Central Depository Services (India) Limited

CDSL/A&I/IG-PEN/SNK/2025-26 March 02, 2026

ANAND RATHI SHARE AND STOCK BROKERS LIMITED
DP ID: 10600
EXPRESS ZONE, A-WING,
10TH FLOOR, WE HIGHWAY,
GOREGAON EAST,
MUMBAI- 400063

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

As per the scale of penalty laid down in the penalty structure in Annexure 11.1 of CDSL's DP Operating Instructions, the details of the compliance requirements that were not adhered to, along with the penalty imposed on you, are mentioned below:

BO ID/ Complainant Name	Non - Compliance Description	Quantum of Penalty (Rs.)
1201060000593349 (Mr. Milind Jagtap)	Any type of transaction not executed as per the procedure prescribed by Depository such as change in bank details, change in signature, transmission, account closure, freeze/unfreeze, pledge, remat, etc as prescribed under guidelines of CDSL/SEBI/PMLA ₹ 1,000/- per account. Transaction statements not being sent to the BOs as per requirements or discrepancy observed in the transaction statement sent to BOs. ₹ 4000/- per occasion.	5,000
1201060007124141 (Mayank Meemrot)	Any type of transaction not executed as per the procedure prescribed by Depository such as change in bank details, change in signature, transmission, account closure, freeze/unfreeze, pledge, remat etc. as prescribed under guidelines of CDSL / SEBI /PMLA.	1,000
	Total	**6,000**

For any queries, please email cdslig@cdslindia.com, you may contact us by phone at: (022) 62343093 / 3092 / 3091 / 3090.

The aforesaid penalty has been included in the monetary penalty bill for **February-2026**. Please note that in case of nonpayment of the above-mentioned penalty amount within the prescribed time limit, an additional penalty will be imposed.

You are kindly requested to make the payment of the aforementioned penalty amount immediately on receipt of the bill. Please also note that if the monetary penalty amount is not paid within the due date of the bill, the matter will be reported to the Member Committee
Thank you,

Yours faithfully,
For **Central Depository Services (India) Limited**
Sd/-
Authorized Signatory
Audit, Inspection & Compliance

Internal