Central Depository Services (India) Limited



CDSL/A&I/6-KYC/ADVISORY/MRM/2025-26 June 27, 2025

ANAND RATHI SHARE AND STOCK BROKERS LIMITED
DPID-10600
EXPRESS ZONE, A-WING
10TH FLOOR, WE HIGHWAY
GOREGAON EAST
MUMBAI 400063

Kind Attention: Compliance Officer

Dear Sir/Madam,

Subject: Advisory with respect to requirements of mandatory 6 KYC attributes in BO accounts

DPs were advised to refer to Communique no. CDSL/OPS/DP/POLCY/2021/152 dated April 05, 2021, regarding Mandatory Updation of Certain Attributes of KYC of Clients for all the categories of clients as decided in consultation with all MIIs and SEBI.

It is observed that the above mentioned mandatory 6 KYC compliance has not been adhered to for certain demat accounts affiliated to your DP. Details of the non-compliant demat accounts are provided in the **Annexure-A**.

You are advised to get the deficient accounts complied with mandatory 6 KYC attributes. Till such time the BO account is 6KYC complied, freeze reason code "21 - Mandatory 6 KYC Non-Compliant Accounts" should be continued for the said account. Further, you are also advised to exercise caution and ensure that all the demat accounts opened / modified in compliance to the mandatory 6 KYC attributes.

Queries, if any, may be sent to manalib@cdslindia.com / vishalgs@cdslindia.com or on telephone no (022) 6234 3602 / 3601.

Thank you,

Yours faithfully,
For **Central Depository Services (India) Limited**

Sd/-
Authorized Signatory
Audit Inspection & Compliance

DPID	DP NAME	BO ID	Nature of Deficiency
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060000023717	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060000546000	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060000613501	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060000034216	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060000211481	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060000285132	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060000310151	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060000368320	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060001071427	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060001238980	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060000688371	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060000972383	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060001622203	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060000493202	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060000819431	Account opened / modified without complying mandatory 6 KYC attributes
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	1201060001577628	Account opened / modified without complying mandatory 6 KYC attributes