Central Depository Services (India) Limited

EMPOWERING INVESTORS
A SEBI Initiative

CDSL/A&I/6-KYC/ADVISORY/MRM/2025-26 June 27, 2025

ANAND RATHI SHARE AND STOCK BROKERS LIMITED - LUCKNOW
DPID-10606
Express Zone, A-wing, 10th Flr
Western Express Highway,
Goregaon East
MUMBAI 400063

Kind Attention: Compliance Officer

Dear Sir/Madam,

Subject: Advisory with respect to requirements of mandatory 6 KYC attributes in BO accounts

DPs were advised to refer to Communique no. CDSL/OPS/DP/POLCY/2021/152 dated April 05, 2021, regarding Mandatory Updation of Certain Attributes of KYC of Clients for all the categories of clients as decided in consultation with all MIIs and SEBI.

It is observed that the above mentioned mandatory 6 KYC compliance has not been adhered to for certain demat accounts affiliated to your DP. Details of the non-compliant demat accounts are provided in the **Annexure-A**.

You are advised to get the deficient accounts complied with mandatory 6 KYC attributes. Till such time the BO account is 6KYC complied, freeze reason code "21 - Mandatory 6 KYC Non-Compliant Accounts" should be continued for the said account. Further, you are also advised to exercise caution and ensure that all the demat accounts opened / modified in compliance to the mandatory 6 KYC attributes.

Queries, if any, may be sent to manalib@cdslindia.com / vishalgs@cdslindia.com or on telephone no (022) 6234 3602 / 3601.

Thank you,

Yours faithfully,
For **Central Depository Services (India) Limited**

Sd/-
Authorized Signatory
Audit Inspection & Compliance

DPID	DP NAME	BO ID	Nature of Deficiency
10606	ANAND RATHI SHARE AND STOCK BROKERS LIMITED - LUCKNOW	1201060600040319	Account opened / modified without complying mandatory 6 KYC attributes