You are advised to get the deficient accounts complied with mandatory 6 KYC attributes. Till such time the BO account is 6 KYC complied, freeze reason code "21 - Mandatory 6 KYC Non-Compliant Accounts" should be continued for the said account. Further, you are also advised to exercise caution and ensure that all the demat accounts opened / modified in compliance to the mandatory 6 KYC attributes.

Queries, if any, may be sent to manalib@cdslindia.com / vishalgs@cdslindia.com or on telephone no. (022) 6234 3602 / 3601.

Thank you,

Yours faithfully,
For **Central Depository Services (India) Limited**

Sd/-
Sachin Kanawade
Audit Inspection & Compliance