Central Depository Services (India) Limited



CDSL/A&I/CYB-VAPT-ATR/SNK/2026-27 November 02, 2026

ANAND RATHI SHARE AND STOCKBROKERS LIMITED
DPID - 10600
EXPRESS ZONE, A-WING, 10TH FLOOR,
WE HIGHWAY, GOREGAON EAST,
MUMBAI - 400063

Kind Attention: Compliance Officer

Dear Sir/Madam,

Subject: Imposition of penalty

As open vulnerability was not closed in VAPT Report within prescribed timeline i.e. **September 30, 2026**, a monetary penalty as per the scale of penalty laid down in the penalty structure in Annexure 11.1 of CDSL's DP Operating Instructions has been imposed, as detailed below:

Audit Type	Non - Compliance Description	Risk Category	Quantum of Penalty (Rs.)
VAPT REPORT	Non-closure of open vulnerability observed in the VAPT report within stipulated timelines in the compliance report – **September 2026**	Low Risk - 3	30000/-

Kindly refer to Communique nos. **CDSL/IS/DP/POLCY/2026/392** dated **10-Jun-2026**, for the VAPT Report submission.

Queries, if any, may be sent to dpinfosec@cdslindia.com or on telephone no. (022) 62343333.

The aforesaid penalty has been included in the monetary penalty bill for **October-2026**. Please note that in case of nonpayment of the above-mentioned penalty amount within the prescribed time limit, an additional penalty will be imposed. You are kindly requested to make the payment of the aforementioned penalty amount immediately on receipt of the bill.

Thank you,

Yours faithfully,
For **Central Depository Services (India) Limited**

Sd/-
Sachin Kanawade
Audit Inspection & Compliance