



Central Depository Services (India) Limited

CDSL/A&I/PEN-SI/SNK/2026-27

July 13, 2026



ANGEL ONE LIMITED

DPID: 33200

601, 6TH FLR, ACKRUTI STAR,
CENTRAL ROAD, MIDC, ANDHERI (EAST)
MUMBAI - 400093

Kind Attention: Compliance Officer

Dear Sir/Madam,

Sub: Imposition of penalty

Please refer to the inspection report of your DP ID 33200 forwarded to you vide our letters:

CDSL/A&I/SI/SJK/2026/3 dated 06-Jan-2026
CDSL/A&I/SI/HGD/2026/16 dated 09-Mar-2026
CDSL/A&I/SI/SMK/2026/19 dated 30-Mar-2026
CDSL/A&I/SI/HGD/2026/21 dated 22-Apr-2026

whereby we had instructed you to submit the complete compliance report, duly countersigned by your internal/concurrent auditors, for the pending observations of inspection. Subsequently, we have sent a reminder to you.

2. We have received the complete compliance report on June 30, 2026, resulting in a delay of 175 days from the initial non-compliance notification. This delay has surpassed the stipulated timeline, and as per the decision of the Internal Committee, an additional monetary penalty of **Rs. 50,000/-** has been imposed. Please be advised that the Committee was made aware of the penalties previously imposed on you, which include Rs. 15,000/- for a delay of 101 days and Rs. 25,000/- for a delay of 134 days.

3. Further, the Committee has recommended that DP must adhere to the following requirements:

- i. The penalties imposed and the instances of non-compliance should be presented before your Board for review.
- ii. The Board must provide their comments on the penalties and non-compliance.
- iii. The Board should submit a clear resolution plan detailing the steps they intend to take to rectify the non-compliance.
- iv. Failure to adequately address these issues may result in serious action.

4. DP is advised to place the matter in the ensuing Board meeting and submit response w.r.t. point no. (i) to (iii) mentioned above within 2 weeks of the Board Meeting.

5. Kindly note that the aforementioned penalty will be included in the monetary penalty bill for **July 2026**. Additionally, in the event of continued non-compliance or failure to pay the specified penalty within the prescribed timeframe, an additional penalty will be imposed.

6. You are requested to make the payment of the aforementioned penalty amount immediately on receipt of the bill. Please also note that if the monetary penalty amount is not paid within the due date of the bill, the matter will be reported to the Member Committee.

Thank you,

Yours faithfully,

For **Central Depository Services (India) Limited**

Sd/-

Sachin Kanawade

Audit, Inspection & Compliance