

CDSL/CS/NSE/JB/2024/68

March 29, 2024

**The Manager,
Listing Compliance Department,
National Stock Exchange of India Ltd.,
Exchange Plaza, Bandra Kurla Complex,
Bandra (East), Mumbai – 400051.**

**Symbol: CDSL
ISIN: INE736A01011**

Subject: Disclosure under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023

Dear Sir/Madam,

Whilst the Company/ CDSL is looking into the matter in further detail, in the meantime and in accordance with Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [SEBI Listing Regulations], we hereby inform you that warning has been issued by SEBI vide letter dated March 28, 2024 against the Company/ CDSL.

The details required pertaining to the above are mentioned in **Annexure-1** in compliance with the SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 and the same is enclosed herewith.

The above information is also available on the Company's website www.cdslindia.com in terms of Regulation 46 of SEBI Listing Regulations.

This is for your information and record.

Thanking You,
Yours faithfully,
For Central Depository Services (India) Limited

**Nilay Shah
Company Secretary & Head Legal
ACS No.: A20586**

Encl.: As above

Annexure – 1

Disclosures under Regulation 30 of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023.

Sr. No.	Particulars	Details
1.	Name of the Authority	Securities and Exchange Board of India (SEBI)
2.	Nature and details of the action(s) taken, initiated or order(s) passed	Warning has been issued pursuant to the SEBI Onsite inspection conducted for the period from June 01, 2022 to June 30, 2023, <i>inter-alia</i> to be careful in future, strengthen internal control systems to avoid the recurrence of such instances and has advised the Board of CDSL to take its letter into consideration.
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	March 28, 2024
4.	Details of the violation(s)/contravention(s) committed or alleged to be committed	Upon completion of the onsite inspection by SEBI, SEBI has observed instances of non-compliance with certain SEBI circulars and instances of aberration with the existing process/system.
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	No impact.