Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CHANGES IN BO ACCOUNT INFORMATION

CDSL has received suggestions from Depository Participants (DPs) regarding additional information which may be captured in the BO account and / or changes required in the existing field lengths.

Following changes will be released in BO Account Opening (online and upload) and BO modification modules to take care of suggestions from DPs and to further enhance quality of data.

1. Changes in Address field

Length of address line 1, address line 2 and address line 3 will be increased from 30 characters to 55 characters in the following fields.
- Correspondence Address
- Permanent Address
- Guardian's Address
- Nominee's Address
- Nominee's Guardian's Address

2. Validations with respect to Country – State – Pin code

a. In addition to the Pin Code master which is currently available to DPs, 'Country Code' and 'State Code' master will also be made available (refer **Annexure M and Annexure N** respectively).

b. In online setup, while capturing address details :-
   o Drop down values will be available for selecting country.
   o If country is selected as India, after entry of pin code -
      - System will display the corresponding City and State
      - If multiple cities are linked with a pin code in Pin Code master then drop down with available city names for that pin code will be displayed for selection by the DP .
   o Also if single pin code is available in multiple state, multiple state name will be available in the drop down.

KEYWORD : System

c. In case of upload –

- A new field 'City Sequence Number' will be included in CD12 i.e 'Pin Code master' report and 'BO setup upload' and 'BO modify upload' format.

- This field will be a mandatory to be filled in BO setup upload. It will be marked optional in BO modify Upload format, but will be mandatory if address details are to be modified.

- This field is included in the address details of purpose code 1= First Holder,6= Nominee,7= Guardian, 8= Nominee Guardian and 12=Permanent Address ..

- Based on the PIN code and city sequence number combination entered in the upload file, available city name in the pin code master will be considered while processing the BO setup/Modify upload.

- BO setup record will be rejected if entered pin code and city combination is not present in Pin code master .

- DP are advised to note that in case of multiple city for a pin code, DP needs to enter the city sequence number for desired city. Further in case of multiple state for a pin code proper state code has to be entered.

3. Additional fields and facility for capturing of contact details

Presently provision is available in CDSL system, to enter the email id, Telephone number under correspondence address, permanent address, SMS alert (SMART) registration and 'easi' profile of the BO. With this release, following changes will be made :-

Phone Number

a. In online set up / BO modification –

- Provision to record Primary Mobile number and Secondary Mobile / phone number under 'Name and Address' tab of first holder.

- Telephone number fields will be removed from all other tabs.

- The Primary Mobile Number will be available for registration for SMS alert. If the BO chooses to register for SMS alert, the DP will select value as "Yes" in the field SMART Registration so that the Primary Mobile number is used for sending SMS alerts.

- The Secondary Mobile / phone number can be populated with either mobile number or landline number of the BO.

- Provision to record Mobile number and Email-Id of second and third holder.

- SMART registration TAB is removed.

b. In BO upload / BO modification upload –

- Provision to enter Primary Mobile number with ISD code.
- New flag is introduced for SMART registration in BO address.
- DP has to enter SMART flag value as "Y" to register for SMS Alert.
- Secondary Telephone number can be mobile number or Landline number.
- Email-Id and Mobile number fields introduced in second and third holder details line i.e. purpose code 2 & 3.
- Purpose code 16 - SMS registration is discontinued

c. In case of existing demat accounts –

- The mobile number registered for SMS alert will be populated as Primary Mobile number with the SMART Registration "Yes" flag enabled. If another telephone number is captured in alternative phone number field (under correspondence address tab), the same will be populated as Secondary mobile/phone number.

  In case of multiple phone numbers available in a BO account
- The Primary mobile no. field will be populated in the following order of preference, provided the same is available in the said tab.
    - Mobile no. under 'SMS Registration' tab
    - Mobile no under ' Correspondence address ' tab
    - Mobile no. under ' Permanent Address' tab
- The Secondary mobile/phone no. field will be populated with existing phone numbers. in the following order of preference after the primary mobile no. field gets populated.
    - Phone no. under 'Correspondence address' tab
    - Phone no. under ' Permanent Address' tab

d. Additional facility to capture Primary ISD code with a drop down to select the ISD code with search button will be made available.

Email ID –

a. In BO online set up / BO modification –
- Provision to record Primary Email ID and Secondary Email ID will be available under the 'Correspondence Address' tab.

b. In BO upload / BO modification upload –

o Provision to record Primary email id and secondary email id is given in line no. 02. Field name as 'primary email' and 'secondary email'. Only one email id is allowed to be entered in these fields. If user enters multiple email id then file will fail with appropriate error message.

c. In case of existing demat accounts –

o The Primary email id field will be populated with existing email id in the following order of preference.

- Email ID under 'Correspondence Address' tab
- Email ID under ' SMS Registration' tab
- Email ID under ' Permanent Address' tab

o The Secondary email ID field will be populated with existing email IDs in the following order of preference after the primary email ID field gets populated.

- Email ID under ' SMS Registration' tab
- Email ID under ' Permanent Address' tab

d. Additional facility will be made available to capture the email Id and mobile number of the joint demat account holder i.e. for 2nd and 3rd holder.

Post release, existing menu of "SMS Registration" will be removed from upload file format in the online BO setup and Modify option and purpose code 16 will be removed from upload file format. Instead, against the primary mobile number field, a flag will be provided for 'SMART Registration' with default value 'Yes'. If the said flag is populated with value 'Yes', the BO will be registered for the SMS alert facility. In BO setup and BO modify upload "SMART Registration Indicator" field is introduced. If this field has value as "Y" then BO will be registered for SMS Alerts.

SMS alert Registration Flag of those accounts which are currently registered for SMS alert will be updated as 'Yes' on EOD of the ' release date' i.e. **Friday, September 21, 2018.**

The report generated with effect from EOD of the release date i.e. Friday, September 21, 2018 will be as per the new format.

4. **Email IDs and Mobile numbers registered under easi / easiest**

o Currently, a demat account holder has to create a separate 'easi profile' by entering email ID and mobile number.

- Instead, after release of this facility, the primary email id and primary mobile number will be auto populated in the demat account holder's 'easi profile'.
- Any modification in the primary email id and primary mobile number in CDSL demat account will be automatically reflected in easi profile and vice versa
- The same was informed to the DPs vide of communique no. CDSL/OPS/DP/EASI/EASIST/2018/215 dated April 23, 2018

5. TRUST Application

- DPs are advised to note that the registration to TRUST will be discontinued with the release of BO changes till further intimation.

6. Discontinuation of BO Bulk Upload

Currently DPs have the facility to upload BO account setup file using File level upload, Record level upload and Bulk upload with File level and Record level upload option. With this release bulk upload facility will be discontinued and functionalities of bulk upload will be merged with File level upload and Record level upload. DPs can choose either file level upload (file will be processed if all records are successful else entire file will be rejected) or record level upload (successful records will be processed and error records will be rejected)

7. Upload file formats

The BO setup & modify upload file formats have been modified to incorporate the above mentioned changes (Refer **Annexure A for BO Setup Upload, Annexure D for BO Modify Upload**).

DPs are requested to note following major changes in the BO setup / modify upload file format:

1. Permanent Address line (i.e. line 07) should have spaces in place of Phone and Email ID field. If any value is present in these fields then the entire record will fail.
2. Phone Indicator 1 field in line 02 will be used as SMS Alert Indicator.
3. Email id field in line 02 should have single email. If more than 1 email id is entered in Email id field then the entire record will be rejected.
4. Customer Additional Phone field will be used as Secondary Email field.

Following report formats have also been changed to incorporate the above mentioned changes.

Sr. No.	Report ID	Description	Annexure
1	DP59	Success / Failure report for BO setup upload	Annexure – B
2	DP58	Acknowledgement Report for BO setup	Annexure – C
3	DPH1	Success / Failure report for BO modify upload	Annexure – E
4	DPB9	Client Master Report	Annexure – F
5	DPS8	Client Master Report	Annexure – F
6	DPS9	Client Master Report	Annexure – F
7	DPC7	Free Balances Report	Annexure – G
8	CD11	CM Master List	Annexure – H
9	CD12	PIN code master	Annexure – I
10	DPD9	Name and Address for BO in DPC9 report	Annexure – J
11	DPS1	DP Destat Request Summary Transaction Statement	Annexure – K
12	DPS2	DP Destat Request Summary Transaction Statement	Annexure – L

The aforesaid functionality is scheduled to be released on the EOD of **Friday, September 21, 2018.** DPs are advised to note the same and make necessary changes if any, in their back office system to incorporate the said change.

Further, existing BOs with multiple email ids and phone numbers will be informed about the proposed update through email.

DPs are requested to take care to properly update the phone numbers /email ids while opening /modifying the demat accounts till the date of release and educate their BOs accordingly.

Queries regarding this communiqué may be addressed to **CDSL – Helpdesk:** on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: helpdesk@cdslindia.com.

sd/-

Nayana Ovalekar
Chief Operating Officer

FILE FORMAT: BO SET UP UPLOAD

File Name: <08><DPID>.<3 to 5 digits running serial number>
Input Type: Mandatory / **O**ptional

Header Record: This record will contain:

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
DPID	NUMBER	6	M
OPERATOR ID	CHAR	6	M
TOTAL NO. OF RECORDS	NUMBER	5	M

Details Records: This record will contain following lines:

First Line

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
01	NUMBER	2	M (Line Number of the record)
SERIAL NO (Unique)	NUMBER	4	M
BO ID	NUMBER	16	O
BO REQUEST RECEIPT DATE	DATE	14	M

Second Line (First Account Holder):

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
02	NUMBER	2	M (Line Number of the record)
PRODUCT NUMBER	NUMBER	4	M
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
CUST ADDR 1	CHAR	55	M
CUST ADDR 2	CHAR	55	O
CUST ADDR 3	CHAR	55	O
CUST ADDR CNTRY CODE	CHAR	02	M ISO 3166-1 alpha-2 Format
CUST ADDR ZIP	CHAR	10	M
CUST ADDR STATE CODE	CHAR	06	M Mandatory if Country code IN (India). Value to be in ISO 3166-2 Format
CUST ADDR STATE	CHAR	25	M

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			Mandatory if Country code other than IN Else will be ignored
CUST ADDR CITY	CHAR	25	M
CITY SEQUENCE NUMBER	*NUMBER*	*2*	*M* *If country code is IN then mandatory. Default value will be 00*
SMART REGISTRATION INDICATOR	CHAR	1	O *Y – SMART REGISTRATION Blank – No SMART Registration*
PRIMARY MOBILE NO ISD CODE	*NUMBER*	*6*	*O* *MANDATORY IF PRIMARY MOBILE NO PRESENT*
PRIMARY MOBILE NO	CHAR	17	O *MANDATORY IF PRIMARY MOBILE NO ISD CODE PRESENT*
SECONDARY ISD CODE	*NUMBER*	*6*	*O* *MANDATORY IF SECONDARY MOBILE /PHONE ISD CODE PRESENT*
SECONDARY MOBILE / PHONE	CHAR	17	*O* *MANDATORY IF SECONDARY MOBILE / PHONE ISD CODE PRESENT*
SECONDARY EMAIL	CHAR	100	*O* *ONLY 1 EMAIL TO BE ENTERED*
CUST FAX	CHAR	17	O
INCOME TAX PAN	CHAR	10	M
UID	CHAR	*16*	O / M Mandatory if UID verification flag is present
UID VERIFICATION FLAG	*CHAR*	*1*	*O* *UID VERIFICATION FLAG 0 – UID NOT VERIFIED 2 – UID VERIFIED BY DP*
POA Type Flag	CHAR	1	O (C / R) Mandatory for POA Master Creation

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			If POA Type Flag is 'C', then Purpose Code 21 details will be mandatory for CM POA. If POA Type Flag is 'R', then it will be considered as a Regular POA
FILLER1	CHAR	2	
IT CIRCLE	CHAR	15	O
PRIMARY EMAIL	CHAR	*100*	O ONLY 1 EMAIL SHOULD BE ENTERED
USER TEXT 1	CHAR	50	O
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4 (PAN Exemption Code)	CHAR	4	O 1st character – 1st Holder PAN Exemption Code 2nd character – 2nd Holder PAN Exemption Code 3rd character – 3rd Holder PAN Exemption Code
USER FIELD5 (PAN Verification Flag)	NUMBER 1st character – 1st Holder PAN Verification Code 2nd character – 2nd Holder PAN Verification Code 3rd character – 3rd Holder PAN Verification Code	4	O PAN Verification flag 0 – PAN Not Verified 1 – PAN verified 2 –PAN verification reversed
SIGNATURE FILE FLAG	CHAR	1	O (Y/N)
FILLER	*CHAR*	*16*	*Should be blank space*
FILLER	*CHAR*	*72*	*Should be blank space*
FILLER	*CHAR*	*1*	*Should be blank space*
FILLER	*CHAR*	*1*	*Should be blank space*
FILLER	*CHAR*	*10*	*Should be blank space*

Third Line (Second Account holder):

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
03	NUMBER	2	M (Line Number of the record)
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
INCOME TAX PAN	CHAR	10	M
UID	CHAR	**16**	O / M Mandatory if UID verification flag is present
UID Verification flag	CHAR	1	O UID verification flag 0 – UID Not Verified 2 – UID verified by DP
Filler	CHAR	2	O Special characters are not allowed
IT CIRCLE	CHAR	15	O
MOBILE / PHONE ISD CODE	**NUMBER**	**6**	**O**
MOBILE NUMBER / PHONE NUMBER	CHAR	**17**	O
EMAIL	CHAR	**100**	O
FILLER	**CHAR**	**16**	**Should be blank space**
FILLER	**CHAR**	**72**	**Should be blank space**
FILLER	**CHAR**	**1**	**Should be blank space**
FILLER	**CHAR**	**1**	**Should be blank space**
FILLER	**CHAR**	**10**	**Should be blank space**

Fourth Line (Third Account Holder)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
04	NUMBER	2	M (Line Number of the record)
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
CUST SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
HLDR FATHER HUSBAND NAME	CHAR	50	O
INCOME TAX PAN	CHAR	10	M
UID	CHAR	**16**	O / M

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			Mandatory if UID verification flag is present
UID Verification flag	CHAR	1	O UID verification flag 0 – UID Not Verified 2 – UID verified by DP
Filler	CHAR	2	O Special characters are not allowed
IT CIRCLE	CHAR	15	O
MOBILE / PHONE ISD CODE	*NUMBER*	*6*	*O*
MOBILE / PHONE NUMBER	CHAR	*17*	O
EMAIL	CHAR	*100*	O
FILLER	*CHAR*	*16*	*Should be blank space*
FILLER	*CHAR*	*72*	*Should be blank space*
FILLER	*CHAR*	*1*	*Should be blank space*
FILLER	*CHAR*	*1*	*Should be blank space*
FILLER	*CHAR*	*10*	*Should be blank space*

Fifth Line (Product Details):

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
05	NUMBER	2	M (LINE NUMBER OF THE RECORD)
DATE OF MATURITY	DATE	DATE	O
DP INTERNAL REF NUMBER	CHAR	10	O
DATE OF BIRTH/ORIGIN	DATE	DATE	O
SEX CODE	CHAR	1	O (**F**EMALE/**M**ALE)
OCCUPATION	CHAR	4	O
LIFE STYLE	CHAR	4	O
GEOGRAPHICAL CODE	CHAR	4	O
EDUCATION/DEGREE	CHAR	4	O
ANNUAL INCOME CODE	NUMBER	4	O
NATIONALITY CODE	CHAR	3	O
LEGAL STATUS CODE	NUMBER	2	O
BO FEE TYPE	NUMBER	2	O
LANGUAGE CODE	NUMBER	2	O
CATEGORY 4 CODE	NUMBER	2	O
BANK OPTION 5	NUMBER	2	O
STAFF / RELATIVE	CHAR	1	O (STAFF/RELATIVE/NONE)
STAFF CODE	CHAR	10	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
25 BYTE ALPHANUMERIC CODE 1 USER TEXT 1	CHAR	39	O
FAMILY ACCOUNT FLAG	CHAR	1	O (Y /N) By default it will be 'N'
EMAIL STATEMENT FLAG	CHAR	1	O (Y/N) IF EMAIL STATEMENT FLAG IS Y, FIRST HOLDER EMAIL IS MANDATORY BY DEFAULT IT WILL BE 'N'
CAS MODE	CHAR	2	O NO: CAS NOT REQUIRED PH: PHYSICAL CAS REQUIRED
MENTAL DISABILITY	CHAR	1	O (Y/N) IF MENTAL DISABILITY IS Y , GUARDIAN DETAILS IS MANDATORY BY DEFAULT IT WILL BE 'N'
FILLER 1	CHAR	1	O
RGESS FLAG	CHAR	1	O
ANNUAL REPORT FLAG	CHAR	1	O
PLEDGE STANDING INSTRUCTION FLAG	CHAR	1	O
EMAIL RTA DOWNLOAD FLAG	CHAR	1	O
BSDA FLAG	CHAR	1	O Y : BSDA N : Non BSDA O : Opted Out (IF NO VALUE ENTERED, THEN DEFAULT VALUE SHALL BE 'N')
25 BYTE ALPHANUMERIC CODE 2 USER TEXT 2	CHAR	50	O
NUMERIC 4 (DUMMY)	NUMBER	4	O
NUMERIC 4 (DUMMY)	NUMBER	4	O
NUMERIC 4 (DUMMY)	NUMBER	4	O
SECURITY ACCESS CODE	NUMBER	2	O
BO CATEGORY	NUMBER	2	M
BO SETTLEMENT PLANNING FLAG	CHAR	1	M
DIVIDEND BANK IFSC CODE	CHAR	15	O
RBI REFERENCE NUMBER	CHAR	30	O
RBI APPROVAL DATE	DATE	DATE	O
SEBI REGISTRATION	CHAR	24	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
NUMBER			
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2	O
SMART CARD REQUIRED	CHAR	1	O (Y /N)
SMART CARD NUMBER	CHAR	20	O
SMART CARD PIN	NUMBER	10	O
ECS/MANDATE	CHAR	1	O
ELECTRONIC CONFIRMATION	CHAR	1	O (Y /N)
DIVIDEND CURRENCY	NUMBER	6	O
GROUP CODE	CHAR	8	O
BO SUB STATUS	NUMBER	4	M
CLEARING CORPORATION ID	NUMBER	4	O
CLEARING MEMBER ID	CHAR	8	O
STOCK EXCHANGE	NUMBER	2	O
CONFIRMATION WAIVED	CHAR	1	O
TRADING ID	CHAR	8	O
BO STATEMENT CYCLE CODE	CHAR	2	M
CUSTODIAN / PMS EMAIL ID	CHAR	50	O
DIVND BANK ACCT TYPE	CHAR	12	M
DIVND BANK CODE	CHAR	12	M
DIVND ACCT NUMB	CHAR	20	M
DIVND BANK CCY	NUMBER	6	M

Annual Report Flag can have following values:

1	Physical Annual Report
2	Electronic Annual Report
3	Both Physical and Electronic Annual Report

Sixth Line (POA Link details):
Separate line to link POA for each holder with purpose code 0001, 0002, 0003 or single line to link POA for all holders with purpose code 0004.
This line can be repeated multiple times as 1st, 2nd and 3rd Holders can have multiple POAs.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
06	NUMBER	2	M (LINE NUMBER OF THE RECORD)
POA ID (POA REGISTRATION NO.)	CHAR	16	M
SETUP DATE	DATE	DATE	M
POA TO OPERATE A/C	CHAR	1	O (Y / N)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
GPA/BPA FLAG	CHAR	1	M (B/ G)
EFFECTIVE FROM DATE	DATE	DATE	M
EFFECTIVE TO DATE	DATE	DATE	O
USER FIELD 1	NUMBER	4	O
USER FIELD 2	NUMBER	4	O
CA CHARFLD (REMARK)	CHAR	50	O
FILLER 1	CHAR	100	O
FILLER 2	CHAR	20	O
FILLER 3	CHAR	20	O
FILLER 4	CHAR	10	O
FILLER 5	CHAR	10	O
FILLER 6	CHAR	50	O
FILLER 7	CHAR	30	O
FILLER 8	CHAR	30	O
FILLER 9	CHAR	30	O
FILLER 10	CHAR	25	O
FILLER 11	CHAR	25	O
FILLER 12	CHAR	25	O
FILLER 13	CHAR	10	O
FILLER 14	CHAR	1	O
FILLER 15	CHAR	17	O
FILLER 16	CHAR	1	O
FILLER 17	CHAR	17	O
FILLER 18	CHAR	100	O
FILLER 19	CHAR	17	O
FILLER 20	CHAR	10	O
FILLER 21	CHAR	15	O
FILLER 22	CHAR	15	O
FILLER 23	CHAR	50	O
USER TEXT 1 POA Master ID (16 digit)	CHAR	50	M
FILLER 24	CHAR	50	O
USER FIELD 3 (POA LINK PURPOSE CODE)	NUMBER	4	M
FILLER 25	NUMBER	4	O
FILLER 26	NUMBER	4	O
FILLER 27	CHAR	1	O

Seventh Line is for Permanent Address Details purpose code should be 12

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N = 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE (12)	NUMBER	2	M
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
CUST ADDR 1	CHAR	*55*	M
CUST ADDR 2	CHAR	*55*	O
CUST ADDR 3	CHAR	*55*	O
CUST ADDR CNTRY CODE	CHAR	*02*	M *ISO 3166-1 alpha-2 Format*
CUST ADDR ZIP	CHAR	10	M
CUST ADDR STATE CODE	CHAR	*06*	M *Mandatory if Country code IN (India). Value to be in ISO 3166-2 Format*
CUST ADDR STATE	CHAR	25	M *Mandatory if Country code other than IN Else will be ignored*
CUST ADDR CITY	CHAR	25	M
CITY SEQUENCE NUMBER	*NUMBER*	*2*	*M* *If country code is IN then mandatory. Default value will be 00*
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	25	O
IT CIRCLE	CHAR	15	O
USER TEXT 1	CHAR	50	O
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4	NUMBER	4	O
USER FIELD 5	NUMBER	4	O
FILLER	*CHAR*	*100*	*O (It should be blank space)*

Eight line onwards lines can be added to enter Additional Name and Address for following purpose codes
- Purpose Code 06 – Nominee Name and address
- Purpose Code 07 – Guardian Name and address
- Purpose Code 08 – Nominee's Guardian Name and address

- Purpose Code 18 –   Authorized Signatory

This line is mandatory for Corporate / HUF / Bank / FI / Trust type of accounts.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE	NUMBER	2	M
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER HUSBAND NAME	CHAR	50	O
CUST ADDR 1	CHAR	*55*	M
CUST ADDR 2	CHAR	*55*	O
CUST ADDR 3	CHAR	*55*	O
CUST ADDR CNTRY CODE	CHAR	*02*	M *ISO 3166-1 alpha-2 Format*
CUST ADDR ZIP	CHAR	10	M
CUST ADDR STATE CODE	CHAR	*06*	M *Mandatory if Country code IN (India). Value to be in ISO 3166-2 Format*
CUST ADDR STATE	CHAR	25	M *Mandatory if Country code other than IN Else will be ignored*
CUST ADDR CITY	CHAR	25	M
CITY SEQUENCE NUMBER	*NUMBER*	*2*	*M* *If country code is IN then mandatory. Default value will be 00*
PRIMARY MOBILE NO ISD CODE	*NUMBER*	*6*	*O* *MANDATORY IF PRIMARY MOBILE NO PRESENT*
PRIMARY MOBILE NO	CHAR	17	*O* *MANDATORY IF PRIMARY MOBILE NO ISD CODE PRESENT*
DATE OF BIRTH	DATE	DATE	O
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	10	O
UID	CHAR	*16*	O / M Mandatory if UID verification flag is present
UID Verification flag	CHAR	1	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			For purpose code 18 UID verification flag 0 – UID Not Verified 2 – UID verified by DP
IT CIRCLE	CHAR	15	O
E-MAIL	CHAR	**100**	O
USER TEXT 1	CHAR AS REMARKS	50 AS REMARKS	O Authorized Signatory Remarks
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4	NUMBER	4	O
USER FIELD5	NUMBER	4	O
NOMINEE SERIAL NUMBER	NUMBER	2	M (For Purpose code 6 and 8) Values: 01– First nominee 02 – Second Nominee 03 – Third Nominee O – Other than Purpose code 6 & 8
RELATIONSHIP WITH BO	NUMBER	2	M (For Purpose code 6,7,8) O – other than Purpose code 6,7,8 Values can Be: 01 – Spouse 02 – Son 03 – Daughter 04 – Father 05 – Mother 06 – Brother 07 – Sister 08 – Grand-Son 09 – Grand-Daughter 10 – Grand-Father 11 – Grand-Mother 12 – Not Provided (if the relationship is not provided on nomination form) 13 – Others
PERCENTAGE OF SHARES	NUMBER	(5,2)	M (For Purpose code 6) O – Optional for other than Purpose code 6 with default value "00000" The file should have value like 10000(100%), 09725(97.25%), 05000(50%) etc

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
RESIDUAL SECURITIES FLAG	CHAR	1	M (For Purpose code 6) Values: Y- Yes N- No Default value is "N" O (For Purpose code 7,8,18- it should be space)
FILLER	CHAR	16	O Should be blank space
FILLER	CHAR	72	O Should be blank space
FILLER	CHAR	1	O Should be blank space
FILLER	CHAR	1	O Should be blank space
FILLER	CHAR	10	O Should be blank space

The following line is added to the File format for Mapping of CM Accounts With CM POA Master.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 21 Mapping of CM Accounts With CM POA Master.	NUMBER	2	M
MAPPING UNMAPPING FLAG	NUMBER	1	M 1 - MAP 3 - MAP ALL CM ACCOUNTS)
EX ID	NUMBER	2	O
CM ID	CHAR	8	O
CM Account To Be Mapped	CHAR	16	O Mandatory if MAPPING UNMAPPING FLAG = 1

NOTES:

- No field separators should be used.

- Spaces should be used in case if a field does not have a value.

- All numeric fields should be right justified with zero padding.

- All alphanumeric fields should be left justified with padding as spaces.

- For BO setup, every detail record will contain the following lines. If a line contains no data, then that line will not be written. Line numbers 01, 02, 05 and 07 are mandatory.

- For POA setup, every detail record mandatory Line Numbers are 01 , 02 , 05 only
- For PAN verification flag value is
    - For Only first Holder   - 1000
    - For first and second holder   - 1100
    - For All Holders   - 1110
    - For second and third Holder - 0110
- For Minor account
    - Guardian details are mandatory
    - Minor account should have only first holder
- For Mantra Accounts
    - POA link for all holder details are mandatory
    - In 06 line for POA link details, effective to date should be '0000000'
- POA Link Details
    - Purpose code for POA link are -
        - 0001 for First Holder
        - 0002 for Second Holder
        - 0003 for Third Holder
        - 0004 for All Holders
    - 16 Digit POA Master ID will be entered in User text 1 field
    - Purpose code specify in user field 3
- Product Details
    - ECS/Mandate flag is 'Y' then must specify correct Dividend bank details
- The additional name and address record line is not mandatory except for Corporate / HUF / CM / Minor and there can be one or more additional lines
- For Authorized signatory, in BO name specify Authorized signatory Name and in User Text 1 specify remarks.   Other Field details not required for Authorized signatory
- Authorized signatory details mandatory for Corporate / HUF type of accounts / CM
- Signature File Details
- If Signature file flag is 'N' then signature file is not required. If the signature flag is `Y', then the signature file should be present in same folder. The signature file in image file extension can be - *.jpg / *.gif / *.tif / *.jpeg / *.tiff / *.pic / *.pnj / *.png
- The naming convention of signature file should be as below
    - b + file extension + serial number + IMAGE FILENAME. file extension
    - For BO bxxxyyyyfilename.bmp
        - Where xxx is 3-digit file extension
        - yyyy is 4-digit serial number of the Bo record

- e.g. DP is uploading file with 2 signatures with filename as 08010601.0123 and signature flag is 'Y' in second line (first account holder details of the first record), then naming convention for signature will be as follows
  - b0123<0001><filename1><image Filename>.bmp (or any valid extension)
  - b0123<0001><filename2>><image Filename>.bmp (or any valid extension)
- the signature image file should exist in the same path where the data file exists
- the name of the folder in which files exist should be a single word without any spaces and folder name length should be less than or equal to '8'
- The name of the folder can be "botest" and not as "bo test".
- For BSDA account, SMS registration is mandatory.
- The PAN of the first holder of the proposed BSDA account is not existing as the PAN of first holder in another CDSL account with status 'Active/to be closed'.
- If annual report flag is '2' (Electronic) or 3 'Both Physical And Electronic' , first holder email id should exists
- If Mental Disability Flag is 'Y', then the Guardian Details will be mandatory.
- If Email Statement Flag is 'Y' and CAS mode is blank, then CAS Mode will be updated to EC (eCAS).
- BO REQUEST RECEIPT DATE- Date (with time) on which request received from BO.
- Mapping of CM Accounts With CM POA Master
  - The purpose code 21 can be repeated for mapping of multiple CM Accounts with CM POA Master, but the line number should be in incremental order.
- The Family Account Flag will be applicable only for BO and not for POA. Default value for the Family Account Flag will be 'N'.
- The Custodian Email ID will be applicable only for BO and not for POA
- UID will be mandatory only if UID verification flag is present.
- UID verification flag is not applicable for Guardian, Nominee and Nominees guardian.

REPORT ID	**:**	**DP59**	
Report Description	**:**	**Success / Failure Report for BO Upload**	
Report Contents	**:**	This report gives the total number of successful and total number of failed records during upload	

> **Following is the format for Successful Records**

Field Description	Field Type	Field Width	Remarks
BO Serial Number	Number	4	
BO ID	Varchar2	16	
DP Internal Reference Number	Varchar2	10	
BO Group	Varchar2	8	
CUST_TYPE	Varchar2	40	
BO SUB-STATUS	Varchar2	40	
First/Sole Holder	Varchar2	140	
Second Holder	Varchar2	140	
Third Holder	Varchar2	140	
BO Address Line 1	Varchar2	55	
Primary Mobile ISD Code	*Varchar2*	6	
Primary Mobile Number	Varchar2	17	
FAX	Varchar2	17	
BO Address Line 2	Varchar2	55	
BO Address Line 3	Varchar2	55	
BO Address City	Varchar2	25	
BO Address State Code	Varchar2	6	
BO Address State	Varchar2	25	
BO Address Country Code	Varchar2	2	
BO Address Country	Varchar2	25	
BO Address Zip Code	Varchar2	10	
Number of POA ID	Number	4	
POA Master ID	Varchar2	16	
Purpose Code of Holder	Number	2	

Field Description	Field Type	Field Width	Remarks
POA ID	Varchar2	16	
POA to Operate Account	Char	1	
GPA/BPA flag	Varchar2	17	
Effective from Date	Date	12	
Effective to Date	Date	12	
Secondary Telephone Number ISD Code	*Char*	*6*	
Secondary Telephone Number	Char	17	
Primary Email ID	Char	100	
Secondary Email	*Char*	*100*	
SMART Flag	*Char*	*1*	*SMART registration De-registration flag*
Second Holder Mobile Number ISD Code	*Char*	*6*	
Second Holder Mobile Number	Char	10	
Second Holder Email ID	Varchar2	*100*	
Third Holder Mobile Number ISD Code	*Char*	*6*	
Third Holder Mobile Number	Char	10	
Third Holder Email ID	Varchar2	*100*	
Filler 1	Varchar2	8	
Filler 2	Varchar2	12	
Filler 3	Number	12	
Warning/Error Message (in the setup of BO A/C)	Varchar2	240	
Warning/Error Message (in the setup of POA A/C)	Varchar2	240	

If the field '**Number of POA ID**' is zero, then the fields marked in *BOLD & Italics* above will not be printed. Directly, the next field i.e. Mobile Number, will be printed.

If the field '**Number of POA ID**' is not zero, then the fields marked in *BOLD & Italics* above, will be repeated as many times as the number present in this field i.e. if the value is 1, then the fields will be printed once. If the value is 2, then the fields will be repeated twice and so on.

Field Description	Field Type	Field Width
Number of Additional Name and Address	Number	2
Purpose Code	Number	2
ADDNL Name	Varchar2	140
ADDNL Address Line 1	Varchar2	*55*
Primary Mobile ISD Code	*Varchar2*	*6*
Primary Mobile Number	Varchar2	17
ADDNL Fax Number	Varchar2	17
ADDNL Address Line 2	Varchar2	*55*
ADDNL Address Line 3	Varchar2	*55*
ADDNL City	Varchar2	25
ADDNL State	Varchar2	25
ADDNL Country	Varchar2	25
ADDNL Zip Code	Varchar2	10
Name Change Reason Code	Varchar2	2
Nominee Serial Number	Number	2
Relationship with BO	Number	2
Percentage of Shares	Number	(5,2)
Residual securities flag	Varchar2	1

➢ **Following is the format for Failure Records**

Field Name	Field Type	Field Width
BO Serial Number	Number	4
BO ID	Varchar2	16
DP Internal Reference Number	Varchar2	10
BO Group	Varchar2	8
CUST_TYPE	Varchar2	40
BO SUB-STATUS	Varchar2	40
First/Sole Holder	Varchar2	140
Second Holder	Varchar2	140

Field Name	Field Type	Field Width
Third Holder	Varchar2	140
BO Address Line 1	Varchar2	**55**
Primary Mobile Number	Char	17
Primary Email ID	Char	100
Filler 4	Varchar2	8
Filler 5	Varchar2	12
Filler 6	Number	12
Error Message	Varchar2	240

Report Documentation

Report ID   **:**   DP58

Report Description **:**   Acknowledgement copy for BO setup using BO upload

Module   **:**   Upload

Report Format   **:** (Specify in same sequence as Unformatted layout)

Description/Trim	Data Type	Length	Value
Identifier	Number	1	0
DP ID	Char	8	
Client ID	Char	8	
Stock Exchange Id	Number	2	
Clearing Member Id	Char	8	
Identifier	Number	1	1
BO first holder name	Char	164	
Identifier	Number	1	1
Address 1	Char	*55*	
Identifier	Number	1	1
Address 2	Char	*55*	
Identifier	Number	1	1
Address 3	Char	*55*	
Identifier	Number	1	1
City, Zip, State	Char	60	
Identifier	Number	1	1
Country	Char	25	
Identifier	Number	1	2
BO second holder name	Char	164	
Identifier	Number	1	2
BO Third holder name	Char	164	
Identifier	Number	1	3
DP ID	Char	8	
Identifier	Number	1	3
Client ID	Char	8	
Identifier	Number	1	4
BO Account Status	Char	40	
Identifier	Number	1	4
BO Sub Status	Char	40	
Identifier	Number	1	5
Account Status	Number	2	
Identifier	Number	1	5
Primary Mobile Number	char	17	
Identifier	Number	1	6
PAN Number (Joint Holder 1)	Char	25	
Identifier	Number	1	6
PAN Number (Joint Holder 2)	Char	25	
Identifier	Number	1	6
PAN Number (Joint Holder 3)	Char	25	
Identifier	Number	1	7
FILLER	char	100	
Identifier	Number	1	8

Null	-	0	
Identifier	Number	1	8
DP Name	Char	100	
Identifier	Number	1	8
Primary Email ID	Char	100	
Identifier	Number	1	8
Number of days for Sending DP58 via Email	Number	2	

FILE FORMAT: BO MODIFY UPLOAD

File Name : <11><DP ID 6 DIGIT>**.**<3 to 5 digits running serial number>

Input Type : [**M**] = Mandatory

          [**O**] = Optional

          [**N**] = Non-Modifiable

Header Record: This record will contain following fields:

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
DP ID	NUMBER	6	M
OPERATOR ID	CHAR	6	M
TOTAL NO. OF RECORDS	NUMBER	5	M

Detail Record: Every detail record will contain following lines. If a line contains no data then that line will not be written. Only Line number 01 is mandatory.

First Line

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
01	NUMBER	2	M (Line Number of the record)
BOID	NUMBER	16	M
BO REQUEST RECEIPT DATE	DATE	14	M

Second Line (First Account Holder)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
02	NUMBER	2	M (Line Number of the record)
PRODUCT NUMBER	NUMBER	4	N (Not Modifiable)
BO NAME	CHAR	100	O
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	O
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
ADDRESS 1	CHAR	55	O
ADDRESS 2	CHAR	55	O
ADDRESS 3	CHAR	55	O
COUNTRY CODE	CHAR	02	M ISO 3166-1 alpha-2 Format
ZIP	CHAR	10	O
STATE CODE	CHAR	06	O IF COUNTRY CODE IS IN(INDIA) THEN STATE CODE IS MANDATORY IN ISO 3166-2 FORMAT
STATE	CHAR	25	O IF COUNTRY CODE IS IN(INDIA) THIS FIELD

			WILL BE IGNORED ELSE IT IS MANDATORY
CITY	CHAR	25	O
CITY SEQUENCE NO	**NUMBER**	**2**	**M** **If country code is IN then mandatory. Default value will be 00**
SMART REGISTRATION	**CHAR**	**1**	**O** **Y – SMART REGISTRATION N – SMART DEREGISTRATION**
PRIMARY MOBILE NUMBER ISD CODE	**NUMBER**	**6**	**O** **MANDATORY IF PRIMARY MOBILE NUMBER PRESENT**
PRIMARY MOBILE NUMBER	**CHAR**	**17**	**O** **MANDATORY IF PRIMARY MOBILE NUMBER ISD CODE PRESENT**
SECONDARY MOBILE / TELEPHONE NO ISD CODE	**NUMBER**	**6**	**O** **MANDATORY IF SECONDARY MOBILE / TELEPHONE NUMBER PRESENT**
SECONDARY MOBILE / TELEPHONE NUMBER	**CHAR**	**17**	**O** **MANDATORY IF SECONDARY MOBILE / TELEPHONE NUMBER ISD CODE PRESENT**
SECONDARY EMAIL	**CHAR**	**100**	**O** **ONLY ONE EMAIL ID SHOULD BE ENTERED**
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	10	O
UID	CHAR	**16**	O
UID VERIFICATION FLAG	**CHAR**	**1**	**O** **0 – NOT VERIFIED** **2 – VERIFIED BY DP**
POA Type Flag	CHAR	1	O (C / R) Mandatory for POA Master Creation If POA Type Flag is 'C', then Purpose Code 21 details will be mandatory for CM POA. If POA Type Flag is 'R', then it will be considered as a Regular

			POA
NAME CHANGE REASON CODE	CHAR	2	O (Mandatory if BO NAME is changed)
IT CIRCLE	CHAR	15	O
PRIMARY E-MAIL	CHAR	*100*	O *ONLY ONE EMAIL ID SHOULD BE ENTERED*
USER TEXT 1	CHAR	50	O
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
PAN EXEMPTION CODE	CHAR	4	O 1st character – 1st Holder PAN Exemption Code 2nd character – 2nd Holder PAN Exemption Code 3rd character – 3rd Holder PAN Exemption Code
PAN VERIFICATION FLAG	NUMBER	4	O 1st character – 1st Holder PAN Verification Code 2nd character – 2nd Holder PAN Verification Code 3rd character – 3rd Holder PAN Verification Code
SIGNATURE FILE FLAG	CHAR	1	N (Not Modifiable)
FILLER	*CHAR*	*16*	*Should be blank space*
FILLER	*CHAR*	*72*	*Should be blank space*
FILLER	*CHAR*	*1*	*Should be blank space*
FILLER	*CHAR*	*1*	*Should be blank space*
FILLER	*CHAR*	*10*	*Should be blank space*

Third Line (Second Account Holder)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
03	NUMBER	2	M (Line Number of the record)
NAME	CHAR	100	O
MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	O
TITLE	CHAR	10	O
SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
INCOME TAX PAN	CHAR	10	O
UID	CHAR	*16*	O
UID VERIFICATION FLAG	*CHAR*	*1*	*O* *0 – NOT VERIFIED*

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			2 – VERIFIED BY DP
NAME CHANGE REASON CODE	CHAR	2	O (Mandatory if BO name is changed)
IT CIRCLE	CHAR	15	O
MOBILE NUMBER ISD CODE	**NUMBER**	**6**	**O MANDATORY IF MOBILE NUMBER IS PRESENT**
MOBILE NUMBER	CHAR	**17**	O **MANDATORY IF MOBILE NUMBER ISD CODE IS PRESENT**
EMAIL	CHAR	**100**	O **ONLY ONE EMAIL ID SHOULD BE ENTERED**
FILLER	**CHAR**	**16**	**Should be blank space**
FILLER	**CHAR**	**72**	**Should be blank space**
FILLER	**CHAR**	**1**	**Should be blank space**
FILLER	**CHAR**	**1**	**Should be blank space**
FILLER	**CHAR**	**10**	**Should be blank space**

Fourth Line (Third Account Holder)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
04	NUMBER	2	M (Line Number of the record)
NAME	CHAR	100	O
MIDDLE NAME	CHAR	20	O
LAST/ SEARCH NAME	CHAR	20	O
TITLE	CHAR	10	O
SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
INCOME TAX PAN	CHAR	10	O
UID	CHAR	**16**	O
UID VERIFICATION FLAG	**CHAR**	**1**	**O 0 – NOT VERIFIED 2 – VERIFIED BY DP**
NAME CHANGE REASONS CODE	CHAR	2	O (Mandatory if BO name is changed)
IT CIRCLE	CHAR	15	O
MOBILE NUMBER ISD CODE	**NUMBER**	**6**	**O MANDATORY IF MOBILE NUMBER IS PRESENT**
MOBILE NUMBER	CHAR	**17**	O **MANDATORY IF MOBILE NUMBER ISD CODE IS PRESENT**

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
EMAIL	CHAR	**100**	O **ONLY ONE EMAIL ID SHOULD BE ENTERED**
FILLER	**CHAR**	**16**	**Should be blank space**
FILLER	**CHAR**	**72**	**Should be blank space**
FILLER	**CHAR**	**1**	**Should be blank space**
FILLER	**CHAR**	**1**	**Should be blank space**
FILLER	**CHAR**	**10**	**Should be blank space**

Fifth Line (Product details) –

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
05	NUMBER	2	M (LINE NUMBER OF THE RECORD)
DATE OF MATURITY	DATE	8	N (NOT MODIFIABLE)
DP INTERNAL REF NUMBER	CHAR	10	O
DATE OF BIRTH/ORIGIN	DATE		M (MODIFIABLE)
SEX CODE	CHAR	1	O (FEMALE/MALE)
OCCUPATION	CHAR	4	O
LIFE STYLE	CHAR	4	N (NOT MODIFIABLE)
GEOGRAPHICAL CODE	CHAR	4	O
EDUCATION/DEGREE	CHAR	4	O
ANNUAL INCOME CODE	NUMBER	4	O
NATIONALITY CODE	CHAR	3	O
LEGAL STATUS CODE	NUMBER	2	N (NOT MODIFIABLE)
BO FEE TYPE	NUMBER	2	N (NOT MODIFIABLE)
LANGUAGE CODE	NUMBER	2	O
CATEGORY 4 CODE	NUMBER	2	N (NOT MODIFIABLE)
BANK OPTION 5	NUMBER	2	N (NOT MODIFIABLE)
STAFF / RELATIVE	CHAR	1	O (STAFF / RELATIVE)
STAFF CODE	CHAR	10	O
25 BYTE ALPHANUMERIC CODE 1 USER TEXT 1	CHAR	39	N (NOT MODIFIABLE)
FAMILY ACCOUNT FLAG	CHAR	1	O (Y /N) By default it will be 'N'
Email Statement Flag	Char	1	O (Y/N) By default it will be 'N'
CAS Mode	Char	2	O NO: CAS not required PH: Physical CAS required

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			By default it will be 'PH'
Mental Disability	Char	1	O Y/N If Mental Disability is Y , Guardian details is mandatory By default it will be 'N'
Preferred Depository Flag For CAS	Char	1	C:CDSL- CDSL only can update flag to 'C' only if CAS flag is 'DN' N: NSDL (DP/CDSL can update flag to 'N' only if CAS flag is 'DC') By default it will be NULL(if not indicated by BO)
RGESS FLAG	CHAR	1	O
ANNUAL REPORT FLAG	CHAR	1	O
PLEDGE STANDING INSTRUCTION FLAG	CHAR	1	O
EMAIL RTA DOWNLOAD FLAG	CHAR	1	O
BSDA FLAG	CHAR	1	O Y : BSDA N : Non BSDA O : Opted Out
25 BYTE ALPHANUMERIC CODE 2 USER TEXT 2	CHAR	50	N (NOT MODIFIABLE)
NUMERIC 4 (DUMMY)	NUMBER	4	N (NOT MODIFIABLE)
NUMERIC 4 (DUMMY)	NUMBER	4	N (NOT MODIFIABLE)
NUMERIC 4 (DUMMY)	NUMBER	4	N (NOT MODIFIABLE)
SECURITY ACCESS CODE	NUMBER	2	O
BO CATEGORY	NUMBER	2	N (NOT MODIFIABLE)
BO SETTLEMENT PLANNING FLAG	CHAR	1	O
DIVIDEND BANK IFS CODE	CHAR	15	O
RBI REFERENCE NUMBER	CHAR	30	O
RBI APPROVAL DATE	DATE	8	O
SEBI REGISTRATION NUMBER	CHAR	24	O
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2	O
SMART CARD REQUIRED	CHAR	1	N (NOT MODIFIABLE)
SMART CARD NUMBER	CHAR	20	N (NOT MODIFIABLE)
SMART CARD PIN	NUMBER	10	N (NOT MODIFIABLE)
ECS/MANDATE	CHAR	1	M (MODIFIABLE)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
ELECTRONIC CONFIRMATION	CHAR	1	O (Y /N)
DIVIDEND CURRENCY	NUMBER	6	O
GROUP CODE	CHAR	8	O
BO SUB STATUS	NUMBER	4	O
CLEARING CORPORATION ID	NUMBER	4	N (NOT MODIFIABLE)
CLEARING MEMBER ID	CHAR	8	N (NOT MODIFIABLE)
STOCK EXCHANGE	NUMBER	2	N (NOT MODIFIABLE)
CONFIRMATION WAIVED	CHAR	1	O
TRADING ID	CHAR	8	N (NOT MODIFIABLE)
BO STATEMENT CYCLE CODE	CHAR	2	O
CUSTODIAN / PMS EMAIL ID	CHAR	50	O
DIVIDEND BANK ACCT TYPE	CHAR	12	O
DIVIDEND BANK CODE	CHAR	12	O
ACCOUNT NUMBER	CHAR	20	O
ACCOUNT CURRENCY	NUMBER	6	O

Sixth Line (POA Link details): Separate Line to link POA for each holder with Purpose code 0001, 0002 & 0003 or single line to link POA for All holders with purpose code 0004.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
06	NUMBER	2	M (Line Number of the record)
POA Register Number	CHAR	16	N Not Modifiable
SETUP DATE	DATE	8	N Not Modifiable
POA to OPERATE A/C	CHAR	1	O (Y / N)
GPA/BPA FLAG	CHAR	1	O (B/ G)
EFFECTIVE FROM DATE	DATE	8	O
EFFECTIVE TO DATE	DATE	8	O
USER FIELD 1	NUMBER	4	O
USER FIELD 2	NUMBER	4	O
REMARKS	CHAR	50	O
FILLER 1	CHAR	100	N (Not Modifiable)
FILLER 2	CHAR	20	N (Not Modifiable)
FILLER 3	CHAR	20	N (Not Modifiable)
FILLER 4	CHAR	10	O
FILLER 5	CHAR	10	O
FILLER 6	CHAR	50	O
FILLER 7	CHAR	30	O
FILLER 8	CHAR	30	O
FILLER 9	CHAR	30	O
FILLER 10	CHAR	25	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
FILLER 11	CHAR	25	O
FILLER 12	CHAR	25	O
FILLER 13	CHAR	10	O
FILLER 14	CHAR	1	O
FILLER 15	CHAR	17	O
FILLER 16	CHAR	1	O
FILLER 17	CHAR	17	O
FILLER 18	CHAR	100	O
FILLER 19	CHAR	17	O
FILLER 20	CHAR	25	O
FILLER 21	CHAR	15	O
FILLER 22	CHAR	50	O
16 Digit POA Master ID	CHAR	16	M
User Text 1	CHAR	34	O
FILLER 23	CHAR	50	O
Purpose Code	NUMBER	4	M
Transaction Type	CHAR	4	M Transaction Type Identifier S-Setup M-Modify D-delete
FILLER 24	NUMBER	4	O
SIGNATURE FILE FLAG	CHAR	1	N (Not Modifiable)

Seventh Line: For permanent address

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N = 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE	NUMBER	2	M PURPOSE CODE = 12
NAME	CHAR	100	N (Not Modifiable)
MIDDLE NAME	CHAR	20	N (Not Modifiable)
LAST / SEARCH NAME	CHAR	20	N (Not Modifiable)
TITLE	CHAR	10	O
SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
ADDRESS 1	CHAR	*55*	O
ADDRESS 2	CHAR	*55*	O
ADDRESS 3	CHAR	*55*	O
COUNTRY CODE	*CHAR*	*02*	*O* *ISO 3166-1 alpha-2 Format*
ZIP	CHAR	10	O
STATE CODE	*CHAR*	*6*	*O* *IF COUNTRY CODE IS IN(INDIA) THEN STATE*

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			CODE IS MANDATORY IN ISO 3166-2 FORMAT
STATE	CHAR	25	O
CITY	CHAR	25	O
CITY SEQUENCE NO	**NUMBER**	**2**	**M** **If country code is IN then mandatory.** **Default value will be 00**
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	25	O
IT CIRCLE	CHAR	15	O
USER TEXT 1	CHAR	50	O
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4	CHAR	4	O Transaction Type Identifier S-Setup M-Modify
USER FIELD5	NUMBER	4	O
FILLER	**CHAR**	**100**	**O**

Eight line onwards lines can be added to enter Additional Name and Address for following purpose codes

- Purpose Code 06 –   Nominee Name and address

- Purpose Code 07 –   Guardian Name and address

- Purpose Code 08 –   Nominee's Guardian Name and address

- Purpose Code 18 –   Authorized Signatory

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE	NUMBER	2	M
NAME	CHAR	100	O
MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	O
TITLE	CHAR	10	O
SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
ADDRESS 1	CHAR	**55**	O
ADDRESS 2	CHAR	**55**	O
ADDRESS 3	CHAR	**55**	O
COUNTRY CODE	**CHAR**	**02**	**O** **ISO 3166-1 alpha-2**

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			Format
ZIP	CHAR	10	O
STATE CODE	*CHAR*	*6*	*O* *IF COUNTRY CODE IS IN(INDIA) THEN STATE CODE IS MANDATORY IN ISO 3166-2 FORMAT*
STATE	CHAR	25	O
CITY	CHAR	25	O
CITY SEQUENCE NO	*NUMBER*	*2*	*M* *If country code is IN then mandatory. Default value will be 00*
MOBILE / TELEPHONE ISD CODE	*CHAR*	*6*	*O* *MANDATORY IF MOBILE NUMBER IS PRESENT*
MOBILE / TELEPHONE NUMBER	*CHAR*	*17*	*O* *MANDATORY IF MOBILE NUMBER ISD CODE IS PRESENT*
DATE OF BIRTH	DATE	8	O
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	10	O
UID	CHAR	*16*	O
UID VERIFICATION FLAG	*CHAR*	*1*	*O* *0 – NOT VERIFIED* *2 – VERIFIED BY DP*
NAME CHANGE REASON CODE	CHAR	2	O (Mandatory if NAME is changed)
IT CIRCLE	CHAR	15	O
PRIMARY E-MAIL	CHAR	*100*	O
USER TEXT 1 (AS Remarks)	CHAR	50	O Authorised Signatory Remarks
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4	CHAR	4	M Transaction type identifier S-setup M-modify D-delete
USER FIELD5	NUMBER	4	O For AS Na Seq numb of AS

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
NOMINEE SERIAL NUMBER	NUMBER	2	M (For Purpose code 6 and 8) Values: 01– First nominee 02 – Second Nominee 03 – Third Nominee O – Other than Purpose code 6 & 8
RELATIONSHIP WITH BO	NUMBER	2	M (For Purpose code 6,7,8) O – other than Purpose code 6,7,8 Values can Be: 01 – Spouse 02 – Son 03 – Daughter 04 – Father 05 – Mother 06 – Brother 07 – Sister 08 – Grand-Son 09 – Grand-Daughter 10 – Grand-Father 11 – Grand-Mother 12 – Not Provided (if the relationship is not provided on nomination form) 13 – Others
PERCENTAGE OF SHARES	NUMBER	(5,2)	M (For Purpose code 6) O – Optional for other than Purpose code 6 with default value "00000" The file should have value like 10000(100%), 09725(97.25%), 05000(50%) etc
RESIDUAL SECURITIES FLAG	CHAR	1	M (For Purpose code 6) Values: Y- Yes N- No Default value is "N" O (For Purpose code 7,8,18- it should be space)
FILLER	*CHAR*	*16*	*O* *Should be blank space*
FILLER	*CHAR*	*72*	*O* *Should be blank space*
FILLER	*CHAR*	*1*	*O* *Should be blank space*
FILLER	*CHAR*	*1*	*O* *Should be blank space*
FILLER	*CHAR*	*10*	*O* *Should be blank space*

For Mapping of CM Accounts With CM POA Master.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 21 Mapping of CM Accounts With CM POA Master.	NUMBER	2	M
MAPPING UNMAPPING FLAG	NUMBER	1	1- Mapping 2-Unmapping 3- Map All CM Accounts
EX ID	NUMBER	2	O
CM ID	CHAR	8	O
CM Account To Be Mapped	CHAR	16	O Mandatory if MAPPING UNMAPPING FLAG is 1 or 2

NOTES:

- No field separators should be used.
- Spaces should be used in case if a field does not have a value.
- All numeric fields should be right justified with zero padding.
- All alphanumeric fields should be left-justified with padding as spaces.
- Only the fields to be modified should be entered in the file.
- The Non-Modifiable fields should be entered as spaces.
- If any field is to be modified to blank or zeroes, then @ should be entered.
- For e.g. if the BO Title is to be modified to blanks, then @ should be entered 10 times as the length of the field is 10. @@@@@@@@@@
- DP is allowed to modify PAN, PAN Exemption Code and PAN Verification Code when PAN verification code is '0'
- If PAN Exemption Code is 'C', then only DP is allowed to change PAN, PAN Exemption Code and PAN Verification Code.
- For Permanent address modification User Field 4 is M or blank
- Purpose code for POA link are -
    1. 0001 for First Holder
    2. 0002 for Second Holder
    3. 0003 for Third Holder
    4. 0004 for All Holders – only for POA link setup

➢ For POA Master ID name and address modify through second line.

➢ For Mantra accounts, in 06 line for POA link, Effective To Date should be 00000000

➢ For deletion of POA Link details Specify holder purpose code and POA Master id only for active POA Link

➢ For modification of PAN for 2nd or 3rd holder, the PAN Verification Flag to be given in Line "02" (second line).

➢ Product Details

- ECS Mandate flag is 'Y' then must specify correct Dividend bank details

➢ For minor to major bo sub status

- Specify major BO sub status

- Specify delete guardian details

➢ Changes for CAS and Mental Disability Flag

- If Mental Disability Flag is 'Y', then the Guardian Details will be mandatory

- For Preferred Depository Flag for CAS, it has the below 2 conditions:

    C: CDSL- (CDSL only can update flag to 'C' only if CAS flag is 'DN')
    N: NSDL (DP/CDSL can update flag to 'N' only if CAS flag is 'DC')
    By default it will be NULL (if not indicated by BO)

➢ BO REQUEST RECEIPT DATE- Date (with time) on which request received from BO.

➢ Mapping of CM Accounts With CM POA Master

- The purpose code 21 can be repeated for mapping of multiple CM Accounts with CM POA Master, but the line number should be in incremental order.

➢ The Family Account Flag will be applicable only for BO and not for POA.

➢ The Custodian Email ID will be applicable only for BO and not for POA

Report Documentation

Report ID   :   **DPH1**

Report Description   :   **Success / Failure Report for Bo modify upload**

Report Format   :

Following is the format for Successful Records

Line "01" will be always printed

Field Description	Field Type	Field Length
LINE IDENTIFIER	CHAR	2 – "01"
BO ID	CHAR	16

Remaining lines will be printed only if any corresponding detail is modified and only the modified fields will be printed per line. For PAN Upload, only PAN will be printed.

If 1st holder is modified

Field Description	Field Type	Field Length
LINE IDENTIFIER	CHAR	(2) – "02"
PRODUCT NUMBER	NUMBER	4
BO NAME	CHAR	100
BO MIDDLE NAME	CHAR	20
LAST/SEARCH NAME	CHAR	20
BO TITLE	CHAR	10
BO SUFFIX	CHAR	10
FATHER HUSBAND NAME	CHAR	50
ADDRESS 1	CHAR	*55*
ADDRESS 2	CHAR	*55*
ADDRESS 3	CHAR	*55*
CITY	CHAR	25
STATE CODE	*CHAR*	*6*
STATE	CHAR	25
COUNTRY CODE	*CHAR*	*2*
COUNTRY	CHAR	25
ZIP	CHAR	10
SMART Flag	CHAR	1
Primary Mobile ISD Code	*CHAR*	*6*
Primary Mobile Number	CHAR	17

Secondary Telephone Number ISD Code	CHAR	*6*
Secondary Telephone	CHAR	17
Secondary Email	CHAR	100
FAX	CHAR	17
INCOME TAX PAN	CHAR	25
IT CIRCLE	CHAR	15
Primary E-MAIL	CHAR	*100*
USER TEXT 1	CHAR	50
USER TEXT 2	CHAR	50
USER FIELD 3	NUMBER	4
USER FIELD 4	NUMBER	4
USER FIELD5	NUMBER	4
SIGNATURE FLAG	CHAR	1

If 2ⁿᵈ Holder is modified

Field Description	Field Type	Field Length
LINE IDENTIFIER	CHAR	2 – "03"
BO NAME	CHAR	100
BO MIDDLE NAME	CHAR	20
LAST/SEARCH NAME	CHAR	20
TITLE	CHAR	10
SUFFIX	CHAR	10
FATHER HUSBAND NAME	CHAR	50
INCOME TAX PAN	CHAR	25
IT CIRCLE	CHAR	15
MOBILE NUMBER ISD CODE	*CHAR*	*6*
MOBILE NUMBER	CHAR	*17*
EMAIL ID	CHAR	*100*

If 3ʳᵈ Holder is modified

Field Description	Field Type	Field Length
LINE IDENTIFIER	CHAR	2 – "04"
BO NAME	CHAR	100
BO MIDDLE NAME	CHAR	20

LAST/SEARCH NAME	CHAR	20
BO TITLE	CHAR	10
BO SUFFIX	CHAR	10
FATHER HUSBAND NAME	CHAR	50
INCOME TAX PAN	CHAR	25
IT CIRCLE	CHAR	15
MOBILE NUMBER ISD CODE	*CHAR*	*6*
MOBILE NUMBER	CHAR	*17*
EMAIL ID	CHAR	*100*

If Product Details are modified

Field Description	Filed Type	Field Length
LINE IDENTIFIER	CHAR	2 – "05"
DATE OF MATURITY	DATE	8
DP INTERNAL REF NUMBER	CHAR	10
DATE OF BIRTH/ORIGIN	DATE	8
SEX CODE	CHAR	1
OCCUPATION	CHAR	4
LIFE STYLE	CHAR	4
GEOGRAPHICAL CODE	CHAR	4
EDUCATION/DEGREE	CHAR	4
ANNUAL INCOME CODE	NUMBER	4
NATIONALITY CODE	CHAR	3
LEGAL STATUS CODE	NUMBER	2
BO FEE TYPE	NUMBER	2
LANGUAGE CODE	NUMBER	2
CATEGORY 4 CODE	NUMBER	2
BANK OPTION 5	NUMBER	2
STAFF / RELATIVE	CHAR	1
STAFF CODE	CHAR	10
USER TEXT 1	CHAR	50
USER TEXT 2	CHAR	50
NUMERIC (DUMMY)	NUMBER	4
NUMERIC (DUMMY)	NUMBER	4

NUMERIC (DUMMY)	NUMBER	4
SECURITY ACCESS CODE	NUMBER	2
BO CATEGORY	NUMBER	2
BO SETTLEMENT PLANNING FLAG	CHAR	1
VOICE MAIL	CHAR	15
RBI REFERENCE NUMBER	CHAR	30
RBI APPROVAL DATE	DATE	8
SEBI REGISTRATION NUMBER	CHAR	24
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2
SMART CARD REQUIRED	CHAR	1
SMART CARD NUMBER	CHAR	20
SMART CARD PIN	NUMBER	10
ECS/MANDATE	CHAR	1
ELECTRONIC CONFIRMATION	CHAR	1
DIVIDEND CURRENCY	NUMBER	6
GROUP CODE	CHAR	8
BO SUB STATUS	NUMBER	4
CLEARING CORPORATION ID	NUMBER	4
CLEARING MEMBER ID	CHAR	8
STOCK EXCHANGE	NUMBER	2
CONFIRMATION WAIVED	CHAR	1
TRADING ID	CHAR	8
BO STATEMENT CYCLE CODE	CHAR	2
Filler 1	CHAR	12
Filler 2	CHAR	12
Filler 3	CHAR	20
Filler 4	NUMBER	6
DIVIDEND BANK CODE	CHAR	12
DIVIDEND BANK ACCOUNT NUMBER	CHAR	12
DIVIDEND BANK ACCOUNT NUMBER	CHAR	20
DIVIDEND BANK CURRENCY	NUMBER	6

Only if POA details are modified

Field Name	Field Type	Field Length
LINE IDENTIFIER	CHAR	2 – "06"

POA ID	CHAR	16
SETUP DATE	DATE	8
POA TO OPERATE A/C	CHAR	1
GPA/BPA FLAG	CHAR	1
EFFECTIVE FROM DATE	DATE	8
EFFECTIVE TO DATE	DATE	8
USER FIELD 1	NUMBER	4
USER FIELD 2	NUMBER	4
CA CHARFLD	CHAR	50
Filler 1	CHAR	100
Filler 2	CHAR	20
Filler 3	CHAR	20
Filler 4	CHAR	10
Filler 5	CHAR	10
Filler 6	CHAR	50
Filler 7	CHAR	30
Filler 8	CHAR	30
Filler 9	CHAR	30
Filler 10	CHAR	25
Filler 11	CHAR	25
Filler 12	CHAR	25
Filler 13	CHAR	10
Filler 14	CHAR	1
Filler 15	CHAR	17
Filler 16	CHAR	1
Filler 17	CHAR	17
Filler 18	CHAR	100
Filler 19	CHAR	17
Filler 20	CHAR	25
Filler 21	CHAR	15
Filler 22	CHAR	50
16 Digit POA Master ID	CHAR	50
Filler 23	CHAR	50
POA link Purpose code	NUMBER	4
Filler 24	NUMBER	4

Filler 25	NUMBER	4
SIGNATURE FLAG	CHAR	1

Only if Additional Name and Address details are modified and line identifier will be greater than 6

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
LINE IDENTIFIER	CHAR	2
PURPOSE CODE	NUMBER	2
BO NAME	CHAR	100
BO MIDDLE NAME	CHAR	20
LAST/SEARCH NAME	CHAR	20
BO TITLE	CHAR	10
BO SUFFIX	CHAR	10
FATHER HUSBAND NAME	CHAR	50
ADDRESS 1	CHAR	*55*
ADDRESS 2	CHAR	*55*
ADDRESS 3	CHAR	*55*
CITY	CHAR	25
STATE CODE	*CHAR*	*6*
STATE	CHAR	25
COUNTRY CODE	*CHAR*	*2*
COUNTRY	CHAR	25
ZIP	CHAR	10
PRIMARY MOBILE NUMBER ISD CODE	*CHAR*	*6*
PRIMARY MOBILE NUMBER	CHAR	17
FILLER	CHAR	1
FILLER	CHAR	17
FILLER	CHAR	100
FAX	CHAR	17
INCOME TAX PAN	CHAR	25
IT CIRCLE	CHAR	15
E-MAIL	CHAR	*100*
USER TEXT 1	CHAR	50
USER TEXT 2	CHAR	50

USER FIELD 3	NUMBER	4
USER FIELD 4	NUMBER	4
USER FIELD5	NUMBER	4
NAME CHANGE REASON CODE	CHAR	2
NOMINEE SERIAL NUMBER	NUMBER	2
RELATIONSHIP WITH BO	NUMBER	2
PERCENTAGE OF SHARES	NUMBER	(5,2)
RESIDUAL SECURITIES FLAG	CHAR	1

Following is the format for Failure Records

Field Description	Field Type	Field Length
IDENTIFIER	CHAR	(2) – "01"
BO ID	CHAR	16
ERROR MESSAGE	CHAR	240

A blank line is printed after the failure records.

 The <u>SUMMARY RECORD </u>is printed as follows.

 Transaction Date(DD-MON-YYYY)~Total number of records~Total no of successful records~Total number of failure records.

Report Documentation

Report ID **:** **DPB9**

Report Description **:** **BO DETAILS**

Module **:** **BO**

File Naming Convention: If BO Id is specified: 08DPB9U.<BO Id>.<Event No>

Else: 08DPB9U. <Event No>

Purpose Code	Description
0	BO ID
1	*Name, Correspondence Address, PAN details of first / sole Holder and other details applicable for the product & present in line 5 of BO upload*
2	*Name, PAN details of second holder*
3	*Name, PAN details of third holder*
4	BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear
5	POA link details – Multiple lines will be present if the account is linked with more than one POA
6	*Nominee Name and Address details*
7	*Guardian Name and Address details*
8	*Nominee's Guardian Name and Address details*
12	*Permanent address of First / Sole holder*
18	*Name of Authorized Signatory for Corporate / HUF accounts. Multiple lines will be present if multiple signatories are present in the account*
19	Signature Setup / Modify
21	CM POA Account Mapping Details

The report will contain details of following types of transactions

Code	Description
1	Setup
2	Modify / Freeze SOD Activation / Signature Replace
3	Delete / Closure / Unfreeze / SMS Deregistration for Portfolio Valuation Through EASI / Activation of Closed Accounts
4	POA Expiry /SMS Deregistration / Freeze Expiry / Closure Approval
5	Setup Closure / Blocking SMS Alerts for Portfolio Valuation By DP

6	Closure Approval Days Limit Passed
	Unblocking SMS Deregistration for Portfolio Valuation Through EASI

Transaction Source Can Have Following Values

Code	Description
1	ONLINE THROUGH CDAS
2	UPLOAD THROUGH CDAS
3	EOD / SOD
4	EASI

Header Record: -

Field Description	Field Type	Field Length	Value
IDENTIFIER	CHARACTER	1	H
DP ID	NUMBER	6	
TYPE OF DOWNLOAD	CHARACTER	1	I – Incremental F – Full H – History E - Last file of incremental files R – EOD Request Report BO Details O - Online Report BO Details
BUSINESS DATE/HISTORY FROM DATE	DATE	8	DDMMYYYY
SEQUENCE NUMBER	CHARACTER	3	For 'I' and 'E' -3 digit sequence number For 'F' – 'EOD' For 'H' – 'HST' For 'R' – REQ For 'O' - ONL
SYSTEM DATE AND TIME/HISTORY TO DATE	CHARACTER	14	DDMMYYYYHH24MISS

Purpose Code – 0 BO ID

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
BO ID	CHARACTER	16
BUSINESS DATE OF THE TRANSACTION	DATE	8
SOURCE DP	NUMBER	6
OPERATOR ID	CHARACTER	6
SOURCE OF THE TRANSACTION	NUMBER	2
TRANSACTION SYSTEM DATE	DATE	14

Purpose Code – 1 - BO ID, Name, Address, PAN details of first / sole Holder and other details applicable for the product and present in line 5 of BO upload

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY 3 – CLOSURE/ACTIVATION OF CLOSED ACCOUNT 4 – CLOSURE APPROVAL 5 – SETUP CLOSURE	NUMBER	3
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	*55*
ADDRESS LINE 2	CHARACTER	*55*
ADDRESS LINE 3	CHARACTER	*55*
CITY	CHARACTER	25
STATE CODE	*CHARACTER*	*6*
STATE	CHARACTER	25
COUNTRY CODE	*CHARACTER*	*2*
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
SMART REGISTRATION FLAG	CHARACTER	1

PRIMARY PHONE NUMBER ISD CODE	*CHARACTER*	*6*
PRIMARY PHONE NUMBER	CHARACTER	17
SECONDARY PHONE ISD CODE	*CHARACTER*	*6*
SECONDARY PHONE NUMBER	CHARACTER	17
PRIMARY EMAIL	*CHARACTER*	*100*
FAX	CHARACTER	17
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN VERIFICATION CODE	CHARACTER	1
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
SECONDARY E-MAIL ID	*CHARACTER*	*100*
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
BO ACCOUNT STATUS	NUMBER	1
FREEZE SUSPENSION FLAG	NUMBER	1
BO CATEGORY	NUMBER	2
BO CUST TYPE	NUMBER	2
BO SUB STATUS	NUMBER	4
PRODUCT CODE	NUMBER	4
CLEARING CORPORATION ID	NUMBER	4
CLEARING MEMBER ID	CHARACTER	8
STOCK EXCHANGE	NUMBER	2
TRADING ID	CHARACTER	8
BO STATEMENT CYCLE CODE	CHARACTER	2
ACCOUNT CREATION DATE	DATE	8
DP INTERNAL REFERENCE NUMBER	CHARACTER	10
CONFIRMATION WAIVED	CHARACTER	1
DATE OF BIRTH/ORIGIN	DATE	8
BO ACTIVATION DATE	DATE	8
ELECTRONIC CONFIRMATION	CHARACTER	1
ECS / MANDATE	CHARACTER	1
DIVIDEND BANK ACCOUNT NO	CHARACTER	20
DIVIDEND BANK CODE	CHARACTER	12
DIVIDEND IFS CODE	CHARACTER	11
DIVIDEND BANK CURRENCY	NUMBER	6

DIVIDEND ACCOUNT TYPE	NUMBER	2
ANNUAL INCOME CODE	NUMBER	4
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2
BO SETTLEMENT PLANNING FLAG	CHARACTER	1
EDUCATION/DEGREE	CHARACTER	4
GEOGRAPHICAL CODE	CHARACTER	4
GROUP CODE	CHARACTER	8
LANGUAGE CODE	NUMBER	3
NATIONALITY CODE	CHARACTER	3
OCCUPATION	CHARACTER	4
SECURITY ACCESS CODE	NUMBER	2
SEX CODE	CHARACTER	1
STAFF/RELATIVE	CHARACTER	1
STAFF CODE	CHARACTER	10
RBI REFERENCE NUMBER	CHARACTER	30
RBI APPROVAL DATE	DATE	8
SEBI REGISTRATION NUMBER	CHARACTER	24
CLOSURE APPROVED DATE	DATE	8
CLOSURE DATE	DATE	8
TRANSFER BO ID	CHARACTER	16
BALANCE TRANSFER	CHARACTER	1
CLOSURE REASON CODE	NUMBER	2
CLOSURE INITIATED BY	CHARACTER	1
CLOSURE REMARK	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	*16*
NAME CHANGE REASON CODE	CHARACTER	25
EMAIL STATEMENT FLAG	CHARACTER	1
CAS MODE	CHARACTER	2
PREFERRED DEPOSITORY FLAG	CHARACTER	1
TRUST REGISTER	CHARACTER	1
RGESS FLAG	CHARACTER	1
ANNUAL REPORT FLAG	CHARACTER	1
PLEDGE STANDING INSTRUCTION FLAG	CHARACTER	1
EMAIL RTA DOWNLOAD	CHARACTER	1
BASIC SERVICES DEMAT A/C	CHARACTER	1
MASTER POA TYPE FLAG	CHARACTER	1

FAMILY ACCOUNT FLAG	CHARACTER	1
CUSTODIAN/PMS EMAIL ID	CHARACTER	*100*
UID VERIFICATION FLAG	NUMBER	1
FILLER	*CHARACTER*	*16*
FILLER	*CHARACTER*	*72*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*10*
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	4
FILLER	CHARACTER	4

Purpose Code – 2, 3 Name, PAN details of second, third holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 - MODIFY	NUMBER	3
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN VERIFICATION CODE	CHARACTER	1
IT CIRCLE/WARD/DIST /WARD/DISTRICT	CHARACTER	15
ADDRESS LINE 1	CHARACTER	*55*
ADDRESS LINE 2	CHARACTER	*55*
ADDRESS LINE 3	CHARACTER	*55*
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10

SETUP DATE	DATE	8
DATE OF BIRTH SECOND HOLDER	DATE	8
PRIMARY EMAIL	*CHARACTER*	*100*
UNIQUE IDENTIFICATION NUMBER	CHARACTER	*16*
NAME CHANGE REASON CODE	CHARACTER	25
PRIMARY PHONE NUMBER ISD CODE	*CHARACTER*	*6*
PRIMARY PHONE NUMBER	*CHARACTER*	*17*
UID VERIFICATION FLAG	NUMBER	1
FILLER	*CHARACTER*	*16*
FILLER	*CHARACTER*	*72*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*10*
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1

Purpose Code – 4 BO level Freeze Details. If multiple BO level freeze requests are present for the account, multiple records will display

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 – SETUP 2 – FREEZE SOD ACTIVATION 3 - UNFREEZE 4 – FREEZE EXPIRY	NUMBER	3
FREEZE ID	NUMBER	8
FREEZE INITIATION DATE	DATE	8
FREEZE ACTIVATION DATE	DATE	8
FREEZE EXPIRY DATE	DATE	8
FREEZE INITIATED BY	NUMBER	1

FROZEN FOR	NUMBER	1
FREEZE REASON CODE	NUMBER	2
FREEZE STATUS	CHARACTER	1
FREEZE ACTIVATION TYPE	NUMBER	1
FREEZE SUB OPTION	NUMBER	1
FREEZE REMARKS	CHARACTER	100

Purpose Code – 5 POA link details – Multiple lines will be present, if the account is linked with more than one POA

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY 3 - DELETE 4 - EXPIRY	NUMBER	3
MASTER POA ID	CHARACTER	16
POA REGISTRAR NUMBER	CHARACTER	16
SETUP DATE	DATE	8
GPA /BPA FLAG	CHARACTER	1
EFFECTIVE FORM DATE	DATE	8
EFFECTIVE TO DATE	DATE	8
REMARKS	CHARACTER	50
HOLDER NUMBER	NUMBER	1
POA STATUS	CHARACTER	1

Purpose Code – 6 Nominee Name and Address details
Purpose Code – 7 Guardian Name and Address details
Purpose Code – 8 Nominee's Guardian Name and Address details

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY 3 - DELETE	NUMBER	3
TITLE	CHARACTER	10
NAME	CHARACTER	100

MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	*55*
ADDRESS LINE 2	CHARACTER	*55*
ADDRESS LINE 3	CHARACTER	*55*
CITY	CHARACTER	25
STATE CODE	*CHARACTER*	*6*
STATE	CHARACTER	25
COUNTRY CODE	*CHARACTER*	*2*
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIMARY PHONE NUMBER ISD CODE	*CHARACTER*	*6*
PRIMARY PHONE NUMBER	CHARACTER	17
FILLER	CHARACTER	1
FILLER	CHARACTER	17
FILLER	CHARACTER	92
FAX	CHARACTER	17
PAN/GIR	CHARACTER	25
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
PRIMARY E-MAIL ID	CHARACTER	*100*
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH	DATE	8
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
FILLER	CHARACTER	50
UNIQUE IDENTIFICATION NUMBER	CHARACTER	*16*
NAME CHANGE REASON CODE	CHAR	2
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	1

FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
RESIDUAL SECURITIES FLAG	CHARACTER	1
NOMINEE SERIAL NUMBER	NUMBER	2
RELATIONSHIP WITH BO	NUMBER	2
PERCENTAGE OF SHARES	NUMBER	(5,2)
FILLER	*CHARACTER*	*16*
FILLER	*CHARACTER*	*72*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*10*

Purpose Code – 12 Permanent address of First / Sole holder

Field Description	Data Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY	NUMBER	3
ADDRESS LINE 1	CHARACTER	*55*
ADDRESS LINE 2	CHARACTER	*55*
ADDRESS LINE 3	CHARACTER	*55*
CITY	CHARACTER	25
STATE CODE	*CHARACTER*	*6*
STATE	CHARACTER	25
COUNTRY CODE	*CHARACTER*	*2*
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
FILLER	CHARACTER	17
FAX	CHARACTER	17
FILLER	CHARACTER	50

Purpose Code – 18 Authorized Signatory for Corporate / HUF accounts/ CM
Multiple lines will be present, if Authorized signatories are multiple
in the account.

Field Description	Field Type	Field Length

PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY 3 - DELETE	NUMBER	3
NA SEQUENCE NUMBER	NUMBER	4
BO NAME	CHARACTER	100
REMARKS	CHARACTER	50
NAME CHANGE REASON CODE	CHARACTER	2
MOBILE NO ISD CODE	*CHARACTER*	*6*
MOBILE NUMBER	*CHARACTER*	*17*
EMAIL-ID	*CHARACTER*	*100*
UNIQUE IDENTIFICATION NUMBER	CHARACTER	*16*
UID VERIFICATION FLAG	Character	1
FILLER	*CHARACTER*	*16*
FILLER	*CHARACTER*	*72*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*10*

Purpose Code – 19 Signatures

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 - DELETE	NUMBER	3
IMAGE FILE NAME	VARCHAR2	20
SIGNATURE SETUP DATE	DATE	8

Purpose Code – 21 – CM POA Account Mapping details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
MAPPING UNMAPPING FLAG	NUMBER	1

(1 - Mapped 2 - Unmapped)		
Ex id	NUMBER	2
CM ID	CHARACTER	8
CM Account To Be Mapped	CHARACTER	16

Line no. 21 will be repeated for each CM POA Account Mapping combination

Summary Record: -

Field Description	Field Type	Field Length	Value
IDENTIFIER	CHARACTER	1	T
TOTAL NUMBER OF RECORDS	NUMBER	9	
BUSINESS DATE	DATE	8	(8) -DDMMYYYY
SYSTEM DATE AND TIME	CHARACTER	14	DDMMYYYYHH24MISS

Note: - For BO Common Download

1) For a BO, Purpose Code 0 and only the changed purpose code lines will be printed.

For e.g.

a. When BO is setup, all applicable purpose codes will be printed.

b. After activation, Purpose Code 0 and all fields of Purpose Code 1 will be printed (including modified Account Status as well as non-modified fields of Purpose Code 1). (Removed. It will be printed as part of above setup record)

c. Subsequently, if nominee is modified, Purpose Code 0 and all fields of Purpose Code 6 will be printed (including modified fields and non-modified fields of nominee).

d. If address details of all joint holders are modified, Purpose Codes 0 and all fields of Purpose Code 1, 2 and 3 will be printed. (including modified address fields and non-modified fields of all joint holders)

Note: -

For a BO, LINE Code 0 and only the changed LINE code lines will be printed. For e.g.

1) When BO is setup, all applicable Line codes will be printed.

2) After activation, Line Code 0 and all fields of Line Code 1 will be printed (including modified Account Status as well as non-modified fields of Line Code 1).

3) Subsequently, if nominee is modified, Line Code 0 and all fields of Line Code 6 will be printed (including modified fields and non-modified fields of nominee).

4) If address details of any joint holders are modified, Line Codes 0 and all fields of relevant Line (corresponding to the joint holder) Code 1, 2 and 3 will be printed. (including modified address fields and non-modified fields of that joint holder)

FILE NAMING CONVENTIONS:

Report Name	Header Record	Type of Download
08DPB9U.<DDMMYYYY(Business Date)>.001	H<DPID(6)>I<DDMMYYYY(Business Date)>001<ddmmyyyyHHMiSS(Generation Date & Time)>	I - Incremental
08DPB9U.<DDMMYYYY(Business Date)>.002	H<DPID(6)>I<DDMMYYYY(Business Date)>002<ddmmyyyyHHMiSS(Generation Date & Time)>	I - Incremental
08DPB9U.<DDMMYYYY(Business Date)>.003	H<DPID(6)>E<DDMMYYYY(Business Date)>003<ddmmyyyyHHMiSS(Generation Date & Time)>	E - Last file of incremental files
08DPB9U.<DDMMYYYY(Business Date)>.EOD	H<DPID(6)>F<DDMMYYYY(Business Date)>EOD<ddmmyyyy(Business Date)>000000	F – Full
08DPB9U.<DDMMYYYY(Business Date)>.HST.<EVENT ID>	H<DPID(6)>H<DDMMYYYY(From Date)>HST<DDMMYYYY(To Date)>000000	H – History
08DPB9U.<DDMMYYYY(Business Date)>.ONL.<EVENT ID>	H<DPID(6)>O<DDMMYYYY(Business Date)>ONL<DDMMYYYY(Business Date)>000000	O - Online

Report Documentation

Report ID : **DPS8 (Format similar to DPB9)**

Report Description : **BO DETAILS**

Module : **BO**

Purpose Code	Description
0	BO ID
1	*Name, Correspondence Address, PAN details of first / sole Holder and other details applicable for the product & present in line 5 of BO upload*
2	*Name, PAN details of second holder*
3	*Name, PAN details of third holder*
4	BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear
5	POA link details – Multiple lines will be present if the account is linked with more than one POA
6	*Nominee Name and Address details*
7	*Guardian Name and Address details*
8	*Nominee's Guardian Name and Address details*
12	*Permanent address of First / Sole holder*
18	*Name of Authorized Signatory for Corporate / HUF accounts. Multiple lines will be present, if multiple signatories are present in the account*
19	Signature Setup / Modify
21	CM POA Account Mapping Details

Gaps in the purpose code numbering, given above, are deliberate.

Header Record: -

Description	Data Type	Length	Value
IDENTFIER	CHAR	1	H
DP ID	NUMBER	6	DP ID
FILLER			
BUSINESS DATE/HISTORY FROM DATE	DATE	8	DDMMYYYY
FILLER			
SYSTEM DATE AND TIME/HISTORY TO DATE	CHAR	14	DDMMYYYYHH24MISS

Purpose Code – 0 BO ID

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
BO ID	CHARACTER	16
BUSINESS DATE	DATE	8
SOURCE DP	NUMBER	6
FILLER		
FILLER		
FILLER		

Purpose Code – 1 - BO ID, Name, Address, PAN details of first / sole Holder and other details applicable for the product and present in line 5 of BO upload

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	*55*
ADDRESS LINE 2	CHARACTER	*55*

Field Description	Field Type	Field Length
ADDRESS LINE 3	CHARACTER	*55*
CITY	CHARACTER	25
STATE CODE	*CHARACTER*	*6*
STATE	CHARACTER	25
COUNTRY CODE	*CHARACTER*	*2*
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
SMART REGISTRATION FLAG	CHARACTER	1
PRIMARY PHONE NUMBER ISD CODE	*CHARACTER*	*6*
PRIMARY PHONE NUMBER	CHARACTER	17
SECONDARY PHONE ISD CODE	*CHARACTER*	*6*
SECONDARY PHONE NUMBER	CHARACTER	17
PRIMARY EMAIL	CHARACTER	100
FAX	CHARACTER	17
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN VERIFICATION CODE	CHARACTER	1
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
SECONDARY E-MAIL ID	CHARACTER	*100*
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
BO ACCOUNT STATUS	NUMBER	1
FREEZE SUSPENSION FLAG	NUMBER	1
BO CATEGORY	NUMBER	2
BO CUST TYPE	NUMBER	2
BO SUB STATUS	NUMBER	4
PRODUCT CODE	NUMBER	4
CLEARING CORPORATION ID	NUMBER	4
CLEARING MEMBER ID	CHARACTER	8
STOCK EXCHANGE	NUMBER	2
TRADING ID	CHARACTER	8
BO STATEMENT CYCLE CODE	CHARACTER	2
ACCOUNT CREATION DATE	DATE	8

DP INTERNAL REFERENCE NUMBER	CHARACTER	10
CONFIRMATION WAIVED	CHARACTER	1
DATE OF BIRTH/ORIGIN	DATE	8
BO ACTIVATION DATE	DATE	8
ELECTRONIC CONFIRMATION	CHARACTER	1
ECS / MANDATE	CHARACTER	1
DIVIDEND BANK ACCOUNT NO	CHARACTER	20
DIVIDEND BANK CODE	CHARACTER	12
DIVIDEND IFS CODE	CHARACTER	11
DIVIDEND BANK CURRENCY	NUMBER	6
DIVIDEND ACCOUNT TYPE	NUMBER	2
ANNUAL INCOME CODE	NUMBER	4
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2
BO SETTLEMENT PLANNING FLAG	CHARACTER	1
EDUCATION/DEGREE	CHARACTER	4
GEOGRAPHICAL CODE	CHARACTER	4
GROUP CODE	CHARACTER	8
LANGUAGE CODE	NUMBER	3
NATIONALITY CODE	CHARACTER	3
OCCUPATION	CHARACTER	4
SECURITY ACCESS CODE	NUMBER	2
SEX CODE	CHARACTER	1
STAFF/RELATIVE	CHARACTER	1
STAFF CODE	CHARACTER	10
RBI REFERENCE NUMBER	CHARACTER	30
RBI APPROVAL DATE	DATE	8
SEBI REGISTRATION NUMBER	CHARACTER	24
CLOSURE APPROVED DATE	DATE	8
CLOSURE DATE	DATE	8
TRANSFER BO ID	CHARACTER	16
BALANCE TRANSFER	CHARACTER	1
CLOSURE REASON CODE	NUMBER	2
CLOSURE INITIATED BY	CHARACTER	1
CLOSURE REMARK	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	*16*
NAME MODIFICATION REASON CODE	CHARACTER	25

EMAIL STATEMENT FLAG	CHARACTER	1
CAS MODE	CHARACTER	2
PREFERRED DEPOSITORY FLAG	CHARACTER	1
TRUST REGISTER	CHARACTER	1
RGESS FLAG	CHARACTER	1
ANNUAL REPORT FLAG	CHARACTER	1
PLEDGE STANDING INSTRUCTION FLAG	CHARACTER	1
EMAIL RTA DOWNLOAD	CHARACTER	1
BASIC SERVICES DEMAT A/C	CHARACTER	1
MASTER POA TYPE FLAG	CHARACTER	1
FAMILY ACCOUNT FLAG	CHARACTER	1
CUSTODIAN/PMS EMAIL ID	CHARACTER	*100*
UID VERIFICATION FLAG	NUMBER	1
FILLER	*CHARACTER*	*16*
FILLER	*CHARACTER*	*72*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*10*
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	4
FILLER	CHARACTER	4

Purpose Code – 2, 3 - Name, PAN details of Second, Third holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN VERIFICATION CODE	CHARACTER	1

IT CIRCLE /WARD/ DIST/WARD/ DISTRICT	CHARACTER	15
ADDRESS LINE 1	CHARACTER	*55*
ADDRESS LINE 2	CHARACTER	*55*
ADDRESS LINE 3	CHARACTER	*55*
CITY	CHARACTER	25
STATE CODE	*CHARACTER*	*6*
STATE	CHARACTER	25
COUNTRY CODE	*CHARACTER*	*2*
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH OF SECOND / THIRD HOLDER	DATE	8
PRIMARY EMAIL	CHARACTER	*100*
UNIQUE IDENTIFICATION NUMBER	CHARACTER	*16*
NAME CHANGE REASON CODE	CHARACTER	25
PRIMARY PHONE NUMBER ISD CODE	*CHARACTER*	*6*
PRIMARY PHONE NUMBER	CHARACTER	*17*
UID VERIFICATION FLAG	NUMBER	1
FILLER	*CHARACTER*	*16*
FILLER	*CHARACTER*	*72*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*10*
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1

Purpose Code – 4 - BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		

FREEZE ID	NUMBER	8
FREEZE INITIATION DATE	DATE	8
FREEZE ACTIVATION DATE	DATE	8
FREEZE EXPIRY DATE	DATE	8
FREEZE INITIATED BY	NUMBER	1
FROZEN FOR	NUMBER	1
FREEZE REASON CODE	NUMBER	2
FREEZE STATUS	CHARACTER	1
FREEZE ACTIVATION TYPE	NUMBER	1
FREEZE SUB OPTION	NUMBER	1
FREEZE REMARKS	CHARACTER	100

Purpose Code – 5 - POA link details

Multiple lines will be present if the account is linked with more than one POA

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
MASTER POA ID	CHARACTER	16
POA REGISTRAR NUMBER	CHARACTER	16
SETUP DATE	DATE	8
GPA /BPA FLAG	CHARACTER	1
EFFECTIVE FORM DATE	DATE	8
EFFECTIVE TO DATE	DATE	8
REMARKS	CHARACTER	50
HOLDER NUMBER	NUMBER	1
POA STATUS	CHARACTER	1

Purpose Code – 6 Nominee Name and Address details

Purpose Code – 7 Guardian Name and Address details

Purpose Code – 8 Nominee's Guardian Name and Address details

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100

MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	*55*
ADDRESS LINE 2	CHARACTER	*55*
ADDRESS LINE 3	CHARACTER	*55*
CITY	CHARACTER	25
STATE CODE	*CHARACTER*	*6*
STATE	CHARACTER	25
COUNTRY CODE	*CHARACTER*	*2*
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIMARY PHONE NUMBER ISD CODE	*CHARACTER*	*6*
PRIMARY PHONE NUMBER	CHARACTER	17
FILLER	CHARACTER	1
FILLER	CHARACTER	17
FILLER	CHARACTER	92
FAX	CHARACTER	17
PAN/GIR	CHARACTER	25
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
PRIMARY E-MAIL ID	CHARACTER	*100*
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH	DATE	8
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
FILLER	CHARACTER	50
UNIQUE IDENTIFICATION NUMBER	CHARACTER	*16*
NAME CHANGE REASON CODE	CHARACTER	2
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1

FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
RESIDUAL SECURITIES FLAG	CHARACTER	1
NOMINEE SERIAL NUMBER	NUMBER	2
RELATIONSHIP WITH BO	NUMBER	2
PERCENTAGE OF SHARES	NUMBER	(5,2)
FILLER	*CHARACTER*	*16*
FILLER	*CHARACTER*	*72*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*10*

Purpose Code – 12 Permanent address of First / Sole holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
ADDRESS LINE 1	CHARACTER	*55*
ADDRESS LINE 2	CHARACTER	*55*
ADDRESS LINE 3	CHARACTER	*55*
CITY	CHARACTER	25
STATE CODE	*CHARACTER*	*6*
STATE	CHARACTER	25
COUNTRY CODE	*CHARACTER*	*2*
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
FILLER	CHARACTER	17
FAX	CHARACTER	17
FILLER	CHARACTER	50

Purpose Code – 18 - Authorized Signatory for Corporate / HUF accounts

Multiple lines will be present, if Authorized signatories are multiple signatories are in the account.

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		

NA SEQUENCE NUMBER	NUMBER	4
Authorised Signatory Name	CHARACTER	100
REMARKS	CHARACTER	50
NAME CHANGE REASON CODE	CHARACTER	2
MOBILE NO ISD CODE	*CHARACTER*	*6*
MOBILE NUMBER	*CHARACTER*	*17*
EMAIL-ID	*CHARACTER*	*100*
UNIQUE IDENTIFICATION NUMBER	CHARACTER	*16*
UID VERIFICATION FLAG	CHARACTER	1
FILLER	*CHARACTER*	*16*
FILLER	*CHARACTER*	*72*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*10*

Purpose Code – 19 - Signature

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
Image File Name	VARCHAR2	20
Signature Setup Date	DATE	8

Purpose Code: 21

CM POA Account Mapping details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
MAPPING UNMAPPING FLAG (1 - Mapped 2 - Unmapped)	NUMBER	1
Ex id	NUMBER	2
CM ID	CHARACTER	8
CM Account To Be Mapped	CHARACTER	16

Line no. 21 will be repeated for each CM POA Account Mapping combination

Summary Record: -

Description	Data Type	Length	Value
IDENTIFIER	CHAR	1	T
TOTAL NUMBER OF RECORDS	NUMBER	9	~~
BUSINESS DATE	DATE	8	(8) –DDMMYYYY
SYSTEM DATE AND TIME	CHAR	14	DDMMYYYYHH24MISS

Report Documentation

Report ID	**:**	**DPS9**
Report Description	**:**	**BO DETAILS**
File Naming Convention:		If BO Id is specified: 08DPS9U.<BO Id>.<Event No>
		Else: 08DPS9U.<Event No>

Purpose Code	Description
0	BO ID
1	*Name, Correspondence Address, PAN details of first / sole Holder and other details applicable for the product & present in line 5 of BO upload*
2	*Name, PAN details of second holder*
3	*Name, PAN details of third holder*
4	BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear
5	POA link details – Multiple lines will be present if the account is linked with more than one POA
6	*Nominee Name and Address details*
7	*Guardian Name and Address details*
8	*Nominee's Guardian Name and Address details*
12	*Permanent address of First / Sole holder*
18	*Name of Authorized Signatory for Corporate / HUF accounts. Multiple lines will be present if multiple signatories are present in the account*
19	Signature Setup / Modify
21	CM POA Account Mapping Details

Gaps in the purpose code numbering, given above, are deliberate.

Header Record: -

Description	Data Type	Length	Value
IDENTFIER	CHARACTER	1	H
DP ID	NUMBER	6	DP ID
FILLER			
BUSINESS DATE/HISTORY FROM DATE	DATE	8	DDMMYYYY
FILLER			
SYSTEM DATE AND TIME/HISTORY TO DATE	CHARACTER	14	DDMMYYYYHH24MISS

Purpose Code – 0 BO ID

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
BO ID	CHARACTER	16
BUSINESS DATE	DATE	8
SOURCE DP	NUMBER	6
FILLER		
FILLER		
FILLER		

Purpose Code – 1 (Line 01) BO ID, Name, Address, PAN details of first / sole Holder and other details applicable for the product and present in line 5 of BO upload

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	*55*
ADDRESS LINE 2	CHARACTER	*55*

ADDRESS LINE 3	CHARACTER	*55*
CITY	CHARACTER	25
STATE CODE	*CHARACTER*	*6*
STATE	CHARACTER	25
COUNTRY CODE	*CHARACTER*	*2*
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
SMART REGISTRATION FLAG	CHARACTER	1
PRIMARY PHONE NUMBER ISD CODE	*CHARACTER*	*6*
PRIMARY PHONE NUMBER	CHARACTER	17
SECONDARY PHONE ISD CODE	CHARACTER	*6*
SECONDARY PHONE NUMBER	CHARACTER	17
SECONDARY EMAIL	CHARACTER	*100*
FAX	CHARACTER	17
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN VERIFICATION CODE	CHARACTER	1
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
PRIMARY E-MAIL ID	CHARACTER	*100*
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
BO ACCOUNT STATUS	NUMBER	1
FREEZE SUSPENSION FLAG	NUMBER	1
BO CATEGORY	NUMBER	2
BO CUST TYPE	NUMBER	2
BO SUB STATUS	NUMBER	4
PRODUCT CODE	NUMBER	4
CLEARING CORPORATION ID	NUMBER	4
CLEARING MEMBER ID	CHARACTER	8
STOCK EXCHANGE	NUMBER	2
TRADING ID	CHARACTER	8
BO STATEMENT CYCLE CODE	CHARACTER	2

ACCOUNT CREATION DATE	DATE	8
DP INTERNAL REFERENCE NUMBER	CHARACTER	10
CONFIRMATION WAIVED	CHARACTER	1
DATE OF BIRTH/ORIGIN	DATE	8
BO ACTIVATION DATE	DATE	8
ELECTRONIC CONFIRMATION	CHARACTER	1
ECS / MANDATE	CHARACTER	1
DIVIDEND BANK ACCOUNT NO	CHARACTER	20
DIVIDEND BANK CODE	CHARACTER	12
DIVIDEND IFS CODE	CHARACTER	11
DIVIDEND BANK CURRENCY	NUMBER	6
DIVIDEND ACCOUNT TYPE	NUMBER	2
ANNUAL INCOME CODE	NUMBER	4
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2
BO SETTLEMENT PLANNING FLAG	CHARACTER	1
EDUCATION/DEGREE	CHARACTER	4
GEOGRAPHICAL CODE	CHARACTER	4
GROUP CODE	CHARACTER	8
LANGUAGE CODE	NUMBER	3
NATIONALITY CODE	CHARACTER	3
OCCUPATION	CHARACTER	4
SECURITY ACCESS CODE	NUMBER	2
SEX CODE	CHARACTER	1
STAFF/RELATIVE	CHARACTER	1
STAFF CODE	CHARACTER	10
RBI REFERENCE NUMBER	CHARACTER	30
RBI APPROVAL DATE	DATE	8
SEBI REGISTRATION NUMBER	CHARACTER	24
CLOSURE APPROVED DATE	DATE	8
CLOSURE DATE	DATE	8
TRANSFER BO ID	CHARACTER	16
BALANCE TRANSFER	CHARACTER	1
CLOSURE REASON CODE	NUMBER	2
CLOSURE INITIATED BY	CHARACTER	1

CLOSURE REMARK	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	*16*
NAME CHANGE REASON CODE	CHARACTER	25
EMAIL STATEMENT FLAG	CHARACTER	1
CAS MODE	CHARACTER	2
PREFERRED DEPOSITORY FLAG	CHARACTER	1
TRUST REGISTER	CHARACTER	1
RGESS FLAG	CHARACTER	1
ANNUAL REPORT FLAG	CHARACTER	1
PLEDGE STANDING INSTRUCTION FLAG	CHARACTER	1
EMAIL RTA DOWNLOAD	CHARACTER	1
BASIC SERVICES DEMAT A/C	CHARACTER	1
MASTER POA TYPE FLAG	CHARACTER	1
FAMILY ACCOUNT FLAG	CHARACTER	1
CUSTODIAN/PMS EMAIL ID	CHARACTER	*100*
UID VERIFICATION FLAG	NUMBER	1
FILLER	*CHARACTER*	*16*
FILLER	*CHARACTER*	*72*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*10*
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	4
FILLER	CHARACTER	4

Purpose Code – 2, 3 Name, PAN details of second, third holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20

SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN VERIFICATION CODE	CHARACTER	1
IT CIRCLE / WARD/ DISTRICT	CHARACTER	15
ADDRESS LINE 1	CHARACTER	*55*
ADDRESS LINE 2	CHARACTER	*55*
ADDRESS LINE 3	CHARACTER	*55*
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH SECOND HOLDER	DATE	8
PRIMARY EMAIL	CHARACTER	*100*
UNIQUE IDENTIFICATION NUMBER	CHARACTER	*16*
NAME CHANGE REASON CODE	CHARACTER	25
PRIMARY PHONE NUMBER ISD CODE	*CHARACTER*	*6*
PRIMARY PHONE NUMBER	CHARACTER	*17*
UID VERIFICATION FLAG	NUMBER	1
FILLER	*CHARACTER*	*16*
FILLER	*CHARACTER*	*72*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*10*
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1

Purpose Code – 4 - BO level Freeze Details. If multiple BO level freeze requests are present
for the account multiple records will appear

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
FREEZE ID	NUMBER	8
FREEZE INITIATION DATE	DATE	8
FREEZE ACTIVATION DATE	DATE	8
FREEZE EXPIRY DATE	DATE	8
FREEZE INITIATED BY	NUMBER	1
FROZEN FOR	NUMBER	1
FREEZE REASON CODE	NUMBER	2
FREEZE STATUS	CHARACTER	1
FREEZE ACTIVATION TYPE	NUMBER	1
FREEZE SUB OPTION	NUMBER	1
FREEZE REMARKS	CHARACTER	100

Purpose Code – 5 POA link details – Multiple lines will be present if the account is linked
with more than one POA

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
MASTER POA ID	CHARACTER	16
POA REGISTRAR NUMBER	CHARACTER	16
SETUP DATE	DATE	8
GPA /BPA FLAG	CHARACTER	1
EFFECTIVE FORM DATE	DATE	8
EFFECTIVE TO DATE	DATE	8
REMARKS	CHARACTER	50
HOLDER NUMBER	NUMBER	1
POA STATUS	CHARACTER	1

Purpose Code – 6 Nominee Name and Address details

Purpose Code – 7 Guardian Name and Address details

Purpose Code – 8 Nominee's Guardian Name and Address details

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	*55*
ADDRESS LINE 2	CHARACTER	*55*
ADDRESS LINE 3	CHARACTER	*55*
CITY	CHARACTER	25
STATE CODE	*CHARACTER*	*6*
STATE	CHARACTER	25
COUNTRY CODE	*CHARACTER*	*2*
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIMARY PHONE NUMBER ISD CODE	CHARACTER	*6*
PRIMARY PHONE NUMBER	CHARACTER	17
FILLER	CHARACTER	1
FILLER	CHARACTER	17
FILLER	CHARACTER	92
FAX	CHARACTER	17
PAN/GIR	CHARACTER	25
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
PRIMARY E-MAIL ID	CHARACTER	*100*
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH	DATE	8
USER TEXT 1	CHARACTER	50

USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
FILLER	CHARACTER	50
UNIQUE IDENTIFICATION NUMBER	CHARACTER	*16*
NAME CHANGE REASON CODE	CHARACTER	2
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
RESIDUAL SECURITIES FLAG	CHARACTER	1
NOMINEE SERIAL NUMBER	NUMBER	2
RELATIONSHIP WITH BO	NUMBER	2
PERCENTAGE OF SHARES	NUMBER	(5,2)
FILLER	*CHARACTER*	*16*
FILLER	*CHARACTER*	*72*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*1*
FILLER	*CHARACTER*	*10*

Purpose Code – 12 Permanent address of First / Sole holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
ADDRESS LINE 1	CHARACTER	*55*
ADDRESS LINE 2	CHARACTER	*55*
ADDRESS LINE 3	CHARACTER	*55*
CITY	CHARACTER	25
STATE CODE	*CHARACTER*	*6*
STATE	CHARACTER	25

COUNTRY CODE	CHARACTER	2
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
FILLER	CHARACTER	17
FAX	CHARACTER	17
FILLER	CHARACTER	50

Purpose Code – 18 Authorized Signatory for Corporate / HUF accounts.

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
NA SEQUENCE NUMBER	NUMBER	4
Authorised Signatory Name	CHARACTER	100
REMARKS	CHARACTER	50
NAME CHANGE REASON CODE	CHARACTER	2
MOBILE NO ISD CODE	CHARACTER	6
MOBILE NUMBER	CHARACTER	17
EMAIL ID	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	16
UID VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	16
FILLER	CHARACTER	72
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	10

Multiple lines will be present if Authorized signatories are multiple signatories are in the account.

Purpose Code – 19 Signature

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 - DELETE	NUMBER	3
IMAGE FILE NAME	VARCHAR2	20
SIGNATURE SETUP DATE	DATE	8

Purpose Code: 21

CM POA Account Mapping details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
MAPPING UNMAPPING FLAG (1 - Mapped 2 - Unmapped)	NUMBER	1
Ex id	NUMBER	2
CM ID	CHARACTER	8
CM Account To Be Mapped	CHARACTER	16

Line no. 21 will be repeated for each CM POA Account Mapping combination

Summary Record: -

Description	Data Type	Length	Value
IDENTIFIER	CHAR	1	T
TOTAL NUMBER OF RECORDS	NUMBER	9	~~
BUSINESS DATE	DATE	8	(8) -DDMMYYYY
SYSTEM DATE AND TIME	CHAR	14	DDMMYYYYHH24MISS

Report ID: DPC7

Report Description: Free Balance Report

Report Type: On line

This report can be generated during business hours i.e. any time during SOD and EOD. The report can be setup for

- One single BO
- All BOs belonging to a specific BO category such as regular BO or CM principal etc.
- All BOs belonging to a specific BO Status code such as Individual, FI, FII etc.

For all the above options report can be generated for all the ISINs present in the account(s) or for one single ISIN also.

For each BO, Holder Name(s), address is included in the report. For each ISIN, Issuer Name & Address is in the report. For each ISIN Current balance, Free Balance and other details as explained below are included in the report.

Field Description	Field Type	Field Length
Line Identifier - "01"	Number	2
DPID	Number	8
BOID	Number	8
Line Identifier - "02"	Number	2
BO Title	Char	10
BO Name	Char	142
BO Suffix	Char	10
BO Address 1	Char	*55*
BO Address 2	Char	*55*
BO Address 3	Char	*55*
BO City	Char	25
BO Zip Code	Char	25
BO State	Char	25
BO Country	Char	25
Line Identifier - "03"	Number	2
Second Holder Title	Char	10
Second Holder Name	Char	142
Second Holder Suffix	Char	10
Line Identifier - "03"	Number	2

Field Description	Field Type	Field Length
Third Holder Title	Char	10
Third Holder Name	Char	142
Third Holder Suffix	Char	10
Line Identifier - "04"	Number	2
ISIN	Char	12
Current Balance	Number	22
Free Balance	Number	22
Earmark Balance	Number	22
Pledge Balance	Number	22
Remat Balance Pending For Confirmation	Number	22
ISIN Short Name	Char	20
ISIN Description	Char	100
Issuer Name	Char	100
Issuer Address 1	Char	30
Issuer Address 2	Char	30
Issuer Address 3	Char	30
Issuer City	Char	25
Issuer State	Char	25
Issuer Zip Code	Char	25
Settlement ID	VarChar	13
Line Identifier - "05"	Number	2
DP Name	Char	100
Line Identifier - "06"	Number	2
Number of Records	Number	8
Business Date	Char	8 (DDMMYYYY)
System Date and Time	Char	30 (DAY MON DD HR:MM:SS YEAR)

Note 1: -

- ➢ The Field Separator between consecutive columns is '~' (Tilde). Field Lengths are variable.
- ➢ If two or more tildes are together, then the very next column after the first tilde is "nullable" in the database.

Note 2: -

If DP has opted for Settlement Pocket then for back office compliance Settlement ID column will appear at Line "04". If a Clearing Member (CM) of such DP has opted for Settlement Pocket then Line "04" will be repeated for that BO ID of a CM for each ISIN/settlement ID combination.

CM Master Report [CD11]

The following conventions are followed –

- The field separator between consecutive columns is '~' [**Tilde**]
- Field lengths are variable
- If two or more tilde are together, it implies that the very next column, after the first tilde is null in the database
- Dates are in char format (DDMMYYYY)
- The record length will be sum of all the maximum size of each column of the record

Sr. No.	Field Description	Field Type	Field Max Size
1	Date	Date	8
2	CM Name-1	VarChar	164
3	CM Name-2	VarChar	164
4	CM Name-3	VarChar	164
5	Stock Exchange ID	Number	2
6	Clearing House ID	Number	2
7	CM ID	VarChar	10
8	Trade ID	VarChar	10
9	Principal Account	Char	16
10	Unified Settlement Account (USA)	Char	16
11	CM Investors' Securities Account (CISA)	Char	16
12	Early Pay-in Account	Char	16
13	Address-1	Char	***55***
14	Address-2	Char	***55***
15	Address-3	Char	***55***
16	City	Char	25
17	State	Char	25
18	Country	Char	25
19	Pin Code	Char	10
20	Settlement Pocket [SP] Registration Flag [Y = Registered for SP, N = Not registered for SP]	Char	1

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REPORT ID: CD12 (MMDDU. 3 digit sequence number)

Report Description: PIN Code master report

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PIN CODE	NUMBER	6
DISTRICT CODE	NUMBER	4
DISTRICT (CITY) NAME	CHARACTER	100
DISTRICT CITY SEQUENCE NUMBER	*NUMBER*	*2*
STATE NAME	CHARACTER	40
STATUS (DEL FLAG)	CHARACTER	1

Report Documentation

Report ID   **:**   DPD9

Report Description   :   Names and addresses of BO in DPC9

FIELD DESCRIPTION	FIELD TYPE	LENGTH	REMARKS
Identifier	Number	(2) – "08"	
Count	Number	(1) – "0"	
Business date	Date	(8) - DDMMYYYY	
System Date and Time	Char	(24)	
Bo id	Char	(16)	
First holder name	Char	Max (142)	
Second holder name	Char	Max (142)	
Third holder name	Char	Max (142)	
Address 1	Char	Max (*55*)	
Address 2	Char	Max (*55*)	
Address 3	Char	Max (*55*)	
City	Char	Max (25)	
State	Char	Max (25)	
Country	Char	Max (25)	
Zip	Char	Max (10)	
Cm id	Char	Max (8)	
BO Frozen flag	Char	Max(1)	
BO Account Status	Char	Max(40)	
Product Number	Char	Max(40)	
Sub Type	Char	Max(40)	
Basic Services Demat Account	Char	1	
Rajiv Gandhi Equity Savings Scheme	Char	1	
ISIN No.	Char	(12)	
ISIN Desc	Varchar	(20)	
BO Total Valuation	Number	16,3	
Nomination Status	Char	Max (15)	Possible values Registered Not Registered
Mobile Number	Char	Max(20)	Last 4 digit prefix by ##### Ex: ######1234
SMS Alert Flag	Char	1	Possible Values are : Y – Registered For SMART N – Not Registered for SMART If not applicable for SMART, then value will be NULL
Email ID of 1st holder	Char	Max(*100*)	Email Id of 1st Holder If not present then print "Please Provide"

Note: ISIN No and ISIN Description will print when the user will setup DPC9 report for ISIN criteria.

ISIN No and ISIN Description will print together and will come in one tilde.

Naming convention of DPD9 change if report is setup for single BO.

Previous Convention(Single & All BO):- 08DPD9U.<even no>.

Updated for single BO criteria :- 08DPC9U.<BOID><DDMMYYYYHHMISS>

Other than single BOID criteria:: 08DPC9U.<even no>.

Email ID, Nomination Status, Mobile No. and SMART Registration Flag to be populated after BO Total Valuation Flag.

Report Documentation

Report ID   **:**   DPS1

Report Description   **:**   DP Destat Request Summary Transaction Statement

Report Format   : (Specify in same sequence as Unformatted layout)

DESCRIPTION	FIELD TYPE	FIELD LENGTH
Destat DP ID	Number	6
Destat RTA ID	Number	6
Destat Request Number	Number	8
ALL Quantity Flag	Char	1
ALL Quantity Flag Description	Varchar	40
Destat Requested Quantity	Number	16,3
Accepted Quantity	Number	16,3
Rejected Quantity	Number	16,3
Quantity Type Code	Char	1
Quantity Type Description	Varchar	40
Lock-in Reason Code	Number	2
Lock-in Reason Description	Varchar	40
Destat Amount	Number	16,3
Number of Pages	Number	5
Destat Status	Char	1
Destat Status Description	Varchar	40
ISIN	Char	12
ISIN Name	Varchar	20
Destat Setup Date (DD-MON-YYYY)	Char	11
Destat Despatch Date (DD-MON-YYYY)	Char	11
Destat DP Verifiy Date (DD-MON-YYYY)	Char	11
Destat Closed Date (DD-MON-YYYY)	Char	11
BO Account Number	Varchar	16
DRF Number	Varchar	16
Folio Number	Varchar	16
DP Internal Reference Number	Varchar	16

RTA Internal Reference Number	Varchar	16
MFDTLSD0.Number of Days Pending	Number	6
Days Remaining to Confirm	Number	6
First / Sole Holder Name	Varchar	142
First Joint Holders Name	Varchar	142
Second Joint Holders Name	Varchar	142
BO Address Line 1	Varchar	55
BO Address Line 2	Varchar	55
BO Address Line 3	Varchar	55
BO Town/City	Varchar	25
BO State	Varchar	25
BO Country	Varchar	25
BO Pin Code	Varchar	10
DRN Rejection Sequence	Number	5
Rejected Quantity	Number	16,3
Rejection Code	Number	2
Rejection Reason	Varchar	100

Report Documentation

Report ID : DPS2

Report Description : DP Destat Request Summary Transaction Statement - -
Online DPS1 report

Module : DEMAT

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Destat DP ID	Number	6
Destat RTA ID	Number	6
Destat Request Number	Number	8
ALL Quantity Flag	Char	1
ALL Quantity Flag Description	Varchar	40
Destat Requested Quantity	Number	16,3
Accepted Quantity	Number	16,3
Rejected Quantity	Number	16,3
Quantity Type Code	Char	1
Quantity Type Description	Varchar	40
Lock-in Reason Code	Number	2
Lock-in Reason Description	Varchar	40
Destat Amount	Number	16,3
Number of Pages	Number	5
Destat Status	Char	1
Destat Status Description	Varchar	40
ISIN	Char	12
ISIN Name	Varchar	20
Destat Setup Date (DD-MON-YYYY)	Char	11
Destat Despatch Date (DD-MON-YYYY)	Char	11
Destat DP Verifiy Date (DD-MON-YYYY)	Char	11
Destat Closed Date (DD-MON-YYYY)	Char	11
BO Account Number	Varchar	16
DRF Number	Varchar	16
Folio Number	Varchar	16
DP Internal Reference Number	Varchar	16
RTA Internal Reference Number	Varchar	16
MFDTLSD0.Number of Days Pending	Number	6
Days Remaining to Confirm	Number	6
First / Sole Holder Name	Varchar	142
First Joint Holders Name	Varchar	142
Second Joint Holders Name	Varchar	142
BO Address Line 1	Varchar	*55*
BO Address Line 2	Varchar	*55*
BO Address Line 3	Varchar	*55*
BO Town/City	Varchar	25
BO State	Varchar	25
BO Country	Varchar	25
BO Pin Code	Varchar	10

DRN Rejection Sequence	Number	5
Rejected Quantity	Number	16,3
Rejection Code	Number	2
Rejection Reason	Varchar	100

Line number 00

Line count	Total number of lines	NUMBER	6
Date	Business date	Char	11
Date and time	System date and time	char	24

Sr No	ISD_CODE	COUNTRY_CODE_ISO	COUNTRY_NAME
1	93	AF	Afghanistan
2	358	AX	Aland Islands
3	355	AL	Albania
4	213	DZ	Algeria
5	1	AS	American Samoa
6	376	AD	Andorra
7	244	AO	Angola
8	1	AI	Anguilla
9	672	AQ	Antarctica
10	1	AG	Antigua and Barbuda
11	54	AR	Argentina
12	374	AM	Armenia
13	297	AW	Aruba
14	61	AU	Australia
15	43	AT	Austria
16	994	AZ	Azerbaijan
17	1	BS	Bahamas
18	973	BH	Bahrain
19	880	BD	Bangladesh
20	1	BB	Barbados
21	375	BY	Belarus
22	32	BE	Belgium
23	501	BZ	Belize
24	229	BJ	Benin
25	1	BM	Bermuda
26	975	BT	Bhutan
27	591	BO	Bolivia
28	599	BQ	Caribbean Netherlands
29	387	BA	Bosnia and Herzegovina
30	267	BW	Botswana
31	11	BV	Bouvet Island
32	55	BR	Brazil
33	246	IO	British Indian Ocean Ter
34	673	BN	Brunei Darussalam
35	359	BG	Bulgaria
36	226	BF	Burkina Faso
37	257	BI	Burundi
38	855	KH	Cambodia
39	237	CM	Cameroon

Sr No	ISD_CODE	COUNTRY_CODE_ISO	COUNTRY_NAME
40	1	CA	Canada
41	238	CV	Cape Verde
42	1	KY	Cayman Islands
43	236	CF	Central African Republic
44	235	TD	Chad
45	56	CL	Chile
46	86	CN	China
47	61	CX	Christmas Island
48	891	CC	Cocos Islands
49	57	CO	Colombia
50	269	KM	Comoros
51	242	CG	Congo
52	243	CD	Congo
53	682	CK	Cook Islands
54	506	CR	Costa Rica
55	225	CI	Cote d'Ivoire
56	385	HR	Croatia
57	53	CU	Cuba
58	599	CW	Curacao
59	357	CY	Cyprus
60	420	CZ	Czech Republic
61	45	DK	Denmark
62	253	DJ	Djibouti
63	1	DM	Dominica
64	1	DO	Dominican Republic
65	593	EC	Ecuador
66	20	EG	Egypt
67	503	SV	El Salvador
68	240	GQ	Equatorial Guinea
69	291	ER	Eritrea
70	372	EE	Estonia
71	251	ET	Ethiopia
72	500	FK	Falkland Islands
73	298	FO	Faroe Islands
74	679	FJ	Fiji
75	358	FI	Finland
76	33	FR	France
77	594	GF	French Guiana
78	689	PF	French Polynesia

Sr No	ISD_CODE	COUNTRY_CODE_ISO	COUNTRY_NAME
79	262	TF	French Southern Ter
80	241	GA	Gabon
81	220	GM	Gambia
82	995	GE	Georgia
83	49	DE	Germany
84	233	GH	Ghana
85	350	GI	Gibraltar
86	30	GR	Greece
87	299	GL	Greenland
88	1	GD	Grenada
89	590	GP	Guadeloupe
90	1	GU	Guam
91	502	GT	Guatemala
92	44	GG	Guernsey
93	224	GN	Guinea
94	245	GW	Guinea-Bissau
95	592	GY	Guyana
96	509	HT	Haiti
97	672	HM	Heard & McDonald Island
98	379	VA	Vatican City State
99	504	HN	Honduras
100	852	HK	Hong Kong
101	36	HU	Hungary
102	354	IS	Iceland
103	62	ID	Indonesia
104	98	IR	Iran
105	964	IQ	Iraq
106	353	IE	Ireland
107	44	IM	Isle of Man
108	972	IL	Israel
109	39	IT	Italy
110	1	JM	Jamaica
111	81	JP	Japan
112	44	JE	Jersey
113	962	JO	Jordan
114	7	KZ	Kazakhstan
115	254	KE	Kenya
116	686	KI	Kiribati

Sr No	ISD_CODE	COUNTRY_CODE_ISO	COUNTRY_NAME
117	850	KP	North Korea
118	82	KR	South Korea
119	965	KW	Kuwait
120	996	KG	Kyrgyzstan
121	856	LA	Laos
122	371	LV	Latvia
123	961	LB	Lebanon
124	266	LS	Lesotho
125	231	LR	Liberia
126	218	LY	Libya
127	423	LI	Liechtenstein
128	370	LT	Lithuania
129	352	LU	Luxembourg
130	853	MO	Macao
131	389	MK	Republic of Macedonia
132	261	MG	Madagascar
133	265	MW	Malawi
134	60	MY	Malaysia
135	960	MV	Maldives
136	223	ML	Mali
137	356	MT	Malta
138	692	MH	Marshall Islands
139	596	MQ	Martinique
140	222	MR	Mauritania
141	230	MU	Mauritius
142	262	YT	Mayotte
143	52	MX	Mexico
144	691	FM	Fed States of Micronesia
145	373	MD	Republic of Moldova
146	377	MC	Monaco
147	976	MN	Mongolia
148	382	ME	Montenegro
149	1	MS	Montserrat
150	212	MA	Morocco
151	258	MZ	Mozambique
152	95	MM	Myanmar
153	264	NA	Namibia
154	674	NR	Nauru
155	977	NP	Nepal

Sr No	ISD_CODE	COUNTRY_CODE_ISO	COUNTRY_NAME
156	31	NL	Netherlands
157	687	NC	New Caledonia
158	64	NZ	New Zealand
159	505	NI	Nicaragua
160	227	NE	Niger
161	234	NG	Nigeria
162	683	NU	Niue
163	672	NF	Norfolk Island
164	1	MP	Northern Mariana Islands
165	47	NO	Norway
166	968	OM	Oman
167	92	PK	Pakistan
168	680	PW	Palau
169	970	PS	State of Palestine
170	507	PA	Panama
171	675	PG	Papua New Guinea
172	595	PY	Paraguay
173	51	PE	Peru
174	63	PH	Philippines
175	64	PN	Pitcairn
176	48	PL	Poland
177	351	PT	Portugal
178	1	PR	Puerto Rico
179	974	QA	Qatar
180	262	RE	Reunion
181	40	RO	Romania
182	7	RU	Russian Federation
183	250	RW	Rwanda
184	590	BL	Saint Barthelemy
185	290	SH	Saint Helena and Dep
186	1	KN	Saint Kitts and Nevis
187	1	LC	Saint Lucia
188	590	MF	Saint Martin
189	508	PM	Saint Pierre and Miquelon
190	1	VC	Saint Vincent
191	685	WS	Samoa
192	378	SM	San Marino
193	239	ST	Sao Tome and Principe
194	966	SA	Saudi Arabia

Sr No	ISD_CODE	COUNTRY_CODE_ISO	COUNTRY_NAME
195	221	SN	Senegal
196	381	RS	Serbia
197	248	SC	Seychelles
198	232	SL	Sierra Leone
199	65	SG	Singapore
200	1	SX	Sint Maarten
201	421	SK	Slovakia
202	386	SI	Slovenia
203	677	SB	Solomon Islands
204	252	SO	Somalia
205	27	ZA	South Africa
206	500	GS	South Georgia
207	211	SS	South Sudan
208	34	ES	Spain
209	94	LK	Sri Lanka
210	249	SD	Sudan
211	597	SR	Suriname
212	47	SJ	Svalbard and Jan Mayen
213	268	SZ	Swaziland
214	46	SE	Sweden
215	41	CH	Switzerland
216	963	SY	Syrian Arab Republic
217	886	TW	Taiwan
218	992	TJ	Tajikistan
219	255	TZ	Tanzania
220	66	TH	Thailand
221	670	TL	Timor-Leste
222	228	TG	Togo
223	690	TK	Tokelau
224	676	TO	Tonga
225	1	TT	Trinidad and Tobago
226	216	TN	Tunisia
227	90	TR	Turkey
228	993	TM	Turkmenistan
229	1	TC	Turks and Caicos Islands
230	688	TV	Tuvalu
231	256	UG	Uganda
232	380	UA	Ukraine
233	971	AE	United Arab Emirates

Sr No	ISD_CODE	COUNTRY_CODE_ISO	COUNTRY_NAME
234	44	GB	United Kingdom
235	1	US	United States
236	1	UM	US Minor Islands
237	598	UY	Uruguay
238	998	UZ	Uzbekistan
239	678	VU	Vanuatu
240	58	VE	Venezuela
241	84	VN	Vietnam
242	1	VG	Virgin Islands, British
243	1	VI	Virgin Islands, U.S.
244	681	WF	Wallis and Futuna
245	212	EH	Western Sahara
246	967	YE	Yemen
247	260	ZM	Zambia
248	263	ZW	Zimbabwe
249	91	IN	India

Sr No	STATE_CODE_ISO	STATE_NAME
1	IN-AP	Andhra Pradesh
2	IN-AR	Arunachal Pradesh
3	IN-AS	Assam
4	IN-BR	Bihar
5	IN-CT	Chhattisgarh
6	IN-GA	Goa
7	IN-GJ	Gujarat
8	IN-HR	Haryana
9	IN-HP	Himachal Pradesh
10	IN-JK	Jammu and Kashmir
11	IN-JH	Jharkhand
12	IN-KA	Karnataka
13	IN-KL	Kerala
14	IN-MP	Madhya Pradesh
15	IN-MH	Maharashtra
16	IN-MN	Manipur
17	IN-ML	Meghalaya
18	IN-MZ	Mizoram
19	IN-NL	Nagaland
20	IN-OR	Odisha
21	IN-PB	Punjab
22	IN-RJ	Rajasthan
23	IN-SK	Sikkim
24	IN-TN	Tamil Nadu
25	IN-TG	Telangana
26	IN-TR	Tripura
27	IN-UT	Uttarakhand
28	IN-UP	Uttar Pradesh
29	IN-WB	West Bengal
30	IN-AN	Andaman and Nicobar Islands
31	IN-CH	Chandigarh
32	IN-DN	Dadra and Nagar Haveli
33	IN-DD	Daman and Diu
34	IN-DL	Delhi
35	IN-LD	Lakshadweep
36	IN-PY	Puducherry