



Central Depository Services (India) Limited

Convenient ⊕ Dependable ⊕ Secure

COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/SYSTM/2022/332

June 14, 2022

DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

DPs are advised to refer to CDSL Communiqué No. CDSL/OPS/DP/POLCY/2022/194 dated April 5, 2022, enclosed with the SEBI circular no. **SEBI/HO/MIRSD/DoP/P/CIR/2022/44 dated April 4, 2022**, regarding execution of 'Demat Debit and Pledge Instruction' (DDPI) for transfer of securities towards Stock Exchange related deliveries / settlement obligations and margin pledging / repledging of securities in favour of the trading member (TM) / clearing member (CM).

DPs are requested to take note of following operational guidelines for implementing the directives mentioned in aforesaid SEBI circular:

1. Execution of optional Settlement Specific Power of Attorney (**POA**) in favour of Stock Broker for execution of transactions mentioned under clauses 1.2.1 and 1.2.2 of the aforesaid SEBI Circular will be discontinued for the linking of Settlement Specific POA with the demat account of client **effective from the date of implementation of the said guidelines**.
2. Clients voluntarily intending to explicitly authorize Stock Broker to execute transactions mentioned under clauses 1.2.1 and 1.2.2 of the aforesaid SEBI Circular will be required to submit **adequately stamped and duly signed DDPI POA** in the format given in Annexure-A of the aforesaid SEBI circular. As mentioned in the SEBI circular, the DDPI POA can also be digitally signed / e-signed by the clients. The client may use DDPI or opt to complete the settlement by using physical Delivery Instruction Slip (DIS) or electronic Delivery Instruction Slip (e-DIS) themselves.
3. DPs are required to ensure that DDPI POA obtained for execution of transactions mentioned under clauses 1.2.1 and 1.2.2 of the SEBI Circular is signed separately. Ex. Client giving DDPI POA for executing both the type of transactions mentioned under clauses 1.2.1 and 1.2.2 requires to sign against both the clauses separately.
4. Stock Broker / Stock Broker Depository Participant while providing the format of DDPI POA to their clients may add the recipient settlement account/s including BSE Principal Account, Non-BSE Clearing Member Account, Early Pay-in Account/s, NSDL CMBP ID (applicable to execute pay-in transactions) and Margin Pledge Securities Account/s (applicable to accept securities of margin pledge transactions), client's demat account number (BO ID) & Beneficial Owner name/s, date in Annexure-A mentioned above.



Central Depository Services (India) Limited

Convenient ⊕ Dependable ⊕ Secure

COMMUNIQUE TO DEPOSITORY PARTICIPANTS

5. To enable DPs to provide the said facility to their clients, following changes will be made applicable effective from the date of implementation.
- a. New value for 'POA_TYPE_FLAG' viz. – **D** will be introduced for creating DDPI Master POA ID. Post creation of DDPI Master POA ID, DP of the demat account of the client, depending upon the choice made by the client in DDPI POA will be required to link the DDPI Master POA ID to the demat account of the client with one of the possible values of GPA_BPA_FLAG mentioned below:
- **S** – To be used to execute transactions mentioned in clause 1.2.1 of the indicated SEBI Circular.
 - **P** – To be used to execute transactions mentioned in clause 1.2.2 of the indicated SEBI Circular.
 - **A** – To be used to execute both the type of transactions mentioned in clauses 1.2.1 and 1.2.2 of the indicated SEBI Circular.

Once the DDPI Master POA ID/s is/are created for DDPI POA in CDAS the process of linking of said DDPI Master POA ID to demat account of the client, mapping of CM's recipient settlement account/s including BSE Principal Account, Non-BSE Clearing Member Account, Early Pay-in Account/s, NSDL CMBP ID (applicable to execute pay-in transactions) and Margin Pledge Securities Account/s (applicable to accept securities of margin pledge transactions) with the DDPI Master POA ID and registration of Master POA ID under CM's Easiest Log-in will remain the same as mentioned in **Communique No. CDSL/OPS/DP/SYSTM/5565 dated November 18, 2015**. There is no change in the file format for the said processes where applicable.

- b. While uploading transactions based on DDPI Master POA ID, new value for 'ELECTRONIC_TRANSFER_FLAG' viz. – **G** will be introduced from the date of implementation. A new field Master POA ID is introduced in Common upload file format for Pledge.
6. Stock Broker uploading pay-in transactions based of DDPI Master POA ID through their easiest login or through their DPs will **mandatorily require to upload** with UCC details viz. UCC, CMID, TMID, Segment Code, TM/CP identifier etc.
7. As directed in the aforesaid SEBI circular, pay-in transactions uploaded with 'ELECTRONIC_TRANSFER_FLAG' viz. – **G** will be considered for further processing / execution only if transaction details match with the obligation details received from CC. Matching process / mechanism will remain the same as that of Block Mechanism.



Central Depository Services (India) Limited

Convenient ⊕ Dependable ⊕ Secure

COMMUNIQUE TO DEPOSITORY PARTICIPANTS

8. DPs may refer CDSL communique/s No./s CDSL/OPS/DP/SETTL/2021/156, CDSL/OPS/DP/SETTL/2021/185, CDSL/OPS/DP/POLCY/2021/335 dated April 06, 2021, April 22, 2021, and July 31, 2021, respectively to know more about the matching process / mechanism.
9. DPs having existing demat accounts where Master POA ID of existing Settlement Specific POA has been linked to the demat account of the client till the date of implementation would be permitted to use the same (i.e., existing Settlement Specific Master POA) till the time the same is revoked by means of delinking of Master POA ID in the demat account.
10. In a scenario where there exists a demat account of a client where Master POA ID of Settlement Specific POA is already linked prior to implementation of the guidelines and post implementation if a DDPI Master POA ID is linked to the same demat account, then execution of transactions mentioned under clauses 1.2.1 and 1.2.2 of the aforesaid SEBI circular will be allowed only through DDPI Master POA ID and NOT through Master POA ID of Settlement Specific POA. However, as mentioned under clause 1.2.3 of aforesaid SEBI circular, execution of Settlement Specific POA can continue to be used for the limited purposes as specified in paragraph 1(iii) and 2 of the enclosure to the SEBI circular no. CIR/MRD/DMS/13/2010 dated April 23, 2010.
11. Post implementation, after revocation of the existing Settlement Specific POA or registering the new DDPI Master POA ID by the client all transactions mentioned under clauses 1.2.1 and 1.2.2 of the aforesaid SEBI circular should be processed using only the DDPI Master POA ID, if provided by the client/s.
12. A clause shall be incorporated under the sub-heading 'Additional Rights And Obligations' of the Rights and Obligations Document (Annexure-4 of SEBI circular no. CIR/MIRSD/16/2011 dated August 22, 2011) and shall read as under:

"The stock broker / stock broker and depository participant shall not directly / indirectly compel the clients to execute Power of Attorney (PoA) or Demat Debit and Pledge Instruction (DDPI) or deny services to the client if the client refuses to execute PoA or DDPI." Accordingly, SEBI circular no. CIR/MIRSD/16/2011 dated August 22, 2011 stands amended to this extent.
1. Further as quoted under clause 10 of the aforesaid SEBI circular, *"All other provisions specified in SEBI circular no. CIR/MRD/DMS/13/2010 dated April 23, 2010, read with SEBI circular no. CIR/MRD/DMS/28/2010 dated August Page 4 of 5 31, 2010 and SEBI circular no. SEBI/HO/MIRSD/DOP/CIR/P/2020/158 dated August 27, 2020, shall continue to remain applicable"* and as mentioned under clause 1.2.3 of aforesaid SEBI circular, execution of



Central Depository Services (India) Limited

Convenient + Dependable + Secure

COMMUNIQUE TO DEPOSITORY PARTICIPANTS

Settlement Specific POA is allowed for the limited purposes as specified in paragraph 1(iii) and 2 of the enclosure to the SEBI circular no. CIR/MRD/DMS/13/2010 dated April 23, 2010.

2. DPs are requested to refer following Annexure/s for change in the file format.

Annexure	File Format Name	Description of Change
A	BO Setup Upload	New Values introduced in POA type flag and GPA / BPA Flag
B	BO Modify Upload	New Values introduced in POA type flag and GPA / BPA Flag
C	DPB9 Client Master report	New Values introduced in POA type flag and GPA / BPA Flag
D	DPS8 Client Master report	New Values introduced in POA type flag and GPA / BPA Flag
E	DPS9 Client Master report	New Values introduced in POA type flag and GPA / BPA Flag
F	Common Upload	Introduction of Master POA ID field for Pledge and new values in Transaction electronic flag field
G	List of transactions covered in DP57 Report	
G1 to G32	DP57 Report	New value in Transaction electronic flag field
H	DPN6	Pledge Reason code and Master POA ID
I	DP36 – PLEDGE MASTER	Pledge Reason code
J	DP37 – PLEDGE MASTER	Pledge Reason code

Aforesaid SEBI guidelines will be implemented effective from July 1, 2022. DPs are advised to disseminate the information in the communicate to their clients to enable them to take utmost care to avoid non-execution instructions due to implementation of the said guidelines. DPs are further advised to take note of aforesaid guidelines and initiate suitable back-office changes, if any.

Queries regarding this communiqué may be addressed to **CDSL – Helpdesk**: on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: helpdesk@cdslindia.com.

sd/-

Prashant Kokate
Asst. Vice President – Operations

FILE FORMAT: BO SET UP UPLOAD

File Name: <08><DPID>.<3 to 5 digits running serial number>

Input Type: Mandatory / Optional

Header Record: This record will contain:

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
DPID	NUMBER	6	M
OPERATOR ID	CHAR	6	M
TOTAL NO. OF RECORDS	NUMBER	5	M

Details Records: This record will contain following lines:

First Line

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
01	NUMBER	2	M (Line Number of the record)
SERIAL NO (Unique)	NUMBER	4	M
BO ID	NUMBER	16	O
BO REQUEST RECEIVE DATE	DATE	14	M

Second Line (First Account Holder):

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
02	NUMBER	2	M (Line Number of the record)
PRODUCT NUMBER	NUMBER	4	M
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
CUST ADDR 1	CHAR	55	M
CUST ADDR 2	CHAR	55	O
CUST ADDR 3	CHAR	55	O
CUST ADDR CNTRY CODE	CHAR	02	M ISO 3166-1 alpha-2 Format
CUST ADDR ZIP	CHAR	10	M
CUST ADDR STATE CODE	CHAR	06	M Mandatory if Country code IN (India). Value to be in ISO 3166-2 Format
CUST ADDR STATE	CHAR	25	M Mandatory if Country code

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			other than IN Else will be ignored
CUST ADDR CITY	CHAR	25	M
CITY SEQUENCE NUMBER	NUMBER	2	M If country code is IN then mandatory. Default value will be 00
SMART REGISTRATION INDICATOR	CHAR	1	O Y – SMART REGISTRATION Blank – No SMART Registration
PRIMARY MOBILE NO ISD CODE	NUMBER	6	M
PRIMARY MOBILE NO	CHAR	17	M
SECONDARY ISD CODE	NUMBER	6	O MANDATORY IF SECONDARY MOBILE /PHONE ISD CODE PRESENT
SECONDARY MOBILE / PHONE	CHAR	17	O MANDATORY IF SECONDARY MOBILE / PHONE ISD CODE PRESENT
SECONDARY EMAIL	CHAR	100	O ONLY 1 EMAIL TO BE ENTERED
CUST FAX	CHAR	17	O
INCOME TAX PAN	CHAR	10	M
UID	CHAR	16	O / M Mandatory if UID verification flag is present
UID VERIFICATION FLAG	CHAR	1	O UID VERIFICATION FLAG 0 – UID NOT VERIFIED 2 – UID VERIFIED BY DP
POA Type Flag	CHAR	1	O Mandatory for POA Master Creation If POA Type Flag is 'C', then Purpose Code 21 details will be mandatory for CM POA. If POA Type Flag is 'R', then it will be considered as a Regular POA If POA Type Flag is 'P', then it will be considered

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			as a PMS POA If POA Type Flag is 'D', then it will be considered as a DDPI POA
FILLER1	CHAR	2	Should be blank space
IT CIRCLE	CHAR	15	O
PRIMARY EMAIL	CHAR	100	M ONLY 1 EMAIL SHOULD BE ENTERED
LEGAL ENTITY IDENTIFIER (LEI)	CHAR	50	O (To Be released later)
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4 (PAN Exemption Code)	CHAR	4	O 1 st character – 1 st Holder PAN Exemption Code 2 nd character – 2 nd Holder PAN Exemption Code 3 rd character – 3 rd Holder PAN Exemption Code
USER FIELD5 (PAN Verification Flag)	NUMBER 1 st character – 1 st Holder PAN Verification Code 2 nd character – 2 nd Holder PAN Verification Code 3 rd character – 3 rd Holder PAN Verification Code	4	M PAN Verification flag 4 – PAN Exempted 5 – PAN Verified & Aadhar linked as confirmed by DP.
SIGNATURE FILE FLAG	CHAR	1	O (Y/N)
FILLER	CHAR	16	Should be blank space
FILLER	CHAR	72	Should be blank space
FILLER	CHAR	1	Should be blank space
FILLER	CHAR	1	Should be blank space
BO Account Opening Source	CHAR	1	M 1 – Online Account opening by the BO 2 – Account Opening based on submission of Physical documents.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
FILLER	CHAR	9	Should be blank space

Third Line (Second Account holder):

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
03	NUMBER	2	M (Line Number of the record)
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
INCOME TAX PAN	CHAR	10	M
UID	CHAR	16	O / M Mandatory if UID verification flag is present
UID Verification flag	CHAR	1	O UID verification flag 0 – UID Not Verified 2 – UID verified by DP
Filler	CHAR	2	O Special characters are not allowed
IT CIRCLE	CHAR	15	O
MOBILE ISD CODE	NUMBER	6	O / M MANDATORY IF MOBILE PRESENT
MOBILE NUMBER	CHAR	17	O / M MANDATORY IF MOBILE ISD CODE PRESENT
EMAIL	CHAR	100	O ONLY 1 EMAIL SHOULD BE ENTERED
FILLER	CHAR	16	Should be blank space
FILLER	CHAR	72	Should be blank space
FILLER	CHAR	1	Should be blank space
FILLER	CHAR	1	Should be blank space
FILLER	CHAR	10	Should be blank space

Fourth Line (Third Account Holder)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
04	NUMBER	2	M (Line Number of the record)
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	M

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
HLDR FATHER HUSBAND NAME	CHAR	50	O
INCOME TAX PAN	CHAR	10	M
UID	CHAR	16	O / M Mandatory if UID verification flag is present
UID Verification flag	CHAR	1	O UID verification flag 0 – UID Not Verified 2 – UID verified by DP
Filler	CHAR	2	O Special characters are not allowed
IT CIRCLE	CHAR	15	O
MOBILE ISD CODE	NUMBER	6	O / M MANDATORY IF MOBILE NO PRESENT
MOBILE NUMBER	CHAR	17	O / M MANDATORY IF MOBILE ISD CODE PRESENT
EMAIL	CHAR	100	O ONLY 1 EMAIL SHOULD BE ENTERED
FILLER	CHAR	16	Should be blank space
FILLER	CHAR	72	Should be blank space
FILLER	CHAR	1	Should be blank space
FILLER	CHAR	1	Should be blank space
FILLER	CHAR	10	Should be blank space

Fifth Line (Product Details):

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
05	NUMBER	2	M (LINE NUMBER OF THE RECORD)
DATE OF MATURITY	DATE	DATE	O
DP INTERNAL REF NUMBER	CHAR	10	O
DATE OF BIRTH/ORIGIN	DATE	DATE	O
SEX CODE	CHAR	1	O (FEMALE/MALE)
OCCUPATION	CHAR	4	O
LIFE STYLE	CHAR	4	O
GEOGRAPHICAL CODE	CHAR	4	O
EDUCATION/DEGREE	CHAR	4	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
ANNUAL INCOME CODE	NUMBER	4	M
NATIONALITY CODE	CHAR	3	O
LEGAL STATUS CODE	NUMBER	2	O
BO FEE TYPE	NUMBER	2	O
LANGUAGE CODE	NUMBER	2	O
CATEGORY 4 CODE	NUMBER	2	O
BANK OPTION 5	NUMBER	2	O
STAFF / RELATIVE	CHAR	1	O (STAFF/RELATIVE/NONE)
STAFF CODE	CHAR	10	O
25 BYTE ALPHANUMERIC CODE 1 USER TEXT 1	CHAR	38	O
BONAFIDE FLAG	CHAR	1	O VALUES CAN BE 'P' – Physical OR 'E' – Electronic (Easi Easiest) OR 'A' – Online A/c Opening through DP portal
FAMILY ACCOUNT FLAG	CHAR	1	O (Y /N) By default it will be 'N'
EMAIL STATEMENT FLAG	CHAR	1	O (Y/N) IF EMAIL STATEMENT FLAG IS Y, FIRST HOLDER EMAIL IS MANDATORY BY DEFAULT IT WILL BE 'N'
CAS MODE	CHAR	2	O NO: CAS NOT REQUIRED PH: PHYSICAL CAS REQUIRED
MENTAL DISABILITY	CHAR	1	O (Y/N) IF MENTAL DISABILITY IS Y , GUARDIAN DETAILS IS MANDATORY BY DEFAULT IT WILL BE 'N'
FILLER 1	CHAR	1	O Should be blank space
RGESS FLAG	CHAR	1	O
ANNUAL REPORT FLAG	CHAR	1	O
PLEDGE STANDING INSTRUCTION FLAG	CHAR	1	O
EMAIL RTA DOWNLOAD FLAG	CHAR	1	O
BSDA FLAG	CHAR	1	O Y : BSDA N : Non BSDA O : Opted Out

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			(IF NO VALUE ENTERED, THEN DEFAULT VALUE SHALL BE 'N')
25 BYTE ALPHANUMERIC CODE 2 USER TEXT 2	CHAR	50	O
MODE OF OPERATION	NUMBER	4	M Possible values: 1 – Jointly 2 – Anyone or Survivor
NUMERIC 4 (DUMMY)	NUMBER	4	O
NUMERIC 4 (DUMMY)	NUMBER	4	O
SECURITY ACCESS CODE	NUMBER	2	O
BO CATEGORY	NUMBER	2	M
BO SETTLEMENT PLANNING FLAG	CHAR	1	M
DIVIDEND BANK IFSC CODE	CHAR	15	O
RBI REFERENCE NUMBER	CHAR	30	O
RBI APPROVAL DATE	DATE	DATE	O
SEBI REGISTRATION NUMBER	CHAR	24	O
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2	O
SMART CARD REQUIRED	CHAR	1	O (Y / N)
SMART CARD NUMBER	CHAR	20	O
SMART CARD PIN	NUMBER	10	O
ECS/MANDATE	CHAR	1	O
ELECTRONIC CONFIRMATION	CHAR	1	O (Y / N)
DIVIDEND CURRENCY	NUMBER	6	O
GROUP CODE	CHAR	8	O
BO SUB STATUS	NUMBER	4	M
CLEARING CORPORATION ID	NUMBER	4	O
CLEARING MEMBER ID	CHAR	8	O
STOCK EXCHANGE	NUMBER	2	O
CONFIRMATION WAIVED	CHAR	1	O
TRADING ID	CHAR	8	O
BO STATEMENT CYCLE CODE	CHAR	2	M
CUSTODIAN / PMS EMAIL ID	CHAR	50	O ONLY 1 EMAIL SHOULD BE ENTERED
DIVND BANK ACCT TYPE	CHAR	12	M
DIVND BANK CODE	CHAR	12	M

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
DIVND ACCT NUMB	CHAR	20	M
DIVND BANK CCY	NUMBER	6	M

Annual Report Flag can have following values:

1	Physical Annual Report
2	Electronic Annual Report
3	Both Physical and Electronic Annual Report

Sixth Line (POA Link details):

Separate line to link POA for each holder with purpose code 0001, 0002, 0003 or single line to link POA for all holders with purpose code 0004.

This line can be repeated multiple times as 1st, 2nd and 3rd Holders can have multiple POA's.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
06	NUMBER	2	M (LINE NUMBER OF THE RECORD)
POA ID (POA REGISTRATION NO.)	CHAR	16	M
SETUP DATE	DATE	DATE	M
POA TO OPERATE A/C	CHAR	1	O (Y / N)
GPA/BPA FLAG	CHAR	1	M B – Bank Specific G – General purpose S – Settlement P – Margin Pledge A – Both Settlement & Margin Pledge
EFFECTIVE FROM DATE	DATE	DATE	M
EFFECTIVE TO DATE	DATE	DATE	O
USER FIELD 1	NUMBER	4	O
USER FIELD 2	NUMBER	4	O
CA CHARFLD (REMARK)	CHAR	50	O
FILLER 1	CHAR	100	O
FILLER 2	CHAR	20	O
FILLER 3	CHAR	20	O
FILLER 4	CHAR	10	O
FILLER 5	CHAR	10	O
FILLER 6	CHAR	50	O
FILLER 7	CHAR	30	O
FILLER 8	CHAR	30	O
FILLER 9	CHAR	30	O
FILLER 10	CHAR	25	O
FILLER 11	CHAR	25	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
FILLER 12	CHAR	25	O
FILLER 13	CHAR	10	O
FILLER 14	CHAR	1	O
FILLER 15	CHAR	17	O
FILLER 16	CHAR	1	O
FILLER 17	CHAR	17	O
FILLER 18	CHAR	100	O
FILLER 19	CHAR	17	O
FILLER 20	CHAR	10	O
FILLER 21	CHAR	15	O
FILLER 22	CHAR	15	O
FILLER 23	CHAR	50	O
USER TEXT 1 POA Master ID (16 digit)	CHAR	50	M
FILLER 24	CHAR	50	O
USER FIELD 3 (POA LINK PURPOSE CODE)	NUMBER	4	M
FILLER 25	NUMBER	4	O
FILLER 26	NUMBER	4	O
FILLER 27	CHAR	1	O

Seventh Line is for Permanent Address Details purpose code should be 12

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N = 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE (12)	NUMBER	2	M
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
CUST ADDR 1	CHAR	55	M
CUST ADDR 2	CHAR	55	O
CUST ADDR 3	CHAR	55	O
CUST ADDR CNTRY CODE	CHAR	02	M ISO 3166-1 alpha-2 Format
CUST ADDR ZIP	CHAR	10	M
CUST ADDR STATE CODE	CHAR	06	M Mandatory if Country code IN (India). Value to be in ISO

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			3166-2 Format
CUST ADDR STATE	CHAR	25	M Mandatory if Country code other than IN Else will be ignored
CUST ADDR CITY	CHAR	25	M
CITY SEQUENCE NUMBER	NUMBER	2	M If country code is IN then mandatory. Default value will be 00
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	25	O
IT CIRCLE	CHAR	15	O
USER TEXT 1	CHAR	50	O
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4	NUMBER	4	O
USER FIELD 5	NUMBER	4	O
FILLER	CHAR	100	O (It should be blank space)

Eight line onwards lines can be added to enter Additional Name and Address for following purpose codes

- Purpose Code 06 – Nominee Name and address
- Purpose Code 07 – Guardian Name and address
- Purpose Code 08 – Nominee's Guardian Name and address
- Purpose Code 18 – Authorized Signatory

This line is mandatory for Corporate / HUF / Bank / FI / Trust type of accounts.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE	NUMBER	2	M
BO NAME	CHAR	100	M
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	M
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER HUSBAND NAME	CHAR	50	O
CUST ADDR 1	CHAR	55	M
CUST ADDR 2	CHAR	55	O
CUST ADDR 3	CHAR	55	O
CUST ADDR CNTRY CODE	CHAR	02	M ISO 3166-1 alpha-2 Format
CUST ADDR ZIP	CHAR	10	M

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
CUST ADDR STATE CODE	CHAR	06	M Mandatory if Country code IN (India). Value to be in ISO 3166-2 Format
CUST ADDR STATE	CHAR	25	M Mandatory if Country code other than IN Else will be ignored
CUST ADDR CITY	CHAR	25	M
CITY SEQUENCE NUMBER	NUMBER	2	M If country code is IN then mandatory. Default value will be 00
PRIMARY MOBILE NO ISD CODE	NUMBER	6	O / M MANDATORY IF PRIMARY MOBILE NO PRESENT
PRIMARY MOBILE NO	CHAR	17	O / M MANDATORY IF PRIMARY MOBILE NO ISD CODE PRESENT
DATE OF BIRTH	DATE	DATE	O
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	10	O
UID	CHAR	16	O / M Mandatory if UID verification flag is present
UID Verification flag	CHAR	1	O For purpose code 18 UID verification flag 0 – UID Not Verified 2 – UID verified by DP
IT CIRCLE	CHAR	15	O
E-MAIL	CHAR	100	O
USER TEXT 1	CHAR AS REMARKS	50 AS REMARKS	O Authorized Signatory Remarks
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4	NUMBER	4	O
USER FIELD5	NUMBER	4	O
NOMINEE SERIAL NUMBER	NUMBER	2	M (For Purpose code 6 and 8) Values: 01– First nominee 02 – Second Nominee 03 – Third Nominee O – Other than Purpose code 6

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			& 8
RELATIONSHIP WITH BO	NUMBER	2	M (For Purpose code 6,7,8) O – other than Purpose code 6,7,8 Values can Be: 01 – Spouse 02 – Son 03 – Daughter 04 – Father 05 – Mother 06 – Brother 07 – Sister 08 – Grand-Son 09 – Grand-Daughter 10 – Grand-Father 11 – Grand-Mother 12 – Not Provided (if the relationship is not provided on nomination form) 13 – Others
PERCENTAGE OF SHARES	NUMBER	(5,2)	M (For Purpose code 6) O – Optional for other than Purpose code 6 with default value "00000" The file should have value like 10000(100%), 09725(97.25%), 05000(50%) etc
RESIDUAL SECURITIES FLAG	CHAR	1	M (For Purpose code 6) Values: Y- Yes N- No Default value is "N" O (For Purpose code 7,8,18- it should be space)
FILLER	CHAR	16	O Should be blank space
FILLER	CHAR	72	O Should be blank space
FILLER	CHAR	1	O Should be blank space
FILLER	CHAR	1	O Should be blank space
FILLER	CHAR	10	O Should be blank space

The following line is added to the File format for Mapping of CM Accounts With CM POA / **PMS POA / DDPI** Master.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 21 Mapping of CM Accounts With CM POA / PMS POA / DDPI Master.	NUMBER	2	M
MAPPING UNMAPPING FLAG	NUMBER	1	M 1 - MAP 3 - MAP ALL CM ACCOUNTS
EX ID	NUMBER	2	O
CM ID	CHAR	8	O
CM Account To Be Mapped	CHAR	16	O Mandatory if MAPPING UNMAPPING FLAG = 1

The following line is added for capturing BO–UCC Link (Unique Client Code) details.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 22 BO–UCC Link Details	NUMBER	2	M
BO–UCC Link Add/Delete Flag	CHAR	1	M (A – Add Only allowed)
Consent Flag	NUMBER	2	M 1 – BO - UCC Linking will be done only for the BOID setup in this upload file 2 – BO - UCC Linking will be done for all BO accounts with same 1 st Holder PAN
Exchange ID	Number	2	M
UCC (Unique Client Code)	CHAR	11	M
Segment Code (Settlement type code)	CHAR	2	M
CM ID (Clearing Member Code)	CHAR	8	M
TM Code (Trading Member Code)	CHAR	8	M

NOTES:

- No field separators should be used.
- Spaces should be used in case if a field does not have a value.
- All numeric fields should be right justified with zero padding.
- All alphanumeric fields should be left justified with padding as spaces.
- For BO setup, every detail record will contain the following lines. If a line contains no data, then that line will not be written. Line numbers 01, 02, 05 and 07 are mandatory.
- For POA setup, every detail record mandatory Line Numbers are 01 , 02 , 05 only
- For PAN verification flag value needs to be 5 i.e. PAN Verified & Aadhaar linked as confirmed by DP
 - For Only first Holder - 5000
 - For first and second holder - 5500
 - For All Holders - 5550
 - For second and third Holder - 0550
- For Minor account
 - Guardian details are mandatory
 - Minor account should have only first holder
- For Mantra Accounts
 - POA link for all holder details are mandatory
 - In 06 line for POA link details, effective to date should be '0000000'
- POA Link Details
 - Purpose code for POA link are -
 - 0001 for First Holder
 - 0002 for Second Holder
 - 0003 for Third Holder
 - 0004 for All Holders
 - 16 Digit POA Master ID will be entered in User text 1 field
 - Purpose code specify in user field 3
- Product Details
 - ECS/Mandate flag is 'Y' then must specify correct Dividend bank details.
- The additional name and address record line is not mandatory except for Corporate / HUF / CM / Minor and there can be one or more additional lines.
- For Authorized signatory, in BO name specify Authorized signatory Name and in User Text 1 specify remarks. Other Field details not required for Authorized signatory.
- Authorized signatory details mandatory for Corporate / HUF type of accounts / CM
- Signature File Details

- If Signature file flag is 'N' then signature file is not required. If the signature flag is 'Y', then the signature file should be present in same folder. The signature file in image file extension can be - *.jpg / *.gif / *.tif / *.jpeg / *.tiff / *.pic / *.pnj / *.png
- The naming convention of signature file should be as below:
 - b + file extension + serial number + IMAGE FILENAME. file extension
 - For BO bxxxxyyyfilename.jpg
 - Where xxx is 3-digit file extension
 - yyyy is 4-digit serial number of the Bo record.
 - e.g., DP is uploading file with 2 signatures with filename as 08010601.0123 and signature flag is 'Y' in second line (first account holder details of the first record), then naming convention for signature will be as follows:
 - b0123<0001><filename1><image Filename>.jpg (or any valid extension)
 - b0123<0001><filename2>><image Filename>.jpg (or any valid extension)
- the signature image file should exist in the same path where the data file exists.
- the name of the folder in which files exist should be a single word without any spaces and folder name length should be less than or equal to '8'.
- The name of the folder can be "botest" and not as "bo test".
- For BSDA account, SMS registration is mandatory.
- The PAN of the first holder of the proposed BSDA account is not existing as the PAN of first holder in another CDSL account with status 'Active/to be closed'.
- If annual report flag is '2' (Electronic) or 3 'Both Physical And Electronic', first holder email id should exists
- If Mental Disability Flag is 'Y', then the Guardian Details will be mandatory.
- If Email Statement Flag is 'Y' and CAS mode is blank, then CAS Mode will be updated to EC (eCAS).
- BO REQUEST RECEIVE DATE- Date (with time) on which request received from BO.
- Mapping of CM Accounts with CM POA Master
 - The purpose code 21 can be repeated for mapping of multiple CM Accounts with CM POA Master, but the line number should be in incremental order.
- The Family Account Flag will be applicable only for BO and not for POA. Default value for the Family Account Flag will be 'N'.
- The Custodian Email ID will be applicable only for BO and not for POA.
- UID will be mandatory only if UID verification flag is present.
- UID verification flag is not applicable for Guardian, Nominee and Nominees guardian.
- BO Account Opening Request received source field is mandatory and should contain value as either:
 - 1 – Online Account opening through DP Portal Or
 - 2 – Physical Form submitted by BO.

- Income range slabs are to be updated as per below mentioned details.

I Income Range for Individuals -

Code	Description
1	Upto Rs.1 lakhs
6	1,00,001 To 5,00,000
7	5,00,001 To 10,00,000
8	10,00,001 To 25,00,000
9	MORE THAN 25,00,000

II Income Range for Non-Individuals

Code	Description
1	Upto Rs.1 lakhs
6	1,00,001 To 5,00,000
7	5,00,001 To 10,00,000
8	10,00,001 To 25,00,000
10	25,000,01 to 1,00,000,00
11	More than 1,00,000,00

FILE FORMAT: BO MODIFY UPLOAD

File Name : <11><DP ID 6 DIGIT>.<3 to 5 digits running serial number>

Input Type : [M] = Mandatory

[O] = Optional

[N] = Non-Modifiable

Header Record: This record will contain following fields:

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
DP ID	NUMBER	6	M
OPERATOR ID	CHAR	6	M
TOTAL NO. OF RECORDS	NUMBER	5	M

Detail Record: Every detail record will contain following lines. If a line contains no data then that line will not be written. Only Line number 01 is mandatory.

First Line

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
01	NUMBER	2	M (Line Number of the record)
BOID	NUMBER	16	M
BO REQUEST RECEIVE DATE	DATE	14	M

Second Line (First Account Holder)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
02	NUMBER	2	M (Line Number of the record)
PRODUCT NUMBER	NUMBER	4	O (From product 1 to 3 or vice versa)
BO NAME	CHAR	100	O
BO MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	O
BO TITLE	CHAR	10	O
BO SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
ADDRESS 1	CHAR	55	O
ADDRESS 2	CHAR	55	O
ADDRESS 3	CHAR	55	O
COUNTRY CODE	CHAR	02	O ISO 3166-1 alpha-2 Format
ZIP CODE	CHAR	10	O
STATE CODE	CHAR	06	O IF COUNTRY CODE IS IN(INDIA) THEN STATE CODE IS MANDATORY IN ISO 3166-2 FORMAT
STATE	CHAR	25	O IF COUNTRY CODE IS

			IN(INDIA) THIS FIELD WILL BE IGNORED ELSE IT IS MANDATORY
CITY	CHAR	25	O
CITY SEQUENCE NO	NUMBER	2	O If country code is IN then mandatory. Default value will be 00
SMART REGISTRATION	CHAR	1	O Y – SMART REGISTRATION N – SMART DEREGISTRATION
PRIMARY MOBILE NUMBER ISD CODE	NUMBER	6	O MANDATORY IF PRIMARY MOBILE NUMBER PRESENT
PRIMARY MOBILE NUMBER	CHAR	17	O MANDATORY IF PRIMARY MOBILE NUMBER ISD CODE PRESENT
SECONDARY MOBILE / TELEPHONE NO ISD CODE	NUMBER	6	O MANDATORY IF SECONDARY MOBILE / TELEPHONE NUMBER PRESENT
SECONDARY MOBILE / TELEPHONE NUMBER	CHAR	17	O MANDATORY IF SECONDARY MOBILE / TELEPHONE NUMBER ISD CODE PRESENT
SECONDARY EMAIL	CHAR	100	O ONLY ONE EMAIL ID SHOULD BE ENTERED
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	10	O
UID	CHAR	16	O
UID VERIFICATION FLAG	CHAR	1	O 0 – NOT VERIFIED 2 – VERIFIED BY DP
POA Type Flag	CHAR	1	O Mandatory for POA Master Creation If POA Type Flag is 'C', then Purpose Code 21 details will be mandatory for CM POA. If POA Type Flag is 'R', then it will be considered as a Regular POA If POA Type Flag is 'P', then it will be considered as a PMS POA If POA Type Flag is 'D',

			<i>then it will be considered as a DDPI POA</i>
NAME CHANGE REASON CODE	CHAR	2	O (Mandatory if BO NAME is changed)
IT CIRCLE	CHAR	15	O
PRIMARY E-MAIL	CHAR	100	O ONLY ONE EMAIL ID SHOULD BE ENTERED
<i>LEGAL ENTITY IDENTIFIER</i>	CHAR	50	O <i>(To be released later)</i>
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
PAN EXEMPTION CODE	CHAR	4	O 1 st character – 1 st Holder PAN Exemption Code 2 nd character – 2 nd Holder PAN Exemption Code 3 rd character – 3 rd Holder PAN Exemption Code
PAN VERIFICATION FLAG	NUMBER	4	O 1 st character – 1 st Holder PAN Verification Code 2 nd character – 2 nd Holder PAN Verification Code 3 rd character – 3 rd Holder PAN Verification Code
SIGNATURE FILE FLAG	CHAR	1	N (Not Modifiable)
FILLER	CHAR	16	Should be blank space
FILLER	CHAR	72	Should be blank space
FILLER	CHAR	1	Should be blank space
FILLER	CHAR	1	Should be blank space
FILLER	CHAR	10	Should be blank space

Third Line (Second Account Holder)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
03	NUMBER	2	M (Line Number of the record)
NAME	CHAR	100	O
MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	O
TITLE	CHAR	10	O
SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
INCOME TAX PAN	CHAR	10	O
UID	CHAR	16	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
UID VERIFICATION FLAG	CHAR	1	0 0 – NOT VERIFIED 2 – VERIFIED BY DP
NAME CHANGE REASON CODE	CHAR	2	0 (Mandatory if BO name is changed)
IT CIRCLE	CHAR	15	0
MOBILE NUMBER ISD CODE	NUMBER	6	0 MANDATORY IF MOBILE NUMBER IS PRESENT
MOBILE NUMBER	CHAR	17	0 MANDATORY IF MOBILE NUMBER ISD CODE IS PRESENT
EMAIL	CHAR	100	0 ONLY ONE EMAIL ID SHOULD BE ENTERED
FILLER	CHAR	16	Should be blank space
FILLER	CHAR	72	Should be blank space
FILLER	CHAR	1	Should be blank space
FILLER	CHAR	1	Should be blank space
FILLER	CHAR	10	Should be blank space

Fourth Line (Third Account Holder)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
04	NUMBER	2	M (Line Number of the record)
NAME	CHAR	100	0
MIDDLE NAME	CHAR	20	0
LAST/ SEARCH NAME	CHAR	20	0
TITLE	CHAR	10	0
SUFFIX	CHAR	10	0
FATHER / HUSBAND NAME	CHAR	50	0
INCOME TAX PAN	CHAR	10	0
UID	CHAR	16	0
UID VERIFICATION FLAG	CHAR	1	0 0 – NOT VERIFIED 2 – VERIFIED BY DP
NAME CHANGE REASONS CODE	CHAR	2	0 (Mandatory if BO name is changed)
IT CIRCLE	CHAR	15	0
MOBILE NUMBER ISD CODE	NUMBER	6	0 MANDATORY IF MOBILE NUMBER IS PRESENT
MOBILE NUMBER	CHAR	17	0 MANDATORY IF MOBILE NUMBER ISD CODE IS PRESENT

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
EMAIL	CHAR	100	O ONLY ONE EMAIL ID SHOULD BE ENTERED
FILLER	CHAR	16	Should be blank space
FILLER	CHAR	72	Should be blank space
FILLER	CHAR	1	Should be blank space
FILLER	CHAR	1	Should be blank space
FILLER	CHAR	10	Should be blank space

Fifth Line (Product details) –

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
05	NUMBER	2	M (LINE NUMBER OF THE RECORD)
DATE OF MATURITY	DATE	8	N (NOT MODIFIABLE)
DP INTERNAL REF NUMBER	CHAR	10	O
DATE OF BIRTH/ORIGIN	DATE		O (MODIFIABLE)
SEX CODE	CHAR	1	O (FEMALE/MALE)
OCCUPATION	CHAR	4	O
LIFE STYLE	CHAR	4	N (NOT MODIFIABLE)
GEOGRAPHICAL CODE	CHAR	4	O
EDUCATION/DEGREE	CHAR	4	O
ANNUAL INCOME CODE	NUMBER	4	O
NATIONALITY CODE	CHAR	3	O
LEGAL STATUS CODE	NUMBER	2	N (NOT MODIFIABLE)
BO FEE TYPE	NUMBER	2	N (NOT MODIFIABLE)
LANGUAGE CODE	NUMBER	2	O
CATEGORY 4 CODE	NUMBER	2	N (NOT MODIFIABLE)
BANK OPTION 5	NUMBER	2	N (NOT MODIFIABLE)
STAFF / RELATIVE	CHAR	1	O (STAFF / RELATIVE)
STAFF CODE	CHAR	10	O
25 BYTE ALPHANUMERIC CODE 1 USER TEXT 1	CHAR	38	N (NOT MODIFIABLE)
BONAFIDE FLAG	CHAR	1	O VALUES CAN BE 'P' OR 'E'
FAMILY ACCOUNT FLAG	CHAR	1	O (Y/N) By default it will be 'N'
Email Statement Flag	Char	1	O (Y/N) By default it will be 'N'
CAS Mode	Char	2	O NO: CAS not required

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			PH: Physical CAS required By default it will be 'PH'
Mental Disability	Char	1	O Y/N If Mental Disability is Y , Guardian details is mandatory By default it will be 'N'
Preferred Depository Flag For CAS	Char	1	C:CDSL- CDSL only can update flag to 'C' only if CAS flag is 'DN' N: NSDL (DP/CDSL can update flag to 'N' only if CAS flag is 'DC') By default it will be NULL(if not indicated by BO)
RGESS FLAG	CHAR	1	O
ANNUAL REPORT FLAG	CHAR	1	O
PLEDGE STANDING INSTRUCTION FLAG	CHAR	1	O
EMAIL RTA DOWNLOAD FLAG	CHAR	1	O
BSDA FLAG	CHAR	1	O Y : BSDA N : Non BSDA O : Opted Out
25 BYTE ALPHANUMERIC CODE 2 USER TEXT 2	CHAR	50	N (NOT MODIFIABLE)
MODE OF OPERATION	NUMBER	4	O Possible values: 1 – Jointly 2 – Anyone or Survivor
NUMERIC 4 (DUMMY)	NUMBER	4	N (NOT MODIFIABLE)
NUMERIC 4 (DUMMY)	NUMBER	4	N (NOT MODIFIABLE)
SECURITY ACCESS CODE	NUMBER	2	O
BO CATEGORY	NUMBER	2	N (NOT MODIFIABLE)
BO SETTLEMENT PLANNING FLAG	CHAR	1	O
DIVIDEND BANK IFS CODE	CHAR	15	O
RBI REFERENCE NUMBER	CHAR	30	O
RBI APPROVAL DATE	DATE	8	O
SEBI REGISTRATION NUMBER	CHAR	24	O
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2	O
SMART CARD	CHAR	1	N (NOT MODIFIABLE)

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
REQUIRED			
SMART CARD NUMBER	CHAR	20	N (NOT MODIFIABLE)
SMART CARD PIN	NUMBER	10	N (NOT MODIFIABLE)
ECS/MANDATE	CHAR	1	O (MODIFIABLE)
ELECTRONIC CONFIRMATION	CHAR	1	O (Y /N)
DIVIDEND CURRENCY	NUMBER	6	O
GROUP CODE	CHAR	8	O
BO SUB STATUS	NUMBER	4	O
CLEARING CORPORATION ID	NUMBER	4	N (NOT MODIFIABLE)
CLEARING MEMBER ID	CHAR	8	N (NOT MODIFIABLE)
STOCK EXCHANGE	NUMBER	2	N (NOT MODIFIABLE)
CONFIRMATION WAIVED	CHAR	1	O
TRADING ID	CHAR	8	N (NOT MODIFIABLE)
BO STATEMENT CYCLE CODE	CHAR	2	O
CUSTODIAN / PMS EMAIL ID	CHAR	50	O
DIVIDEND BANK ACCT TYPE	CHAR	12	O
DIVIDEND BANK CODE	CHAR	12	O
ACCOUNT NUMBER	CHAR	20	O
ACCOUNT CURRENCY	NUMBER	6	O

Sixth Line (POA Link details): Separate Line to link POA for each holder with Purpose code 0001, 0002 & 0003 or single line to link POA for All holders with purpose code 0004.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
06	NUMBER	2	M (Line Number of the record)
POA Register Number	CHAR	16	N Not Modifiable
SETUP DATE	DATE	8	N Not Modifiable
POA to OPERATE A/C	CHAR	1	O (Y / N)
GPA/BPA FLAG	CHAR	1	O S – Settlement P – Margin Pledge A – Both Settlement & Margin Pledge
EFFECTIVE FROM DATE	DATE	8	O
EFFECTIVE TO DATE	DATE	8	O
USER FIELD 1	NUMBER	4	O
USER FIELD 2	NUMBER	4	O
REMARKS	CHAR	50	O
FILLER 1	CHAR	100	O
FILLER 2	CHAR	20	O
FILLER 3	CHAR	20	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
FILLER 4	CHAR	10	O
FILLER 5	CHAR	10	O
FILLER 6	CHAR	50	O
FILLER 7	CHAR	30	O
FILLER 8	CHAR	30	O
FILLER 9	CHAR	30	O
FILLER 10	CHAR	25	O
FILLER 11	CHAR	25	O
FILLER 12	CHAR	25	O
FILLER 13	CHAR	10	O
FILLER 14	CHAR	1	O
FILLER 15	CHAR	17	O
FILLER 16	CHAR	1	O
FILLER 17	CHAR	17	O
FILLER 18	CHAR	100	O
FILLER 19	CHAR	17	O
FILLER 20	CHAR	25	O
FILLER 21	CHAR	15	O
FILLER 22	CHAR	50	O
16 Digit POA Master ID	CHAR	16	M
User Text 1	CHAR	34	O
FILLER 23	CHAR	50	O
Purpose Code	NUMBER	4	M
Transaction Type	CHAR	4	M Transaction Type Identifier S-Setup M-Modify D-delete
FILLER 24	NUMBER	4	O
SIGNATURE FILE FLAG	CHAR	1	N (Not Modifiable)

Seventh Line: For permanent address

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N = 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE	NUMBER	2	M PURPOSE CODE = 12
NAME	CHAR	100	N (Not Modifiable)
MIDDLE NAME	CHAR	20	N (Not Modifiable)
LAST / SEARCH NAME	CHAR	20	N (Not Modifiable)
TITLE	CHAR	10	O
SUFFIX	CHAR	10	O
FATHER / HUSBAND NAME	CHAR	50	O
ADDRESS 1	CHAR	55	O
ADDRESS 2	CHAR	55	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
ADDRESS 3	CHAR	55	O
COUNTRY CODE	CHAR	02	O ISO 3166-1 alpha-2 Format
ZIP CODE	CHAR	10	O
STATE CODE	CHAR	6	O IF COUNTRY CODE IS IN(INDIA) THEN STATE CODE IS MANDATORY IN ISO 3166-2 FORMAT
STATE	CHAR	25	O
CITY	CHAR	25	O
CITY SEQUENCE NO	NUMBER	2	O If country code is IN then mandatory. Default value will be 00
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	25	O
IT CIRCLE	CHAR	15	O
USER TEXT 1	CHAR	50	O
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4	CHAR	4	O Transaction Type Identifier S-Setup M-Modify
USER FIELD5	NUMBER	4	O
FILLER	CHAR	100	O

Eight line onwards lines can be added to enter Additional Name and Address for following purpose codes

- Purpose Code 06 – Nominee Name and address
- Purpose Code 07 – Guardian Name and address
- Purpose Code 08 – Nominee's Guardian Name and address
- Purpose Code 18 – Authorized Signatory

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE	NUMBER	2	M
NAME	CHAR	100	O
MIDDLE NAME	CHAR	20	O
LAST / SEARCH NAME	CHAR	20	O
TITLE	CHAR	10	O
SUFFIX	CHAR	10	O

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
FATHER / HUSBAND NAME	CHAR	50	O
ADDRESS 1	CHAR	55	O
ADDRESS 2	CHAR	55	O
ADDRESS 3	CHAR	55	O
COUNTRY CODE	CHAR	02	O ISO 3166-1 alpha-2 Format
ZIP CODE	CHAR	10	O
STATE CODE	CHAR	6	O IF COUNTRY CODE IS IN(INDIA) THEN STATE CODE IS MANDATORY IN ISO 3166-2 FORMAT
STATE	CHAR	25	O
CITY	CHAR	25	O
CITY SEQUENCE NO	NUMBER	2	O If country code is IN then mandatory. Default value will be 00
MOBILE / TELEPHONE ISD CODE	CHAR	6	O MANDATORY IF MOBILE NUMBER IS PRESENT
MOBILE / TELEPHONE NUMBER	CHAR	17	O MANDATORY IF MOBILE NUMBER ISD CODE IS PRESENT
DATE OF BIRTH	DATE	8	O
FAX	CHAR	17	O
INCOME TAX PAN	CHAR	10	O
UID	CHAR	16	O
UID VERIFICATION FLAG	CHAR	1	O 0 – NOT VERIFIED 2 – VERIFIED BY DP
NAME CHANGE REASON CODE	CHAR	2	O (Mandatory if NAME is changed)
IT CIRCLE	CHAR	15	O
PRIMARY E-MAIL	CHAR	100	O
USER TEXT 1 (AS Remarks)	CHAR	50	O Authorised Signatory Remarks
USER TEXT 2	CHAR	50	O
USER FIELD 3	NUMBER	4	O
USER FIELD 4	CHAR	4	M Transaction type identifier S-setup

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
			M-modify D-delete
USER FIELD5	NUMBER	4	O For AS Na Seq numb of AS
NOMINEE SERIAL NUMBER	NUMBER	2	M (For Purpose code 6 and 8) Values: 01– First nominee 02 – Second Nominee 03 – Third Nominee O – Other than Purpose code 6 & 8
RELATIONSHIP WITH BO	NUMBER	2	M (For Purpose code 6,7,8) O – other than Purpose code 6,7,8 Values can Be: 01 – Spouse 02 – Son 03 – Daughter 04 – Father 05 – Mother 06 – Brother 07 – Sister 08 – Grand-Son 09 – Grand-Daughter 10 – Grand-Father 11 – Grand-Mother 12 – Not Provided (if the relationship is not provided on nomination form) 13 – Others
PERCENTAGE OF SHARES	NUMBER	(5,2)	M (For Purpose code 6) O – Optional for other than Purpose code 6 with default value "00000" The file should have value like 10000(100%), 09725(97.25%), 05000(50%) etc
RESIDUAL SECURITIES FLAG	CHAR	1	M (For Purpose code 6) Values: Y- Yes N- No Default value is "N" O (For Purpose code 7,8,18- it should be space)
FILLER	CHAR	16	O Should be blank space
FILLER	CHAR	72	O Should be blank space
FILLER	CHAR	1	O Should be blank space

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
FILLER	CHAR	1	O Should be blank space
FILLER	CHAR	10	O Should be blank space

For Mapping of CM Accounts With CM POA / **PMS POA / DDPI** Master.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 21 Mapping of CM Accounts With CM POA / PMS POA / DDPI Master.	NUMBER	2	M
MAPPING UNMAPPING FLAG	NUMBER	1	1- Mapping 2-Unmapping 3- Map All CM Accounts
EX ID	NUMBER	2	O
CM ID	CHAR	8	O
CM Account To Be Mapped	CHAR	16	O Mandatory if MAPPING UNMAPPING FLAG is 1 or 2

The following line is added for capturing BO-UCC Linking / Delinking (Unique Client Code) details.

FIELD DESCRIPTION	FIELD TYPE	FIELD SIZE	INPUT TYPE
N WHERE N > 7	NUMBER	2	M (LINE NUMBER OF THE RECORD)
PURPOSE CODE 22 BO-UCC Linking / Delinking Details	NUMBER	2	M
BO-UCC Link Add/Delete Flag	CHAR	1	M (A-Add and D-Delete. In Both cases all details are mandatory)
Consent Flag	NUMBER	2	M 1-BO-UCC Linking/ Delinking will be done only for the Boid provided in line 01 2- BO-UCC Linking/ Delinking will be done for all BO accounts with same 1 st Holder PAN

Exchange ID	Number	2	M
UCC (Unique Client Code)	CHAR	11	M
Segment Code (Settlement type code)	CHAR	2	M
CM ID (Clearing Member Code)	CHAR	8	M
TM Code (Trading Member Code)	CHAR	8	M

NOTES:

- No field separators should be used.
- Spaces should be used in case if a field does not have a value.
- All numeric fields should be right justified with zero padding.
- All alphanumeric fields should be left-justified with padding as spaces.
- Only the fields to be modified should be entered in the file.
- The Non-Modifiable fields should be entered as spaces.
- If any field is to be modified to blank or zeroes, then @ should be entered.
- For e.g. if the BO Title is to be modified to blanks, then @ should be entered 10 times as the length of the field is 10. @@@@@@@@@@
- DP is allowed to modify PAN, PAN Exemption Code and PAN Verification Code when PAN verification code is '0'
- If PAN Exemption Code is 'C', then only DP is allowed to change PAN, PAN Exemption Code and PAN Verification Code.
- For Permanent address modification User Field 4 is M or blank
- Purpose code for POA link are -
 1. 0001 for First Holder
 2. 0002 for Second Holder
 3. 0003 for Third Holder
 4. 0004 for All Holders – only for POA link setup
- For POA Master ID name and address modify through second line.
- For Mantra accounts, in 06 line for POA link, Effective To Date should be 00000000
- For deletion of POA Link details Specify holder purpose code and POA Master id only for active POA Link
- For modification of PAN for 2nd or 3rd holder, the PAN Verification Flag to be given in Line "02" (second line).
- Product Details
 - ECS Mandate flag is 'Y' then must specify correct Dividend bank details
- For minor to major bo sub status

- Specify major BO sub status
- Specify delete guardian details
- Changes for CAS and Mental Disability Flag
 - If Mental Disability Flag is 'Y', then the Guardian Details will be mandatory
 - For Preferred Depository Flag for CAS, it has the below 2 conditions:
 - C: CDSL- (CDSL only can update flag to 'C' only if CAS flag is 'DN')
 - N: NSDL (DP/CDSL can update flag to 'N' only if CAS flag is 'DC')
 - By default it will be NULL (if not indicated by BO)
- BO REQUEST RECEIVE DATE- Date (with time) on which request received from BO.
- Mapping of CM Accounts With CM POA Master
 - The purpose code 21 can be repeated for mapping of multiple CM Accounts with CM POA Master, but the line number should be in incremental order.
- The Family Account Flag will be applicable only for BO and not for POA.
- The Custodian Email ID will be applicable only for BO and not for POA

Report Documentation

Report ID : DPB9

Report Description : BO DETAILS

Module : BO

File Naming Convention: If BO Id is specified: 08DPB9U.<BO Id>.<Event No>
Else: 08DPB9U. <Event No>

Purpose Code	Description
0	BO ID
1	<i>Name, Correspondence Address, PAN details of first / sole Holder and other details applicable for the product & present in line 5 of BO upload</i>
2	Name, PAN details of second holder
3	Name, PAN details of third holder
4	BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear
5	<i>POA link details – Multiple lines will be present if the account is linked with more than one POA</i>
6	Nominee Name and Address details
7	Guardian Name and Address details
8	Nominee's Guardian Name and Address details
12	Permanent address of First / Sole holder
18	Name of Authorized Signatory for Corporate / HUF accounts. Multiple lines will be present if multiple signatories are present in the account
19	Signature Setup / Modify
21	<i>CM POA / PMS POA/ DDPI Account Mapping Details</i>
22	UCC BO Linking /Delinking Details

The report will contain details of following types of transactions

Code	Description
1	Setup
2	Modify / Freeze SOD Activation / Signature Replace
3	Delete / Closure / Unfreeze / SMS Deregistration for Portfolio Valuation Through EASI / Activation of Closed Accounts
4	POA Expiry /SMS Deregistration / Freeze Expiry / Closure Approval
5	Setup Closure / Blocking SMS Alerts for Portfolio Valuation By DP

6	Closure Approval Days Limit Passed Unblocking SMS Deregistration for Portfolio Valuation Through EASI
---	--

Transaction Source Can Have Following Values

Code	Description
1	ONLINE THROUGH CDAS
2	UPLOAD THROUGH CDAS
3	EOD / SOD
4	EASI

Header Record: -

Field Description	Field Type	Field Length	Value
IDENTIFIER	CHARACTER	1	H
DP ID	NUMBER	6	
TYPE OF DOWNLOAD	CHARACTER	1	I – Incremental F – Full H – History E - Last file of incremental files R – EOD Request Report BO Details O - Online Report BO Details
BUSINESS DATE/HISTORY FROM DATE	DATE	8	DDMMYYYY
SEQUENCE NUMBER	CHARACTER	3	For 'I' and 'E' -3 digit sequence number For 'F' – 'EOD' For 'H' – 'HST' For 'R' – REQ For 'O' - ONL
SYSTEM DATE AND TIME/HISTORY TO DATE	CHARACTER	14	DDMMYYYYHH24MISS

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
BO ID	CHARACTER	16
BUSINESS DATE OF THE TRANSACTION	DATE	8
SOURCE DP	NUMBER	6
OPERATOR ID	CHARACTER	6
SOURCE OF THE TRANSACTION	NUMBER	2
TRANSACTION SYSTEM DATE	DATE	14

Purpose Code – 1 - BO ID, Name, Address, PAN details of first / sole Holder and other details applicable for the product and present in line 5 of BO upload

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY 3 – CLOSURE/ACTIVATION OF CLOSED ACCOUNT 4 – CLOSURE APPROVAL 5 – SETUP CLOSURE	NUMBER	3
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	55
ADDRESS LINE 2	CHARACTER	55
ADDRESS LINE 3	CHARACTER	55
CITY	CHARACTER	25
STATE CODE	CHARACTER	6
STATE	CHARACTER	25
COUNTRY CODE	CHARACTER	2
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
SMART REGISTRATION FLAG	CHARACTER	1
PRIMARY PHONE NUMBER ISD CODE	CHARACTER	6

PRIMARY PHONE NUMBER	CHARACTER	17
SECONDARY PHONE ISD CODE	CHARACTER	6
SECONDARY PHONE NUMBER	CHARACTER	17
PRIMARY EMAIL	CHARACTER	100
FAX	CHARACTER	17
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN – Aadhar link VERIFICATION CODE	CHARACTER	1
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
SECONDARY E-MAIL ID	CHARACTER	100
LEI (LEGAL ENTITY IDENTIFIER)	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
BO ACCOUNT STATUS	NUMBER	1
FREEZE SUSPENSION FLAG	NUMBER	1
BO CATEGORY	NUMBER	2
BO CUST TYPE	NUMBER	2
BO SUB STATUS	NUMBER	4
PRODUCT CODE	NUMBER	4
CLEARING CORPORATION ID	NUMBER	4
CLEARING MEMBER ID	CHARACTER	8
STOCK EXCHANGE	NUMBER	2
TRADING ID	CHARACTER	8
BO STATEMENT CYCLE CODE	CHARACTER	2
ACCOUNT CREATION DATE	DATE	8
DP INTERNAL REFERENCE NUMBER	CHARACTER	10
CONFIRMATION WAIVED	CHARACTER	1
DATE OF BIRTH/ORIGIN	DATE	8
BO ACTIVATION DATE	DATE	8
ELECTRONIC CONFIRMATION	CHARACTER	1
ECS / MANDATE	CHARACTER	1
DIVIDEND BANK ACCOUNT NO	CHARACTER	20
DIVIDEND BANK CODE	CHARACTER	12
DIVIDEND IFS CODE	CHARACTER	11
DIVIDEND BANK CURRENCY	NUMBER	6
DIVIDEND ACCOUNT TYPE	NUMBER	2

ANNUAL INCOME CODE	NUMBER	4
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2
BO SETTLEMENT PLANNING FLAG	CHARACTER	1
EDUCATION/DEGREE	CHARACTER	4
GEOGRAPHICAL CODE	CHARACTER	4
GROUP CODE	CHARACTER	8
LANGUAGE CODE	NUMBER	3
NATIONALITY CODE	CHARACTER	3
OCCUPATION	CHARACTER	4
SECURITY ACCESS CODE	NUMBER	2
SEX CODE	CHARACTER	1
STAFF/RELATIVE	CHARACTER	1
STAFF CODE	CHARACTER	10
RBI REFERENCE NUMBER	CHARACTER	30
RBI APPROVAL DATE	DATE	8
SEBI REGISTRATION NUMBER	CHARACTER	24
CLOSURE APPROVED DATE	DATE	8
CLOSURE DATE	DATE	8
TRANSFER BO ID	CHARACTER	16
BALANCE TRANSFER	CHARACTER	1
CLOSURE REASON CODE	NUMBER	2
CLOSURE INITIATED BY	CHARACTER	1
CLOSURE REMARK	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	16
NAME CHANGE REASON CODE	CHARACTER	25
EMAIL STATEMENT FLAG	CHARACTER	1
CAS MODE	CHARACTER	2
PREFERRED DEPOSITORY FLAG	CHARACTER	1
TRUST REGISTER	CHARACTER	1
RGESS FLAG	CHARACTER	1
ANNUAL REPORT FLAG	CHARACTER	1
PLEDGE STANDING INSTRUCTION FLAG	CHARACTER	1
EMAIL RTA DOWNLOAD	CHARACTER	1
BASIC SERVICES DEMAT A/C	CHARACTER	1
MASTER POA TYPE FLAG	CHARACTER	1
FAMILY ACCOUNT FLAG	CHARACTER	1

CUSTODIAN/PMS EMAIL ID	CHARACTER	100
UID VERIFICATION FLAG	NUMBER	1
MODE OF OPERATION	CHARACTER	4
FILLER	CHARACTER	72
MOBILE VERIFICATION FLAG	CHARACTER	1
EMAIL VERIFICATION FLAG	CHARACTER	1
NAME VERIFICATION FLAG	CHARACTER	1
ADDRESS VERIFICATION FLAG	CHARACTER	1
INCOME VERIFICATION FLAG	CHARACTER	1
BO Account Opening Source	CHARACTER	1
BONAFIDE FLAG	CHARACTER	1

Purpose Code – 2, 3 Name, PAN details of second, third holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY	NUMBER	3
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN – Aadhar link VERIFICATION CODE	CHARACTER	1
IT CIRCLE/WARD/DIST /WARD/DISTRICT	CHARACTER	15
ADDRESS LINE 1	CHARACTER	55
ADDRESS LINE 2	CHARACTER	55
ADDRESS LINE 3	CHARACTER	55
CITY	CHARACTER	25
STATE	CHARACTER	25
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
SETUP DATE	DATE	8

DATE OF BIRTH SECOND HOLDER	DATE	8
PRIMARY EMAIL	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	16
NAME CHANGE REASON CODE	CHARACTER	25
PRIMARY PHONE NUMBER ISD CODE	CHARACTER	6
PRIMARY PHONE NUMBER	CHARACTER	17
UID VERIFICATION FLAG	NUMBER	1
FILLER	CHARACTER	16
FILLER	CHARACTER	72
MOBILE VERIFICATION FLAG	CHARACTER	1
EMAIL VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	10
FILLER	CHARACTER	25
FILLER	CHARACTER	25
NAME VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1

Purpose Code – 4 BO level Freeze Details. If multiple BO level freeze requests are present for the account, multiple records will display

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 – SETUP 2 – FREEZE SOD ACTIVATION 3 - UNFREEZE 4 – FREEZE EXPIRY	NUMBER	3
FREEZE ID	NUMBER	8
FREEZE INITIATION DATE	DATE	8
FREEZE ACTIVATION DATE	DATE	8
FREEZE EXPIRY DATE	DATE	8
FREEZE INITIATED BY	NUMBER	1
FROZEN FOR	NUMBER	1
FREEZE REASON CODE	NUMBER	2

FREEZE STATUS	CHARACTER	1
FREEZE ACTIVATION TYPE	NUMBER	1
FREEZE SUB OPTION	NUMBER	1
FREEZE REMARKS	CHARACTER	100

Purpose Code – 5 POA link details – Multiple lines will be present, if the account is linked with more than one POA

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY 3 - DELETE 4 – EXPIRY	NUMBER	3
MASTER POA ID	CHARACTER	16
POA REGISTRAR NUMBER	CHARACTER	16
SETUP DATE	DATE	8
GPA /BPA FLAG B – Bank Specific G – General purpose S – Settlement P – Margin Pledge A – Both Settlement & Margin Pledge	CHARACTER	1
EFFECTIVE FORM DATE	DATE	8
EFFECTIVE TO DATE	DATE	8
REMARKS	CHARACTER	50
HOLDER NUMBER	NUMBER	1
POA STATUS	CHARACTER	1

Purpose Code – 6 Nominee Name and Address details

Purpose Code – 7 Guardian Name and Address details

Purpose Code – 8 Nominee's Guardian Name and Address details

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY 3 – DELETE	NUMBER	3
TITLE	CHARACTER	10

NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	55
ADDRESS LINE 2	CHARACTER	55
ADDRESS LINE 3	CHARACTER	55
CITY	CHARACTER	25
STATE CODE	CHARACTER	6
STATE	CHARACTER	25
COUNTRY CODE	CHARACTER	2
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIMARY PHONE NUMBER ISD CODE	CHARACTER	6
PRIMARY PHONE NUMBER	CHARACTER	17
FILLER	CHARACTER	1
FILLER	CHARACTER	17
FILLER	CHARACTER	92
FAX	CHARACTER	17
PAN/GIR	CHARACTER	25
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
PRIMARY E-MAIL ID	CHARACTER	100
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH	DATE	8
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
FILLER	CHARACTER	50
UNIQUE IDENTIFICATION NUMBER	CHARACTER	16
NAME CHANGE REASON CODE	CHAR	2
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25

NAME VERIFICATION FLAG	CHARACTER	1
ADDRESS VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
RESIDUAL SECURITIES FLAG	CHARACTER	1
NOMINEE SERIAL NUMBER	NUMBER	2
RELATIONSHIP WITH BO	NUMBER	2
PERCENTAGE OF SHARES	NUMBER	(5,2)
FILLER	CHARACTER	16
FILLER	CHARACTER	72
MOBILE VERIFICATION FLAG	CHARACTER	1
EMAIL VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	10

Purpose Code – 12 Permanent address of First / Sole holder

Field Description	Data Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY	NUMBER	3
ADDRESS LINE 1	CHARACTER	55
ADDRESS LINE 2	CHARACTER	55
ADDRESS LINE 3	CHARACTER	55
CITY	CHARACTER	25
STATE CODE	CHARACTER	6
STATE	CHARACTER	25
COUNTRY CODE	CHARACTER	2
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
ADDRESS VERIFICATION FLAG	CHARACTER	1
FAX	CHARACTER	17
FILLER	CHARACTER	50

Purpose Code – 18 Authorized Signatory for Corporate / HUF accounts/ CM

Multiple lines will be present, if Authorized signatories are multiple in the account.

Field Description	Field Type	Field Length
-------------------	------------	--------------

DPB9

Annexure-C

PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 – MODIFY 3 – DELETE	NUMBER	3
NA SEQUENCE NUMBER	NUMBER	4
BO NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/ SEARCH NAME	CHARACTER	20
REMARKS	CHARACTER	50
NAME CHANGE REASON CODE	CHARACTER	2
MOBILE NO ISD CODE	CHARACTER	6
MOBILE NUMBER	CHARACTER	17
EMAIL-ID	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	16
UID VERIFICATION FLAG	Character	1
FILLER	CHARACTER	16
FILLER	CHARACTER	72
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	10

Purpose Code – 19 Signatures

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION 1 - SETUP 2 - DELETE	NUMBER	3
IMAGE FILE NAME	VARCHAR2	20
SIGNATURE SETUP DATE	DATE	8

Purpose Code – 21 – CM POA / PMS POA/ DDPI Account Mapping details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
-------------------	------------	--------------

PURPOSE CODE	NUMBER	2
MAPPING UNMAPPING FLAG (1 - Mapped 2 - Unmapped)	NUMBER	1
Ex id	NUMBER	2
CM ID	CHARACTER	8
CM Account To Be Mapped	CHARACTER	16

Line no. 21 will be repeated for each CM POA / **PMS POA/DDPI** Account Mapping combination

Purpose Code – 22 – BO–UCC Linking/ Delinking details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
ADD/DELETE FLAG	CHARACTER	1
LINK STATUS	CHARACTER	1
CONSENT FLAG	NUMBER	2
EXCHANGE ID	NUMBER	2
UCC	CHARACTER	11
SEGMENT CODE	CHARACTER	2
CM ID	CHARACTER	8
TM CODE	CHARACTER	8

Line no. 22 will be repeated for each UCC - CM – TM – EXID – SEGMENT – BOID combination

Summary Record: -

Field Description	Field Type	Field Length	Value
IDENTIFIER	CHARACTER	1	T
TOTAL NUMBER OF RECORDS	NUMBER	9	
BUSINESS DATE	DATE	8	(8) -DDMMYYYY
SYSTEM DATE AND TIME	CHARACTER	14	DDMMYYYYHH24MISS

Note: - For BO Common Download

- 1) For a BO, Purpose Code 0 and only the changed purpose code lines will be printed.
For e.g.
 - a. When BO is setup, all applicable purpose codes will be printed.
 - b. After activation, Purpose Code 0 and all fields of Purpose Code 1 will be printed
(including modified Account Status as well as non-modified fields of Purpose Code 1).
(Removed. It will be printed as part of above setup record)

- c. Subsequently, if nominee is modified, Purpose Code 0 and all fields of Purpose Code 6 will be printed (including modified fields and non-modified fields of nominee).
- d. If address details of all joint holders are modified, Purpose Codes 0 and all fields of Purpose Code 1, 2 and 3 will be printed. (including modified address fields and non-modified fields of all joint holders)

Note: -

For a BO, LINE Code 0 and only the changed LINE code lines will be printed. For e.g.

- 1) When BO is setup, all applicable Line codes will be printed.
- 2) After activation, Line Code 0 and all fields of Line Code 1 will be printed (including modified Account Status as well as non-modified fields of Line Code 1).
- 3) Subsequently, if nominee is modified, Line Code 0 and all fields of Line Code 6 will be printed (including modified fields and non-modified fields of nominee).
- 4) If address details of any joint holders are modified, Line Codes 0 and all fields of relevant Line (corresponding to the joint holder) Code 1, 2 and 3 will be printed. (including modified address fields and non-modified fields of that joint holder)

FILE NAMING CONVENTIONS:

Report Name	Header Record	Type of Download
08DPB9U.<DDMMYYYY(Business Date)>.001	H<DPID(6)>I<DDMMYYYY(Business Date)>001<ddmmyyyyHHMiSS(Generation Date & Time)>	I - Incremental
08DPB9U.<DDMMYYYY(Business Date)>.002	H<DPID(6)>I<DDMMYYYY(Business Date)>002<ddmmyyyyHHMiSS(Generation Date & Time)>	I - Incremental
08DPB9U.<DDMMYYYY(Business Date)>.003	H<DPID(6)>E<DDMMYYYY(Business Date)>003<ddmmyyyyHHMiSS(Generation Date & Time)>	E - Last file of incremental files
08DPB9U.<DDMMYYYY(Business Date)>.EOD	H<DPID(6)>F<DDMMYYYY(Business Date)>EOD<ddmmyyyy(Business Date)>000000	F – Full
08DPB9U.<DDMMYYYY(Business Date)>.HST.<EVENT ID>	H<DPID(6)>H<DDMMYYYY(From Date)>HST<DDMMYYYY(To Date)>000000	H – History
08DPB9U.<DDMMYYYY(Business Date)>.ONL.<EVENT ID>	H<DPID(6)>O<DDMMYYYY(Business Date)>ONL<DDMMYYYY(Business Date)>000000	O - Online

Report Documentation

Report ID : DPS8 (Format similar to DPB9)
 Report Description : BO DETAILS
 Module : BO

Purpose Code	Description
0	BO ID
1	<i>Name, Correspondence Address, PAN details of first / sole Holder and other details applicable for the product & present in line 5 of BO upload</i>
2	Name, PAN details of second holder
3	Name, PAN details of third holder
4	BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear
5	<i>POA link details – Multiple lines will be present if the account is linked with more than one POA</i>
6	Nominee Name and Address details
7	Guardian Name and Address details
8	Nominee's Guardian Name and Address details
12	Permanent address of First / Sole holder
18	Name of Authorized Signatory for Corporate / HUF accounts. Multiple lines will be present if multiple signatories are present in the account
19	Signature Setup / Modify
21	<i>CM POA / PMS POA/ DDPI Account Mapping Details</i>
22	UCC BO LINKING /DELINKING DETAILS

Gaps in the purpose code numbering, given above, are deliberate.

Header Record: -

Description	Data Type	Length	Value
IDENTIFIER	CHAR	1	H
DP ID	NUMBER	6	DP ID
FILLER			
BUSINESS DATE/HISTORY FROM DATE	DATE	8	DDMMYYYY
FILLER			
SYSTEM DATE AND TIME/HISTORY TO DATE	CHAR	14	DDMMYYYYHH24MISS

Purpose Code – 0 BO ID

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
BO ID	CHARACTER	16
BUSINESS DATE	DATE	8
SOURCE DP	NUMBER	6
FILLER		
FILLER		
FILLER		

Purpose Code – 1 - BO ID, Name, Address, PAN details of first / sole Holder and other details applicable for the product and present in line 5 of BO upload

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	55

DPS8**Annexure - D**

ADDRESS LINE 2	CHARACTER	55
ADDRESS LINE 3	CHARACTER	55
CITY	CHARACTER	25
STATE CODE	CHARACTER	6
STATE	CHARACTER	25
COUNTRY CODE	CHARACTER	2
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
SMART REGISTRATION FLAG	CHARACTER	1
PRIMARY PHONE NUMBER ISD CODE	CHARACTER	6
PRIMARY PHONE NUMBER	CHARACTER	17
SECONDARY PHONE ISD CODE	CHARACTER	6
SECONDARY PHONE NUMBER	CHARACTER	17
PRIMARY EMAIL	CHARACTER	100
FAX	CHARACTER	17
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN – Aadhar link VERIFICATION CODE	CHARACTER	1
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
SECONDARY E-MAIL ID	CHARACTER	100
LEI (LEGAL ENTITY IDENTIFIER)	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
BO ACCOUNT STATUS	NUMBER	1
FREEZE SUSPENSION FLAG	NUMBER	1
BO CATEGORY	NUMBER	2
BO CUST TYPE	NUMBER	2
BO SUB STATUS	NUMBER	4
PRODUCT CODE	NUMBER	4
CLEARING CORPORATION ID	NUMBER	4
CLEARING MEMBER ID	CHARACTER	8
STOCK EXCHANGE	NUMBER	2
TRADING ID	CHARACTER	8
BO STATEMENT CYCLE CODE	CHARACTER	2
ACCOUNT CREATION DATE	DATE	8
DP INTERNAL REFERENCE NUMBER	CHARACTER	10

DPS8**Annexure - D**

CONFIRMATION WAIVED	CHARACTER	1
DATE OF BIRTH/ORIGIN	DATE	8
BO ACTIVATION DATE	DATE	8
ELECTRONIC CONFIRMATION	CHARACTER	1
ECS / MANDATE	CHARACTER	1
DIVIDEND BANK ACCOUNT NO	CHARACTER	20
DIVIDEND BANK CODE	CHARACTER	12
DIVIDEND IFS CODE	CHARACTER	11
DIVIDEND BANK CURRENCY	NUMBER	6
DIVIDEND ACCOUNT TYPE	NUMBER	2
ANNUAL INCOME CODE	NUMBER	4
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2
BO SETTLEMENT PLANNING FLAG	CHARACTER	1
EDUCATION/DEGREE	CHARACTER	4
GEOGRAPHICAL CODE	CHARACTER	4
GROUP CODE	CHARACTER	8
LANGUAGE CODE	NUMBER	3
NATIONALITY CODE	CHARACTER	3
OCCUPATION	CHARACTER	4
SECURITY ACCESS CODE	NUMBER	2
SEX CODE	CHARACTER	1
STAFF/RELATIVE	CHARACTER	1
STAFF CODE	CHARACTER	10
RBI REFERENCE NUMBER	CHARACTER	30
RBI APPROVAL DATE	DATE	8
SEBI REGISTRATION NUMBER	CHARACTER	24
CLOSURE APPROVED DATE	DATE	8
CLOSURE DATE	DATE	8
TRANSFER BO ID	CHARACTER	16
BALANCE TRANSFER	CHARACTER	1
CLOSURE REASON CODE	NUMBER	2
CLOSURE INITIATED BY	CHARACTER	1
CLOSURE REMARK	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	16
NAME MODIFICATION REASON CODE	CHARACTER	25
EMAIL STATEMENT FLAG	CHARACTER	1

DPS8**Annexure - D**

CAS MODE	CHARACTER	2
PREFERRED DEPOSITORY FLAG	CHARACTER	1
TRUST REGISTER	CHARACTER	1
RGESS FLAG	CHARACTER	1
ANNUAL REPORT FLAG	CHARACTER	1
PLEDGE STANDING INSTRUCTION FLAG	CHARACTER	1
EMAIL RTA DOWNLOAD	CHARACTER	1
BASIC SERVICES DEMAT A/C	CHARACTER	1
MASTER POA TYPE FLAG	CHARACTER	1
FAMILY ACCOUNT FLAG	CHARACTER	1
CUSTODIAN/PMS EMAIL ID	CHARACTER	100
UID VERIFICATION FLAG	NUMBER	1
Mode of Operation	CHARACTER	4
FILLER	CHARACTER	72
MOBILE VERIFICATION FLAG	CHARACTER	1
EMAIL VERIFICATION FLAG	CHARACTER	1
NAME VERIFICATION FLAG	CHARACTER	1
ADDRESS VERIFICATION FLAG	CHARACTER	1
INCOME VERIFICATION FLAG	CHARACTER	1
BO Account Opening Source (Online / Offline) flag	CHARACTER	1
BONAFIDE FLAG	CHARACTER	1

Purpose Code – 2, 3 - Name, PAN details of Second, Third holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN – Aadhar link VERIFICATION CODE	CHARACTER	1
IT CIRCLE /WARD/ DIST/WARD/ DISTRICT	CHARACTER	15

DPS8**Annexure - D**

ADDRESS LINE 1	CHARACTER	55
ADDRESS LINE 2	CHARACTER	55
ADDRESS LINE 3	CHARACTER	55
CITY	CHARACTER	25
STATE CODE	CHARACTER	6
STATE	CHARACTER	25
COUNTRY CODE	CHARACTER	2
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH OF SECOND / THIRD HOLDER	DATE	8
PRIMARY EMAIL	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	16
NAME CHANGE REASON CODE	CHARACTER	25
PRIMARY PHONE NUMBER ISD CODE	CHARACTER	6
PRIMARY PHONE NUMBER	CHARACTER	17
UID VERIFICATION FLAG	NUMBER	1
FILLER	CHARACTER	16
FILLER	CHARACTER	72
MOBILE VERIFICATION FLAG	CHARACTER	1
EMAIL VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	10
FILLER	CHARACTER	25
FILLER	CHARACTER	25
NAME VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1

Purpose Code – 4 - BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
FREEZE ID	NUMBER	8

FREEZE INITIATION DATE	DATE	8
FREEZE ACTIVATION DATE	DATE	8
FREEZE EXPIRY DATE	DATE	8
FREEZE INITIATED BY	NUMBER	1
FROZEN FOR	NUMBER	1
FREEZE REASON CODE	NUMBER	2
FREEZE STATUS	CHARACTER	1
FREEZE ACTIVATION TYPE	NUMBER	1
FREEZE SUB OPTION	NUMBER	1
FREEZE REMARKS	CHARACTER	100

Purpose Code – 5 - POA link details

Multiple lines will be present if the account is linked with more than one POA

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
MASTER POA ID	CHARACTER	16
POA REGISTRAR NUMBER	CHARACTER	16
SETUP DATE	DATE	8
GPA /BPA FLAG B – Bank Specific G – General purpose S – Settlement P – Margin Pledge A – Both Settlement & Margin Pledge	CHARACTER	1
EFFECTIVE FROM DATE	DATE	8
EFFECTIVE TO DATE	DATE	8
REMARKS	CHARACTER	50
HOLDER NUMBER	NUMBER	1
POA STATUS	CHARACTER	1

Purpose Code – 6 Nominee Name and Address details

Purpose Code – 7 Guardian Name and Address details

Purpose Code – 8 Nominee's Guardian Name and Address details

Field Description	Field Type	Field Length
-------------------	------------	--------------

DPS8**Annexure - D**

PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	55
ADDRESS LINE 2	CHARACTER	55
ADDRESS LINE 3	CHARACTER	55
CITY	CHARACTER	25
STATE CODE	CHARACTER	6
STATE	CHARACTER	25
COUNTRY CODE	CHARACTER	2
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIMARY PHONE NUMBER ISD CODE	CHARACTER	6
PRIMARY PHONE NUMBER	CHARACTER	17
FILLER	CHARACTER	1
FILLER	CHARACTER	17
FILLER	CHARACTER	92
FAX	CHARACTER	17
PAN/GIR	CHARACTER	25
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
PRIMARY E-MAIL ID	CHARACTER	100
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH	DATE	8
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
FILLER	CHARACTER	50
UNIQUE IDENTIFICATION NUMBER	CHARACTER	16
NAME CHANGE REASON CODE	CHARACTER	2
FILLER	CHARACTER	25
FILLER	CHARACTER	25

DPS8**Annexure - D**

NAME VERIFICATION FLAG	CHARACTER	1
ADDRESS VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
RESIDUAL SECURITIES FLAG	CHARACTER	1
NOMINEE SERIAL NUMBER	NUMBER	2
RELATIONSHIP WITH BO	NUMBER	2
PERCENTAGE OF SHARES	NUMBER	(5,2)
FILLER	CHARACTER	16
FILLER	CHARACTER	72
MOBILE VERIFICATION FLAG	CHARACTER	1
EMAIL VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	10

Purpose Code – 12 Permanent address of First / Sole holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
ADDRESS LINE 1	CHARACTER	55
ADDRESS LINE 2	CHARACTER	55
ADDRESS LINE 3	CHARACTER	55
CITY	CHARACTER	25
STATE CODE	CHARACTER	6
STATE	CHARACTER	25
COUNTRY CODE	CHARACTER	2
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
ADDRESS VERIFICATION FLAG	CHARACTER	1
FAX	CHARACTER	17
FILLER	CHARACTER	50

Purpose Code – 18 - Authorized Signatory for Corporate / HUF accounts

Multiple lines will be present, if Authorized signatories are multiple signatories are in the account.

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
NA SEQUENCE NUMBER	NUMBER	4
Authorised Signatory Name	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/ SEARCH NAME	CHARACTER	20
REMARKS	CHARACTER	50
NAME CHANGE REASON CODE	CHARACTER	2
MOBILE NO ISD CODE	CHARACTER	6
MOBILE NUMBER	CHARACTER	17
EMAIL-ID	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	16
UID VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	16
FILLER	CHARACTER	72
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	10

Purpose Code – 19 - Signature

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
Image File Name	VARCHAR2	20
Signature Setup Date	DATE	8

Purpose Code: 21

CM POA **/ PMS POA / DDPI** Account Mapping details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
MAPPING UNMAPPING FLAG (1 - Mapped 2 - Unmapped)	NUMBER	1
Ex id	NUMBER	2

DPS8**Annexure - D**

CM ID	CHARACTER	8
CM Account To Be Mapped	CHARACTER	16

Line no. 21 will be repeated for each CM POA / **PMS POA / DDPI** Account Mapping combination

Purpose Code – 22 – BO–UCC Linking / Delinking details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
FILLER	CHARACTER	1
LINK STATUS	CHARACTER	1
FILLER	NUMBER	2
EXCHANGE ID	NUMBER	2
UCC	CHARACTER	11
SEGMENT CODE	CHARACTER	2
CM ID	CHARACTER	8
TM CODE	CHARACTER	8

Line no. 22 will be repeated for each UCC - CM – TM – SEGMENT – EXID – BOID combination

Summary Record: -

Description	Data Type	Length	Value
IDENTIFIER	CHAR	1	T
TOTAL NUMBER OF RECORDS	NUMBER	9	~~
BUSINESS DATE	DATE	8	(8) –DDMMYYYY
SYSTEM DATE AND TIME	CHAR	14	DDMMYYYYHH24MISS

Report Documentation

Report ID : DPS9

Report Description : BO DETAILS

File Naming Convention: If BO Id is specified: 08DPS9U.<BO Id>.<Event No>
 Else: 08DPS9U.<Event No>

Purpose Code	Description
0	BO ID
1	<i>Name, Correspondence Address, PAN details of first / sole Holder and other details applicable for the product & present in line 5 of BO upload</i>
2	Name, PAN details of second holder
3	Name, PAN details of third holder
4	BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear
5	<i>POA link details – Multiple lines will be present if the account is linked with more than one POA</i>
6	Nominee Name and Address details
7	Guardian Name and Address details
8	Nominee's Guardian Name and Address details
12	Permanent address of First / Sole holder
18	Name of Authorized Signatory for Corporate / HUF accounts. Multiple lines will be present if multiple signatories are present in the account
19	Signature Setup / Modify
21	<i>CM POA / PMS POA / DDPI Account Mapping Details</i>
22	UCC BO LINKING /DELINKING DETAILS

Gaps in the purpose code numbering, given above, are deliberate.

Header Record: -

Description	Data Type	Length	Value
IDENTIFIER	CHARACTER	1	H
DP ID	NUMBER	6	DP ID

FILLER			
BUSINESS DATE/HISTORY FROM DATE	DATE	8	DDMMYYYY
FILLER			
SYSTEM DATE AND TIME/HISTORY TO DATE	CHARACTER	14	DDMMYYYYHH24MISS

Purpose Code – 0 BO ID

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
BO ID	CHARACTER	16
BUSINESS DATE	DATE	8
SOURCE DP	NUMBER	6
FILLER		
FILLER		
FILLER		

Purpose Code – 1 (Line 01) BO ID, Name, Address, PAN details of first / sole Holder and other details applicable for the product and present in line 5 of BO upload

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	55
ADDRESS LINE 2	CHARACTER	55
ADDRESS LINE 3	CHARACTER	55
CITY	CHARACTER	25
STATE CODE	CHARACTER	6
STATE	CHARACTER	25
COUNTRY CODE	CHARACTER	2

COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
SMART REGISTRATION FLAG	CHARACTER	1
PRIMARY PHONE NUMBER ISD CODE	CHARACTER	6
PRIMARY PHONE NUMBER	CHARACTER	17
SECONDARY PHONE ISD CODE	CHARACTER	6
SECONDARY PHONE NUMBER	CHARACTER	17
PRIMARY EMAIL	CHARACTER	100
FAX	CHARACTER	17
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN – Aadhar link VERIFICATION CODE	CHARACTER	1
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
SECONDARY E-MAIL ID	CHARACTER	100
LEI (LEGAL ENTITY IDENTIFIER)	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4
BO ACCOUNT STATUS	NUMBER	1
FREEZE SUSPENSION FLAG	NUMBER	1
BO CATEGORY	NUMBER	2
BO CUST TYPE	NUMBER	2
BO SUB STATUS	NUMBER	4
PRODUCT CODE	NUMBER	4
CLEARING CORPORATION ID	NUMBER	4
CLEARING MEMBER ID	CHARACTER	8
STOCK EXCHANGE	NUMBER	2
TRADING ID	CHARACTER	8
BO STATEMENT CYCLE CODE	CHARACTER	2
ACCOUNT CREATION DATE	DATE	8
DP INTERNAL REFERENCE NUMBER	CHARACTER	10
CONFIRMATION WAIVED	CHARACTER	1
DATE OF BIRTH/ORIGIN	DATE	8
BO ACTIVATION DATE	DATE	8
ELECTRONIC CONFIRMATION	CHARACTER	1

ECS / MANDATE	CHARACTER	1
DIVIDEND BANK ACCOUNT NO	CHARACTER	20
DIVIDEND BANK CODE	CHARACTER	12
DIVIDEND IFS CODE	CHARACTER	11
DIVIDEND BANK CURRENCY	NUMBER	6
DIVIDEND ACCOUNT TYPE	NUMBER	2
ANNUAL INCOME CODE	NUMBER	4
BENEFICIARY TAX DEDUCTION STATUS	NUMBER	2
BO SETTLEMENT PLANNING FLAG	CHARACTER	1
EDUCATION/DEGREE	CHARACTER	4
GEOGRAPHICAL CODE	CHARACTER	4
GROUP CODE	CHARACTER	8
LANGUAGE CODE	NUMBER	3
NATIONALITY CODE	CHARACTER	3
OCCUPATION	CHARACTER	4
SECURITY ACCESS CODE	NUMBER	2
SEX CODE	CHARACTER	1
STAFF/RELATIVE	CHARACTER	1
STAFF CODE	CHARACTER	10
RBI REFERENCE NUMBER	CHARACTER	30
RBI APPROVAL DATE	DATE	8
SEBI REGISTRATION NUMBER	CHARACTER	24
CLOSURE APPROVED DATE	DATE	8
CLOSURE DATE	DATE	8
TRANSFER BO ID	CHARACTER	16
BALANCE TRANSFER	CHARACTER	1
CLOSURE REASON CODE	NUMBER	2
CLOSURE INITIATED BY	CHARACTER	1
CLOSURE REMARK	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	16
NAME CHANGE REASON CODE	CHARACTER	25
EMAIL STATEMENT FLAG	CHARACTER	1
CAS MODE	CHARACTER	2
PREFERRED DEPOSITORY FLAG	CHARACTER	1

TRUST REGISTER	CHARACTER	1
RGESS FLAG	CHARACTER	1
ANNUAL REPORT FLAG	CHARACTER	1
PLEDGE STANDING INSTRUCTION FLAG	CHARACTER	1
EMAIL RTA DOWNLOAD	CHARACTER	1
BASIC SERVICES DEMAT A/C	CHARACTER	1
MASTER POA TYPE FLAG	CHARACTER	1
FAMILY ACCOUNT FLAG	CHARACTER	1
CUSTODIAN/PMS EMAIL ID	CHARACTER	100
UID VERIFICATION FLAG	NUMBER	1
MODE OF OPERATION	CHARACTER	4
FILLER	CHARACTER	72
MOBILE VERIFICATION FLAG	CHARACTER	1
EMAIL VERIFICATION FLAG	CHARACTER	1
NAME VERIFICATION FLAG	CHARACTER	1
ADDRESS VERIFICATION FLAG	CHARACTER	1
INCOME VERIFICATION FLAG	CHARACTER	1
BO Account Opening Source	CHARACTER	1
BONAFIDE FLAG	CHARACTER	1

Purpose Code – 2, 3 Name, PAN details of second, third holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
PAN EXEMPTION CODE	CHARACTER	1
PAN/GIR	CHARACTER	25
PAN – Aadhar link VERIFICATION CODE	CHARACTER	1
IT CIRCLE / WARD/ DISTRICT	CHARACTER	15

ADDRESS LINE 1	CHARACTER	55
ADDRESS LINE 2	CHARACTER	55
ADDRESS LINE 3	CHARACTER	55
CITY	CHARACTER	25
STATE CODE	CHARACTER	6
STATE	CHARACTER	25
COUNTRY CODE	CHARACTER	2
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH SECOND HOLDER	DATE	8
PRIMARY EMAIL	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	16
NAME CHANGE REASON CODE	CHARACTER	25
PRIMARY PHONE NUMBER ISD CODE	CHARACTER	6
PRIMARY PHONE NUMBER	CHARACTER	17
UID VERIFICATION FLAG	NUMBER	1
FILLER	CHARACTER	16
FILLER	CHARACTER	72
MOBILE VERIFICATION FLAG	CHARACTER	1
EMAIL VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	10
FILLER	CHARACTER	25
FILLER	CHARACTER	25
NAME VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1

Purpose Code – 4 - BO level Freeze Details. If multiple BO level freeze requests are present for the account multiple records will appear

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2

FILLER		
FREEZE ID	NUMBER	8
FREEZE INITIATION DATE	DATE	8
FREEZE ACTIVATION DATE	DATE	8
FREEZE EXPIRY DATE	DATE	8
FREEZE INITIATED BY	NUMBER	1
FROZEN FOR	NUMBER	1
FREEZE REASON CODE	NUMBER	2
FREEZE STATUS	CHARACTER	1
FREEZE ACTIVATION TYPE	NUMBER	1
FREEZE SUB OPTION	NUMBER	1
FREEZE REMARKS	CHARACTER	100

Purpose Code – 5 POA link details – Multiple lines will be present if the account is linked with more than one POA

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
MASTER POA ID	CHARACTER	16
POA REGISTRAR NUMBER	CHARACTER	16
SETUP DATE	DATE	8
GPA /BPA FLAG B – Bank Specific G – General purpose S – Settlement P – Margin Pledge A– Both Settlement & Margin Pledge	CHARACTER	1
EFFECTIVE FROM DATE	DATE	8
EFFECTIVE TO DATE	DATE	8
REMARKS	CHARACTER	50
HOLDER NUMBER	NUMBER	1
POA STATUS	CHARACTER	1

Purpose Code – 6 Nominee Name and Address details

Purpose Code – 7 Guardian Name and Address details

Purpose Code – 8 Nominee's Guardian Name and Address details

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
TITLE	CHARACTER	10
NAME	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/SEARCH NAME	CHARACTER	20
SUFFIX	CHARACTER	10
FATHER/HUSBAND NAME	CHARACTER	50
ADDRESS LINE 1	CHARACTER	55
ADDRESS LINE 2	CHARACTER	55
ADDRESS LINE 3	CHARACTER	55
CITY	CHARACTER	25
STATE CODE	CHARACTER	6
STATE	CHARACTER	25
COUNTRY CODE	CHARACTER	2
COUNTRY	CHARACTER	25
PIN CODE	CHARACTER	10
PRIMARY PHONE NUMBER ISD CODE	CHARACTER	6
PRIMARY PHONE NUMBER	CHARACTER	17
FILLER	CHARACTER	1
FILLER	CHARACTER	17
FILLER	CHARACTER	92
FAX	CHARACTER	17
PAN/GIR	CHARACTER	25
IT CIRCLE /WARD/DISTRICT	CHARACTER	15
PRIMARY E-MAIL ID	CHARACTER	100
DATE OF BIRTH/ORIGIN	DATE	8
DATE OF BIRTH	DATE	8
USER TEXT 1	CHARACTER	50
USER TEXT 2	CHARACTER	50
USER FIELD 3	NUMBER	4

FILLER	CHARACTER	50
UNIQUE IDENTIFICATION NUMBER	CHARACTER	16
NAME CHANGE REASON CODE	CHARACTER	2
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
FILLER	CHARACTER	25
NAME VERIFICATION FLAG	CHARACTER	1
ADDRESS VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	1
RESIDUAL SECURITIES FLAG	CHARACTER	1
NOMINEE SERIAL NUMBER	NUMBER	2
RELATIONSHIP WITH BO	NUMBER	2
PERCENTAGE OF SHARES	NUMBER	(5,2)
FILLER	CHARACTER	16
FILLER	CHARACTER	72
MOBILE VERIFICATION FLAG	CHARACTER	1
ADDRESS VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	10

Purpose Code – 12 Permanent address of First / Sole holder

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
ADDRESS LINE 1	CHARACTER	55
ADDRESS LINE 2	CHARACTER	55
ADDRESS LINE 3	CHARACTER	55
CITY	CHARACTER	25
STATE CODE	CHARACTER	6
STATE	CHARACTER	25
COUNTRY CODE	CHARACTER	2
COUNTRY	CHARACTER	25

PIN CODE	CHARACTER	10
ADDRESS VERIFICATION FLAG	CHARACTER	1
FAX	CHARACTER	17
FILLER	CHARACTER	50

Purpose Code – 18 Authorized Signatory for Corporate / HUF accounts.

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
FILLER		
NA SEQUENCE NUMBER	NUMBER	4
Authorised Signatory Name	CHARACTER	100
MIDDLE NAME	CHARACTER	20
LAST/ SEARCH NAME	CHARACTER	20
REMARKS	CHARACTER	50
NAME CHANGE REASON CODE	CHARACTER	2
MOBILE NO ISD CODE	CHARACTER	6
MOBILE NUMBER	CHARACTER	17
EMAIL ID	CHARACTER	100
UNIQUE IDENTIFICATION NUMBER	CHARACTER	16
UID VERIFICATION FLAG	CHARACTER	1
FILLER	CHARACTER	16
FILLER	CHARACTER	72
FILLER	CHARACTER	1
FILLER	CHARACTER	1
FILLER	CHARACTER	10

Multiple lines will be present if Authorized signatories are multiple signatories are in the account.

Purpose Code – 19 Signature

Field Description	Field Type	Field Length
PURPOSE CODE	NUMBER	2
TYPE OF TRANSACTION		
1 - SETUP		
2 - DELETE	NUMBER	3

IMAGE FILE NAME	VARCHAR2	20
SIGNATURE SETUP DATE	DATE	8

Purpose Code: 21

CM POA / **PMS POA / DDPI** Account Mapping details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
MAPPING UNMAPPING FLAG (1 - Mapped 2 - Unmapped)	NUMBER	1
Ex id	NUMBER	2
CM ID	CHARACTER	8
CM Account To Be Mapped	CHARACTER	16

Line no. 21 will be repeated for each CM POA / **PMS POA / DDPI** Account Mapping combination

Purpose Code – 22 – BO–UCC Linking / Delinking details

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
PURPOSE CODE	NUMBER	2
FILLER	CHARACTER	1
LINK STATUS	CHARACTER	1
FILLER	NUMBER	2
EXCHANGE ID	NUMBER	2
UCC	CHARACTER	11
SEGMENT CODE	CHARACTER	2
CM ID	CHARACTER	8
TM CODE	CHARACTER	8

Line no. 22 will be repeated for each UCC - CM – TM – SEGMENT – EXID – BOID combination

Summary Record: -

Description	Data Type	Length	Value
IDENTIFIER	CHAR	1	T

TOTAL NUMBER OF RECORDS	NUMBER	9	~~
BUSINESS DATE	DATE	8	(8) -DDMMYYYY
SYSTEM DATE AND TIME	CHAR	14	DDMMYYYYHH24MISS

List of the transactions for which common file format has already been released is as follows:

Sr. No.	Transaction Type Code	Transaction Type Description
1	01	Demat [i.e. Dematerialization]
2	03	Normal Pay-in
3	04	Inter Depository
4	05	Off / On Market
5	07	Pledge
6	07	Un-pledge
7	07	Confiscation
8	07	Auto Un-pledge
9	10	Early Pay-in
10	12	BO Level Freeze
11	12	BO-ISIN Level Freeze
12	12	Unfreeze
13	21	Destat [i.e. Destatementization]
14	26	Remat [i.e. Rematerialization]
15	28	Restat [i.e. Restatementization] / Redemption
16	30	One to One Transmission
17	31	Account Transfer
18	32	One to Many Transmission
19	39	DIS Issuance / Cancellation
20	40	Demat Account Earmarking / Reverse Earmarking

Features

- Multiple Upload Types can be entered in the same file.
- The fields can be in any order.
- The first tag should be <Tp> indicating the upload type.
- Quantity can be Maximum 12 digits before decimals and 3 digits after decimals. Decimal Point is required in the file for decimal quantities.
- Quantity field should indicate the exact quantity. For e.g. If Quantity is 100 then it should be written as <Qty>100</Qty>.
- In case of decimals, the decimal point should also be included. For e.g. If Quantity is 100.123 then it should be written as <Qty>100.123</Qty>.
- Format of Date field is DDMMYYYY.
- If a field is blank i.e. optional it can be entered as blank as <Ref></Ref> or the tag may not be present. Such a field will be ignored as an empty tag.

Upload ID : 18

File Name : <Upload ID><Six Digit DP ID>.<Business Date>.<3, 4, and 5 digit running serial number>

Sample File Name:

For DP : 18021200.18042015.123

For CM /BO : 181304140000001234.18042015.123

Header Record

Input Type : Mandatory [M] / Optional [O]

Header record will contain:

Field Description	Field Type	Field Length	Input Type
DP ID	Number	6	M
Operator ID	Char	6	M
Total No. of Records	Number	6	M
File Extension	Number	3 / 4 / 5	M
Business Date	Date	8	M

Sample Record Format:

021200DPADM 00000112318042015

Detail Record: Demat Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 1]	<Tp>	</Tp>
BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M	<Qty>	</Qty>
DRF Number	Char	16	M	<Drf>	</Drf>
Number of Certificates	Number	5	M	<Pg>	</Pg>
Dispatch Document ID	Char	20	O	<Dspchid>	</Dspchid>
Dispatch Name	Char	30	O	<Dspchnm>	</Dspchnm>
Dispatch Date	Date	8	O	<Dspchdt>	</Dspchdt>
Lock-in Status	Char	1	M • N – Free • Y – Lock-in	<Lcksts>	</Lcksts>
Lock-in Code	Number	2	O [Mandatory, if Lock-in Status = 'Y']	<Lckcd>	</Lckcd>
Lock-in Remark	Char	50	O [Mandatory, if Lock-in Status = 'Y']	<Lckrem>	</Lckrem>
Lock-in Expiry Date	Date	8	O [Mandatory, if Lock-in Status = 'Y']	<Lckexpdt>	</Lckexpdt>
Request Received Date From BO	Date	14	M DDMMYYYY Y Or DDMMYYYY YHH24MIS S	<Rcvdt>	</Rcvdt>
No of Ranges	Number	4	M	<Ranges>	</Ranges>
Document Type	Number	2	O	<DocTyp>	</DocTyp>

Possible values for Document Type are:

Document Type	Document Type Description
01	Copy of Passport
02	Copy of legally recognized marriage certificate
03	Copy of gazette notification regarding change in name
04	Copy of Aadhar Card
05	ROM Changes static data – Transmission
06	ROM Changes static data – Transposition
07	ROM Changes static data - Transfer case
08	Minor Name Mismatch

Following Fields are optional, If ISIN is listed on nation-wide stock exchange then following fields are mandatory.

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Record Number	Number	2	M	<Rngs>	</Rngs>
Folio No	Char	16	O [Mandatory for Listed Companies]	<Folio>	</Folio>
Certificate Number From	Char	10	O [Mandatory for Listed Companies]	<CertFrm>	</CertFrm>
Certificate Number To	Char	10	O [Mandatory for Listed Companies]	<CertTo>	</CertTo>
Distinctive Number From	Number	18	O [Mandatory for Listed Companies]	<DNFrm>	</DNFrm>
Distinctive Number To	Number	18	O [Mandatory for Listed Companies]	<DNTTo>	</DNTTo>

Detail Record: Normal Pay-in [i.e. BO Obligation] Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 3]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Depository ID	Number	2	M	<Dpstry>	</Dpstry>
CH ID	Number	2	M	<Clr>	</Clr>
Exchange ID	Number	2	M	<Xchg>	</Xchg>
Settlement ID	Char	13	M	<Sttlm>	</Sttlm>
DP ID	Number	6	M	<Ptcpt>	</Ptcpt>
CM ID	Char	8	M	<Mmb>	</Mmb>
BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M	<Qty>	</Qty>
Buy / Sell Flag	Char	1	M • B – Buy • S – Sell	<Flg>	</Flg>
Internal Reference Number	Char	16	O	<Ref>	</Ref>
Authentication Reference Number [Applicable, if transaction is through Easiest]	Number	8	O	<Arf>	</Arf>
Transaction electronic flag	Char	1	O D – Pre Trade eDIS E – Post trade eDIS F – Offmarket eDIS G – DDPI DIS Y – Electronic DIS N – Physical DIS	<Txnelflg>	</Txnelflg>
Master POA ID	Char	16	O	<Poa>	</Poa>
DIS	Char	16	O	<Dis>	</Dis>
Maker Operator ID	Char	12	O	<Mkropid>	</Mkropid>
Checker Operator ID	Char	12	O	<Ckropid>	</Ckropid>

Verifier Operator ID	Char	12	O	<Vfropid>	</Vfropid>
Entity Identifier	Char	2	O Mandatory for Txn elect. Flag as “D” “CP” for custodian transaction. “TM” for other than custodian transaction	<Entldntfr>	</Entldntfr>
UCC	Char	11	O Mandatory for Transaction electronic flag value as “D” and Entity identifier as “TM”	<Ucc>	</Ucc>
Segment ID	Char	2	O Mandatory for Transaction electronic flag value “D”	<Seg>	</Seg>
UCC CMID	Char	16	O Mandatory for Transaction electronic flag value “D”	<Ucmid>	</Ucmid>
UCC TM Code / CP Code	Char	12	O Mandatory If Transaction electronic flag value as “D” (If entity identifier as “CP”, CP Code will be provided, and if Entity identifier as “TM”, UCC TM Code to be provided.)	<Tm>	</Tm>
UCC EXID	Number	2	O Mandatory for Transaction electronic flag value “D”	<Uexid>	</Uexid>

Detail Record: Inter-Depository Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [value = 4]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Execution Date	Date	8	M	<Dt>	</Dt>
CDSL BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M	<Qty>	</Qty>
Buy / Sell Flag	Char	1	M • B – Buy • S – Sell	<Flg>	</Flg>
Cash Transfer	Char	1	M • Y – Yes • X – Not Required	<Trf>	</Trf>
NSDL Client ID	Char	8	O	<Clnt>	</Clnt>
NSDL CMBP ID / DPID	Char	8	M	<Brkr>	</Brkr>
NSDL Settlement ID	Char	13	O	<Sttlm>	</Sttlm>
Internal Reference Number	Char	16	O	<Ref>	</Ref>
CDSL Settlement ID	Char	13	O	<CntrSttlm>	</CntrSttlm>
Trade Reason	Number	2	O	<Rsn>	</Rsn>
Authentication Reference Number [Applicable, if transaction is through Easiest]	Number	8	O	<Arf>	</Arf>
Transaction electronic flag	Char	1	O D – Pre Trade eDIS E – Post trade eDIS F – Offmarket eDIS G – DDPI DIS Y – Electronic DIS N – Physical DIS	<Txnelflg>	</Txnelflg>
Master POA ID	Char	16	O	<Poa>	</Poa>
DIS	Char	16	O	<Dis>	</Dis>

Maker Operator ID	Char	12	O	<Mkropid>	</Mkropid>
Checker Operator ID	Char	12	O	<Ckropid>	</Ckropid>
Verifier Operator ID	Char	12	O	<Vfropid>	</Vfropid>
Consideration Amount [Mandatory for BO to BO transaction]	Number	16,3	O	<Conamt>	</Conamt>
Remark [Mandatory for reason code 6]	Char	100	O	<Remk>	</Remk>
Payment Mode *	Number	1	O	<Paymod>	</Paymod>
Bank Account No	Char	35	O	<Bnkno>	</ Bnkno>
Bank Name	Char	100	O	<Bnkname>	</ Bnkname>
Branch Name	Char	100	O	<Brnchnam e>	</Brnchnam e>
Transferee Name	Char	150	O Mandatory if Payment mode is 1	<Xfername>	</Xfername >
Date of Issue	Date	8	O Cheque Issue date or date of electronic transfer	<Xferdt>	</Xferdt>
Cheque./Reference No	Char	22	O	<Chqrefno>	</Chqrefno>
Early Payin Identifier	Char	1	Y = Early payin through Interdepository . N = Non Early Payin transaction (to be used subsequently under intimation)	<EPidntfr>	</EPidntfr>
Entity Identifier	Char	2	O “CP” for custodian transaction. “TM” for other than custodian transaction	<Entldntfr>	</Entldntfr>
UCC	Char	11	O Mandatory for Transaction electronic flag value “D”	<Ucc>	</Ucc>

Segment ID	Char	2	O Mandatory for Transaction electronic flag value "D"	<Seg>	</Seg>
UCC CMID	Char	16	O Mandatory for Transaction electronic flag value "D"	<Ucmid>	</Ucmid>
UCC TM Code/CP Code	Char	12	O Mandatory If Txn electronic flag value as "D" (If entity identifier as "CP", CP Code will be provided, and if Entity identifier as "TM", UCC TM Code to be provided.)	<Tm>	</Tm>
UCC EXID	Number	2	O Mandatory for Transaction electronic flag value "D"	<Uexid>	</Uexid>

Note *

1. Cheque Payment
2. Electronic Payment
3. Cash Payment (Mandatory if Consideration Amt captured)

Detail Record: Transaction [Off / On – Market] Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 5]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Execution Date	Date	8	M	<Dt>	</Dt>
BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Counter BO ID	Char	16	M	<CtrPty>	</CtrPty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M	<Qty>	</Qty>
Buy / Sell Flag	Char	1	M • B – Buy • S – Sell	<Flg>	</Flg>
Cash Transfer	Char	1	M • Y – Yes • X – Not Required	<Trf>	</Trf>
Trade Reason	Number	2	O	<Rsn>	</Rsn>
Internal Reference Number	Char	16	O	<Ref>	</Ref>
Settlement ID	Char	13	O	<Sttlm>	</Sttlm>
Counter Settlement ID	Char	13	O	<CntrSttlm>	</CntrSttlm>
Authentication Reference Number [Applicable, if transaction is through Easiest]	Number	8	O	<Arf>	</Arf>
Transaction electronic flag	Char	1	O D – Pre Trade eDIS E – Post trade eDIS F – Offmarket eDIS G – DDPI DIS Y – Electronic DIS N – Physical DIS	<Txnelflg>	</Txnelflg>
Master POA ID	Char	16	O	<Poa>	</Poa>
DIS	Char	16	O	<Dis>	</Dis>
Maker Operator ID	Char	12	O	<Mkropid>	</Mkropid>
Checker Operator ID	Char	12	O	<Ckropid>	</Ckropid>
Verifier Operator ID	Char	12	O	<Vfropid>	</Vfropid>

Consideration Amount [Mandatory for BO to BO transaction]	Number	16,3	O	<Conamt>	</Conamt>
Remark [Mandatory for reason code 6]	Char	100	O	<Remk>	</Remk>
Payment Mode*	Number	1	O	<Paymod>	</Paymod>
Bank Account No	Char	35	O	<Bnkno>	</Bnkno>
Bank Name	Char	100	O	<Bnkname>	</Bnkname>
Branch Name	Char	100	O	<Brnchnam e>	</Brnchnam e>
Transferee Name	Char	150	O Mandatory if Payment mode is 1	<Xfername>	</Xfername>
Date of Issue	Date	8	O Cheque Issue date or date of electronic transfer	<Xferdt>	</Xferdt>
Cheque/Reference No	Char	22	O	<Chqrefno>	</Chqrefno>
Entity Identifier	Char	2	O “CP” for custodian transaction. “TM” for other than custodian transaction	<Entldntfr>	</Entldntfr>
UCC	Char	11	O Mandatory for Transaction electronic flag value “D”	<Ucc>	</Ucc>
Segment ID	Char	2	O Mandatory for Transaction electronic flag value “D”	<Seg>	</Seg>
UCC CMID	Char	16	O Mandatory for Transaction electronic flag value “D”	<Ucmid>	</Ucmid>
UCC TM Code/CP Code	Char	12	O Mandatory for Transaction electronic flag value as “D” (If entity identifier as “CP”, CP Code will be provided, and if Entity identifier as “TM”, UCC TM Code to be provided.)	<Tm>	</Tm>

UCC EXID	Number	2	O Mandatory for Transaction electronic flag value "D"	<Uexid>	</Uexid>
----------	--------	---	---	---------	----------

Note:

1. Cheque Payment
2. Electronic Payment
3. Cash Payment (Mandatory if Consideration Amt captured)

Detail Record: Pledge Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 7]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Pledge Type	Char	1	M [Value = P]	<Pldgtp>	</Pldgtp>
Pledge Sub Type	Char	1	M • S – Setup • A – Accept • R – Reject • C – Cancel by Pledgor • E – Reversal by Pledgee • M – Modify	<Subtp>	</Subtp>
Free / Lock-in Flag	Char	1	O • F – Free • L – Lockin [Applicable if Pledge Sub Type is 'S']	<Lcksts>	</Lcksts>
Lock-in ID	Number	16	O [Applicable if Pledge Sub Type is 'S' and Free / Lock-in Flag is 'L']	<Lckid>	</Lckid>
Pledge Request Form Number	Char	16	O [Applicable and Mandatory if Pledge Sub Type is 'S']	<Prf>	</Prf>
Pledgor BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Pledgee BO ID	Char	16	M	<Ctrpty>	</Ctrpty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M [Applicable if Pledge Sub Type is 'S' or 'A' or 'R' or 'C' or 'E']	<Qty>	</Qty>
Value	Number	15,2	O [Applicable if Pledge Sub Type is 'S']	<Val>	</Val>
Pledge Expiry Date	Date	8 [DDMMYY YY]	O [Applicable if Pledge Sub Type is 'S' or 'M']	<Xpry>	</Xpry>
Pledgee Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'A' or 'R' (direct transactions)]	<Ctrptyref>	</Ctrptyref>

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Pledgor Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Ref>	</Ref>
Agreement Number	Char	20	O [Applicable if Pledge Sub Type is 'S' or 'M' (direct transactions)]	<Agrmt>	</Agrmt>
Remarks	Char	100	O [Applicable if Pledge Sub Type is 'S' or 'A' or 'R' (direct transactions)]	<Remk>	</Remk>
Pledge Sequence Number	Number	10	[Will be null for Pledge Type 'P' and Pledge Sub Type 'S' (for newly setup Pledge) else mandatory]	<Psn>	</Psn>
Execution Date	Date	8	O Will be valid for Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format	<Excdt>	</Excdt>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYYHH24MISS	<Rcvdt>	</Rcvdt>
Pledge Identifier	Char	2	O Will be valid for Pledge Type 'P' and pledge Sub type 'S' Possible Values MP – Margin Pledge MR – Margin Repledge	<PldgIdntfr>	</PldgIdntfr>
Exchange ID	Number	2	M / O Mandatory only if Pledge Identifier present	<Xchg>	</Xchg>
UCC Details	Char	11	M / O Mandatory only if Pledge Identifier present	<Ucc>	</Ucc>

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Segment ID	Char	2	M / O Mandatory only if Pledge Identifier present CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – All Segments	<Seg>	</Seg>
CC ID	Number	2	M / O Mandatory only if Pledge Identifier present	<Clr>	</Clr>
CM ID	Char	8	M / O Mandatory only if Pledge Identifier present	<Mmb>	</Mmb>
TM ID /CP ID	Char	12	M / O Mandatory only if Pledge Identifier present If TM ID is provided, Entity Identifier will be TM. If CP ID is provided, Entity Identifier will be CP	<Tm>	</Tm>
Entity Identifier	Char	2	M / O Mandatory only if Pledge Identifier present TM- TM ID CP- CP ID	<EntIdntfr>	</EntIdntfr>
Margin Pledge Sequence Number	Number	10	M / O Mandatory only if Pledge Identifier is MR – Margin Repledge	<MarPsn>	</MarPsn>
Pledge Reason Code	Number	1	O	<Rsn>	</Rsn>
Master POA ID	Char	16	O	<Poa>	</Poa>

Detail Record: Un-pledge Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 7]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Pledge Type	Char	1	M [Value = U]	<Pldgtp>	</Pldgtp>
Pledge Sub Type	Char	1	M • S – Setup • A – Accept • R – Reject • C – Cancel by Pledgor • E – Reversal by Pledgee (In case of indirect transaction)	<Subtp>	</Subtp>
Pledge Sequence Number	Number	10	M	<Psn>	</Psn>
Pledgor BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Pledgee BO ID	Char	16	M	<Ctrpty>	</Ctrpty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Pledgee Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'A' or 'R' (direct transactions)]	<Ctrptyref>	</Ctrptyref>
Pledgor Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Ref>	</Ref>
Part Counter	Number	4	O [Applicable if Sub Type is 'A' or 'R' or 'E' or 'C'].	<Cntr>	</Cntr>
Part Quantity	Number	16,3	O [Applicable if Pledge Sub Type is 'S' or 'C' or 'A' or 'R' or 'E']	<Prtqty>	</Prtqty>
Remarks	Char	100	O [Applicable if Pledge Sub Type is 'S' or 'A' or 'R' (direct transactions)]	<Remk>	</Remk>
Execution Date	Date	8	O Will be valid for Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format	<Excdt>	</Excdt>

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYYHH24MIS S	<Rcvdt>	</Rcvdt>

Detail Record: Confiscation Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 7]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Pledge Type	Char	1	M [Value = C]	<Pldgtp>	</Pldgtp>
Pledge Sub Type	Char	1	M • S – Setup • E – Reversal by Pledgee (In case of indirect transaction)	<Subtp>	</Subtp>
Pledge Sequence Number	Number	10	M	<Psn>	</Psn>
Pledgor BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Pledgee BO ID	Char	16	M	<Ctrpty>	</Ctrpty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Pledgee Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Ctrptyref>	</Ctrptyref>
Part Counter	Number	4	O [Not applicable in case of direct transaction]	<Cntr>	</Cntr>
Part Quantity	Number	16,3	O [Applicable if Pledge Sub Type is 'S' or 'E']	<Prtqty>	</Prtqty>
Remarks	Char	100	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Remk>	</Remk>
Execution Date	Date	8	O Will be valid for Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format	<Excdt>	</Excdt>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYYHH24MIS S	<Rcvdt>	</Rcvdt>

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Pledge Confiscation Amount	Number	16,3	M [Applicable if Pledge Type is 'C' and Pledge Sub Type is 'S']	<Invamt>	</Invamt>

Detail Record: Auto Un-pledge Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 7]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Pledge Type	Char	1	M [Value = A]	<Pldgtp>	</Pldgtp>
Pledge Sub Type	Char	1	M • S – Setup • E – Reversal by Pledgee (for indirect transaction)	<Subtp>	</Subtp>
Pledge Sequence Number	Number	10	M	<Psn>	</Psn>
Pledgor BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
Pledgee BO ID	Char	16	M	<Ctrpty>	</Ctrpty>
ISIN	Char	12	M	<ISIN>	</ISIN>
Pledgee Internal Reference	Char	16	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Ctrptyref>	</Ctrptyref>
Part Counter	Number	4	O [Not applicable in case of direct transaction]	<Cntr>	</Cntr>
Part Quantity	Number	16,3	O [Applicable if Pledge Sub Type is 'S' or 'E']	<Prtqty>	</Prtqty>
Remarks	Char	100	O [Applicable if Pledge Sub Type is 'S' (direct transactions)]	<Remk>	</Remk>
Execution Date	Date	8	O Will be valid for Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format	<Excdt>	</Excdt>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYYHH24MIS S	<Rcvdt>	</Rcvdt>

Detail Record: Early Pay-in Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 10]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Exchange ID	Number	2	M	<Xchg>	</Xchg>
CH ID	Number	2	M	<Clr>	</Clr>
CM ID	Char	8	M	<Mmb>	</Mmb>
Settlement ID	Char	13	M	<Sttlm>	</Sttlm>
From BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M	<Qty>	</Qty>
Counter BO ID	Char	16	M	<CtrPty>	</CtrPty>
Internal Reference Number	Char	16	O	<Ref>	</Ref>
Business Date	Date	8	M	<Dt>	</Dt>
Authentication Reference Number [Applicable, if transaction is through Easiest]	Number	8	O	<Arf>	</Arf>
Transaction electronic flag	Char	1	O D – Pre Trade eDIS E – Post trade eDIS F – Offmarket eDIS G – DDPI DIS Y – Electronic DIS N – Physical DIS	<Txnelflg>	</Txnelflg>
Master POA ID	Char	16	O	<Poa>	</Poa>
DIS	Char	16	O	<Dis>	</Dis>
Maker Operator ID	Char	12	O	<Mkropid>	</Mkropid>
Checker Operator ID	Char	12	O	<Ckropid>	</Ckropid>
Verifier Operator ID	Char	12	O	<Vfropid>	</Vfropid>

Entity Identifier	Char	2	O “CP” for custodian transaction. “TM” for other than custodian transaction	<Entldntfr>	</Entldntfr>
UCC	Char	11	O Mandatory for Transaction electronic flag value “D”	<Ucc>	</Ucc>
Segment ID	Char	2	O Mandatory for Transaction electronic flag value “D”	<Seg>	</Seg>
UCC CMID	Char	16	O Mandatory for Transaction electronic flag value “D”	<Ucmid>	</Ucmid>
UCC TM Code/CP Code	Char	12	O Mandatory for Transaction electronic flag value as “D” (If entity identifier as “CP”, CP Code will be provided, and if Entity identifier as “TM”, UCC TM Code to be provided.)	<Tm>	</Tm>
UCC EXID	Number	2	O Mandatory for Transaction electronic flag value “D”	<Uexid>	</Uexid>

Detail Record: BO Level Freeze Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 12]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Freeze Type	Char	1	M [Value = S]	<Frztp>	</Frztp>
Freeze Level	Char	1	M [Value = B]	<Lvl>	</Lvl>
Freeze Initiated By	Number	1	M • 1 – BO • 2 – CDS • 3 – DP	<Intby>	</Intby>
Freeze Sub Option	Number	1	O • 1 – Lien • 2 – Statutory Bodies [Applicable and Mandatory if Freeze Initiated By is '3' [i.e. DP]	<Subopt>	</Subopt>
Freeze BOLD	Char	16	M	<Bnfcry>	</Bnfcry>
BO Frozen For	Char	1	M • 1 – Debit • 2 – Credit • 3 – Both	<Frozefer>	</Frozefer>
Freeze Activation Type	Number	1	M • 1 – Current • 2 – Future	<Actvtp>	</Actvtp>
Freeze Activation Date	Date	8	O [Applicable and Mandatory if Freeze Activation Type is '2']	<Actvdt>	</Actvdt>
Freeze Expiry Date	Date	8	O	<Expdt>	</Expdt>
Freeze Reason Code	Number	2	M [Refer Note – 1]	<Rsn>	</Rsn>
Freeze Internal Reference Number	Char	16	O	<Ref>	</Ref>
Freeze Remarks	Char	100	O	<Remk>	</Remk>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYY HH24MISS	<Rcvdt>	</Rcvdt>

Note – 1

Sr. No.	Freeze Reason Code	Freeze Reason Code Description	Sr. No.	Freeze Reason Code	Freeze Reason Code Description
1	1	Beneficial Owner	10	10	Order from Special Recovery Officer
2	2	ITO Attachment	11	11	CBI Order
3	3	SEBI Directive	12	12	FIU-IND Requirement
4	4	Disinvestment & Private Deals	13	13	In Person Verification Pending
5	5	Court Order	14	14	Assignment – DP Closure
6	6	PAN Verification Pending	15	96	Restrained PAN
7	7	Sole / First Holder Deceased	16	97	Minor Attained Majority
8	8	Second Holder Deceased	17	98	PAN Not Recorded
9	9	Third Holder Deceased			

Detail Record: BO-ISIN Level Freeze Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 12]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Freeze Type	Char	1	M [Value = S]	<Frztp>	</Frztp>
Freeze Level	Char	1	M [Value = I]	<Lvl>	</Lvl>
Freeze Initiated By	Number	1	M • 1 – BO • 2 – CDS • 3 – DP	<Intby>	</Intby>
Freeze Sub Option	Number	1	O • 1 – Lien • 2 – Statutory Bodies [Applicable and Mandatory if Freeze Initiated By is '3' [i.e. DP]	<Subopt>	</Subopt>
Freeze BOID	Char	16	M	<Bnfcry>	</Bnfcry>
ISIN	Char	12	M	<ISIN>	</ISIN>
Freeze Quantity Type	Char	1	M • F – Full • P – Partial	<Qtytype>	</Qtytype>
Freeze Quantity	Number	16,3	M [Applicable and Mandatory if Freeze Quantity Type is 'P']	<Qty>	</Qty>
BO ISIN Frozen For	Char	1	M • 1 – Debit • 2 – Credit • 3 – Both	<Frozefer>	</Frozefer>
Freeze Activation Type	Number	1	M • 1 – Current • 2 – Future	<Actvtp>	</Actvtp>
Freeze Activation Date	Date	8	O [Applicable and Mandatory if Freeze Activation Type is '2']	<Actvdt>	</Actvdt>
Freeze Expiry Date	Date	8	O	<Expdt>	</Expdt>
Freeze Reason Code	Number	2	M	<Rsn>	</Rsn>

Freeze Internal Reference Number	Char	16	O	<Ref>	</Ref>
Freeze Remarks	Char	100	O	<Remk>	</Remk>
Request Received Date From BO	Date	14	M DDMMYYYY Y Or DDMMYYYY YHH24MIS S	<Rcvdt>	</Rcvdt>

Note – 1

Sr. No.	Freeze Reason Code	Freeze Reason Code Description	Sr. No.	Freeze Reason Code	Freeze Reason Code Description
1	1	Beneficial Owner	10	10	Order from Special Recovery Officer
2	2	ITO Attachment	11	11	CBI Order
3	3	SEBI Directive	12	12	FIU-IND Requirement
4	4	Disinvestment & Private Deals	13	13	In Person Verification Pending
5	5	Court Order	14	14	Assignment – DP Closure
6	6	PAN Verification Pending	15	96	Restrained PAN
7	7	Sole / First Holder Deceased	16	97	Minor Attained Majority
8	8	Second Holder Deceased	17	98	PAN Not Recorded
9	9	Third Holder Deceased			

Detail Record: Unfreeze Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 12]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Freeze Type	Char	1	M [Value = U]	<Frztp>	</Frztp>
Freeze ID	Number	8	M	<Frzid>	</Frzid>
Freeze Remarks	Char	100	M	<Remk>	</Remk>
Request Received Date From BO	Date	14	M DDMMYYYY Y Or DDMMYYYY YHH24MIS S	<Rcvdt>	</Rcvdt>

Detail Record: Destatementization Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 21]	<Tp>	</Tp>
BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity Flag	Char	1	M • A – All • P – Partial	<QtyFlg>	</QtyFlg>
Quantity	Number	16,3	O [Applicable and Mandatory if, Quantity Flag is 'P']	<Qty>	</Qty>
DRF Number	Char	16	M	<Drf>	</Drf>
Folio Number	Char	16	M	<Fol>	</Fol>
Internal Reference Number	Char	16	M	<Ref>	</Ref>
Number of Pages of Statement of Account [SOA]	Number	5	M	<Pg>	</Pg>
Dispatch Document ID	Char	20	O	<Dspchid>	</Dspchid>
Dispatch Name	Char	30	O	<Dspchnm>	</Dspchnm>
Dispatch Date	Date	8	O	<Dspchdt>	</Dspchdt>
Lock-in Status	Char	1	O • F – Free • L – Lock-in	<Lcksts>	</Lcksts>
Lock-in Code	Number	2	O [Mandatory, if Lock-in Status = 'L']	<Lckcd>	</Lckcd>
Lock-in Remark	Char	50	O [Mandatory, if Lock-in Status = 'L']	<Lckrem>	</Lckrem>
Lock-in Expiry Date	Date	8	O [Mandatory, if Lock-in Status = 'L']	<Lckexpdt>	</Lckexpdt>

Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYY HH24MISS	<Rcvdt>	</Rcvdt>
----------------------------------	------	----	---	---------	----------

Detail Record: Remat Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 26]	<Tp>	</Tp>
Remat / Restat Flag	Char	1	M [Value = R]	<Flg>	</Flg>
BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
ISIN	Char	12	M	<ISIN>	</ISIN>
RRF Number	Char	16	M	<Ref>	</Ref>
Quantity	Number	16,3	M	<Qty>	</Qty>
Lot Type	Char	1	M • M – Market • L – Jumbo	<Lot>	</Lot>
Lock-in Status	Char	1	M • F – Free • L – Lock-in	<Lcksts>	</Lcksts>
Lock-in ID	Char	16	O [Applicable and Mandatory if Lock-in Status is 'L']	<Lckid>	</Lckid>
Certificate Denomination	Number	11,3	O	<Cert>	</Cert>
DP Remark	Char	40	O	<Remk>	</Remk>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYY HH24MISS	<Rcvdt>	</Rcvdt>

Detail Record: Restatementization / Redemption Upload

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 28]	<Tp>	</Tp>
Remat/Restat Flag	Char	1	M [Value = S]	<Flg>	</Flg>
BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
ISIN	Char	12	M	<ISIN>	</ISIN>
MF-RRF Number	Char	16	M	<Ref>	</Ref>
Quantity Flag	Char	1	M • A – All • P – Partial • M – Amount	<QtyFlg>	</QtyFlg>
Quantity	Number	16,3	O [Applicable and Mandatory if Quantity Flag is 'P']	<Qty>	</Qty>
Lock-in Status	Char	1	M • F – Free • L – Lock-in	<Lcksts>	</Lcksts>
Lock-in ID	Char	16	O [Applicable and Mandatory if Lock-in Status is 'L']	<Lckid>	</Lckid>
DP Remark	Char	40	O	<Remk>	</Remk>
Mutual Fund Type	Char	1	M • S – Restat • R – Redemption	<Mftype>	</Mftype>
Mutual Fund Amount	Number	15,2	O [Applicable and Mandatory if Quantity Flag is 'M']	<Amt>	</Amt>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYY HH24MISS	<Rcvdt>	</Rcvdt>

Detail Record: Transfer / Transmission

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 30,31,32]	<Tp>	</Tp>
Transaction Identifier	Char	1	M [Value = O,A,M]	<Idntfr>	</Idntfr>
Transaction Category	Char	1	M	<Ctgry>	</Ctgry>
From BO ID	Char	16	M	<Bnfcry>	</ISIN>
Internal Reference Number	Char	16	M	<Ref>	</Ref>
Reason	Number	3	O	<Rsn>	</Rsn>
ISIN	Char	12	O	<ISIN>	</ISIN>
Quantity	Number	16,3	O	<Qty>	</Qty>
Remarks	Char	100	O	<Remk>	</Remk>
Total No of Transferee BOs	Number	5	O	<CntBo>	</CntBo>
*Transfer				<Tran>	</Tran>
NSDL Client ID	Char	8	O	<Clnt>	</Clnt>
NSDL CM/BP ID	Char	16	M	<Brkr>	</Brkr>
Multiple Quantity	Number	16,3	O	<PrtQty>	</PrtQty>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYY HH24MISS	<Rcvdt>	</Rcvdt>

Note:

- 1) ISIN, Quantity (Transferrable Qty) is required for one to many transmissions.
Hence they have been kept as optional.
- 2) Reason & Remarks are required for Transfer & One to one transmission.
- 3) * For one to many transmissions multiple BOs & multiple qty can be given with multiple tags
<Tran> under same record
- 4) For transfer & One to one transmission, one destination BO ID will be given.

5) Transaction type values will be for common upload :

- i. One to One Transmission --- 30
- ii. Account Transfer ---31
- iii. One to Many Transmission ---32

6) Transaction identifier values will be:

- i. One to One Transmission --- 'O'
- ii. Account Transfer --- 'A'
- iii. One to Many Transmission --- 'M'

7) Transaction Category values will be:

- i. Within CDSL --- 'D'
- ii. Across Depository --- 'N'

Note: If the transaction type is 30 in the file format, then identifier will be 'O', if 31 then 'A' and if 32 then identifier will be 'M'.

Detail Record: Delivery Instruction Slip

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 39]	<Tp>	</Tp>
DIS Transaction Type	Number	2	M 1-DIS ISSUANCE 2-DIS CANCELLATION	<Distxn>	</ Distxn>
DEPO ID	Number	2	M 1 – CDSL	<Dpstry>	</Dpstry>
Issue entity	Char	1	O 'B' for BO, 'P' for POA holder (Mandatory if txn type flag is 1 Also mandatory if txn type flag is 2 and issuance flag is 'Y')	<Issenty>	</Issenty>
BO ID	Char	16	O BO ID or Master POA ID (Mandatory if txn type flag is 1 also mandatory if txn type flag is 2 and issuance flag is 'Y')	<Bnfcry>	</Bnfcry>
DIS ALPHA PART	Char	4	O	<Disalpa>	</ Disalpa>
DIS SERIAL NUMBER FROM	Number	12	M	<Disfrm>	</Disfrm>
DIS SERIAL NUMBER TO	Number	12	M	<Disto>	</Disto>
NUMBER OF DIS LEAVES	Number	3	M It should be equal to DIS To-DIS From +1(including DIS To and DIS From)	<Dislvs>	</Dislvs>
DIS BOOKLET NUMBER	Char	16	O	<Bkltno>	</Bkltno>
ISSUANCE FLAG	Char	1	M Y-Issued N-Not Issued (Only for DIS Cancellation) For DIS ISSUANCE(DIS TXN TYPE FLAG=1) it should be Y only	<Isncflg>	</Isncflg>

DATE OF ISSUANCE/ CANCELLATION	Date		M In DDMMYYYY format	<Isncdt>	</Isncdt>
ISSUANCE TYPE FLAG	Char	1	M N=Normal, L=Loose	<Isnctyp >	</Isnctyp>
DIS CANCELLATION FLAG	Number	2	O 1- Cancellation Due to Lost DIS 2- Cancellation Due to Misplaced DIS. 3- Cancellation Due To Other Reasons 4- Not Applicable. 5- Cancellation Due to Undelivered DIS. This flag is Mandatory for DIS Cancellation but DIS ISSUANCE it should be 4 or xml tag not required in file	<Discncl >	</Discncl>
TXN INITIATION FLAG	Number	2	M 1- DP Initiated 2- BO Initiated For DIS ISSUANCE (DIS TXN TYPE FLAG=1) it should be 1 only If BO INITIATED, DIS txn type flag =2 and BO ID should be mandatory and issuance flag should be Y.	<Intby>	</Intby>
Remarks	Char	100	O	<Remk>	</Remk>
Request Received Date From BO	Date	14	M DDMMYYYY Or DDMMYYYYHH24 MISS	<Rcvdt>	</Rcvdt>

Detail Record : **Demat Account Earmarking / Reverse Earmarking**
(Only for upload through Easiest BO /CM login)

Field Description	Field Type	Field Length	Input Type	Start XML Tag	End XML Tag
Transaction Type Code	Number	2	M [Value = 40]	<Tp>	</Tp>
Unique Serial Number	Number	8	O	<Usn>	</Usn>
Transaction Identifier	Number	2	M 1- BO Account Earmarking. 2- Trade Order Execution through On market transaction 3- Trade Order Execution through EP transaction 4- Reverse Earmarking initiated by CM	<Idntfr>	</Idntfr>
Transaction ID	Number	8	O Blank for Transaction Identifier 1	<Txnid>	</Txnid>
Exchange ID	Number	2	M	<Xchg>	</Xchg>
Trade Date	Date		M	<Trdedt>	</Trdedt>
Settlement ID	Char	13	O Mandatory for TXN IDENTIFIER 2,3 and 4	<sttlm>	</sttlm>
CM ID	Char	8	M	<Mmb>	</Mmb>
From BO ID	Char	16	M	<Bnfcry>	</Bnfcry>
ISIN	Char	12	M	<ISIN>	</ISIN>
Quantity	Number	16,3	M	<Qty>	</Qty>
Counter BO ID	Char	16	O Blank for TXN identifier 1 & 4	<Ctrpty>	</Ctrpty>
Internal Reference Number	Char	16	O	<Ref>	</Ref>



Transaction Type	Transaction Type Description	File Formats
1	<i>BO Obligation</i>	<i>Annexure – G1</i>
2	<i>Transactions within DP (Maker / Checker)</i>	<i>Annexure – G2</i>
3	<i>Transactions across DPs (Maker / Checker)</i>	<i>Annexure – G2</i>
4	<i>Early Pay-in</i>	<i>Annexure – G3</i>
5	<i>Inter-depository</i>	<i>Annexure – G4</i>
6	Demat	Annexure – G5
7	Remat	Annexure – G6
8	<i>Pledge</i>	<i>Annexure – G7</i>
9	Unpledge	Annexure – G8
10	Auto Unpledge	Annexure – G9
11	Confiscation	Annexure – G10
12	Freeze and Unfreeze (Maker / Checker)	Annexure – G11
14	BSE Pay-out	Annexure – G12
15	NSE Pay-in	Annexure – G13
16	NSE Pay-out	Annexure – G14
17	Transmission One to Many	Annexure – G15
18	Transmission One to One Account Transfer	Annexure – G16
20	IPO	Annexure – G17
21	CA	Annexure – G18
22	Auto CA	Annexure – G19
23	Lock in Release	Annexure – G20
24	CH Escrow Release	Annexure – G21
26	NSE SLB Pay-in	Annexure – G22
27	NSE SLB Pay-out	Annexure – G23
28	BSE Pay-out [2 nd Stage]	Annexure – G24
29	Withheld Release	Annexure – G25
30	Pay-in from BO [OSE]	Annexure – G26
32	Destatementization	Annexure – G28
33	Restatementization	Annexure – G29
34	Demat Account Earmarking / Reverse Earmarking (New)	Annexure – G30
35	Switch In Switch Out	Annexure – G31
36	Non Disposal undertaking (NDU)	Annexure – G32

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	1 (BO OBLIGATION CONFIRMATION)
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6	QUANTITY	NUMBER	16,3	TRANSACTION QUANTITY
7	TRANSACTION STATUS	NUMBER	6	• 101 – BO OBLIGATION CONFIRMATION SET UP • 101 – AUTO PAY-IN SETUP • 102 – EARMARKED • 103 – FAILED FOR PAY-IN • 105 – PARTIALLY TRANSFERRED TO CM • 107 – SUCCESSFUL BO DEBIT • 109 – CLOSED AND SETTLED • 110 – DELETED BY DP / TRUST TRANSACTION DELETED AS RESPONSE NOT RECEIVED FROM BO / BO SENT NEGATIVE RESPONSE • 111 – REVERSE EARMARKED DUE TO PAY-OUT • 112 - PENDING FOR AUTHENTICATION • 113 - NOT AUTHENTICATED CLOSED IN EOD • 114 - BO OBLIGATION SET UP BY RTA ON BEHALF OF AMC. • 115- BO OBLIGATION TRANSFERRED DUE TO AUTO CA. • 116 - BO OBLIGATION CANCELLED DUE TO AUTO CA • 117 – PENDING FOR RESPONSE FROM BO REGISTERED FOR TRUST ACCOUNT. • 118 – BO OBLIGATION SET UP MAKER • 119 – BO OBLIGATION SET UP CHECKER REJECT • 120 – BO OBLIGATION SET UP CHECKER REVERSAL • 121 – DELETE / REJECT SET UP MAKER RECORDS • 122 – DELETION OF REVERSAL TRANSACTIONS • 123 – MODIFY SETUP MAKER RECORDS • 124 – MODIFY CHECKER APPROVED RECORDS • 125 – MODIFY CHECKER REVERSAL DONE RECORDS • 126 – BO OBLIGATION SET UP PENDING FOR CC OBLIGATION MATCHING • 127 – REJECTED DUE TO REQUESTED QUANTITY IN EXCESS

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				OF CC OBLIGATION • 128 – CANCELLED DUE TO NO CC OBLIGATION
8	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	BUY / SELL FLAG	CHAR	1	S
11	COUNTER BO ID	CHAR	16	COUNTER BO ID
12	CM ID	CHAR	8	CM ID
13	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14	FIELD 1	CHAR	13	NA
15	OBLIGATION ID	CHAR	8	• OBLIGATION ID – FOR HOP-SKIP-JUMP • NOT APPLICABLE – FOR OTHERS
16	EXECUTION DATE	DATE	14	EARMARK DATE (POPULATED AFTER EARMARKING)
17	RTA ID	NUMBER	6	Only for TXN STATUS -114
18	PARENT TXN ID	CHAR	20	PARENT TRANSACTION ID
19	DELIVERY INSTRUCTION SLIP	CHAR	20	DELIVERY INSTRUCTION SLIP FOR TRANSACTION STATUS 101
20	EASI AUTHENTICATION REFERENCE NUMBER	CHAR	30	AUTHENTICATION REFERENCE NUMBER FOR TRANSACTION STATUS IN (112,113) – TRANSACTIONS ENTERED THROUGH EASIEST SYSTEM NA - FOR OTHERS
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	FIELD 3	NUMBER	2	NA
23	FIELD 4	DATE	14	NA
24	FIELD 5	DATE	14	NA
25	FIELD 6	CHAR	1	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
26	PAY-IN TYPE FLAG	CHAR	1	• A – AUTO PAY-IN • B – 'B' TYPE TRANSACTION • N – NORMAL PAY-IN
27	FIELD 7	NUMBER	2	NA
28	FIELD 8	DATE	14	NA
29	EARMARKED QUANTITY	NUMBER	16,3	EARMARK QUANTITY (POPULATED AFTER EARMARKING)
30	FIELD 9	NUMBER	16,3	NA
31	FIELD 10	NUMBER	16,3	NA
32	FIELD 11	NUMBER	16,3	NA
33	FINANCIAL FLAG	NUMBER	2	• 0 – NO DEBIT / CREDIT • 1 – DEBIT / CREDIT • 2 – EARMARKED / REVERSE EARMARKED
34	EFTS CODE	NUMBER	2	• 0 – OTHERS • 30 – EARMARKED / REVERSE EARMARKED • 31 – DEBIT / CREDIT • 79 – HOP-SKIP-JUMP • 80 – HOP-SKIP-JUMP
35	TRANSACTION CODE	NUMBER	4	• 2215 – EARMARK • 2246 – CREDIT • 2265 – REVERSE EARMARK • 2277 – DEBIT • 4449 – SETUP (for transaction status 101 & 126) • 4451 – MODIFY • 4452 – DELETE • 3463 – AUTO CORPORATE ACTION • 4401 – BOC SET UP MAKER • 4402 – BOC SET UP CHECKER REJECT-Same code for Transaction status 127 and 128 • 4403 – BOC SET UP CHECKER REVERSAL • 4404 – DELETION OF SET UP MAKER RECORDS • 4405 – DELETION OF REVERSAL TRANSACTIONS • 4406 – MODIFY SETUP MAKER RECORDS

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				• 4407 – MODIFY CHECKER REVERSAL DONE RECORDS
36	MODIFICATION FLAG	NUMBER	2	• 0 – NOT MODIFIED • 1 – MODIFIED / REVERSE EARMARKED
37	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38	TXN REMARKS	CHAR	100	FOR PARENT RECORD – TRANSFER DUE TO AUTO CA., CANCEL DUE TO AUTO CA. FOR BENEFIT RECORD – SETUP DUE TO AUTO CA.
39	TRUST REMARKS	CHAR	100	'PAYIN THROUGH SMS' - FOR TXN STATUS 117 AND 110 (BOC MAKER TRANSACTION DELETED DUE TO EITHER RESPONSE (N) FROM BO OR NON RECEIPT OF RESPONSE FROM BO BEFORE CUT OFF TIME.
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	• 1 – ONLINE • 2 – CDAS UPLOAD • 3 – EASI ONLINE • 4 – EASI UPLOAD • 7– CDAS SETUP
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	EASI REFERENCE NO	CHAR	16	EASI REFERENCE NUMBER
44	ERROR CODE	CHAR	9	ERROR CODE (IF ANY, WOULD BE POPULATED)
45	TRANSACTION FLAG	CHAR	1	FOR TRANSACTION STATUS 101 Y – ELECTRONIC N – NON ELECTRONIC D – EDIS PRE-TRADE E – EDIS POST TRADE F – EDIS OFF-MARKET G – DDPI TRANSACTION
46	CHECKER DATE		16	CHECKER SYSTEM DATE AND TIME
47	FILLER 3		100	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
48	FILLER 4		14	FOR FUTURE USE
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

[Transactions within DP and Transactions across DPs]

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	• 2 – TRANSACTION WITHIN SAME CDSL DP • 3 – TRANSACTION WITHIN DIFFERENT CDSL DPS
3.	BO ID	CHAR	16	BO ID PMS BO-FOR PMS HSJ TRANSACTIONS
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6.	QUANTITY	NUMBER	16,3	TRANSACTION QUANTITY
7.	TRANSACTION STATUS	NUMBER	6	• 301 – SETUP CHECKER • 302 – SETUP (SYSTEM GENERATED BUY TRANSACTION) • 303 – OVERDUE • 304 – DELETED BY DP / TRUST TRANSACTION DELETED AS BO SENT NEGATIVE RESPONSE / RESPONSE NOT RECEIVED FROM BO • 305 – CLOSED AND SETTLED • 306 – PENDING (FUTURE DATED TRANSACTION) • 307 – FAILED (CANCELLED IN EOD) • 308 – TRADE CANCELLED BY BUYER • 309 – TRADE CANCELLED BY SELLER • 310 – CLEARING HOUSE MUTUAL FUND FAILED BUY TXN • 311 – PENDING FOR AUTHENTICATION • 312 - NOT AUTHENTICATED CLOSED IN EOD • 313 - MATCHING FOUND WITH BOC FOR MF TRANSACTION • 317 – PENDING FOR RESPONSE FROM BO REGISTERED FOR TRUST • 318 – SETUP MAKER • 319 – DELETE /REJECT SETUP MAKER RECORDS • 320 – MODIFY SETUP MAKER RECORDS • 321 – SETUP CHECKER REJECT • 322 – SETUP CHECKER REVERSAL • 323 – MODIFY CHECKER APPROVED RECORDS • 324 – MODIFY CHECKER REVERSAL DONE RECORDS • 325 – DELETE / REJECT CHECKER REVERSAL DONE RECORDS

[Transactions within DP and Transactions across DPs]

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				• 326 – AUTO CANCELLED FROM CDS. • 327 – AUTO REVERSE DEBIT / CREDIT FOR PAYIN TRANSACTIONS FROM CDS. • 328 - PENDING FOR OTP AUTHENTICATION • 329 - ACCEPTED OTP AUTHENTICATED TRANSACTION • 330 - REJECTED OTP AUTHENTICATED TRANSACTION • 331 – FUTURE DATED OTP AUTHENTICATED TRANSACTION • 332 – PENDING OTP AUTHENTICATION TRANSACTION CANCELLED IN EOD • 333 – PENDING FOR CC OBLIGATION MATCHING • 334 – MATCHED WITH CC OBLIGATION DETAILS • 335 – REJECTED DUE TO REQUESTED QUANTITY IN EXCESS OF CC OBLIGATION • 336 – CANCELLED DUE TO NO CC OBLIGATION
8.	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	BUY / SELL FLAG	CHAR	1	• S – SELL • B – BUY
11.	COUNTER BO ID	CHAR	16	COUNTER BO ID SELLER_BO --FOR PMS HSJ TRANSACTIONS
12.	CONSIDERATION AMOUNT FLAG	CHAR	8	EXCEPT FOR TXN STATUS 307, 312, 313, 326, 327
13.	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14.	COUNTER SETTLEMENT ID	CHAR	13	COUNTER SETTLEMENT ID
15.	TRADE ID	CHAR	8	TRADE ID
16.	EXECUTION DATE	DATE	14	EXECUTION DATE
17.	FIELD 2	NUMBER	6	NA
18.	LOCK-IN ID	CHAR	20	LOCK-IN ID

[Transactions within DP and Transactions across DPs]

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
19.	DELIVERY INSTRUCTION SLIP	CHAR	20	DELIVERY INSTRUCTION SLIP FOR TRANSACTION STATUS 301
20.	EASI AUTHENTICATION REFERENCE NUMBER	CHAR	30	AUTHENTICATION REFERENCE NUMBER FOR TRANSACTION STATUS IN (311,312) NA - FOR OTHERS
21.	FIELD 4	NUMBER	8	NA
22.	LOCK-IN CODE	NUMBER	2	• 1 – DIRECTOR / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTER'S QUOTA • 5 – UNDERWRITER QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHER • 8 – ELSS2005 [APPLICABLE ONLY IN CASE OF CREDIT OF UNITS OF ELSS-MF ISIN IN AN INVESTOR ACCOUNT FROM CM'S POOL ACCOUNT]
23.	LOCK-IN EXPIRY DATE	DATE	14	LOCK-IN EXPIRY DATE
24.	DATE OF ISSUE / TRANSFER	DATE	14	DATE OF ISSUE OF CHEQUE / DATE OF TRANSFER
25.	TRANSFER TYPE	CHAR	1	1 – OFF MARKET 2 – ON MARKET
26.	ORDER STATUS FLAG	CHAR	1	• H – SETUP BY MAKER • A – ALLEGED • M – MATCHED
27.	REASON FOR TRADE	NUMBER	2	• 1 – GIFT • 2 – FOR OFF MARKET SALE / PURCHASE • 3 – FOR MARKET SALE / PURCHASE • 5 – TRANSFER BETWEEN 2 A/c OF SAME HOLDER(s) • 6 – OTHERS • 7 – TRANSFER BETWEEN FAMILY MEMBERS • 10 – IMPLEMENTATION OF GOVT/REGULATORY DIRECTION/ORDERS • 11 – ERRONEOUS TRANSFER PERTAINING TO CLIENT SECURITIES • 12 – MEETING LEGITIMATE DUES OF STOCK BROKER • 13 – FOR OPEN OFFER / BUY-BACK

[Transactions within DP and Transactions across DPs]

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				• 14 – FOR MARGIN PURPOSE • 0 – IF REASON FOR TRADE IS NOT ENTERED
28.	ISIN CLOSE PRICE DATE	DATE	14	EXCEPT FOR TXN STATUS 307, 312, 313, 318, 319, 320, 324, 325, 326, 327
29.	ISIN CLOSE PRICE	NUMBER	16,3	EXCEPT FOR TXN STATUS 307, 312, 313, 318, 319, 320, 324, 325, 326, 327
30.	TRANSACTION VALUATION	NUMBER	16,3	EXCEPT FOR TXN STATUS 307, 312, 313, 318, 319, 320, 324, 325, 326, 327
31.	CONSIDERATION AMOUNT FOR DIS	NUMBER	16,3	EXCEPT FOR TXN STATUS 307, 312, 313, 326, 327
32.	Filler 2		16,3	For Future Use
33.	FINANCIAL FLAG	NUMBER	2	• 0 – NON-FIN TRANSACTION • 1 – DEBIT / CREDIT
34.	EFTS CODE	NUMBER	2	• 0 – OTHERS • 31 – DEBIT / CREDIT • 79 – HOP-STEP-JUMP (FOR PMS TXN) • 80 – HOP-STEP-JUMP (FOR PMS TXN)
35.	TRANSACTION CODE	NUMBER	4	• 2212 – LOCK-IN BALANCE CREDIT • 2246 – CREDIT • 2277 – DEBIT • 4475 – SETUP CHECKER ACCEPT (also for transaction Status 333 & 334) • 4477 – MODIFY CHECKER APPROVED RECORDS • 4478 – DELETE / REJECT FOR CHECKER APPROVED RECORDS • 4480 – CANCEL TRADE • 4482 – SETUP MAKER • 4483 – SETUP CHECKER REJECT/ Rejected OTP Authenticated Transaction (Also for transaction status 335 & 336) • 4484 – SETUP CHECKER REVERSAL • 4485 – DELETE / REJECT SETUP MAKER RECORDS • 4486 – DELETE / REJECT CHECKER REVERSAL DONE RECORDS • 4487 – MODIFY SETUP MAKER RECORDS

[Transactions within DP and Transactions across DPs]

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				• 4488 – MODIFY CHECKER REVERSAL DONE RECORDS
36.	MODIFICATION FLAG	NUMBER	2	• 0 – NOT MODIFIED • 1 – MODIFIED
37.	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38.	TRUST REMARKS	CHAR	100	PAY IN THROUGH SMS' – FOR TXN STATUS – 317 AND 304 (ON MARKET OR OFF MARKET MAKER TRANSACTION DELETED DUE TO EITHER RESPONSE (N) FROM BO OR NON RECEIPT OF RESPONSE FROM BO BEFORE CUT OFF TIME.) ELSE NA.
39.	REMARKS	CHAR	100	REMARKS
40.	OPERATOR ID	CHAR	6	OPERATOR ID
41.	TRANSACTION SOURCE	NUMBER	2	• 1 – ONLINE • 2 – CDAS UPLOAD • 3 – EASI ONLINE • 4 – EASI UPLOAD • 6 – WEBX • 7 – CDS SETUP FOR TRANSACTION STATUS 327
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	EASI REFERENCE NUMBER	CHAR	16	EASI REFERENCE NUMBER
44.	ERROR CODE	CHAR	9	ERROR CODE (IF ANY WILL BE POPULATED)
45.	TRANSACTION ELECTRONIC FLAG	CHAR	1	FOR TRANSACTION STATUS 301 Y - ELECTRONIC N - NON ELECTRONIC D – EDIS PRE-TRADE E – EDIS POST TRADE F – EDIS OFF-MARKET G – DDPI TRANSACTION
46.	CHECKER DATE	CHAR	16	CHECKER DONE DATE WITH TIME

[Transactions within DP and Transactions across DPs]

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
47.	FILLER 3		100	FOR FUTURE USE
48.	OTP Verify Date	DATE	14	For txn status – 329, 330 and 331 (in case of future dated txn) DATE – Applicable in case off market OTP authenticated transactions (Date & Time)
49.	Filler 4		19	FOR FUTURE USE
50	PAYMENT MODE	NUMBER	1	1 – CHEQUE 2 – ELECTRONIC PAYMENT 3 – CASH
51	BANK ACCOUNT NO	CHAR	35	
52	BANK NAME	CHAR	100	
53	BRANCH NAME	CHAR	100	
54	CHEQUE / REFERENCE NUMBER	CHAR	25	

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	4 (EARLY PAY-IN)
3.	BO ID	CHAR	16	BO ID PMS BO-FOR PMS HSJ TRANSACTIONS
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6.	QUANTITY	NUMBER	16,3	QUANTITY
7.	TRANSACTION STATUS	NUMBER	6	• 401 – SETUP • 402 – EARMARKED (CH DP) • 403 – FAILED FOR PAY-IN (CH DP) • 408 – CLOSED AND SETTLED IN PAY-IN (CH DP) • 409 – DEBIT / CREDIT / EARMARK SUCCESS • 410 - PENDING FOR AUTHENTICATION • 411 – REVERSE EARMARKED OF EARLY PAY-IN (CH DP) • 412 - NOT AUTHENTICATED CLOSED IN EOD • 404 – DELETE (TRUST) • 417 – PENDING FOR RESPONSE FROM BO REGISTERED FOR TRUST ACCOUNT. • 418 – EARLY PAYIN SET UP MAKER • 419 – EARLY PAYIN SET UP CHECKER REJECT • 420 – EARLY PAYIN SET UP CHECKER REVERSAL • 421 – DELETE / REJECT SET UP MAKER RECORDS • 422 – DELETION OF REVERSAL TRANSACTIONS • 423 – MODIFY SET UP MAKER RECORDS • 424 – MODIFY CHECKER REVERSAL DONE RECORDS • 425 – EARLYPAYIN REVERSAL MAKER • 426 – EARLYPAYIN REVERSAL CHECKER ACCEPT / REVERSAL UPLOAD • 427 – EARLYPAYIN REVERSAL CHECKER REJECT • 429 – EARLYPAYIN CANCELLED DUE TO AUTOCA • 430 – EARLYPAYIN TRANSFERRED DUE TO AUTOCA • 431 – EARLYPAYIN SETUP DUE TO AUTOCA
8.	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	FIELD 1	CHAR	1	NA
11.	COUNTER BO ID	CHAR	16	COUNTER BO ID
12.	CM ID	CHAR	8	CM ID
13.	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14.	FIELD 2	CHAR	13	NA
15.	FIELD 3	CHAR	8	NA
16.	EXECUTION DATE	DATE	14	EARMARK DATE (PAY-IN DATE)
17.	FIELD 4	NUMBER	6	NA
18.	FIELD 5	CHAR	20	NA
19.	DELIVERY INSTRUCTION SLIP	CHAR	20	DELIVERY INSTRUCTION SLIP FOR TRANSACTION 401
20.	EASI AUTHENTICATION REFERENCE NUMBER	CHAR	30	AUTHENTICATION REFERENCE NUMBER FOR TRANSACTION STATUS IN (410,412) NA - FOR OTHERS
21.	REVERSAL SOURCE DP ID	NUMBER	8	REVERSAL SOURCE DP ID FOR 425, 426 AND 427
22.	FIELD 8	NUMBER	2	NA
23.	FIELD 9	DATE	14	NA
24.	REVERSAL MAKER DATE	DATE	14	REVERSAL MAKER DATE (425)
25.	MAKER CHECKER FLAG	CHAR	1	M – MAKER (425) C – CHECKER (426, 427)
26.	FIELD 12	CHAR	1	NA
27.	FIELD 13	NUMBER	2	NA
28.	REVERSAL CHECKER DATE	DATE	14	REVERSAL CHECKER DATE (426, 427)
29.	EARMARK QUANTITY	NUMBER	16,3	EARMARK QUANTITY
30.	REVERSAL QUANTITY	NUMBER	16,3	REVERSAL QUANTITY FOR 425,426
31.	FIELD 16	NUMBER	16,3	NA
32.	FIELD 17	NUMBER	16,3	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33.	FINANCIAL FLAG	NUMBER	2	0 – NON-FIN TRANSACTION 1 – DEBIT / CREDIT 2 – EARMARK / REVERSE EARMARK
34.	EFTS CODE	NUMBER	2	0 – OTHERS 30 – EARMARK / REVERSE EARMARK 31 – DEBIT / CREDIT 79 – HOP-SKIP-JUMP 80 – HOP-SKIP-JUMP
35.	TRANSACTION CODE	NUMBER	4	2215 – EARMARK / EARLYPAYIN SETUP DUE TO AUTOCA (431) 2246 – CREDIT 2265 – REVERSE EARMARK / EARLYPAYIN TRANSFERRED/CANCELLED DUE TO AUTOCA (429,430) 2277 – DEBIT 4456 – SETUP BY CHECKER ACCEPT 4459 – DELETE 4408 – SETUP BY MAKER 4409 – SETUP CHECKER REJECT 4410 – SETUP CHECKER REVERSAL 4411 – DELETE / REJECT SETUP MAKER RECORDS 4412 – DELETE / REJECT CHECKER REVERSAL DONE RECORDS 4413 – MODIFY SETUP MAKER RECORDS 4414 – MODIFY CHECKER REVERSAL DONE RECORDS 4465 – EARLYPAYIN REVERSAL MAKER 4466 – EARLYPAYIN REVERSAL CHECKER ACCEPT 4467 – EARLYPAYIN REVERSAL CHECKER REJECT
36.	MODIFICATION FLAG	NUMBER	2	1 - MODIFIED 0 - NOT MODIFIED
37.	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38.	TRUST REMARKS	CHAR	100	'PAY IN THROUGH SMS' – FOR TXN STATUS 417 AND 404 (EARLY PAY IN MAKER TRANSACTION DELETED DUE TO EITHER RESPONSE (N) FROM BO OR NON RECEIPT OF RESPONSE FROM BO BEFORE CUT OFF TIME.) ELSE NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
39.	REVERSAL REMARKS	CHAR	100	REVERSAL REMARKS (425)
40.	OPERATOR ID	CHAR	6	OPERATOR ID
41.	TRANSACTION SOURCE	NUMBER	2	• 1 – ONLINE • 2 – CDAS UPLOAD • 3 – EASI ONLINE • 4 – EASI UPLOAD • 6 – WEBX
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	EASI REFERENCE NUMBER	CHAR	16	EASI REFERENCE NUMBER
44.	ERROR CODE	CHAR	9	ERROR CODE (IF ANY WILL BE POPULATED)
45.	TRANSACTION ELECTRONIC FLAG	CHAR	1	FOR TRANSACTION STATUS (401,418) Y – ELECTRONIC N – NON-ELECTRONIC D – EDIS PRE-TRADE E – EDIS POST TRADE F – EDIS OFF-MARKET G – DDPI TRANSACTION
46.	CHECKER DATE	CHAR	16	CHECKER DATE
47.	FILLER 3		100	FOR FUTURE USE
48.	FILLER 4		14	FOR FUTURE USE
49.	FILLER 5		19	FOR FUTURE USE
50.	FILLER 6		1	FOR FUTURE USE
51.	FILLER 7		35	FOR FUTURE USE
52.	FILLER 8		100	FOR FUTURE USE
53.	FILLER 9		100	FOR FUTURE USE
54.	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	5 – TRANSACTION OUTSIDE CDSL – INTER DEPOSITORY
3.	BO ID	CHAR	16	BO ID
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6.	QUANTITY	NUMBER	16,3	QUANTITY
7.	TRANSACTION STATUS	NUMBER	6	• 511 – CLOSE AND PROCESSED • 512 – CLOSE AND UNPROCESSED • 520 – TO BE VERIFIED • 521 – CONFIRMED BY OTHER DEPOSITORY • 523 – REJECTED BY OTHER DEPOSITORY • 525 – PENDING • 528 – EARMARK SUCCESSFUL • 529 – EARMARK FAILED / OVERDUE • 533 – RECONCILED • 534 – RTA TRANSFER FAILED • 536 – ACK GENERATED • 537 – REVERSE EARMARK • 540 – PENDING FOR AUTHENTICATION • 541 – NOT AUTHENTICATED CLOSED IN EOD • 542 – PENDING FOR OTP AUTHENTICATION • 543 – REJECTED OTP AUTHENTICATED TRANSACTION • 544 – ACCEPTED OTP AUTHENTICATED TRANSACTION • 545 – NON AUTHENTICATED OTP TRANSACTION CANCELLED • 546 – FUTURE DATED OTP AUTHENTICATED TRANSACTION • 547 – EDIS TXN PENDING FOR MATCHING • 548 – REJECTED ON MATCHING
8.	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	BUY / SELL FLAG	CHAR	1	• B – BUY • S – SELL

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
11.	COUNTER BO ID	CHAR	16	NSDL [CMBP / DPID (8) + CLIENT ID (8)]
12.	CONSIDERATION AMOUNT FLAG	CHAR	8	FOR TXN STATUS 525
13.	SETTLEMENT ID	CHAR	13	CDSL SETTLEMENT ID
14.	COUNTER SETTLEMENT ID	CHAR	13	NSDL SETTLEMENT ID
15.	TRANSFER / TRANSMISSION TRANSACTION NUMBER	CHAR	8	TRANSACTION ID FOR TRANSFER THROUGH TRANSFER / TRANSMISSION MODULE. NOT APPLICABLE FOR NORMAL INTER DEPOSITORY TRANSACTIONS
16.	EXECUTION DATE	DATE	14	EXECUTION DATE
17.	FIELD 3	NUMBER	6	NA
18.	FIELD 4	CHAR	20	NA
19.	DELIVERY INSTRUCTION SLIP	CHAR	20	DELIVERY INSTRUCTION SLIP FOR STATUS 520 and 525
20.	EASI AUTHENTICATION REFERENCE NUMBER	CHAR	30	AUTHENTICATION REFERENCE NUMBER FOR TRANSACTION STATUS IN (540,541) NA - FOR OTHERS
21.	FIELD 6	NUMBER	8	NA
22.	FIELD 7	NUMBER	2	NA
23.	FIELD 8	DATE	14	NA
24.	DATE OF ISSUE / TRANSFER	DATE	14	DATE OF ISSUE / TRANSFER
25.	FIELD 10	CHAR	1	NA
26.	FIELD 11	CHAR	1	NA
27.	FIELD 12	NUMBER	2	NA
28.	ISIN CLOSE PRICE DATE	DATE	14	FOR TXN STATUS 525
29.	ISIN CLOSE PRICE	NUMBER	16,3	FOR TXN STATUS 525

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
30.	TRANSACTION VALUATION	NUMBER	16,3	FOR TXN STATUS 525
31.	CONSIDERATION AMOUNT	NUMBER	16,3	FOR TXN STATUS 525
32.	Filler 1		16,3	For Future Use
33.	FINANCIAL FLAG	NUMBER	2	• 0 – NON-FIN TRANSACTION • 1 – DEBIT / CREDIT
34.	EFTS CODE	NUMBER	2	• 0 – OTHERS • 21 – DEBIT / CREDIT
35.	TRANSACTION CODE	NUMBER	4	• 2215 – EARMARK • 2246 – CREDIT • 2265 – REVERSE EARMARK • 2277 – DEBIT • 5101 – SETUP • 5103 – MODIFIED / VERIFIED • 5104 – DELETE • 0 – CLOSE AND UNPROCESSED / REJECTED BY OTHER DEPOSITORY / EARMARK FAILED/ RTA Transfer Failed
36.	MODIFICATION FLAG	NUMBER	2	1 – MODIFIED 0 – NOT MODIFIED
37.	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38.	FIELD 18	CHAR	100	NA
39.	REMARKS	CHAR	100	REMARKS
40.	OPERATOR ID	CHAR	6	OPERATOR ID
41.	TRANSACTION SOURCE	NUMBER	2	• 1 – ONLINE • 2 – CDAS UPLOAD • 3 – EASI ONLINE • 4 – EASI UPLOAD

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	EASI REFERENCE NUMBER	CHAR	16	EASI REFERENCE NUMBER
44.	ERROR CODE	CHAR	9	ERROR CODE
45.	TRANSACTION ELECTRONIC FLAG	CHAR	1	FOR TRANSACTION STATUS 520 and 525 Y -- ELECTRONIC N – NON - ELECTRONIC D – EDIS PRE-TRADE E – EDIS POST TRADE F – EDIS OFF-MARKET G – DDPI TRANSACTION
46.	FILLER 2		16	FOR FUTURE USE
47.	FILLER 3		100	FOR FUTURE USE
48.	OTP verify Date	DATE	14	For Txn status - 543,544,546 DATE – Applicable in case inter-depository off market OTP authenticated transactions (Date & Time)
49.	Filler4		19	FOR FUTURE USE
50	PAYMENT MODE	NUMBER	1	1 – CHEQUE 2 – ELECTRONIC 3 – CASH
51	BANK ACCOUNT NO	CHAR	35	
52	BANK NAME	CHAR	100	
53	BRANCH NAME	CHAR	100	
54	CHEQUE / REFERENCE NUMBER	CHAR	25	

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	6 (DEMAT)
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	DEMAT REQUEST NUMBER (DRN)
6	QUANTITY	NUMBER	16,3	QUANTITY
7	TRANSACTION STATUS	NUMBER	6	• 601 – SETUP • 602 – VERIFIED (DEBIT DEMAT PENDING VERIFICATION) • 603 – VERIFIED (CREDIT DEMAT PENDING CONFORMATION) • 604 – CONFIRMED (DEBIT DEMAT PENDING CONFIRMATION) • 605 – CONFIRMED (CREDIT CURRENT BALANCE) • 606 – CONFIRMED (CREDIT LOCK IN BALANCE, DEMAT SET UP FOR LOCK IN SHARES) • 607 – DELETED • 608 – REJECTED (VERIFICATION / CONFIRMATION – REJECTED QUANTITY) • 609 – CANCELLED DUE TO AUTO CA (DEBIT DEMAT PENDING VERIFICATION) • 610 – CANCELLED DUE TO AUTO CA (DEBIT DEMAT PENDING CONFIRMATION) • 611 - CANCELLED DUE TO ACCOUNT TRANSFER
8	TRANSACTION SETUP DATE	DATE	14	DEMAT SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCK IN FLAG	CHAR	1	• N – FREE • Y – LOCK IN
11	COUNTER BO ID	CHAR	16	COUNTER BO ID
12	FIELD 2	CHAR	8	NA
13	FIELD 3	CHAR	13	NA
14	FIELD 4	CHAR	13	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
15	FIELD 5	CHAR	8	NA
16	DISPATCH DATE	DATE	14	DRF DISPATCH DATE
17	RTA ID	NUMBER	6	RTA ID
18	DOCUMENT NUMBER	CHAR	20	DEMAT REQUEST FORM (DRF) NUMBER
19	DISPATCH DOCUMENT ID	CHAR	20	DISPATCH DOCUMENT ID
20	COURIER NAME	CHAR	30	COURIER NAME
21	NUMBER OF CERTIFICATES	NUMBER	8	NUMBER OF CERTIFICATES
22	LOCK IN CODE	NUMBER	2	LOCK IN REASON CODE
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	RECEIVED DATE	DATE	14	DRN RECEIVED BY RTA DATE
25	FIELD 6	CHAR	1	NA
26	DEMAT REJECTION FLAG	CHAR	1	V – REJECTION AT VERIFICATION C – REJECTION AT CONFIRMATION
27	DEMAT REJECTION CODE	NUMBER	2	DEMAT REJECTION CODE (MULTIPLE RECORDS WILL APPEAR IF MORE THAN ONE REJECTION CODE)
28	VERIFICATION DATE	DATE	14	DEMAT VERIFICATION DATE
29	VERIFY ACCEPTED QUANTITY	NUMBER	16,3	TOTAL VERIFIED ACCEPTED QUANTITY (FOR STATI 602 TO 606)
30	VERIFY REJECTED QUANTITY	NUMBER	16,3	- TOTAL VERIFIED REJECTED QUANTITY (FOR STATI 602 TO 606) - PART VERIFICATION REJECTED QUANTITY (FOR STATUS 608)
31	CONFIRMED ACCEPTED QUANTITY	NUMBER	16,3	TOTAL CONFIRMED ACCEPTED QUANTITY (FOR STATI 604 TO 606)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
32	CONFIRMED REJECTED QUANTITY	NUMBER	16,3	- TOTAL CONFIRMED REJECTED QUANTITY (FOR STATI 604 TO 606) - PART VERIFICATION REJECTED QUANTITY (FOR STATUS 608)
33	FINANCIAL FLAG	NUMBER	2	0 – REJECTED QUANTITY DURING VERIFICATION (608) 0 – REJECTED QUANTITY DURING CONFIRMATION (608) 1 – SETUP (CREDIT DEMAT PENDING VERIFICATION – 601) 1 – DELETED (DEBIT DEMAT PENDING VERIFICATION – 607) 1 – VERIFIED (DEBIT DEMAT PENDING VERIFICATION – 602) 1 – VERIFIED (CREDIT DEMAT PENDING CONFIRMATION – 603) 1 – CONFIRMED (DEBIT DEMAT PENDING CONFIRMATION – 604) 1 – CONFIRMED (CREDIT CURRENT BALANCE – 605) 1 – CONFIRMED (CREDIT LOCK IN BALANCE – 606) 1 – CANCELLED DUE TO AUTO CA (DEBIT DEMAT PENDING VERIFICATION – 609) 1 – CANCELLED DUE TO AUTO CA (CREDIT DEMAT PENDING CONFIRMATION – 610) 1 - CANCELLED DUE TO ACCOUNT TRANSFER(611)
34	EFTS CODE	NUMBER	2	0 – REJECTION (608) 10 – SETUP (601) 10 – DELETED (607) 11 – VERIFIED (DEBIT DEMAT PENDING VERIFICATION – 602) 11 – VERIFIED (CREDIT DEMAT PENDING CONFIRMATION – 603) 11 – CONFIRMED (DEBIT DEMAT PENDING CONFIRMATION – 604) 11 – CONFIRMED (CREDIT CURRENT BALANCE – 605) 11 – CONFIRMED (CREDIT LOCK IN BALANCE – 606) 16 – CANCELLED DUE TO AUTO CA (DEBIT DEMAT PENDING VERIFICATION – 609) 16 – CANCELLED DUE TO AUTO CA (DEBIT DEMAT PENDING CONFIRMATION – 610) 10 - CANCELLED DUE TO ACCOUNT TRANSFER (DEBIT DEMAT PENDING VERIFICATION - 611) 11 - CANCELLED DUE TO ACCOUNT TRANSFER (DEBIT DEMAT PENDING CONFIRMATION - 611)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
35	TRANSACTION CODE	NUMBER	4	• 2201 – SETUP (CREDIT DEMAT PENDING VERIFICATION – 601) • 2202 – VERIFY (CREDIT DEMAT PENDING CONFIRMATION – 603) • 2212 – CONFIRM, IF LOCK IN FLAG = 'Y' (CREDIT LOCK IN BALANCE – 606) • 2246 – CONFIRM (CREDIT CURRENT BALANCE – 605) • 2251 – DELETE (DEBIT DEMAT PENDING VERIFICATION – 607) • 2251 – VERIFY (DEBIT DEMAT PENDING VERIFICATION – 602) • 2251 – CANCELLED DUE TO AUTO CA (DEBIT DEMAT PENDING VERIFICATION – 609) • 2252 – CONFIRM (DEBIT DEMAT PENDING CONFIRMATION – 604) • 2252 – CANCELLED DUE TO AUTO CA (DEBIT DEMAT PENDING CONFIRMATION – 610) • 3102 – MODIFY (601) • 3102 – REJECTION (608) • 2251 - CANCELLED DUE TO ACCOUNT TRANSFER (DEBIT DEMAT PENDING VERIFICATION - 611) • 2252 - CANCELLED DUE TO ACCOUNT TRANSFER (DEBIT DEMAT PENDING CONFIRMATION - 611)
36	MODIFICATION FLAG	NUMBER	2	• 0 – NOT MODIFIED • 1 – MODIFIED
37	INTERNAL REFERENCE NUMBER	CHAR	16	RTA INTERNAL REFERENCE NUMBER
38	DP REMARKS	CHAR	100	DP REMARKS
39	RTA REMARKS	CHAR	100	RTA REMARKS IF TRANSFERRED DUE TO ACCOUNT TRANSFER- FOR SOURCE BO ID - NEW DRN, NEW BO ID FOR DESTINATION BO ID - OLD DRN, OLD BO ID
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	• 1 – ONLINE • 2 – CDAS UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 7	CHAR	9	NA
45	FILLER 1		2	FOR FUTURE USE
46	FILLER 2		16	FOR FUTURE USE
47	DEMAT REQUEST NUMBER	NUMBER	8	FOR DEMAT ACCOUNT TRANSFER: OLD DEMAT REQUEST NUMBER /NEW DEMAT REQUEST NUMBER OTHERS NA
48	FILLER 4		14	FOR FUTURE USE
49	TRANSFER ID	NUMBER	19	FOR ACCOUNT TRANSFER – TRANSACTION ID NA FOR OTHERS
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	7 (REMAT)
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	REMAT REQUEST NUMBER (RRN)
6	QUANTITY	NUMBER	16,3	REMAT QUANTITY - EXCEPT FOR 705,707 STATUS REMAT ACCEPTED / REJECTED QUANTITY - FOR STATUS 705,707,708
7	TRANSACTION STATUS	NUMBER	6	• 701 – SETUP • 702 – RECEIVED • 703 – REJECTED WITHOUT RECEIVING • 704 – PENDING WITH RTA • 705 – PARTIAL REMAT • 706 – REMAT CANCELLED DUE TO AUTO CA • 707 – CLOSED (PARTIAL QUANTITY) • 708 - REJECTION IN CONFIRMATION • 709 – DELETED • 710 - CANCELLED DUE TO ACCOUNT TRANSFER
8	TRANSACTION SETUP DATE	DATE	14	REMAT SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCK IN FLAG	CHAR	1	• F – FREE • L – LOCK IN
11	COUNTER BO ID	CHAR	16	COUNTER BO ID FOR ACCOUNT TRANSFER NA FOR OTHERS
12	FIELD 2	CHAR	8	NA
13	FIELD 3	CHAR	13	NA
14	FIELD 4	CHAR	13	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
15	PART COUNTER	CHAR	8	PART COUNTER
16	REMAT RECEIVED DATE	DATE	14	REMAT RECEIVED DATE
17	RTA ID	NUMBER	6	RTA ID
18	DOCUMENT NUMBER	CHAR	20	REMAT REQUEST FORM (RRF) NUMBER
19	INTERNAL REFERENCE NUMBER	CHAR	20	INTERNAL REFERENCE NUMBER
20	FIELD 5	CHAR	30	NA
21	LOT SIZE	NUMBER	8	LOT SIZE
22	LOCK IN CODE	NUMBER	2	LOCK IN CODE
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	FIELD 6	DATE	14	NA
25	CONFIRMATION / REJECTION FLAG	CHAR	1	A – ACCEPTED (CONFIRMED), R - REJECTED
26	LOT TYPE	CHAR	1	• VALUE ' M' DISCONTINUED • J – JUMBO
27	FIELD 7	NUMBER	2	NA
28	CONFIRMATION DATE	DATE	14	REMAT CONFIRMATION DATE
29	ACCEPTED QUANTITY	NUMBER	16,3	TOTAL ACCEPTED QUANTITY UNDER RRN
30	REJECTED QUANTITY	NUMBER	16,3	TOTAL REJECTED QUANTITY UNDER RRN
31	FIELD 8	NUMBER	16,3	NA
32	FIELD 9	NUMBER	16,3	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33	FINANCIAL FLAG	NUMBER	2	• 0 – MODIFIED • 0 – RECEIVED • 1 – SETUP (CREDIT REMAT PENDING CONFIRMATION) • 1 – REJECTED WITHOUT RECEIVING (DEBIT REMAT PENDING CONFIRMATION) • 1 – CONFIRMED BY RTA (DEBIT LOCK IN BALANCE, IF LOCK IN = L) • 1 – CONFIRMED BY RTA (DEBIT REMAT PENDING CONFIRMATION BALANCE) • 1 – CONFIRMED BY RTA (DEBIT CURRENT BALANCE) • 1 – REJECTED BY RTA (DEBIT REMAT PENDING CONFIRMATION BALANCE) • 1 – DELETED (DEBIT REMAT PENDING CONFIRMATION BALANCE) • 1 – CANCELLED DUE TO AUTO CA - (DEBIT REMAT PENDING CONFIRMATION BALANCE) • 1 -- REMAT CANCELLED DUE TO ACCOUNT TRANSFER (710)
34	EFTS CODE	NUMBER	2	• 14 – SETUP • 14 – DELETED (DEBIT REMAT PENDING CONFIRMATION BALANCE) • 15 – REJECTED WITHOUT RECEIVING • 15 – CONFIRMED BY RTA (DEBIT REMAT PENDING CONFIRMATION BALANCE) • 15 – CONFIRMED BY RTA (DEBIT CURRENT BALANCE) • 16 – CANCELLED DUE TO AUTO CA - (DEBIT REMAT PENDING CONFIRMATION BALANCE) • 78 – CONFIRMED BY RTA (DEBIT LOCK IN BALANCE, IF LOCK IN = L) • 15 -- REMAT CANCELLED DUE TO ACCOUNT TRANSFER (DEBIT REMAT PENDING CONFIRMATION BALANCE)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
35	TRANSACTION CODE	NUMBER	4	• 2205 – SETUP (701) • 3202 – MODIFIED (701) • 3202 – RECEIVED (702) • 2255 – REJECTED WITHOUT RECEIVING (703) • 2255 – CONFIRMED BY RTA (DEBIT REMAT PENDING CONFIRMATION BALANCE – 705) • 2255 – REJECTED BY RTA (DEBIT REMAT PENDING CONFIRMATION BALANCE – 705) • 2255 – DELETED (DEBIT REMAT PENDING CONFIRMATION BALANCE – 709) • 2262 – DEBIT LOCK IN BALANCE (705) • 2277 – CONFIRMED BY RTA (DEBIT CURRENT BALANCE – 705) • 2255 – CANCELLED DUE TO AUTO CA (DEBIT REMAT PENDING CONFIRMATION BALANCE – 706) • 2255 -- CONFIRMED BY RTA (DEBIT REMAT PENDING CONFIRMATION BALANCE -- 707) • 2277 -- CONFIRMED BY RTA (DEBIT REMAT PENDING CONFIRMATION BALANCE -- 707) • 2255 -- REMAT CANCELLED DUE TO ACCOUNT TRANSFER (DEBIT REMAT PENDING CONFIRMATION BALANCE - 710)
36	MODIFICATION FLAG	NUMBER	2	• 0 – NOT MODIFIED • 1 – MODIFIED
37	RTA INTERNAL REFERENCE NUMBER	CHAR	16	RTA INTERNAL REFERENCE NUMBER
38	REJECTION REMARKS	CHAR	100	REJECTION REMARKS
39	RTA REMARKS	CHAR	100	RTA REMARKS IF TRANSFERRED DUE TO ACCOUNT TRANSFER: FOR SOURCE BO ID - NEW RRN, NEW BO ID FOR DESTINATION BO ID - OLD RRN, OLD BO ID
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 – UPLOAD

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 10	CHAR	9	NA
45	FILLER 1		2	FOR FUTURE USE
46	FILLER 2		16	FOR FUTURE USE
47	PARENT / CHILD REMAT REQUEST NUMBER	NUMBER	8	FOR ACCOUNT TRANSFER: OLD REMAT REQUEST NUMBER / NEW REMAT REQUEST NUMBER NA FOR OTHERS
48	FILLER 3		14	FOR FUTURE USE
49	TRANSACTION ID	NUMBER	8	TRANSACTION ID FOR ACCOUNT TRANSFER NA FOR OTHERS
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

Note:

Total Setup Quantity is shown in row number 6 when a Remat Request is setup. When a Remat Request is accepted and if part quantity is accepted, then the counter will be shown in row number 15 and row number 6 will have part quantity. Row number 29 & 30 will show total accepted and total rejected quantity for a Remat Request.

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	8 (PLEDGE)
3	BO ID	CHAR	16	PLEDGOR BO ID / PLEDGEE BO ID (FOR CR./DB. PLEDGEE TXNS)
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	10	PLEDGE SEQUENCE NUMBER (PSN)
6	QUANTITY	NUMBER	16,3	PLEDGE QUANTITY
7	TRANSACTION STATUS	NUMBER	6	801 - Setup initiated by Pledgor maker 802 - Setup Approve by Pledgor Checker (Cr. PSB) 818 - Setup Approved by Pledgor Checker (Db. LB) 804 - Setup Cancel By Pledgor (Db. PSB) 819 - Setup Cancel By Pledgor(Cr. LB) 805 - Setup Accept by Pledgee Maker 806 - Setup Reject by Pledgee Maker 807 - Setup Reject by Pledgee Checker (Db. PSB) 820 - Setup Reject by Pledgee Checker (Cr. LB) 809 - Setup Accept by Pledgee Checker (Cr. PB) 810 - Setup Accept by Pledgee Checker (DB PSB) 821 - Setup Accept by Pledgee Checker (Cr PEB---Pledgee) 811 - Reversal By Pledgee 812 - Cancelled due to Auto CA (Db. PSB for SC, AM, RM) 813 - Transferred due to Auto CA (Db. PB) 814 - Closed due to Auto CA (Db. PB) 822 - Transferred/Closed due to Auto CA (Db. PEB) 815 - Pledge Cancel In EOD 816 - Pledge Reject In EOD 803 - Modify by Pledgee Maker 808 - Modify Accept by Pledgee Checker 817 - Modify Reject by Pledgee Checker 823 - Pledge rejection in EOD due to insufficient balance 824 -Pending Setup By Pledgor Maker 825 -Pending Setup By Pledgor Checker

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				826 -Overdue Setup By Pledgor Checker 827 – Pledge Reversal By pledgor Checker 828 - Margin Pledge Setup Initiated by Pledgor Maker 829 - Margin Pledge Setup Approve by Pledgor Checker (Cr. PSB) 830 - Margin Pledge Setup Accept by Pledgee Maker 831 - Margin Pledge Setup Accept by Pledgee Checker (Cr. PB) 832 - Margin Pledge Setup Accept by Pledgee Checker (DB PSB) 833 - Margin Pledge Setup Accept by Pledgee Checker (Cr PEB---Pledgee) 834 - Margin Re-pledge Setup Initiated by Pledgor Maker 835 - Margin Re-pledge Setup Approve by Pledgor Checker 836 - Margin Re-pledge Setup Accept by Pledgee Maker 837 - Margin Re-pledge Setup Accept by Pledgee Checker (Cr. RPB --- Pledgor) 838 - Margin Re-pledge Setup Accept by Pledgee Checker (Cr PEB--- Pledgee) 839 - Pledge Setup Approved by Pledgor Checker (Pending OTP Authentication) 840 - Pledge Cancelled in EOD due to Pending OTP Authentication
8	TRANSACTION SETUP DATE	DATE	14	PLEDGE SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCKIN FLAG	CHAR	1	• F – FREE • L – LOCK IN
11	COUNTER BO ID	CHAR	16	PLEDGE BO ID / PLEDGOR BO ID
12	Original PSN	CHAR	10	Original PSN applicable for Auto CA of Temporary ISIN
13	UCC	CHAR	13	UCC in case of Margin Pledge/Margin Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Margin Re-Pledge CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
15	PARENT PSN	CHAR	10	PARENT PSN (FOR NEW PSN CREATED DUE TO AUTO CA)
16	PLEDGE EXECUTION DATE	DATE	14	PLEDGE EXECUTION DATE
17	FIELD 4	NUMBER	6	NA
18	DOCUMENT NUMBER	CHAR	20	PLEDGE REQUEST FORM (PRF) NUMBER
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	LOCK IN CODE	NUMBER	2	• 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	M – INITIATED C – VERIFIED
26	PLEDGE REASON	CHAR	1	PLEDGE REASON CODE
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 6	DATE	14	NA
29	PLEDGE VALUE	NUMBER	16,3	PLEDGE VALUE
30	PLEDGE BALANCE	NUMBER	16,3	PLEDGE BALANCE DEBIT DUE TO AUTO CA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
31	PLEDGE AVAILABLE QUANTITY	NUMBER	16,3	REMAINING QUANTITY AVAILABLE FOR FURTHER UNPLEDGE AND / OR CONFISCATE
32	FIELD 7	NUMBER	16,3	NA
33	FINANCIAL FLAG	NUMBER	2	• 0 – SETUP INITIATED BY PLEDGOR MAKER • 0 – SETUP MODIFIED BY PLEDGOR MAKER • 0 – SETUP INITIATED BY PLEDGEE MAKER • 0 – SETUP REJECTED BY PLEDGEE MAKER • 0 – REVERSAL BY PLEDGEE • 0 – CANCELLED DUE TO AUTO CA • 1 - SETUP APPROVE BY PLEDGOR CHECKER (CREDIT PLEDGE SETUP BALANCE) • 2 - SETUP APPROVE BY PLEDGOR CHECKER (IF LOCK IN FLAG = 'Y' DEBIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT) • 1 - SETUP CANCEL BY PLEDGOR (IF LOCK IN FLAG = 'Y' , DEBIT PLEDGE SETUP BALANCE, CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT) • 1 - SETUP REJECT BY PLEDGEE CHECKER (DB. PSB, CR. LB) (IF LOCK IN FLAG = 'Y' , DEBIT PLEDGE SETUP BALANCE, CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT) • 1 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PSB, CR. PB, CR. PLEDGEE BO PEB) (DEBIT PLEDGE SETUP BALANCE, CREDIT PLEDGE IN BALANCE IN PLEDGOR BO ACCOUNT, CREDIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 0 - CANCELLED DUE TO AUTO CA (FOR SETUP-MAKER) • 1 - CANCELLED DUE TO AUTO CA (DEBIT PLEDGE SETUP BALANCE IN PLEDGOR BO ACCOUNT) • 1 - TRANSFERRED DUE TO AUTO CA (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 1 - CLOSED DUE TO AUTO CA (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 0 - MODIFY BY PLEDGEE MAKER • 0 - MODIFY ACCEPT BY PLEDGEE CHECKER

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				0 - MODIFY REJECT BY PLEDGEE CHECKER 0 – CANCELLED IN EOD 1 – REJECTED IN EOD
34	EFTS CODE	NUMBER	2	35 - Setup Approve by Pledgor Checker (Cr. PSB) 35 - Setup Approve by Pledgor Checker, If lockin flag = 'Y' (Db. LB) 35 - Setup Cancel By Pledgor (Db. PSB, Cr. LB) 35 - Setup Reject by Pledgee Checker (Db. PSB, Cr. LB) 35 - Setup Accept by Pledgee Checker (Cr. PB) 35 - Setup Accept by Pledgee Checker, If lockin flag = 'Y' (Db. LB) 16 - Cancelled due to Auto CA (Db. PSB for SC, AM, RM) 16 - Transferred due to Auto CA (Db. PB, Db. Pledgee BO PEB) 16 - Closed due to Auto CA (Db. PB, Db. Pledgee BO PEB) 35 - Rejected in EOD NA - For Others
35	TRANSACTION CODE	NUMBER	4	3408 - SETUP INITIATED BY PLEDGOR MAKER (801 & 824) 2220 - SETUP APPROVE BY PLEDGOR CHECKER (802) 2262 - SETUP APPROVE BY PLEDGOR CHECKER, IF LOCK IN FLAG = 'Y' (DB. LB -818) 2270 - SETUP CANCEL BY PLEDGOR (DR. PSB -804) 2212 - SETUP CANCEL BY PLEDGOR, IF LOCK IN FLAG = 'Y' (CR. LB -819) 3409 - SETUP ACCEPT BY PLEDGEE MAKER (805) 2270 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PSB -810) 2230 - SETUP ACCEPT BY PLEDGEE CHECKER (CR. PB -809) 2225 - SETUP ACCEPT BY PLEDGEE CHECKER (CR. PLEDGEE BO PEB -821) 3409 - SETUP REJECT BY PLEDGEE MAKER (806) 2270 - SETUP REJECT BY PLEDGEE CHECKER(807) 2212 - SETUP REJECT BY PLEDGEE CHECKER, IF LOCK IN FLAG = 'Y'

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				(CR. LB -820) 3409 - REVERSAL BY PLEDGEE (811) 3463 - CANCELLED DUE TO AUTO CA (812 - FOR SM) 2270 - CANCELLED DUE TO AUTO CA (DB. PSB - 812 FOR SC, AM, RM) 2280 - TRANSFERRED DUE TO AUTO CA (DB. PB -813) 2275 - TRANSFERRED DUE TO AUTO CA (DB. PLEDGEE BO PEB -822) 2280 - CLOSED DUE TO AUTO CA (DB. PB -814) 2275 - CLOSED DUE TO AUTO CA (DB. PLEDGEE BO PEB - 822) 3443 - PLEDGE CANCEL IN EOD (815 & 823) 2270 - PLEDGE REJECT IN EOD (DB. PSB - 816 FOR SC, AM, RM) 2212 - PLEDGE REJECT IN EOD, IF LOCK IN FLAG = 'Y' (CR. LB -816) 3464 - PLEDGEE MODIFY MAKER (803) 3465 - PLEDGEE MODIFY CHECKER ACCEPT (808) 3466 - PLEDGEE MODIFY CHECKER REJECT (817) 3467 - PENDING SETUP BY PLEDGOR CHECKER(825) 3467 - OVER DUE SETUP BY PLEDGOR CHECKER(826) 3468 - PLEDGE REVERSAL BY PLEDGOR CHECKER (827) 3469 - MODIFICATION INITIATED BY PLEDGOR MAKER (827) 3412-- MARGIN PLEDGE SETUP INITIATED BY PLEDGOR MAKER(828) 2220-- CREDIT PLEDGE SETUP BALANCE(829) 3413-- MARGIN PLEDGE SETUP ACCEPT BY PLEDGEE MAKER(830) 2230-- CREDIT PLEDGE BALANCE(831) 2270-- DEBIT PLEDGE SETUP BALANCE(832) 2225-- CREDIT PLEDGEE BALANCE(833) 3414-- MARGIN RE-PLEDGE SETUP INITIATED BY PLEDGOR MAKER(834) 3415-- MARGIN RE-PLEDGE SETUP APPROVE BY PLEDGOR CHECKER(835) 3416-- MARGIN RE-PLEDGE SETUP ACCEPT BY PLEDGEE MAKER(836) 2231 --CREDIT RE-PLEDGE BALANCE(PLEDGOR)(837) 2225-- CREDIT PLEDGEE BALANCE(838) 3424 -Pledge Setup Approved by Pledgor Checker (Pending OTP Authentication) (839)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				3425 -Pledge Cancelled in EOD due to Pending OTP Authentication (840)
36	MODIFICATION FLAG	NUMBER	2	• 0 – NOT MODIFIED • 1 – MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGE DP REMARKS	CHAR	100	PLEDGE DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 – UPLOAD, 4 – EASIEST UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 8	CHAR	9	NA
45	EXCHANGE ID	NUMBER	2	EXCHANGE ID IN CASE OF MARGIN PLEDGE/RE-PLEDGE
46	CM ID	CHAR	16	CM ID IN CASE OF MARGIN PLEDGE/RE-PLEDGE
47	TM ID / CP ID	CHAR	100	TM ID/CP ID IN CASE OF MARGIN PLEDGE/RE-PLEDGE
48	ENTITY IDENTIFIER	CHAR	14	ENTITY IDENTIFIER IN CASE OF MARGIN PLEDGE/RE-PLEDGE CP= CP ID TM= TM ID
49	Parent Margin Pledge PSN	NUMBER	10	PARENT MARGIN PLEDGE PSN (Applicable in case of NEW MARGIN REPLEDGE PSN)
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	9 (UNPLEDGE)
3	BO ID	CHAR	16	PLEDGOR BO ID/ PLEDGEE BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	10	PLEDGE SEQUENCE NUMBER (PSN)
6	UNPLEDGE QUANTITY	NUMBER	16,3	UNPLEDGE PART QUANTITY
7	TRANSACTION STATUS	NUMBER	6	• 901 – SETUP INITIATED BY PLEDGOR MAKER • 902 – SETUP APPROVED BY PLEDGOR CHECKER • 903 – SETUP CANCELLED BY PLEDGOR • 904 – SETUP ACCEPTED BY PLEDGEE MAKER • 905 – SETUP ACCEPTED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 906 – SETUP REJECTED BY PLEDGEE MAKER • 907 – SETUP REJECTED BY PLEDGEE CHECKER • 908 – REVERSAL BY PLEDGEE • 909 – CANCELLED DUE TO AUTO CA • 910 – CANCELLED IN EOD • 911 REJECTED IN EOD • 912 - SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 913 - SETUP APPROVED BY PLEDGEE CHECKER (CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT, DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 914 - Margin Repledge Unpledge Setup Initiated by Pledgor Maker • 915 - Margin Repledge Unpledge Setup Approved by Pledgor Checker • 916 - Margin Repledge Unpledge Setup Accept by Pledgee Maker • 917 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Db. RPB --- Pledgor) • 918 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Db. Pledgee BO PEB) • 919 - Margin Pledge Unpledge Setup Initiated by Pledgor Maker

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				920 - Margin Pledge Unpledge Setup Approved by Pledgor Checker 921 - Margin Pledge Unpledge Setup Accept by Pledgee Maker 924 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Db. PB) 925 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Db. Pledgee BO PEB)
8	TRANSACTION SETUP DATE	DATE	14	UNPLEDGE SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCK IN FLAG	CHAR	1	F – FREE L – LOCK IN
11	COUNTER BO ID	CHAR	16	PLEDGE BO ID / PLEDGOR BO ID
12	FIELD 1	CHAR	8	NA
13	UCC	CHAR	13	UCC in case of Margin Pledge/Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Re-Pledge CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
15	FIELD 4	CHAR	8	NA
16	FIELD 5	DATE	14	NA
17	UNPLEDGE COUNTER	NUMBER	6	UNPLEDGE COUNTER
18	DOCUMENT NUMBER	CHAR	20	UNPLEDGE REQUEST FORM NUMBER (URF)
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
22	LOCK IN CODE	NUMBER	2	• 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	M – INITIATED C – VERIFIED
26	FIELD 7	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 9	DATE	14	NA
29	TOTAL PLEDGED QUANTITY	NUMBER	16,3	TOTAL PLEDGED QUANTITY
30	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)
31	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)	NUMBER	16,3	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)
32	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33	FINANCIAL FLAG	NUMBER	2	• 0 – SETUP INITIATED BY PLEDGOR MAKER (901) • 0 – SETUP APPROVED BY PLEDGOR CHECKER (902) • 0 – SETUP CANCELLED BY PLEDGOR – (3409) (903) • 0 – SETUP ACCEPTED BY PLEDGEE MAKER (904) • 0 – SETUP REJECTED BY PLEDGEE MAKER (906) • 0 – SETUP REJECTED BY PLEDGEE CHECKER (907) • 0 – REVERSAL BY PLEDGEE (908) • 1 – SETUP ACCEPTED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE - 905) • 1 - SETUP ACCEPT BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT - 910) • 2 – SETUP ACCEPTED BY PLEDGEE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE - 911) • 0 – CANCELLED DUE TO AUTO CA – (909)
34	EFTS CODE	NUMBER	2	• 35 – SETUP ACCEPTED BY PLEDGEE CHECKER (905) • 35 – SETUP ACCEPTED BY PLEDGEE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE - 911) • 35 - SETUP ACCEPT BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT - 910) • NA – FOR OTHERS
35	TRANSACTION CODE	NUMBER	4	3409 - SETUP INITIATED BY PLEDGOR MAKER 3409 - SETUP APPROVE BY PLEDGOR CHECKER 3409 - SETUP CANCEL BY PLEDGOR 3409 - SETUP ACCEPT BY PLEDGEE MAKER 2280 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PB-905) 2275 - SETUP ACCEPT BY PLEDGEE CHECKER (DB. PLEDGEE BO PEB-910) 2212 - SETUP ACCEPT BY PLEDGEE CHECKER, IF LOCK IN FLAG = 'Y' (CR. LB-911) 3409 - SETUP REJECT BY PLEDGEE MAKER 3409 - SETUP REJECT BY PLEDGEE CHECKER 3409 - REVERSAL BY PLEDGEE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				3463 - CANCELLED DUE TO AUTO CA 3451 - CANCELLED IN EOD/REJECTED IN EOD 3418 - Margin Repledge Unpledge Setup Initiated by Pledgor Maker(914) 3419 - Margin Repledge Unpledge Setup Approved by Pledgor Checker(915) 3420 - Margin Repledge Unpledge Setup Accept by Pledgee Maker(916) 2281 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Debit Re-Pledge Balance) (917) 2275 - Margin Repledge Unpledge Setup Accept by Pledgee Checker (Debit Pledgee Balance) (918) 3421 - Margin Pledge Unpledge Setup Initiated by Pledgor Maker (919) 3422 - Margin Pledge Unpledge Setup Approved by Pledgor Checker (920) 3423 - Margin Pledge Unpledge Setup Accept by Pledgee Maker (921) 2280 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Debit Pledge Balance) (922) 2275 - Margin Pledge Unpledge Setup Accept by Pledgee Checker (Debit Pledgee Balance) (923)
36	MODIFICATION FLAG	NUMBER	2	1 - MODIFIED 0 - NOT MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGEER DP REMARKS	CHAR	100	PLEDGEER DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 – UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 11	CHAR	9	NA
45	Exchange ID	NUMBER	2	Exchange ID in case of Margin Pledge/Re-Pledge
46	CM ID	CHAR	16	CM ID in case of Margin Pledge/Re-Pledge
47	TM ID/CP ID	CHAR	100	TM ID/CP ID in case of Margin Pledge/Re-Pledge
48	Entity Identifier	CHAR	14	Entity Identifier in case of Margin Pledge/Re-Pledge CP= CP ID TM= TM ID
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	10 (AUTO UNPLEDGE)
3	BO ID	CHAR	16	PLEDGOR BO ID / PLEDGEE BO ID (FOR CREDIT / DEBIT PLEDGEE TXNS)
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	10	PLEDGE SEQUENCE NUMBER (PSN)
6	UNPLEDGE QUANTITY	NUMBER	16,3	UNPLEDGE PART QUANTITY
7	TRANSACTION STATUS	NUMBER	6	• 1001 – AUTO UNPLEDGE MAKER • 1002 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT) • 1007 – AUTO UNPLEDGE CHECKER (IF LOCK IN FLAG = 'Y', CREDIT LOCK IN BALANCE IN PLEDGOR BO ACCOUNT) • 1003 – AUTO UNPLEDGE CANCEL • 1004 – CANCELLED DUE TO AUTO CA • 1006 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT) • 1005 – REVERSAL IN EOD • 1008 - Margin Repledge AutoUnpledge Maker • 1009 - Margin Repledge AutoUnpledge Checker (Db. RPB --- Pledgor) • 1010 - Margin Repledge AutoUnpledge Checker (Db. PEB --- Pledgee) • 1011 - Margin Pledge AutoUnpledge Maker • 1012 - Margin Pledge AutoUnpledge Checker (Db. PB --- Pledgor) • 1013 - Margin Pledge AutoUnpledge Checker (Db.PEB --- Pledgee)
8	TRANSACTION SETUP DATE	DATE	14	UNPLEDGE SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCK IN FLAG	CHAR	1	• F – FREE L – LOCK IN

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
11	COUNTER BO ID	CHAR	16	PLEDGE BO ID / PLEDGOR BO ID
12	FIELD 1	CHAR	8	NA
13	UCC	CHAR	13	UCC in case of Margin Pledge/Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Re-Pledge CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
15	FIELD 4	CHAR	8	NA
16	FIELD 5	DATE	14	NA
17	UNPLEDGE PART COUNTER	NUMBER	6	AUTO UNPLEDGE PART COUNTER
18	DOCUMENT NUMBER	CHAR	20	UNPLEDGE REQUEST FORM NUMBER (URF)
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	LOCK IN CODE	NUMBER	2	• 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	M – INITIATED C – VERIFIED
26	FIELD 7	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 9	DATE	14	NA
29	TOTAL PLEDGED QUANTITY	NUMBER	16,3	TOTAL PLEDGED QUANTITY
30	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)
31	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)	NUMBER	16,3	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)
32	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)
33	FINANCIAL FLAG	NUMBER	2	• 0 – AUTO UNPLEDGE MAKER (1001) • 0 – AUTO UNPLEDGE CANCEL (1003) • 0 – CANCELLED DUE TO AUTO CA (1004) • 1 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT - 1002) • 1 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT - 1002) • 2 – AUTO UNPLEDGE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE - 1002)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
34	EFTS CODE	NUMBER	2	• 0 – AUTO UNPLEDGE MAKER – (1001) • 0 – AUTO UNPLEDGE CANCEL – (1003) • 0 – AUTO UNPLEDGE CANCELLED DUE TO AUTO CA (1004) • 35 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGOR BO ACCOUNT 1002) • 35 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT 1002) • 35 – AUTO UNPLEDGE CHECKER (IF LOCKIN FLAG IS 'Y' CREDIT LOCKIN BALANCE 1002)
35	TRANSACTION CODE	NUMBER	4	• 2212 – AUTO UNPLEDGE CHECKER (IF LOCKIN FLAG = 'Y' CREDIT LOCKIN BALANCE 1002) • 2275 - AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE IN PLEDGEE BO ACCOUNT 1002) • 2230 – AUTO UNPLEDGE CHECKER (DEBIT PLEDGE BALANCE 1002) • 3409 – AUTO UNPLEDGE CANCEL– (1003) • 3409 – AUTO UNPLEDGE MAKER – (1001) • 3463 – CANCELLED DUE TO AUTO CA – (1004) • 3451 – REVERSAL IN EOD (1005) • 3417- Margin Repledge AutoUnpledge Maker(1008) • 2281 - Margin Repledge AutoUnpledge Checker (Debit Re-Pledge Balance) - (1009) • 2275 - Margin Repledge AutoUnpledge Checker (Debit Pledgee Balance) - (1010) • 3401- Margin Pledge AutoUnpledge Maker(1011) • 2280- Margin Pledge AutoUnpledge Checker (Debit Pledge Balance) - (1012) • 2275- Margin Pledge AutoUnpledge Checker (Debit Pledgee Balance) - (1013)
36	MODIFICATION FLAG	NUMBER	2	1 – MODIFIED 0 – NOT MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGEE DP REMARKS	CHAR	100	PLEDGEE DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 – UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 11	CHAR	9	NA
45	Exchange ID	NUMBER	2	Exchange ID in case of Margin Pledge/Re-Pledge
46	CM ID	CHAR	16	CM ID in case of Margin Pledge/Re-Pledge
47	TM ID / CP ID	CHAR	100	TM ID/CP ID in case of Margin Pledge/Re-Pledge
48	Entity Identifier	CHAR	14	Entity Identifier in case of Margin Pledge/Re-Pledge CP= CP ID TM= TM ID
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	11 (CONFISCATE / INVOCATION)
3	BO ID	CHAR	16	PLEDGOR BO ID / PLEDGE BO ID (FOR CR/DB PLEDGEE TRANSACTIONS)
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	10	PLEDGE SEQUENCE NUMBER (PSN)
6	CONFISCATION QUANTITY	NUMBER	16,3	CONFISCATION PART QUANTITY
7	TRANSACTION STATUS	NUMBER	6	• 1101 – SETUP INITIATED BY PLEDGEE MAKER • 1102 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR) • 1108-Setup approved by Pledgee checker (Db. PEB) (Pledgee) • 1103 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT CURRENT BALANCE – PLEDGOR) • 1104 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE) • 1105 – REVERSAL BY PLEDGEE • 1106 – CANCELLED DUE TO AUTO CA • 1107 – REVERSAL IN EOD • 1109-Margin Re-Pledge Confiscate Setup Initiated by Pledgee Maker • 1110-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) CC • 1111-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) CM • 1112-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. RPB) CM • 1113-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. RPB) TM • 1114-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) TM • 1115-Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker (Db. PB) BO • 1116-Db. CB (Pledgor) BO • 1117-Cr. CB (Pledgee) CC/CM

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				• 1118-Margin Pledge Confiscate Setup Initiated by Pledgee Maker • 1119-Margin Pledge Confiscate Setup Approved by Pledgee Checker (Db. PEB) TM • 1120-Margin Pledge Confiscate Setup Approved by Pledgee Checker (Db. PB) BO • 1121-Db. CB (Pledgor) BO • 1122-Cr. CB (Pledgee) TM
8	TRANSACTION SETUP DATE	DATE	14	CONFISCATION SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCK IN FLAG	CHAR	1	• F – FREE • L – LOCK IN
11	COUNTER BO ID	CHAR	16	PLEDGEEO BO ID / PLEDGOR BO ID
12	FIELD 1	CHAR	8	NA
13	UCC	CHAR	13	UCC in case of Margin Pledge/Re-Pledge
14	Segment ID	CHAR	13	Segment ID in case of Margin Pledge/Re-Pledge CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
15	FIELD 4	CHAR	8	NA
16	FIELD 5	DATE	14	NA
17	CONFISCATION COUNTER	NUMBER	6	CONFISCATION COUNTER
18	DOCUMENT NUMBER	CHAR	20	INVOCATION REQUEST FORM NUMBER (IRF)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
19	AGREEMENT NUMBER	CHAR	20	AGREEMENT NUMBER
20	PLEDGE DP INTERNAL REFERENCE NUMBER	CHAR	30	PLEDGE DP INTERNAL REFERENCE NUMBER
21	CA SEQUENCE NUMBER	NUMBER	8	CA SEQUENCE NUMBER
22	LOCK IN CODE	NUMBER	2	• 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	PLEDGE EXPIRY DATE	DATE	14	PLEDGE EXPIRY DATE
25	MAKER CHECKER FLAG	CHAR	1	• M – INITIATED • C – VERIFIED
26	FIELD 7	CHAR	1	NA
27	CA TYPE	NUMBER	2	CA TYPE
28	FIELD 9	DATE	14	NA
29	TOTAL PLEDGED QUANTITY	NUMBER	16,3	TOTAL PLEDGED QUANTITY
30	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE VERIFIED + CONFISCATION VERIFIED)
31	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)	NUMBER	16,3	QUANTITY AVAILABLE (FOR UNPLEDGE / CONFISCATION)
32	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)	NUMBER	16,3	TOTAL QUANTITY (UNPLEDGE INITIATED + CONFISCATION INITIATED)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33	FINANCIAL FLAG	NUMBER	2	• 0 – SETUP INITIATED BY PLEDGEE MAKER – (1101) • 0 – REVERSAL BY PLEDGEE – (1105) • 0 – CANCELLED DUE TO AUTO CA – (1106) • 1 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR – 1102) • 1 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT CURRENT BALANCE – PLEDGOR – 1103) • 1 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE – 1104) • 1 - Setup Approve by Pledgee Checker Db. PEB (Pledgee) -(1108)
34	EFTS CODE	NUMBER	2	• 35 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR – 1102) • 35 - Setup approved by Pledgee checker - Db. PEB (Pledgee) - (1108) • 35 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT CURRENT BALANCE – PLEDGOR – 1103) • 35 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE – 1104) • NA – FOR ANY OTHERS
35	TRANSACTION CODE	NUMBER	4	• 2246 – SETUP APPROVED BY PLEDGEE CHECKER (CREDIT CURRENT BALANCE – PLEDGEE – 1104) • 2275 - Setup approved by Pledgee checker - Db. PEB (Pledgee) - (1108) • 2277 – SETUP APPROVED BY PLEDGEE CHECKER (DEBT CURRENT BALANCE – PLEDGOR – 1103) • 2280 – SETUP APPROVED BY PLEDGEE CHECKER (DEBIT PLEDGE BALANCE – PLEDGOR – 1102) • 3409 – SETUP INITIATED BY PLEDGEE MAKER (1101) • 3409 – REVERSAL BY PLEDGEE (1105) • 3463 – CANCELLED DUE TO AUTO CA (1106) • 3462 – REVERSAL IN EOD – (1107) • 3402- Margin Re-Pledge Confiscate Setup Initiated by Pledgee Maker(1109) • 2275 -Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledgee Balance(1110)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				• 2275- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledgee Balance(1111) • 2281- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Re-Pledge Balance(Pledgor)(1112) • 2281- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Re-Pledge Balance(Pledgor)(1113) • 2275- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledgee Balance(1114) • 2280- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledge Balance(1115) • 2277- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Debit Current Balance(1116) • 2246- Margin Re-Pledge Confiscate Setup Approved by Pledgee Checker - Credit Current Balance(1117) • 3403- Margin Pledge Confiscate Setup Initiated by Pledgee Maker(1118) • 2275- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledgee Balance(1119) • 2280- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Debit Pledge Balance(1120) • 2277- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Debit Current Balance(1121) • 2246- Margin Pledge Confiscate Setup Approved by Pledgee Checker - Credit Current Balance(1122)
36	MODIFICATION FLAG	NUMBER	2	1 – MODIFIED 0 – NOT MODIFIED
37	PLEDGOR DP INTERNAL REFERENCE NUMBER	CHAR	16	PLEDGOR DP INTERNAL REFERENCE NUMBER
38	PLEDGOR DP REMARKS	CHAR	100	PLEDGOR DP REMARKS
39	PLEDGE DP REMARKS	CHAR	100	PLEDGE DP REMARKS
40	OPERATOR ID	CHAR	6	OPERATOR ID

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
41	TRANSACTION SOURCE	NUMBER	2	• 1 – ONLINE • 2 – UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCK IN ID	CHAR	16	LOCK IN ID
44	FIELD 11	CHAR	9	NA
45	Exchange ID	NUMBER	2	Exchange ID in case of Margin Pledge/Re-Pledge
46	CM ID	CHAR	16	CM ID in case of Margin Pledge/Re-Pledge
47	TM ID / CP ID	CHAR	100	TM ID/CP ID in case of Margin Pledge/Re-Pledge
48	Entity Identifier	CHAR	14	Entity Identifier in case of Margin Pledge/Re-Pledge CP= CP ID TM= TM ID
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	12 (FREEZE / UNFREEZE)
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION TYPE	NUMBER	8	FREEZE ID
6	QUANTITY	NUMBER	16,3	ACTUAL FROZEN QUANTITY (APPLICABLE FOR PARTIAL FREEZE)
7	TRANSACTION STATUS	NUMBER	6	• 1201 – FREEZE SETUP • 1203 – UNFREEZE • 1204 – FREEZE CANCEL • 1205 – FREEZE ACTIVATED AT SOD • 1206 – FREEZE EXPIRED AT EOD • 1207 – CANCELLED DUE TO AUTO CA • 1208 – TRANSFERRED DUE TO AUTO CA • 1209 – CLOSED DUE TO AUTO CA • 1210 -- FREEZE SETUP BY MAKER • 1211 -- FREEZE CHECKER REJECT • 1212 -- FREEZE CHECKER REVERSAL • 1213 -- UNFREEZE SETUP BY MAKER • 1214 -- UNFREEZE CHECKER REJECT • 1215 – FREEZE MODIFY BY MAKER
8	SETUP DATE	DATE	14	FREEZE INITIATED DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREEZE LEVEL	CHAR	1	• B – BO LEVEL • I – BO ISIN LEVEL
11	FIELD 1	CHAR	16	NA
12	FIELD 2	CHAR	8	NA
13	FIELD 3	CHAR	13	NA
14	FIELD 4	CHAR	13	NA
15	FREEZE PARENT ID	CHAR	8	FREEZE PARENT ID (FOR NEW FREEZE CREATED DUE TO AUTO CA)
16	EXECUTION DATE	DATE	14	• FREEZE ACTIVATION DATE – (1201) • BUSINESS DATE – PROCESSING DATE FOR OTHERS
17	FREEZE INITIATED BY	NUMBER	6	• 1 – BO • 2 – CDSL • 3 – DP
18	FIELD 5	CHAR	20	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
19	FIELD 6	CHAR	20	NA
20	FIELD 7	CHAR	30	NA
21	FREEZE SUB OPTION	NUMBER	8	• 1 – LIEN • 2 – STATUTORY ORDERS
22	FREEZE REASON CODE	NUMBER	2	• 1 – BENEFICIAL OWNER • 2 – ITO ATTACHMENT • 3 – SEBI DIRECTIVE • 4 – DISINVESTMENT & PRIVATE DEALS • 5 – COURT ORDER • 6 – PAN VERIFICATION PENDING • 7 – SOLE / FIRST HOLDER DECEASED • 8 – SECOND HOLDER DECEASED • 9 – THIRD HOLDER DECEASED • 10 – ORDER FROM SPECIAL RECOVERY OFFICER • 11 – CBI ORDER • 12 – FIU-IND REQUIREMENT • 13 – IN PERSON VERIFICATION PENDING • 14 – ASSIGNMENT – DP CLOSURE • 18 – Corporate action freeze • 96 – RESTRAINED PAN • 97 – MINOR ATTAINED MAJORITY • 98 – PAN NOT RECORDED
23	FIELD 8	DATE	14	NA
24	FREEZE EXPIRY DATE	DATE	14	FREEZE EXPIRY DATE
25	FREEZE QUANTITY TYPE	CHAR	1	• F – FULL • P – PARTIAL
26	FREEZE TYPE	CHAR	1	• P – PERMANENT • T – TEMPORARY
27	FROZEN FLAG	NUMBER	2	• 1 – FROZEN FOR DEBIT • 2 – FROZEN FOR CREDIT • 3 – FROZEN FOR DEBIT & CREDIT, BOTH
28	FIELD 9	DATE	14	NA
29	SETUP QUANTITY	NUMBER	16,3	FREEZE SETUP QUANTITY (APPLICABLE IN CASE OF PARTIAL FREEZE)
30	FIELD 10	NUMBER	16,3	NA
31	FIELD 11	NUMBER	16,3	NA
32	FIELD 12	NUMBER	16,3	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33	FINANCIAL FLAG	NUMBER	2	• 1 – FREEZE SETUP (IF BO-ISIN LEVEL AND PARTIAL FREEZE, CREDIT SAFE KEEP BALANCE – 1201) • 1 – UNFREEZE (IF BO-ISIN LEVEL AND PARTIAL FREEZE, DEBIT SAFE KEEP BALANCE – 1203) • 1 – SOD ACTIVATION (IF BO-ISIN LEVEL AND PARTIAL FREEZE, CREDIT SAFE KEEP BALANCE – 1205) • 1 – EOD EXPIRY (IF BO-ISIN LEVEL AND PARTIAL FREEZE, DEBIT SAFE KEEP BALANCE – 1206) • 1 – TRANSFERRED DUE TO AUTO CA (IF BO-ISIN LEVEL AND PARTIAL FREEZE, DEBIT SAFE KEEP BALANCE – 1208) • 1 – CLOSED DUE TO AUTO CA (IF BO-ISIN LEVEL AND PARTIAL FREEZE, DEBIT SAFE KEEP BALANCE – 1209) • NA – FOR OTHERS SINCE NO DEBIT / CREDIT IS INVOLVED IN OTHER TYPES OF FREEZE REQUESTS
34	EFTS CODE	NUMBER	2	• 77 – FREEZE SETUP (IF BO-ISIN LEVEL AND PARTIAL FREEZE, CREDIT SAFEKEEP BALANCE – 1201) • 77 – UNFREEZE (IF BO-ISIN LEVEL AND PARTIAL FREEZE, DEBIT SAFEKEEP BALANCE – 1203) • 77 – SOD ACTIVATION (IF BO-ISIN LEVEL AND PARTIAL FREEZE, CREDIT SAFEKEEP BALANCE – 1205) • 77 – EOD EXPIRY (IF BO-ISIN LEVEL AND PARTIAL FREEZE, DEBIT SAFEKEEP BALANCE – 1206) • 77 – TRANSFERRED DUE TO AUTO CA (IF BO-ISIN LEVEL AND PARTIAL FREEZE, DEBIT SAFEKEEP BALANCE – 1208) • 77 – CLOSED DUE TO AUTO CA (IF BO-ISIN LEVEL AND PARTIAL FREEZE, DEBIT SAFEKEEP BALANCE – 1209) • 0 – FOR OTHERS
35	TRANSACTION CODE	NUMBER	4	• 2211 – FREEZE SETUP (IF BO-ISIN LEVEL AND PARTIAL FREEZE, CREDIT SAFE KEEP BALANCE – 1201) • 2211 – SOD ACTIVATION (IF BO-ISIN LEVEL AND PARTIAL FREEZE, CREDIT SAFE KEEP BALANCE – 1205) • 2261 – UNFREEZE (IF BO-ISIN LEVEL AND PARTIAL FREEZE, DEBIT SAFE KEEP BALANCE – 1203) • 2261 – EOD EXPIRY (IF BO-ISIN LEVEL AND PARTIAL FREEZE, DEBIT SAFE KEEP BALANCE – 1206) • 2261 – TRANSFERRED DUE TO AUTO CA (IF BO-ISIN LEVEL AND

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				PARTIAL FREEZE, DEBIT SAFE KEEP BALANCE –1208) • 2261 – CLOSED DUE TO AUTO CA (IF BO-ISIN LEVEL AND PARTIAL FREEZE, DEBIT SAFE KEEP BALANCE –1209) • 3250 – FREEZE SETUP (IF NOT BO-ISIN LEVEL AND PARTIAL FREEZE – 1201) • 3250 – SOD ACTIVATION (IF NOT BO-ISIN LEVEL AND PARTIAL FREEZE – 1205) • 3252 – FREEZE MODIFY (1201) • 3252 – UNFREEZE (IF NOT BO-ISIN LEVEL AND PARTIAL FREEZE – 1203) • 3252 – FREEZE CANCEL (1204) • 3252 – EOD EXPIRY (IF NOT BO-ISIN LEVEL AND PARTIAL FREEZE – 1206) • 3253 – CANCELLED DUE TO AUTO CA (1207) • 3253 – TRANSFERRED DUE TO AUTO CA (IF NOT BO-ISIN LEVEL AND PARTIAL FREEZE – 1208) • 3253 – CLOSED DUE TO AUTO CA (IF NOT BO-ISIN LEVEL AND PARTIAL FREEZE – 1209) • 3264 -- FREEZE SETUP BY MAKER (1210) • 3265 -- FREEZE CHECKER REJECT (1211) • 3266 -- FREEZE CHECKER REVERSAL (1212) • 3267 -- FREEZE MODIFY BY MAKER (1215) • 3268 -- UNFREEZE SETUP BY MAKER (1213) • 3269 -- UNFREEZE CHECKER REJECT (1214)
36	MODIFICATION FLAG	NUMBER	2	• 0 – NOT MODIFIED 1 – MODIFIED
37	INTERNAL REFERENCE NUMBER	CHAR	16	FREEZE INTERNAL REFERENCE NUMBER
38	REMARKS	CHAR	100	REMARKS
39	FIELD 13	CHAR	100	NA
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	• 1 – ONLINE • 2 – UPLOAD • 7 – SOD/ EOD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	FIELD 14	CHAR	16	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
44	FIELD 15	CHAR	9	NA
45	FILLER 1		2	FOR FUTURE USE
46	FILLER 2		16	FOR FUTURE USE
47	FILLER 3		100	FOR FUTURE USE
48	FILLER 4		14	FOR FUTURE USE
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	14 (BSE & BSE SLB PAY-OUT)
3.	BO ID	CHAR	16	BO ID
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	9	6 DIGITS SERIAL NUMBER + 3 DIGITS RUNNING SETTLEMENT NUMBER
6.	QUANTITY	NUMBER	16,3	QUANTITY
7.	TRANSACTION STATUS	NUMBER	6	• 1401 – PAYOUT RECEIVED • 1402 – PAYOUT FAILED
8.	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	FIELD 1	CHAR	1	NA
11.	COUNTER BO ID	CHAR	16	COUNTER BO ID
12.	CM ID	CHAR	8	CM ID
13.	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14.	FIELD 2	CHAR	13	NA
15.	FIELD 3	CHAR	8	NA
16.	EXECUTION DATE	DATE	14	PAYOUT PROCESSING DATE
17.	FIELD 4	NUMBER	6	NA
18.	LOCKIN ID	CHAR	20	LOCKIN ID
19.	FIELD 6	CHAR	20	NA
20.	FIELD 7	CHAR	30	NA
21.	LOCKIN CODE	NUMBER	8	• 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				• 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
22.	LOCKIN EXPIRY DATE	NUMBER	2	LOCKIN EXPIRY DATE
23.	FIELD 10	DATE	14	NA
24.	FIELD 11	DATE	14	NA
25.	FIELD 12	CHAR	1	NA
26.	BUY / SELL FLAG	CHAR	1	B – BUY
27.	FIELD 13	NUMBER	2	NA
28.	FIELD 14	DATE	14	NA
29.	FIELD 15	NUMBER	16,3	NA
30.	FIELD 16	NUMBER	16,3	NA
31.	FIELD 17	NUMBER	16,3	NA
32.	FIELD 18	NUMBER	16,3	NA
33.	FINANCIAL FLAG	NUMBER	2	1 – DEBIT / CREDIT
34.	EFTS CODE	NUMBER	2	• 0 – OTHERS • 30 – DEBIT / CREDIT • 79 – HOP-SKIP-JUMP
35.	TRANSACTION CODE	NUMBER	4	• 2246 – CREDIT • 2277 – DEBIT • 2212 – LOCKIN BALANCE CREDIT
36.	FIELD 19	NUMBER	2	NA
37.	FIELD 20	CHAR	16	NA
38.	FIELD 21	CHAR	100	NA
39.	REMARKS	CHAR	100	"Credit to Buyer BO <BO ID> Failed" for txn status 1401
40.	OPERATOR ID	CHAR	6	CDAS
41.	TRANSACTION SOURCE	NUMBER	2	5 – TRANSACTION FROM CH

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	FIELD 23	CHAR	16	NA
44.	ERROR CODE	CHAR	9	ERROR CODE (IF ANY, WOULD BE POPULATED)
45.	FILLER 1		2	FOR FUTURE USE
46.	FILLER 2		16	FOR FUTURE USE
47.	FILLER 3		100	FOR FUTURE USE
48.	FILLER 4		14	FOR FUTURE USE
49.	FILLER 5		19	FOR FUTURE USE
50.	FILLER 6		1	FOR FUTURE USE
51.	FILLER 7		35	FOR FUTURE USE
52.	FILLER 8		100	FOR FUTURE USE
53.	FILLER 9		100	FOR FUTURE USE
54.	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	15 (NSE PAY-IN)
3.	BO ID	CHAR	16	BO ID
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	8	- TRANSACTION ID – IN CASE OF TRANSFER TO CM - TRANSACTION REFERENCE NUMBER – IN CASE OF PAY-IN
6.	QUANTITY	NUMBER	16,3	- QUANTITY – (QUANTITY DEBITED FROM BO ACCOUNT – IN CASE OF TRANSFER TO CM) - QUANTITY – (QUANTITY REQUESTED BY CH FOR DEBIT – IN CASE OF PAY-IN)
7.	TRANSACTION STATUS	NUMBER	6	1503 – DEBIT CREDIT SUCCESS (PAY-IN) 1504 – DEBIT ERROR (PAY-IN) 1505 – CREDIT ERROR (PAY-IN) 1506 – PROC ERROR (PAY-IN)
8.	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SET UP DATE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	FIELD 1	CHAR	1	NA
11.	COUNTER BO ID	CHAR	16	COUNTER BO ID
12.	CM ID	CHAR	8	CM ID
13.	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14.	FIELD 2	CHAR	13	NA
15.	FIELD 3	CHAR	8	NA
16.	EXECUTION DATE	DATE	14	EARMARK DATE (PAY-IN DATE)
17.	FIELD 4	NUMBER	6	NA
18.	FIELD 5	CHAR	20	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
19.	FIELD 6	CHAR	20	NA
20.	SELF BATCH ID	CHAR	30	SELF BATCH ID
21.	FIELD 7	NUMBER	8	NA
22.	FIELD 8	NUMBER	2	NA
23.	FIELD 9	DATE	14	NA
24.	FIELD 10	DATE	14	NA
25.	FIELD 11	CHAR	1	NA
26.	BUY / SELL FLAG	CHAR	1	S – SELL
27.	FIELD 12	NUMBER	2	NA
28.	FIELD 13	DATE	14	NA
29.	ACTUAL QUANTITY	NUMBER	16,3	DEBITED QUANTITY
30.	FIELD 14	NUMBER	16,3	NA
31.	FIELD 15	NUMBER	16,3	NA
32.	FIELD 16	NUMBER	16,3	NA
33.	FINANCIAL FLAG	NUMBER	2	• 0 – FAILED • 1 – DEBIT / CREDIT
34.	EFTS CODE	NUMBER	2	• 0 – OTHERS • 22 – DEBIT / CREDIT DUE TO PAY-IN • 31 – CREDIT DUE TO TRANSFER TO CM
35.	TRANSACTION CODE	NUMBER	4	• 0 – FAILED • 2246 – CREDIT • 2277 – DEBIT • 2215-Earmark
36.	FIELD 17	NUMBER	2	0
37.	FIELD 18	CHAR	16	NA
38.	REMARKS	CHAR	100	REMARKS

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
39.	FIELD 19	CHAR	100	NA
40.	OPERATOR ID	CHAR	6	CDAS
41.	TRANSACTION SOURCE	NUMBER	2	5 – TRANSACTION FROM CH
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	FIELD 20	CHAR	16	NA
44.	ERROR CODE	CHAR	9	ERROR CODE (IF ANY, WOULD BE POPULATED)
45.	FILLER 1		2	FOR FUTURE USE
46.	FILLER 2		16	FOR FUTURE USE
47.	FILLER 3		100	FOR FUTURE USE
48.	FILLER 4		14	FOR FUTURE USE
49.	FILLER 5		19	FOR FUTURE USE
50.	FILLER 6		1	FOR FUTURE USE
51.	FILLER 7		35	FOR FUTURE USE
52.	FILLER 8		100	FOR FUTURE USE
53.	FILLER 9		100	FOR FUTURE USE
54.	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	16 (NSE PAYOUT)
3.	BO ID	CHAR	16	BO ID
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	8	TRANSACTION REFERENCE NUMBER
6.	QUANTITY	NUMBER	16,3	QUANTITY (QUANTITY REQUESTED BY CH FOR DEBIT)
7.	TRANSACTION STATUS	NUMBER	6	• 1603 – DEBIT CREDIT SUCCESS • 1604 – DEBIT ERROR • 1605 – CREDIT ERROR • 1606 – PROCESSING ERROR
8.	TRANSACTION DATE	DATE	14	PAYOUT PROCESSING DATE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	FIELD 1	CHAR	1	NA
11.	COUNTER BO ID	CHAR	16	COUNTER BO ID
12.	CM ID	CHAR	8	CM ID
13.	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14.	FIELD 2	CHAR	13	NA
15.	FIELD 3	CHAR	8	NA
16.	EXECUTION DATE	DATE	14	PAYOUT PROCESSING DATE
17.	FIELD 4	NUMBER	6	NA
18.	LOCKIN ID	CHAR	20	LOCKIN ID
19.	FIELD 6	CHAR	20	NA
20.	SELF BATCH ID	CHAR	30	SELF BATCH ID
21.	FIELD 7	NUMBER	8	NA
22.	LOCKIN REASON CODE	NUMBER	2	• 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				• 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23.	LOCKIN EXPIRY DATE	DATE	14	LOCKIN EXPIRY DATE
24.	FIELD 10	DATE	14	NA
25.	FIELD 11	CHAR	1	NA
26.	BUY / SELL FLAG	CHAR	1	B – BUY
27.	FIELD 12	NUMBER	2	NA
28.	FIELD 13	DATE	14	NA
29.	FIELD 14	NUMBER	16,3	NA
30.	FIELD 15	NUMBER	16,3	NA
31.	FIELD 16	NUMBER	16,3	NA
32.	FIELD 17	NUMBER	16,3	NA
33.	FINANCIAL FLAG	NUMBER	2	• 0 – FAILED • 1 – DEBIT / CREDIT
34.	EFTS CODE	NUMBER	2	• 0 – OTHERS • 22 – DEBIT / CREDIT • 80 – HOP-SKIP-JUMP
35.	TRANSACTION CODE	NUMBER	4	• 0 – FAILED • 2246 – CREDIT • 2277 – DEBIT • 2216 – LOCKIN BALANCE CREDIT
36.	FIELD 18	NUMBER	2	NA
37.	FIELD 19	CHAR	16	NA
38.	REMARKS	CHAR	100	REMARKS
39.	FIELD 20	CHAR	100	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
40.	OPERATOR ID	CHAR	6	CDAS
41.	TRANSACTION SOURCE	NUMBER	2	5 – TRANSACTION FROM CH
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	FIELD 21	CHAR	16	NA
44.	ERROR CODE	CHAR	9	ERROR CODE (IF ANY, WOULD BE POPULATED)
45.	FILLER 1		2	FOR FUTURE USE
46.	FILLER 2		16	FOR FUTURE USE
47.	FILLER 3		100	FOR FUTURE USE
48.	FILLER 4		14	FOR FUTURE USE
49.	FILLER 5		19	FOR FUTURE USE
50.	FILLER 6		1	FOR FUTURE USE
51.	FILLER 7		35	FOR FUTURE USE
52.	FILLER 8		100	FOR FUTURE USE
53.	FILLER 9		100	FOR FUTURE USE
54.	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	17(ONE TO MANY TRANSMISSION)
3	BO ID	CHAR	16	SOURCE BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6	QUANTITY	NUMBER	16,3	TRANSACTION QUANTITY
7	TRANSACTION STATUS	NUMBER	6	1703 - SETUP ONLINE MAKER (ONE TO MANY TRANSMISSION) (WITHIN & ACROSS) ACCEPT CHECKER & UPLOAD (ONE TO MANY TRANSMISSION) (WITHIN DEPOSITORY) 1701 - CURRENT BALANCE DEBIT 1702 - CURRENT BALANCE CREDIT ACCEPT CHECKER & UPLOAD (ONE TO MANY TRANSMISSION) (ACROSS DEPOSITORY) 1704 - SENT FOR INTER DEPOSITORY PROCESSING 1707 - INTER DEPOSITORY PROCESSING COMPLETE 1708 - INTER DEPOSITORY TRANSFER FAILED 1705 - DELETE ONLINE MAKER (ONE TO MANY TRANSMISSION) (WITHIN & ACROSS) 1706 - REJECT ONLINE CHECKER (ONE TO ONE MANY TRANSMISSION) (WITHIN & ACROSS)
8	SETUP DATE	DATE	14	SYSTEM DATE
9	TRANSACTION DATE	DATE	14	BUSINESS DATE
10	TRANSACTION TYPE FLAG	CHAR	1	TRANSACTION CATEGORY (D-WITHIN CDSL, N-ACROSS CDSL)
11	COUNTER BO ID	CHAR	16	DESTINATION BO ID
12	FIELD 1	CHAR	8	NA
13	FIELD 2	CHAR	13	NA
14	FIELD 3	CHAR	13	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
15	SENDER TRANSACTION REFERENCE NUMBER	CHAR	8	FOR WITHIN DEPOSITORY : NA VALUE PRESENT FOR ACROSS DEPOSITORY
16	EXECUTION DATE	DATE	14	BUSINESS DATE
17	FIELD 5	NUMBER	6	NA
18	FIELD 6	CHAR	20	NA
19	FIELD 7	CHAR	20	NA
20	FIELD 8	CHAR	30	NA
21	FIELD 9	NUMBER	8	NA
22	FIELD 10	NUMBER	2	NA
23	FIELD 11	DATE	14	NA
24	FIELD 12	DATE	14	NA
25	MAKER CHECKER TRANSACTION FLAG	CHAR	1	1 - FOR SETUP MAKER 4 - DELETE MAKER 3 - REJECT CHECKER FOR ACCEPT CHECKER AND UPLOAD, VALUES ARE AS PER EXISTING (TRANSACTION TRANSFER STATUS) 1 - PARTIALLY TRANSFERRED 2 - FULLY TRANSFERRED
26	MAKER CHECKER FLAG	CHAR	1	FOR MAKER, CHECKER FLAG (M- MAKER, C-CHECKER)
27	TRANSFER TYPE FLAG	NUMBER	2	4 1-MS TRANSMISSION 5 1-M TRANSMISSION-ACROSS DEPOSITORY BLANK FOR DELETED BY MAKER.
28	FIELD 13	DATE	14	NA
29	TRANSFERRED QUANTITY	NUMBER	16,3	FOR ONE TO MANY MAKER AND CHECKER AND UPLOAD (TRANSFERRED QTY) BLANK FOR REJECTED BY CHECKER
30	TRANSACTION REJECTED QTY	NUMBER	16,3	TRANSACTION QTY FOR REJECTED BY CHECKER
31	FIELD 14	NUMBER	16,3	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
32	FIELD 15	NUMBER	16,3	NA
33	FINANCIAL FLAG	NUMBER	2	1
34	EFTS CODE	NUMBER	2	20 - 1-M TRANSMISSION 20 - DELETED BY MAKER
35	TRANSACTION CODE	NUMBER	4	WITHIN & ACROSS DEPOSITORY 1854 - 1-M TRANSMISSION MAKER: 1858 – DELETED BY MAKER 1855 - FOR REJECT CHECKER 1-M TRANSMISSION FOR 1-M TRANSMISSION ACCEPT CHECKER AND UPLOAD WITHIN DEPOSITORY 2277 - CURRENT BALANCE DEBIT (1701) 2246- CURRENT BALANCE CREDIT (1702) FOR 1-M TRANSMISSION ACCEPT CHECKER AND UPLOAD ACROSS DEPOSITORY 7777 – SENT FOR INTER DEPOSITORY PROCESSING 8888 – INTER DEPOSITORY PROCESSING COMPLETE 8889 – INTER DEPOSITORY PROCESSING - REJECTED
36	TRANSACTION COUNTER	NUMBER	2	1- SOURCE BO ID 2- DESTINATION BO ID
37	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38	REMARKS	CHAR	100	REMARKS
39	FIELD 16	CHAR	100	NA
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 -UPLOAD
42	LAST MODIFICATION DATE	DATE	14	SYSTEM DATE
43	FIELD 17	CHAR	16	NA
44	FIELD 18	CHAR	9	NA
45	FILLER1		2	FILLER1
46	FILLER2		16	FILLER2

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
47	FILLER3		100	FILLER3
48	FILLER3		14	FILLER4
49	FILLER3		19	FILLER5
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

Note: A system generated unique identifier for each transfer transmission request will be generated. This will assist in tracking a specific transaction. Following logic will be followed in generation of transaction Ids;

- one to many transfers
- One ISIN transferred to multiple BOs – Single transaction ID for all transfers.
- Multiple ISINs present in the source account and free balance of each ISIN is transferred to different BOs – Separate transaction ID for each ISIN.

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	18 – TRANSMISSION – ONE TO ONE / ACCOUNT TRANSFER
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6	TRANSACTION QUANTITY	NUMBER	16,3	FOR CHECKER AND UPLOAD FREE BALANCE / LOCK-IN BALANCE BLANK FOR ONLINE MAKER
7	TRANSACTION STATUS	NUMBER	6	1803 - SETUP ONLINE MAKER (ONE TO MANY TRANSMISSION) (WITHIN & ACROSS) ACCEPT CHECKER & UPLOAD (WITHIN DEPOSITORY) 1801 – CURRENT BALANCE DEBIT 1802 – CURRENT BALANCE CREDIT ACCEPT CHECKER & UPLOAD (ACROSS DEPOSITORY) 1804 – SENT FOR INTER DEPOSITORY PROCESSING 1807 – INTER DEPOSITORY PROCESSING COMPLETE 1808 – INTER DEPOSITORY TRANSFER FAILED 1805 – ONLINE MAKER DELETED (WITHIN & ACROSS DEPOSITORY) 1806 – REJECT ONLINE CHECKER (WITHIN & ACROSS DEPOSITORY) FOR LOCK-IN LOCK-IN BALANCE DEBIT / LOCK-IN TRANSFERRED – 1809 LOCK-IN BALANCE CREDIT / LOCK-IN TRANSFERRED – 1810
8	TRANSACTION SETUP DATE	DATE	14	SYSTEM DATE
9	TRANSACTION DATE	DATE	14	BUSINESS DATE
10	TRANSACTION TYPE FLAG	CHAR	1	'D' – WITHIN CDSL, 'N' – INTER DEPOSITORY
11	COUNTER BO ID	CHAR	16	COUNTER BO ID
12	FIELD 1	CHAR	8	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
13	FIELD 2	CHAR	13	NA
14	FIELD 3	CHAR	13	NA
15	TRANSACTION REFERENCE NUMBER FOR INTER DEPOSITORY TRANSFERS	CHAR	8	FOR WITHIN DEPOSITORY : NA VALUE PRESENT FOR ACROSS DEPOSITORY
16	EXECUTION DATE	DATE	14	PROCESSING DATE
17	TRANSFER REASON CODE	NUMBER	6	1 – REVERTING TO MAIDEN NAME 2 – CHANGE IN BO NAME AS PER OFFICIAL GAZETTE 3 – CHANGE IN BO NAME UPON MARRIAGE 4 – SHIFTING OF ACCOUNT FROM ONE DP TO OTHER DP 5 – DEATH OF THE ACCOUNT HOLDER – SINGLE HOLDER ACCOUNT 6 – DEATH OF ONE OR MORE JOINT HOLDERS BLANK FOR REJECTED BY CHECKER
18	FIELD 5	CHAR	20	NA
19	LOCK-IN ID	CHAR	20	FOR LOCK-IN: OLD LOCK-IN ID / NEW LOCK-IN ID
20	FIELD 7	CHAR	30	NA
21	FIELD 8	NUMBER	8	NA
22	FIELD 9	NUMBER	2	NA
23	FIELD 10	DATE	14	NA
24	FIELD 11	DATE	14	NA
25	TRANSFER STATUS FLAG	CHAR	1	FOR MAKER 1 – SETUP BY MAKER 4 – DELETED BY MAKER 3 – REJECT BY CHECKER FOR ACCEPT BY CHECKER AND UPLOAD 1 – PARTIALLY TRANSFERRED 2 – FULLY TRANSFERRED
26	MAKER CHECKER FLAG	CHAR	1	M – MAKER, C - CHECKER

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
27	TRANSACTION REASON CODE	NUMBER	2	WITHIN DEPOSITORY 0 – TRANSMISSION ONE TO ONE 1 – ACCOUNT TRANSFER ACROSS DEPOSITORY 2 – TRANSMISSION ONE TO ONE 3 – ACCOUNT TRANSFER BLANK FOR DELETED BY MAKER
28	FIELD 12	DATE	14	NA
29	TRANSFERRED QUANTITY	NUMBER	16,3	FOR CHECKER – ONE TO ONE TRANSMISSION AND ACCOUNT TRANSFER – FREE BALANCE / LOCK-IN BALANCE BLANK FOR REJECTED BY CHECKER
30	REJECTED QUANTITY	NUMBER	16,3	FOR REJECTED BY CHECKER – FREE BALANCE
31	FIELD 13	NUMBER	16,3	NA
32	FIELD 14	NUMBER	16,3	NA
33	FINANCIAL FLAG	NUMBER	2	1– TRANSMISSION, ONE-TO-ONE / ACCOUNT TRANSFER
34	EFTS CODE	NUMBER	2	23– TRANSMISSION, ONE-TO-ONE / ACCOUNT TRANSFER 20 – DELETED BY MAKER
35	TRANSACTION CODE	NUMBER	4	ONE TO ONE TRANSMISSION AND ACCOUNT TRANSFER (within and across depository) 1852 – SETUP BY MAKER 1858 – DELETED BY MAKER 1853 - REJECTED BY CHECKER ONE TO ONE TRANSMISSION AND ACCOUNT TRANSFER ACCEPTED BY CHECKER AND UPLOAD (WITHIN DEPOSITORY) 2277 – CURRENT BALANCE DEBIT (1801) 2246 – CURRENT BALANCE CREDIT (1802) ONE TO ONE TRANSMISSION AND ACCOUNT TRANSFER ACCEPTED BY CHECKER AND UPLOAD (ACROSS DEPOSITORY) 7777 – SENT FOR INTER DEPOSITORY PROCESSING

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				8888 – INTER DEPOSITORY PROCESSING COMPLETE 8889 – INTER DEPOSITORY PROCESSING – REJECTED 2262 – LOCK-IN DEBIT (1809) 2212 – LOCK-IN CREDIT (1810)
36	TRANSACTION COUNTER	NUMBER	2	1 – SOURCE BO ID, 2 – DESTINATION BO ID
37	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38	REMARKS	CHAR	100	REMARKS
39	FIELD 15	CHAR	100	NA
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE, 2 – UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	FIELD 16	CHAR	16	NA
44	FIELD 17	CHAR	9	NA
45	FILLER 1		2	FOR FUTURE USE
46	COUNTER LOCK-IN ID	CHAR	16	FOR LOCK-IN: OLD LOCK-IN ID / NEW LOCK-IN ID
47	FILLER 3		100	FOR FUTURE USE
48	FILLER 4		14	FOR FUTURE USE
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

Note: For One to one & account transfer single Transaction id for all ISINs

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	20 (IPO)
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	SERIAL NUMBER
6	QUANTITY	NUMBER	16,3	QUANTITY
7	TRANSACTION STATUS	NUMBER	6	• 2001 – IPO CURRENT BALANCE CREDIT • 2002 – IPO LOCK IN BALANCE CREDIT
8	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FIELD 1	CHAR	1	NA
11	FIELD 2	CHAR	16	NA
12	FIELD 3	CHAR	8	NA
13	FIELD 4	CHAR	13	NA
14	FIELD 5	CHAR	13	NA
15	SEQUENCE NUMBER	CHAR	8	IPO SEQUENCE NUMBER
16	FIELD 6	DATE	14	NA
17	RTA ID	NUMBER	6	RTA ID
18	FIELD 7	CHAR	20	NA
19	FIELD 8	CHAR	20	NA
20	FIELD 9	CHAR	30	NA
21	FIELD 10	NUMBER	8	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
22	LOCK IN CODE	NUMBER	2	• 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	FIELD 11	DATE	14	NA
25	FIELD 12	CHAR	1	NA
26	FIELD 13	CHAR	1	NA
27	FIELD 14	NUMBER	2	NA
28	FIELD 15	DATE	14	NA
29	FIELD 16	NUMBER	16,3	NA
30	FIELD 17	NUMBER	16,3	NA
31	FIELD 18	NUMBER	16,3	NA
32	FIELD 19	NUMBER	16,3	NA
33	FINANCIAL FLAG	NUMBER	2	1 – IPO ALLOTMENT
34	EFTS CODE	NUMBER	2	12- IPO ALLOTMENT
35	TRANSACTION CODE	NUMBER	4	• 2212 – IPO LOCK IN BALANCE CREDIT (2002) • 2246 – IPO CURRENT BALANCE CREDIT (2001)
36	MODIFICATION FLAG	NUMBER	2	1 – MODIFIED 0 – NOT MODIFIED
37	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38	FIELD 20	CHAR	100	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
39	FIELD 21	CHAR	100	NA
40	OPERATOR ID	CHAR	6	CDAS
41	TRANSACTION SOURCE	NUMBER	2	1 - ONLINE 7 - PROCESSING BY RTA
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCKIN ID	CHAR	16	NA - (2001) LOCKIN_ID - (2002)
44	FIELD 22	CHAR	9	NA
45	FILLER 1		2	FOR FUTURE USE
46	FILLER 2		16	FOR FUTURE USE
47	FILLER 3		100	FOR FUTURE USE
48	FILLER 4		14	FOR FUTURE USE
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	21 (FILE CA)
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	SERIAL NUMBER
6	QUANTITY	NUMBER	16,3	QUANTITY
7	TRANSACTION STATUS	NUMBER	6	• 2101 – CA CURRENT BALANCE DEBIT • 2102 – CA LOCK IN BALANCE DEBIT • 2103 – CA CURRENT BALANCE CREDIT • 2104 – CA LOCK IN BALANCE CREDIT
8	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FIELD 1	CHAR	1	NA
11	FIELD 2	CHAR	16	NA
12	FIELD 3	CHAR	8	NA
13	SETTLEMENT ID	CHAR	13	IN CASE OF CM ACCOUNT (SEE NOTE BELOW – *)
14	FIELD 4	CHAR	13	NA
15	SEQUENCE NUMBER	CHAR	8	CA SEQUENCE NUMBER
16	FIELD 5	DATE	14	NA
17	RTA ID	NUMBER	6	RTA ID
18	FIELD 6	CHAR	20	NA
19	PARENT LOCK IN ID	CHAR	20	PARENT LOCK IN ID
20	FIELD 7	CHAR	30	NA
21	FIELD 8	NUMBER	8	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
22	LOCK IN CODE	NUMBER	2	• 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	FIELD 9	DATE	14	NA
25	FIELD 10	CHAR	1	NA
26	FIELD 11	CHAR	1	NA
27	FIELD 12	NUMBER	2	NA
28	FIELD 13	DATE	14	NA
29	FIELD 14	NUMBER	16,3	NA
30	FIELD 15	NUMBER	16,3	NA
31	FIELD 16	NUMBER	16,3	NA
32	FIELD 17	NUMBER	16,3	NA
33	FINANCIAL FLAG	NUMBER	2	1 – FILE CA ALLOTMENT
34	EFTS CODE	NUMBER	2	13– FILE CA ALLOTMENT
35	TRANSACTION CODE	NUMBER	4	• 2212 – CA LOCK IN BALANCE CREDIT (2104) • 2246 – CA CURRENT BALANCE CREDIT (2103) • 2262 – CA LOCK IN BALANCE DEBIT (2102) • 2277 – CA CURRENT BALANCE DEBIT (2101)
36	CA TYPE	NUMBER	2	CORPORATE ACTION TYPE
37	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38	FIELD 18	CHAR	100	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
39	FIELD 19	CHAR	100	NA
40	OPERATOR ID	CHAR	6	CDAS
41	TRANSACTION SOURCE	NUMBER	2	7 – SOD / EOD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCKIN ID	CHAR	16	NA - (2101,2103) LOCKIN_ID - (2102, 2104)
44	FIELD 20	CHAR	9	NA
45	FILLER 1		2	FOR FUTURE USE
46	FILLER 2		16	FOR FUTURE USE
47	FILLER 3		100	FOR FUTURE USE
48	FILLER 4		14	FOR FUTURE USE
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

Note:

- (*) This field is applicable only for CM accounts where balances are held settlement pocket-wise. For Corporate Actions like bonus where the credit is given in the account, later a dummy settlement ID starting with 98 will be created and credit will be given to this settlement pocket. For all other Corporate Actions, credit will be given in the same settlement pocket.

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUES
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	22 (AUTO CA)
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6	QUANTITY	NUMBER	16,3	QUANTITY
7	TRANSACTION STATUS	NUMBER	6	• 2201 – AUTO CA CURRENT BALANCE DEBIT • 2202 – AUTO CA CURRENT BALANCE CREDIT • 2203 – LOCK IN TRANSFERRED DUE TO AUTO CA • 2204 – AUTO CA LOCK IN BALANCE CREDIT • 2205 – LOCK IN CLOSED DUE TO AUTO CA
8	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FIELD 1	CHAR	1	NA
11	FIELD 2	CHAR	16	NA
12	FIELD 3	CHAR	8	NA
13	SETTLEMENT ID	CHAR	13	IN CASE OF CM ACCOUNT (SEE NOTE BELOW – *)
14	FIELD 4	CHAR	13	NA
15	SEQUENCE NUMBER	CHAR	8	CA SEQUENCE NUMBER
16	EXECUTION DATE	DATE	14	PROCESSING DATE
17	RTA ID	NUMBER	6	RTA ID
18	LOCK IN ID	CHAR	20	LOCK IN ID
19	PARENT LOCK IN ID	CHAR	20	PARENT LOCK IN ID
20	FIELD 5	CHAR	30	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUES
21	FIELD 6	NUMBER	8	NA
22	LOCK IN CODE	NUMBER	2	• 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	FIELD 7	DATE	14	NA
25	FIELD 8	CHAR	1	NA
26	FIELD 9	CHAR	1	NA
27	FIELD 10	NUMBER	2	NA
28	FIELD 11	DATE	14	NA
29	FIELD 12	NUMBER	16,3	NA
30	FIELD 13	NUMBER	16,3	NA
31	FIELD 14	NUMBER	16,3	NA
32	FIELD 15	NUMBER	16,3	NA
33	FINANCIAL FLAG	NUMBER	2	1 – AUTO CA ALLOTMENT
34	EFTS CODE	NUMBER	2	16- AUTO CA ALLOTMENT
35	TRANSACTION CODE	NUMBER	4	• 2212 – AUTO CA LOCK IN BALANCE CREDIT (2204) • 2246 – AUTO CA CURRENT BALANCE CREDIT (2202) • 2262 – LOCK IN TRANSFERRED DUE TO AUTO CA (2203) • 2262 – LOCK IN CLOSED DUE TO AUTO CA (2205) • 2277 – AUTO CA CURRENT BALANCE DEBIT (2201)
36	CA TYPE	NUMBER	2	CORPORATE ACTION TYPE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUES
37	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38	REMARKS	CHAR	100	LOCK IN REMARKS
39	FIELD 16	CHAR	100	NA
40	OPERATOR ID	CHAR	6	CDAS
41	TRANSACTION SOURCE	NUMBER	2	7 - PROCESSING BY RTA
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	FIELD 17	CHAR	16	NA
44	FIELD 18	CHAR	9	NA
45	FILLER 1		2	FOR FUTURE USE
46	FILLER 2		16	FOR FUTURE USE
47	FILLER 3		100	FOR FUTURE USE
48	FILLER 4		14	FOR FUTURE USE
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

[*] Note:

This field is applicable only for CM accounts where balances are held settlement pocket-wise. For Corporate Actions like bonus, where the credit is given in the account later a dummy settlement ID starting with 98 will be created and credit given into this settlement pocket. For all other Corporate Actions, credit will be given in the same settlement pocket.

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	23 (LOCK IN RELEASE)
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6	QUANTITY	NUMBER	16,3	LOCK IN RELEASE QUANTITY
7	TRANSACTION STATUS	NUMBER	6	• 2301 – LOCK IN RELEASE FOR PLEDGE ON FULL QUANTITY • 2302 – LOCK IN RELEASE FULL FOR NO PLEDGE NO REMAT / PARTIAL QUANTITY IN PLEDGE • 2303 – LOCK IN RELEASE PARTIAL FOR REMAT SETUP ON PARTIAL QUANTITY
8	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FIELD 1	CHAR	1	NA
11	FIELD 2	CHAR	16	NA
12	FIELD 3	CHAR	8	NA
13	FIELD 4	CHAR	13	NA
14	FIELD 5	CHAR	13	NA
15	FIELD 6	CHAR	8	NA
16	EXECUTION DATE	DATE	14	LOCK IN RELEASE DATE
17	FIELD 7	NUMBER	6	NA
18	LOCK IN ID	CHAR	20	LOCK IN ID
19	FIELD 8	CHAR	20	NA
20	FIELD 9	CHAR	30	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
21	FIELD 10	NUMBER	8	NA
22	LOCK IN CODE	NUMBER	2	• 1 – DIRECTORS / RELATIVE QUOTA • 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS
23	LOCK IN EXPIRY DATE	DATE	14	LOCK IN EXPIRY DATE
24	FIELD 11	DATE	14	NA
25	FIELD 12	CHAR	1	NA
26	FIELD 13	CHAR	1	NA
27	FIELD 14	NUMBER	2	NA
28	FIELD 15	DATE	14	NA
29	FIELD 16	NUMBER	16,3	NA
30	FIELD 17	NUMBER	16,3	NA
31	LOCK IN QUANTITY	NUMBER	16,3	LOCK IN QUANTITY
32	FIELD 18	NUMBER	16,3	NA
33	FINANCIAL FLAG	NUMBER	2	• 0 – LOCK IN RELEASE FOR PLEDGE ON FULL QUANTITY (2301) • 1 – LOCK IN RELEASE FULL FOR NO QUANTITY / PARTIAL QUANTITY IN PLEDGE (2302) • 1 – LOCK IN RELEASE PARTIAL FOR REMAT SETUP ON PARTIAL QUANTITY (2303)
34	EFTS CODE	NUMBER	2	• 0 – LOCK IN RELEASE FOR PLEDGE ON FULL QUANTITY (2301) • 78 – LOCK IN RELEASE FULL FOR NO QUANTITY / PARTIAL QUANTITY IN PLEDGE (2302) • 78 – LOCK IN RELEASE PARTIAL FOR REMAT SETUP ON PARTIAL QUANTITY (2303)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
35	TRANSACTION CODE	NUMBER	4	0 – LOCK IN RELEASE FOR PLEDGE ON FULL QUANTITY (2301) 2262 – LOCK IN RELEASE FULL FOR NO QUANTITY / PARTIAL QUANTITY IN PLEDGE (2302) 2262 – LOCK IN RELEASE PARTIAL FOR REMAT SETUP ON PARTIAL QUANTITY (2303)
36	FIELD 19	NUMBER	2	NA
37	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38	REMARKS	CHAR	100	REMARKS
39	FIELD 20	CHAR	100	NA
40	OPERATOR ID	CHAR	6	CDAS
41	TRANSACTION SOURCE	NUMBER	2	7 – SOD / EOD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	FIELD 21	CHAR	16	NA
44	FIELD 22	CHAR	9	NA
45	FILLER 1		2	FOR FUTURE USE
46	FILLER 2		16	FOR FUTURE USE
47	FILLER 3		100	FOR FUTURE USE
48	FILLER 4		14	FOR FUTURE USE
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	24 (CH ESCROW RELEASE)
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	OBLIGATION ID
6	QUANTITY	NUMBER	16,3	QUANTITY
7	TRANSACTION STATUS	NUMBER	6	• 2401 – ESCROW RELEASE PENDING • 2402 – ESCROW RELEASE DONE
8	TRANSACTION DATE	DATE	14	TRANSFER DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FIELD 1	CHAR	1	NA
11	COUNTER BO ID	CHAR	16	COUNTER BO ID
12	CM ID	CHAR	8	CM ID
13	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14	FIELD 2	CHAR	13	NA
15	FIELD 3	CHAR	8	NA
16	EXECUTION DATE	DATE	14	TRANSFER DATE
17	FIELD 4	NUMBER	6	NA
18	FIELD 5	CHAR	20	NA
19	FIELD 6	CHAR	20	NA
20	FIELD 7	CHAR	30	NA
21	FIELD 8	NUMBER	8	NA
22	FIELD 9	NUMBER	2	NA
23	FIELD 10	DATE	14	NA
24	FIELD 11	DATE	14	NA
25	FIELD 12	CHAR	1	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
26	FIELD 13	CHAR	1	NA
27	FIELD 14	NUMBER	2	NA
28	FIELD 15	DATE	14	NA
29	FIELD 16	NUMBER	16,3	NA
30	FIELD 17	NUMBER	16,3	NA
31	FIELD 18	NUMBER	16,3	NA
32	FIELD 19	NUMBER	16,3	NA
33	FINANCIAL FLAG	NUMBER	2	1 – DEBIT / CREDIT
34	EFT CODE	NUMBER	2	• 25 – DEBIT / CREDIT • 79 – HOP-SKIP-JUMP
35	TRANSACTION CODE	NUMBER	4	• 2246 – CREDIT • 2277 – DEBIT • 4462 – RECEIVED IN PAYOUT
36	FIELD 20	NUMBER	2	0
37	FIELD 21	CHAR	16	NA
38	FIELD 22	CHAR	100	NA
39	FIELD 23	CHAR	100	NA
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	5 – TRANSACTION FROM CH
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	FIELD 24	CHAR	16	NA
44	FIELD 25	CHAR	9	NA
45	FILLER 1		2	FOR FUTURE USE
46	FILLER 2		16	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
47	FILLER 3		100	FOR FUTURE USE
48	FILLER 4		14	FOR FUTURE USE
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	26 (NSE-SLB PAY-IN)
3.	BO ID	CHAR	16	BO ID
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	8	- TRANSACTION ID – IN CASE OF TRANSFER TO CM - TRANSACTION REFERENCE NUMBER – IN CASE OF PAY-IN
6.	QUANTITY	NUMBER	16,3	- QUANTITY – (QUANTITY DEBITED FROM BO ACCOUNT – IN CASE OF TRANSFER TO CM) - QUANTITY – (QUANTITY REQUESTED BY CH FOR DEBIT – IN CASE OF PAY-IN)
7.	TRANSACTION STATUS	NUMBER	6	2603 – DEBIT CREDIT SUCCESS (PAY-IN) 2604 – DEBIT ERROR (PAY-IN) 2605 – CREDIT ERROR (PAY-IN) 2606 – PROCESSING ERROR (PAY-IN) 2609 – SUCCESS FOR CM CREDIT
8.	TRANSACTION DATE	DATE	14	TRANSACTION SETUP DATE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	FIELD 1	CHAR	1	NA
11.	COUNTER BO ID	CHAR	16	COUNTER BO ID
12.	CM ID	CHAR	8	CM ID
13.	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14.	FIELD 2	CHAR	13	NA
15.	FIELD 3	CHAR	8	NA
16.	EXECUTION DATE	DATE	14	EARMARK DATE (PAY-IN DATE)
17.	FIELD 4	NUMBER	6	NA
18.	FIELD 5	CHAR	20	NA
19.	FIELD 6	CHAR	20	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
20.	SELF BATCH ID	CHAR	30	SELF BATCH ID
21.	FIELD 7	NUMBER	8	NA
22.	FIELD 8	NUMBER	2	NA
23.	FIELD 9	DATE	14	NA
24.	FIELD 10	DATE	14	NA
25.	FIELD 11	CHAR	1	NA
26.	BUY / SELL FLAG	CHAR	1	S – SELL
27.	FIELD 12	NUMBER	2	NA
28.	FIELD 13	DATE	14	NA
29.	ACTUAL QUANTITY	NUMBER	16,3	DEBITED QUANTITY
30.	FIELD 14	NUMBER	16,3	NA
31.	FIELD 15	NUMBER	16,3	NA
32.	FIELD 16	NUMBER	16,3	NA
33.	FINANCIAL FLAG	NUMBER	2	• 0 – FAILED • 1 – DEBIT / CREDIT
34.	EFTS CODE	NUMBER	2	• 0 – OTHERS • 22 – DEBIT / CREDIT DUE TO PAY-IN • 31 – CREDIT DUE TO TRANSFER TO CM
35.	TRANSACTION CODE	NUMBER	4	• 0 – FAILED • 2246 – CREDIT • 2277 – DEBIT
36.	FIELD 17	NUMBER	2	NA
37.	FIELD 18	CHAR	16	NA
38.	REMARKS	CHAR	100	REMARKS
39.	FIELD 19	CHAR	100	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
40.	OPERATOR ID	CHAR	6	CDAS
41.	TRANSACTION SOURCE	NUMBER	2	5 – TRANSACTION FROM CH
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	FIELD 20	CHAR	16	NA
44.	ERROR CODE	CHAR	9	ERROR CODE (IF ANY, WOULD BE POPULATED)
45.	FILLER 1		2	FOR FUTURE USE
46.	FILLER 2		16	FOR FUTURE USE
47.	FILLER 3		100	FOR FUTURE USE
48.	FILLER 4		14	FOR FUTURE USE
49.	FILLER 5		19	FOR FUTURE USE
50.	FILLER 6		1	FOR FUTURE USE
51.	FILLER 7		35	FOR FUTURE USE
52.	FILLER 8		100	FOR FUTURE USE
53.	FILLER 9		100	FOR FUTURE USE
54.	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	27 (NSE SLB PAYOUT)
3.	BO ID	CHAR	16	BO ID
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	8	TRANSACTION REFERENCE NUMBER
6.	QUANTITY	NUMBER	16,3	QUANTITY (QUANTITY REQUESTED BY CH FOR DEBIT)
7.	TRANSACTION STATUS	NUMBER	6	• 2703 – DEBIT CREDIT SUCCESS • 2704 – DEBIT ERROR • 2705 – CREDIT ERROR • 2706 – PROCESSING ERROR
8.	TRANSACTION DATE	DATE	14	PAYOUT PROCESSING DATE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	FIELD 1	CHAR	1	NA
11.	COUNTER BO ID	CHAR	16	COUNTER BO ID
12.	CM ID	CHAR	8	CM ID
13.	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14.	FIELD 2	CHAR	13	NA
15.	FIELD 3	CHAR	8	NA
16.	EXECUTION DATE	DATE	14	PAYOUT PROCESSING DATE
17.	FIELD 4	NUMBER	6	NA
18.	FIELD 5	CHAR	20	NA
19.	FIELD 6	CHAR	20	NA
20.	SELF BATCH ID	CHAR	30	SELF BATCH ID
21.	FIELD 7	NUMBER	8	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
22.	FIELD 8	NUMBER	2	NA
23.	FIELD 9	DATE	14	NA
24.	FIELD 10	DATE	14	NA
25.	FIELD 11	CHAR	1	NA
26.	BUY / SELL FLAG	CHAR	1	B – BUY
27.	FIELD 12	NUMBER	2	NA
28.	FIELD 13	DATE	14	NA
29.	FIELD 14	NUMBER	16,3	NA
30.	FIELD 15	NUMBER	16,3	NA
31.	FIELD 16	NUMBER	16,3	NA
32.	FIELD 17	NUMBER	16,3	NA
33.	FINANCIAL FLAG	NUMBER	2	• 0 – FAILED • 1 – DEBIT / CREDIT
34.	EFTS CODE	NUMBER	2	• 0 – OTHERS • 22 – DEBIT / CREDIT • 80 – HOP-SKIP-JUMP
35.	TRANSACTION CODE	NUMBER	4	• 0 – FAILED • 2246 – CREDIT • 2277 – DEBIT
36.	TRANSACTION BALANCE TYPE	NUMBER	2	1 - Debit/Credit 0 - Others
37.	FIELD 19	CHAR	16	NA
38.	REMARKS	CHAR	100	REMARKS
39.	FIELD 20	CHAR	100	NA
40.	OPERATOR ID	CHAR	6	CDAS

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
41.	TRANSACTION SOURCE	NUMBER	2	5 – TRANSACTION FROM CH
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	FIELD 21	CHAR	16	NA
44.	ERROR CODE	CHAR	9	ERROR CODE (IF ANY, WOULD BE POPULATED)
45.	FILLER 1		2	FOR FUTURE USE
46.	FILLER 2		16	FOR FUTURE USE
47.	FILLER 3		100	FOR FUTURE USE
48.	FILLER 4		14	FOR FUTURE USE
49.	FILLER 5		19	FOR FUTURE USE
50.	FILLER 6		1	FOR FUTURE USE
51.	FILLER 7		35	FOR FUTURE USE
52.	FILLER 8		100	FOR FUTURE USE
53.	FILLER 9		100	FOR FUTURE USE
54.	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION BSE 2nd stage payout (CH Debit for payout BO Credit for payout HSJ in CM acct For payout)
1	RECORD IDENTIFIER	CHAR	1	D
2	TXN_TYPE	NUMBER	3	28
3	BO_ID	VARCHAR	16	SELL_BO - For Debit ALT_BUY_BO or BUY_BO - For Credit CM POOL ACCT - For HSJ
4	ISIN	VARCHAR	12	ISIN
5	TXN_ID	NUMBER	8	TXN_REF_NO
6	TXN_QTY	NUMBER	16,3	ACTUAL_QTY (Qty given by CH to Debit)
7	TXN_STATUS	NUMBER	6	2803 - DEBIT_CREDIT_SUCCESS 2804 - DEBIT_ERROR 2805 - CREDIT_ERROR 2806 - PROC_ERROR
8	TXN_SETUP_DATE	DATE	14	TXN_DATE
9	TXN_DATE	DATE	14	Business date
10	TXN_TYPE_FLAG	CHAR	1	NA
11	CTR_BO_ID	VARCHAR	16	BUY_BO/ALT_BUY_BO - For Debit/HSJ Debit SELL_BO (CH) - For Credit / HSJ Credit
12	CM_ID	VARCHAR	8	The CMID will be populated only for credit trancodes except HOP SKIP JUMP transactions where for both debit and credit trancode ,CMID will be populated .Also the CMID may be NULL for failed transactions if it fails before population of CMID column.
13	SETTLE_ID	VARCHAR	13	SETTL_ID

14	CTR_SETTLE_ID	VARCHAR	13	NA
15	TRADING_ID	VARCHAR	8	NA
16	EXEC_DATE	DATE	14	System date
17	RTA_ID	NUMBER	6	NA
18	DIS	VARCHAR	20	LOCKIN ID(2212 only)
19	DESPATCH_DOC_ID	VARCHAR	20	NA
20	DESPATCH_NAME	VARCHAR	30	SELF_BATCH_ID
21	NO_OF_CERTS	NUMBER	8	NA
22	LOCKIN_CODE	NUMBER	2	LOCKIN CODE(2212 only)
23	LOCKIN_EXP_DATE	DATE	14	LOCKIN EXPIRY DATE(2212 only)
24	FZ_EXP_DATE	DATE	14	NA
25	TXN_MKCK_TYPE_FLAG	CHAR	1	NA
26	TXN_ORDER_FLAG	CHAR	1	B [BUY]
27	TXN_RSN_FOR	NUMBER	2	NA
28	VERIFY_DATE	DATE	14	NA
29	VER_ACC_QTY	NUMBER	16,3	NA
30	VER_REJ_QTY	NUMBER	16,3	NA
31	CONF_ACC_QTY	NUMBER	16,3	NA
32	CONF_REJ_QTY	NUMBER	16,3	NA
33	TXN_FIN_FLAG	NUMBER	2	1 - Debit/Credits
34	TXN_EFT_SCD	NUMBER	2	22 - Debit/Credit 80 - Hop-step-jump 0 - Others
35	TRAN_CODE	NUMBER	4	2277 - Debit 2246 - Credit 2212- Lockin Balance Credit
36	TXN_BAL_TYPE	NUMBER	2	1 - Debit/Credit 0 – Others
37	TXN_INT_REF_NBR	VARCHAR	16	NA
38	TXN_REMARKS	VARCHAR	100	REMARKS
39	CTR_TXN_REMARKS	VARCHAR	100	NA
40	OPER_ID	VARCHAR	6	CDAS
41	TXN_SOURCE	NUMBER	2	5 - Txn is from CH.

42	LAST_MDFCN_DATE	DATE	14	SYSDATE
43	EASI_REF_NO	VARCHAR	16	NA
44	ERROR_CODE	VARCHAR	9	ERROR_CODE
45	Filler1		2	Filler1
46	Filler2		16	Filler2
47	Filler3		100	Filler3
48	Filler3		14	Filler4
49	Filler3		19	Filler5
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	29 (WITHHELD RELEASE)
3.	BO ID	CHAR	16	BO ID
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	8	TRANSACTION REFERENCE NUMBER
6.	QUANTITY	NUMBER	16,3	QUANTITY (QUANTITY REQUESTED BY CH FOR DEBIT)
7.	TRANSACTION STATUS	NUMBER	6	• 2903 – DEBIT / CREDIT SUCCESSFUL • 2904 – ERROR WHILE DEBIT • 2905 – ERROR WHILE CREDIT • 2906 – ERROR WHILE PROCESSING
8.	TRANSACTION DATE	DATE	14	WITHHELD RELEASE PROCESSING DATE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	FIELD 1	CHAR	1	NA
11.	COUNTER BO ID	CHAR	16	COUNTER BO ID
12.	CM ID	CHAR	8	CM ID
13.	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14.	FIELD 2	CHAR	13	NA
15.	FIELD 3	CHAR	8	NA
16.	EXECUTION DATE	DATE	14	WITHHELD RELEASE PROCESSING DATE
17.	FIELD 4	NUMBER	6	NA
18.	LOCKIN ID	CHAR	20	LOCKIN ID
19.	FIELD 6	CHAR	20	NA
20.	SELF BATCH ID	CHAR	30	SELF BATCH ID
21.	FIELD 7	NUMBER	8	NA
22.	LOCKIN CODE	NUMBER	2	• 1 – DIRECTORS / RELATIVE QUOTA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				• 2 – EMPLOYEE QUOTA • 3 – PREFERENTIAL QUOTA • 4 – PROMOTERS QUOTA • 5 – UNDERWRITERS QUOTA • 6 – PRIVATE PLACEMENT • 7 – OTHERS • 8 – ELSS2005
23.	LOCKIN EXPIRY DATE	DATE	14	LOCKIN EXPIRY DATE
24.	FIELD 10	DATE	14	NA
25.	FIELD 11	CHAR	1	NA
26.	BUY / SELL FLAG	CHAR	1	B – BUY
27.	FIELD 12	NUMBER	2	NA
28.	FIELD 13	DATE	14	NA
29.	FIELD 14	NUMBER	16,3	NA
30.	FIELD 15	NUMBER	16,3	NA
31.	FIELD 16	NUMBER	16,3	NA
32.	FIELD 17	NUMBER	16,3	NA
33.	FINANCIAL FLAG	NUMBER	2	• 0 – FAILED • 1 – DEBIT / CREDIT
34.	EFTS CODE	NUMBER	2	• 0 – OTHERS • 22 – DEBIT / CREDIT • 80 – HOP-SKIP-JUMP
35.	TRANSACTION CODE	NUMBER	4	• 0 – FAILED • 2246 – CREDIT • 2277 – DEBIT • 2212 – LOCKIN BALANCE CREDIT
36.	TRANSACTION BALANCE TYPE	NUMBER	2	1 - Debit/Credit 0 - Others
37.	FIELD 19	CHAR	16	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
38.	REMARKS	CHAR	100	REMARKS
39.	FIELD 20	CHAR	100	NA
40.	OPERATOR ID	CHAR	6	CDAS
41.	TRANSACTION SOURCE	NUMBER	2	5 – TXN IS FROM CH
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	FIELD 21	CHAR	16	NA
44.	ERROR CODE	CHAR	9	ERROR CODE (IF ANY, WOULD BE POPULATED)
45.	FILLER 1		2	FOR FUTURE USE
46.	FILLER 2		16	FOR FUTURE USE
47.	FILLER 3		100	FOR FUTURE USE
48.	FILLER 4		14	FOR FUTURE USE
49.	FILLER 5		19	FOR FUTURE USE
50.	FILLER 6		1	FOR FUTURE USE
51.	FILLER 7		35	FOR FUTURE USE
52.	FILLER 8		100	FOR FUTURE USE
53.	FILLER 9		100	FOR FUTURE USE
54.	FILLER 10		25	FOR FUTURE USE

Note –

If multiple files are submitted by CH/CC for Withheld Release [i.e. TRANSACTION TYPE 29]

TRANSACTION ID would be repeated. TRANSACTION ID is UNIQUE if it is combined with SELF BATCH ID.

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	30 (PAY-IN FROM BO)
3.	BO ID	CHAR	16	BO ID (BO ID OF A CM ACCOUNT)
4.	ISIN	CHAR	12	ISIN
5.	TRANSACTION ID	NUMBER	8	TRANSACTION ID (OF BO OBLIGATION CONFIRMATION)
6.	QUANTITY	NUMBER	16,3	QUANTITY (QUANTITY CREDITED TO CM ACCOUNT)
7.	TRANSACTION STATUS	NUMBER	6	3001 – CM CREDIT RECEIVED
8.	TRANSACTION SETUP DATE	DATE	14	TRANSACTION SETUP DATE (OF BO OBLIGATION CONFIRMATION)
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	FIELD 1	CHAR	1	NA
11.	COUNTER BO ID	CHAR	16	COUNTER BO ID (FROM WHERE THE CREDIT IS RECEIVED)
12.	CM ID	CHAR	8	CM ID
13.	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14.	FIELD 2	CHAR	13	NA
15.	FIELD 3	CHAR	8	NA
16.	EXECUTION DATE	DATE	14	RECEIPT DATE OF CREDIT IN CM ACCOUNT
17.	FIELD 4	NUMBER	6	NA
18.	FIELD 5	CHAR	20	NA
19.	FIELD 6	CHAR	20	NA
20.	FIELD 7	CHAR	30	NA
21.	FIELD 8	NUMBER	8	NA
22.	FIELD 9	NUMBER	2	NA
23.	FIELD 10	DATE	14	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
24.	FIELD 11	DATE	14	NA
25.	FIELD 12	CHAR	1	NA
26.	PAY-IN TYPE FLAG	CHAR	1	N – OBLIGATION
27.	FIELD 13	NUMBER	2	NA
28.	FIELD 14	DATE	14	NA
29.	FIELD 15	NUMBER	16,3	NA
30.	FIELD 16	NUMBER	16,3	NA
31.	FIELD 17	NUMBER	16,3	NA
32.	FIELD 18	NUMBER	16,3	NA
33.	FINANCIAL FLAG	NUMBER	2	1 – DEBIT / CREDIT
34.	EFTS CODE	NUMBER	2	31 – CREDIT DUE TO TRANSFER TO CM
35.	TRANSACTION CODE	NUMBER	4	2246 – CREDIT
36.	MODIFICATION FLAG	NUMBER	2	0
37.	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38.	FIELD 19	CHAR	100	NA
39.	FIELD 20	CHAR	100	NA
40.	OPERATOR ID	CHAR	6	CDAS
41.	TRANSACTION SOURCE	NUMBER	2	5 – TRANSACTION FROM CH
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	EASI REFERENCE NUMBER	CHAR	16	EASI REFERENCE NUMBER
44.	FIELD 21	CHAR	9	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
45.	FILLER 1		2	FOR FUTURE USE
46.	FILLER 2		16	FOR FUTURE USE
47.	FILLER 3		100	FOR FUTURE USE
48.	FILLER 4		14	FOR FUTURE USE
49.	FILLER 5		19	FOR FUTURE USE
50.	FILLER 6		1	FOR FUTURE USE
51.	FILLER 7		35	FOR FUTURE USE
52.	FILLER 8		100	FOR FUTURE USE
53.	FILLER 9		100	FOR FUTURE USE
54.	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	32 (DESTAT)
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	DESTAT REQUEST NUMBER (DRN)
6	QUANTITY	NUMBER	16,3	QUANTITY
7	TRANSACTION STATUS	NUMBER	6	• 3201 – SETUPBY DP MAKER • 3202 – SETUP MODIFIED BY DP • 3203 – SETUP ACCEPTED BY DP CHECKER • 3204 – SETUP REJECTED BY DP CHECKER • 3205 – DELETED BY DP • 3206 – CONFIRMED BY RTA MAKER • 3207 – CONFIRMATION ACCEPTED BY RTA CHECKER • 3208 – CONFIRMATION REJECTED BY RTA CHECKER • 3209 – CONFIRMATION ACCEPT / REJECT REVERSED BY RTA CHECKER • 3210 – CANCELLED DUE TO AUTOCA • 3211 -- CANCELLED DUE TO ACCOUNT TRANSFER
8	TRANSACTION SETUP DATE	DATE	14	DESTAT SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCKIN FLAG	CHAR	1	F – FREE L – LOCK IN
11	FOLIO NUMBER	CHAR	16	FOLIO NUMBER
12	FIELD 1	CHAR	8	NA
13	FIELD 2	CHAR	13	NA
14	FIELD 3	CHAR	13	NA
15	FIELD 4	CHAR	8	NA
16	BUSINESS DATE	DATE	14	BUSINESS DATE
17	RTA ID	NUMBER	6	RTA ID

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
18	DOCUMENT NUMBER	CHAR	20	DESTAT REQUEST FORM (MF-DRF) NUMBER
19	DESPATCH DOCUMENT ID	CHAR	20	DESPATCH DOCUMENT ID
20	COURIER NAME	CHAR	30	COURIER NAME
21	NUMBER OF PAGES	NUMBER	8	NUMBER OF PAGES
22	LOCKIN CODE	NUMBER	2	LOCKIN REASON CODE
23	LOCKIN EXPIRY DATE	DATE	14	LOCKIN EXPIRY DATE
24	DISPATCH DATE	DATE	14	DISPATCH DATE
25	FIELD 6	CHAR	1	NA
26	ALL / QUANTITY FLAG	CHAR	1	A – ALL P – PARTIAL QUANTITY / FULL QUANTITY
27	DESTAT REJECTION CODE	NUMBER	2	DESTAT REJECTION CODE (MULTIPLE RECORDS WILL APPEAR IF MORE THAN ONE REJECTION CODE)
28	VERIFICATION DATE	DATE	14	DP SETUP CHECKER DATE
29	FIELD 7	NUMBER	16,3	NA
30	REJECTED QUANTITY	NUMBER	16,3	PARTIALLY REJECTED QUANTITY
31	ACCEPTED QUANTITY	NUMBER	16,3	ACCEPTED QUANTITY
32	TOTAL REJECTED QUANTITY	NUMBER	16,3	TOTAL REJECTED QUANTITY
33	FINANCIAL FLAG	NUMBER	2	0 – IF TRANSACTION CODE IS OTHER THAN 2212 OR 2246 1 – IF TRANSACTION CODE IS 2212 OR 2246
34	EFTS CODE	NUMBER	2	0 – IF TRANSACTION CODE IS OTHER THAN 2212 OR 2246 11 – IF TRANSACTION CODE IS 2212 OR 2246

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
35	TRANSACTION CODE	NUMBER	4	• 2212 – CONFIRMATION CHECKER, IF LOCKIN FLAG = Y' • 2246 – CONFIRMATION CHECKER, RTA CREDIT • 3108 – SETUPBY DP MAKER • 3109 – SETUP MODIFIED BY DP • 3110 – CONFIRMED BY RTA MAKER • 3113 – DELETED BY DP • 3114 – SETUP ACCEPTED / REJECTED BY DP CHECKER • 3115 – CONFIRMATION ACCEPTED / REJECTED BY RTA CHECKER • 3116 – CONFIRMATION ACCEPT / REJECT REVERSED BY RTA CHECKER • 3468 – ACCOUNT TRANSFER
36	TRANSACTION COUNTER	NUMBER	2	TRANSACTION COUNTER – FOR MULTIPLE REJECTION REASONS
37	INTERNAL REFERENCE NUMBER	CHAR	16	INTERNAL REFERENCE NUMBER
38	DP REMARKS	CHAR	100	NA
39	RTA REMARKS	CHAR	100	IF TRANSFERRED DUE TO ACCOUNT TRANSFER: FOR SOURCE BO ID - NEW DESTAT REQUEST NUMBER, NEW BO ID FOR DESTINATION BO ID - OLD DESTAT REQUEST NUMBER, OLD BO ID NA FOR OTHERS
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 –UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCKIN ID	CHAR	16	LOCKIN ID
44	FIELD 7	CHAR	9	NA
45	FILLER 1		2	FOR FUTURE USE
46	COUNTER BO ID	CHAR	16	FOR ACCOUNT TRANSFER SOURCE / DESTINATION BO ID

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
47	OLD / NEW DESTAT REQUEST NUMBER	NUMBER	8	FOR DESTAT ACCOUNT TRANSFER: OLD DESTAT REQUEST NUMBER / NEW DESTAT REQUEST NUMBER NA FOR OTHERS
48	FILLER 4		14	FOR FUTURE USE
49	TRANSACTION ID	NUMBER	8	TRANSACTION ID FOR ACCOUNT TRANSFER NA FOR OTHERS
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	33 (RESTAT)
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	RESTAT REQUEST NUMBER (RRN)
6	QUANTITY	NUMBER	16,3	• RESTAT SETUP QUANTITY (FOR EXCEPT TRANSACTION STATUS – 3304) • RESTAT ACCEPTED QUANTITY (FOR STATUS – 3304) • RESTAT REJECTED QUANTITY (FOR STATUS – 3304)
7	TRANSACTION STATUS	NUMBER	6	• 3301 – SETUP • 3302 – MODIFIED • 3303 – RESTAT CANCELLED DUE TO AUTO CA • 3304 – CLOSED • 3305 – DELETED • 3306 – SENT TO RTA • 3307 -- RESTAT CANCELLED DUE TO ACCOUNT TRANSFER
8	TRANSACTION SETUP DATE	DATE	14	RESTAT SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREE / LOCKIN FLAG	CHAR	1	F – FREE L – LOCK IN
11	PARTIAL / ALL QUANTITY FLAG	CHAR	16	P – PARTIAL QUANTITY A – ALL QUANTITY
12	RESTATEMENTIZATION / REDEMPTION FLAG	CHAR	8	S – RESTATEMENTIZATION R – REDEMPTION
13	FIELD 1	CHAR	13	NA
14	FIELD 2	CHAR	13	NA
15	PART COUNTER	CHAR	8	PART COUNTER

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
16	RESTAT RECEIVED DATE	DATE	14	RESTAT RECEIVED DATE
17	RTA ID	NUMBER	6	RTA ID
18	INTERNAL REFERENCE NUMBER	CHAR	20	INTERNAL REFERENCE NUMBER
19	FIELD 3	CHAR	20	NA
20	FIELD 4	CHAR	30	NA
21	NUMBER OF CERTIFICATES	NUMBER	8	NUMBER OF CERTIFICATES
22	LOCKIN CODE	NUMBER	2	LOCKIN CODE
23	LOCKIN EXPIRY DATE	DATE	14	LOCKIN EXPIRY DATE
24	FIELD 5	DATE	14	NA
25	ACCEPTED / REJECTION FLAG	CHAR	1	A – ACCEPTED R – REJECTED
26	LOT TYPE	CHAR	1	• J – JUMBO
27	FIELD 6	NUMBER	2	NA
28	RTA CONFIRMATION DATE	DATE	14	RTA CONFIRMATION DATE
29	ACCEPTED QUANTITY	NUMBER	16,3	TOTAL ACCEPTED QUANTITY UNDER RRN
30	REJECTED QUANTITY	NUMBER	16,3	TOTAL REJECTED QUANTITY UNDER RRN
31	RESTAT / REDEMPTION AMOUNT	NUMBER	16,3	RESTAT / REDEMPTION AMOUNT
32	FIELD 7	NUMBER	16,3	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33	FINANCIAL FLAG	NUMBER	2	• 0 – RESTAT MODIFIED • 1 – RESTAT SETUP • 1 – RESTAT REJECTED BY RTA (DEBIT RESTAT PENDING CONFIRMATION BALANCE) • 1 – RESTAT CONFIRMED BY RTA (DEBIT LOCKIN BALANCE, IF LOCKIN = Y) • 1 – RESTAT CONFIRMED BY RTA (DEBIT RESTAT PENDING CONFIRMATION BALANCE) • 1 – RESTAT CONFIRMED BY RTA (DEBIT CURRENT BALANCE) • 1 – RESTAT DELETED (DEBIT RESTAT PENDING CONFIRMATION BALANCE) • 1 – RESTAT CANCELLED DUE TO AUTO CA - (DEBIT RESTAT PENDING CONFIRMATION BALANCE) • 1 - RESTAT CANCELLED DUE TO ACCOUNT TRANSFER (DEBIT RESTAT PENDING CONFIRMATION BALANCE)
34	EFTS CODE	NUMBER	2	• 14 – RESTAT SETUP • 14 – RESTAT DELETED (DEBIT RESTAT PENDING CONFIRMATION BALANCE) • 15 – RESTAT CONFIRMED BY RTA (DEBIT RESTAT PENDING CONFIRMATION BALANCE) • 15 – RESTAT CONFIRMED BY RTA (DEBIT CURRENT BALANCE) • 16 – RESTAT CANCELLED DUE TO AUTO CA - (DEBIT RESTAT PENDING CONFIRMATION BALANCE) • 78 – RESTAT CONFIRMED BY RTA (DEBIT LOCKIN BALANCE, IF LOCKIN = Y) • 15 -- RESTAT CANCELLED DUE TO ACCOUNT TRANSFER (DEBIT RESTAT PENDING CONFIRMATION BALANCE)

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
35	TRANSACTION CODE	NUMBER	4	• 2205 – RESTAT SETUP (3301) • 2205 – SENT TO RTA (CURRENT RESTAT CONFIRMATION BALANCE – 3306) • 2255 – RESTAT CANCELLED DUE TO AUTO CA (DEBIT RESTAT PENDING CONFIRMATION BALANCE – 3303) • 2255 – RESTAT CONFIRMED BY RTA (DEBIT RESTAT PENDING CONFIRMATION BALANCE – 3304) • 2255 – RESTAT DELETED (DEBIT RESTAT PENDING CONFIRMATION BALANCE – 3305) • 2262 – DEBIT LOCK IN BALANCE (3304) • 2277 – RESTAT CONFIRMED BY RTA (DEBIT CURRENT BALANCE – 3304) • 3202 – RESTAT MODIFIED (3302) • 3206 – SENT TO RTA (3306) • 2255 - RESTAT CANCELLED DUE TO ACCOUNT TRANSFER (DEBIT RESTAT PENDING CONFIRMATION BALANCE - 3307)
36	MODIFICATION FLAG	NUMBER	2	0 – SETUP 1 – MODIFICATION
37	RTA INTRENAL REFERENCE NUMBER	CHAR	16	RTA INTRENAL REFERENCE NUMBER
38	REMARK	CHAR	100	DP REMARK / RTA REJECTION REMARK
39	RTA REMARK	CHAR	100	IF TRANSFERRED DUE TO ACCOUNT TRANSFER: FOR SOURCE BO ID - NEW RESTAT REQUEST NUMBER, NEW BO ID FOR DESTINATION BO ID - OLD RESTAT REQUEST NUMBER, OLD BO ID RTA REMARK
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	1 – ONLINE 2 - UPLOAD
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LOCKIN ID	CHAR	16	LOCKIN ID
44	FIELD 8	CHAR	9	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
45	FILLER 1		2	FOR FUTURE USE
46	COUNTER BO ID	CHAR	16	FOR ACCOUNT TRANSFER: SOURCE BO ID / DESTINATION BO ID
47	OLD / NEW RESTAT REQUEST NUMBER	NUMBER	8	FOR ACCOUNT TRANSFER: OLD MF RESTAT REQUEST NUMBER / NEW MF RESTAT REQUEST NUMBER
48	FILLER 4		14	FOR FUTURE USE
49	TRANSACTION ID	NUMBER	8	TRANSACTION ID FOR ACCOUNT TRANSFER NA FOR OTHERS
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

Note:

Total Setup Quantity is shown in row number 6 when a Restat Request is setup. When a Restat Request is accepted and if part quantity is accepted, then the counter will be shown in row number 15 and row number 6 will have part quantity. Row number 29 & 30 will show total accepted and total rejected quantity for a Restat Request.

SR. NO	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	34 (DEMAT ACCOUNT EARMARKING)
3	BO ID	CHAR	16	BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION ID	NUMBER	8	TRANSACTION ID
6	QUANTITY	NUMBER	16,3	QUANTITY
7	TRANSACTION STATUS	NUMBER	6	• 3401 -- EARMARK SETUP • 3402 -- REVERSE EARMARK DUE TO ON-MARKET TRANSACTION • 3403 -- REVERSE EARMARK DUE TO EARLY PAY-IN TRANSACTION • 3404 -- REVERSE EARMARKING INITIATED BY CM • 3405 -- AUTOMATIC REVERSE EARMARK BY CDAS
8	TRANSACTION SETUP DATE	DATE	14	EARMARK SETUP DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	TRANSACTION TYPE FLAG	CHAR	1	• E – EARMARK (3401) • S – REVERSE EARMARK (3402, 3403, 3404) • R – REJECTED (3405)
11	COUNTER BO ID	CHAR	16	COUNTER BO ID
12	CM ID	CHAR	8	CM ID
13	SETTLEMENT ID	CHAR	13	SETTLEMENT ID
14	FIELD 1	CHAR	13	NA
15	PARENT TRANSACTION ID	CHAR	8	PARENT TRANSACTION ID (NULL FOR 3401,3405)
16	EXECUTION DATE	DATE	14	EXECUTION DATE
17	FIELD 2	NUMBER	6	NA
18	FIELD 3	CHAR	20	NA
19	FIELD 4	CHAR	20	NA
20	FIELD 5	CHAR	30	NA
21	FIELD 6	NUMBER	8	NA

SR. NO	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
22	FIELD 7	NUMBER	2	NA
23	FIELD 8	DATE	14	NA
24	FIELD 9	DATE	14	NA
25	FIELD 10	CHAR	1	NA
26	FIELD 11	CHAR	1	NA
27	FIELD 12	NUMBER	2	NA
28	TRADE DATE	DATE	14	TRADE DATE
29	TOTAL EARMARK QUANTITY	NUMBER	16,3	SAME AS TRANSACED QUANTITY
30	FIELD 13	NUMBER	16,3	NA
31	FIELD 14	NUMBER	16,3	NA
32	FIELD 15	NUMBER	16,3	NA
33	FINANCIAL FLAG	NUMBER	2	• 2 - EARMARK/REVERSE EARMARK
34	EFTS CODE	NUMBER	2	• 30 -- EARMARK / REVERSE EARMARK
35	TRANSACTION CODE	NUMBER	4	• 2215 – EARMARK (3401) • 2265 -- REVERSE EARMARK (3402,3403,3404,3405)
36	TRANSACTION COUNTER	NUMBER	2	1 – FOR 2215 ELSE REVERSE EARMARK COUNTER
37	FIELD 16	CHAR	16	NA
38	FIELD 17	CHAR	100	NA
39	FIELD 18	CHAR	100	NA
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	• 3 -- EASI ONLINE • 4 -- EASI UPLOAD • 7 -- CDAS(AUTO REVERSE EARMARK)
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	EASI REFERENCE NUMBER	CHAR	16	EASI REFERENCE NUMBER
44	FIELD 19	CHAR	9	NA

SR. NO	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
45	FILLER1	CHAR	1	FILLER1
46	FILLER2		16	FILLER2
47	FILLER3		100	FILLER3
48	FILLER3		14	FILLER4
49	FILLER3		19	FILLER5
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1.	RECORD IDENTIFIER	CHAR	1	D
2.	TRANSACTION TYPE	NUMBER	3	35
3.	BO ID	CHAR	16	BO ID
4.	ISIN	CHAR	12	SWITCH OUT ISIN
5.	SWITCH REQUEST NUMBER (SRN)	NUMBER	8	SWITCH REQUEST NUMBER (SRN)
6.	QUANTITY	NUMBER	16,3	SWITCH OUT SETUP QUANTITY
7.	TRANSACTION STATUS	NUMBER	6	• 3501 – SWITCH TRANSACTION SETUP BY MAKER • 3502 – SWITCH TRANSACTION SETUP ACCEPT BY CHECKER • 3503 – SWITCH TRANSACTION REJECT BY CHECKER • 3504 – SWITCH TRANSACTION REVERSAL BY CHECKER • 3505 – SWITCH TRANSACTION MODIFY FOR SETUP MAKER RECORDS • 3506 – SWITCH TRANSACTION MODIFY FOR CHECKER REVERSAL DONE RECORDS • 3508 – SWITCH TRANSACTION DELETE OF CHECKER APPROVED RECORDS • 3509 – SWITCH TRANSACTION DELETE OF CHECKER REVERSAL DONE RECORDS • 3510 – SWITCH TRANSACTION DELETE OF SETUP MAKER RECORDS • 3511 – AUTO CANCELLED IN EOD • 3512 – EARMARKING DURING RTW2 REPORT GENERATION • 3513 – AUTO CANCELLED DURING RTW2 REPORT GENERATION • 3514 – RTA CONFIRMATION ACCEPT BY MAKER • 3515 – RTA CONFIRMATION REJECT BY MAKER • 3516 – RTA CONFIRMATION ACCEPT BY CHECKER • 3517 – RTA CONFIRMATION REJECT BY CHECKER • 3518 – CLOSED DUE TO FILE CA. • 3519 – AUTO CANCELLED IN EOD DUE TO NON RECEIPT OF REVERSE FEED FROM RTA. • 3520 – REVERSE EARMARKING ACCEPT AT DP/CDS END • 3521 – REVERSE EARMARKING REJECT AT DP/CDS END

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				• 3522 – REVERSE EARMARKING ACCEPT AT RTA/CDS END • 3523 – REVERSE EARMARKING REJECT AT RTA/CDS END
8.	TRANSACTION SETUP DATE	DATE	14	SWITCH TRANSACTION SETUP DATE
9.	BUSINESS DATE	DATE	14	BUSINESS DATE
10.	SWITCH TRANSACTION STATUS	CHAR	1	SWITCH TRANSACTION STATUS
11.	FIELD 1	CHAR	16	NA
12.	FIELD 2	CHAR	8	NA
13.	FIELD 3	CHAR	13	NA
14.	FIELD 4	CHAR	13	NA
15.	FIELD 5	CHAR	8	NA
16.	EXECUTION DATE	DATE	14	SWITCH TRANSACTION PROCESSING DATE
17.	RTA ID	NUMBER	6	RTA ID
18.	SWITCH OUT ISIN NAV	CHAR	20	SWITCH OUT ISIN NAV
19.	SWITCH IN ISIN	CHAR	20	SWITCH IN ISIN
20.	AMC CODE	CHAR	30	SWITCH AMC CODE
21.	SWITCH OUT ISIN SCHEME	NUMBER	8	SWITCH OUT ISIN SCHEME
22.	SWITCH IN ISIN SCHEME	NUMBER	2	SWITCH IN ISIN SCHEME
23.	SWITCH OUT RTA DATE	DATE	14	SWITCH OUT RTA DATE
24.	RTA MAKER DATE	DATE	14	RTA MAKER DATE
25.	FIELD 6	CHAR	1	NA
26.	ALL QUANTITY FLAG	CHAR	1	SWITCH ALL QUANTITY FLAG
27.	FIELD 7	NUMBER	2	NA
28.	RTA CHECKER DATE	DATE	14	RTA CHECKER DATE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
29.	FIELD 8	NUMBER	16,3	NA
30.	FIELD 9	NUMBER	16,3	NA
31.	RTA CONFIRMATION QUANTITY	NUMBER	16,3	RTA CONFIRMATION QUANTITY
32.	FIELD 10	NUMBER	16,3	NA
33.	FINANCIAL FLAG	NUMBER	2	2 – EARMARK / REVERSE EARMARK NA – OTHERS
34.	EFTS CODE	NUMBER	2	30 – EARMARK / REVERSE EARMARK NA – OTHERS
35.	TRANSACTION CODE	NUMBER	4	• 3132 – SWITCH TRANSACTION REJECT BY CHECKER • 3133 – SWITCH TRANSACTION SETUP BY MAKER • 3134 – SWITCH TRANSACTION SETUP ACCEPT BY CHECKER • 3135 – SWITCH TRANSACTION REVERSAL BY CHECKER • 3136 – SWITCH TRANSACTION DELETE OF SETUP MAKER RECORDS • 3137 – SWITCH TRANSACTION DELETE OF CHECKER APPROVED RECORDS • 3138 – SWITCH TRANSACTION DELETE OF CHECKER REVERSAL DONE RECORDS • 3139 – SWITCH TRANSACTION MODIFY FOR SETUP MAKER RECORDS • 3140 – SWITCH TRANSACTION MODIFY FOR CHECKER REVERSAL DONE RECORDS • 3141 - AUTO CANCELLED IN EOD • 3142 – AUTO CANCELLED DURING RTW 2 REPORT GENERATION • 3143 – RTA CONFIRMATION ACCEPT BY MAKER • 3144 – RTA CONFIRMATION REJECT BY MAKER • 3145 – RTA CONFIRMATION ACCEPT BY CHECKER • 3146 – RTA CONFIRMATION REJECT BY CHECKER • 3147 – CLOSED DUE TO FILE CA • 3148 – AUTO CANCELLED IN EOD DUE TO NON RECEIPT OF REVERSE FEED FROM RTA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
				• 2215 – EARMARK FOR TXN STATUS 3512 AND 3516 • 2265 – REVERSE EARMARK FOR TXN STATUS 3519, 3516, 3520, 3521, 3522 AND 3523 • 3150 – REVERSE EARMARKING ACCEPT AT DP/CDS END • 3151 – REVERSE EARMARKING REJECT AT DP/CDS END • 3152 – REVERSE EARMARKING ACCEPT AT RTA/CDS END • 3153 – REVERSE EARMARKING REJECT AT RTA/CDS END
36.	TRANSACTION COUNTER	NUMBER	2	0
37.	INTERNAL REFERENCE NUMBER	CHAR	16	SWITCH INTERNAL REFERENCE NUMBER
38.	TRANSACTION REMARKS	CHAR	100	SWITCH DP REMARKS OR SWITCH RTA REMARKS
39.	RTA REMARKS	CHAR	100	SWITCH RTA REJECTION REMARKS
40.	OPERATOR ID	CHAR	6	SWITCH OPERATOR ID
41.	TRANSACTION SOURCE	NUMBER	2	1 – CDAS ONLINE 2 – CDAS UPLOAD
42.	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43.	FIELD 11	CHAR	16	NA
44.	ERROR CODE	CHAR	9	ERROR CODE (IF ANY, WOULD BE POPULATED)
45.	FILLER 1		2	FOR FUTURE USE
46.	FILLER 2		16	FOR FUTURE USE
47.	FILLER 3		100	FOR FUTURE USE
48.	FILLER 4		14	FOR FUTURE USE
49.	FILLER 5		19	FOR FUTURE USE
50.	FILLER 6		1	FOR FUTURE USE
51.	FILLER 7		35	FOR FUTURE USE
52.	FILLER 8		100	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
1	RECORD IDENTIFIER	CHAR	1	D
2	TRANSACTION TYPE	NUMBER	3	36 (NDU / CANCELLATION)
3	BO ID	CHAR	16	BORROWER BO ID
4	ISIN	CHAR	12	ISIN
5	TRANSACTION TYPE	NUMBER	9	NDU ID
6	QUANTITY	NUMBER	16,3	ACTUAL QUANTITY
7	TRANSACTION STATUS	NUMBER	6	• 3601 – NDU SETUP BY MAKER • 3602 – NDU CHECKER ACCEPT • 3603 – NDU CHECKER REJECT • 3604 – NDU CHECKER REVERSAL • 3605 – TERMINATE NDU SETUP BY MAKER • 3606 – TERMINATE NDU CHECKER ACCEPT • 3607 – TERMINATE NDU CHECKER REJECT • 3608 – NDU MODIFY BY MAKER • 3609 – SOD EXPIRY • 3610 – CANCELLED DUE TO AUTO CA • 3611 – TRANSFERRED DUE TO AUTO CA • 3612 – CLOSED DUE TO AUTO CA • 3613 – CLOSED DUE TO ACCOUNT TRANSFER • 3614 - NDU Setup Maker Modification(Maker Stage Record) • 3615 - NDU Modify Checker Accept • 3616 - NDU Modify Checker Reject • 3617 - NDU Modify Checker Reversal • 3618 - NDU SOD Activation
8	SETUP DATE	DATE	14	NDU INITIATED DATE
9	BUSINESS DATE	DATE	14	BUSINESS DATE
10	FREEZE LEVEL	CHAR	1	• I – BO ISIN LEVEL
11	LENDER BO ID	CHAR	16	LENDER BO ID
12	FREEZE_ID	CHAR	8	FREEZE_ID

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
13	FIELD 2	CHAR	13	NA
14	FIELD 3	CHAR	13	NA
15	NDU PARENT ID	CHAR	8	NDU PARENT ID (FOR NEW NDU CREATED DUE TO AUTO CA)
16	EXECUTION DATE	DATE	14	• BUSINESS DATE – PROCESSING DATE FOR OTHERS
17	NDU INITIATED BY	NUMBER	6	3 – INITIATED BY DP
18	LENDER PAN	CHAR	20	LENDER PAN
19	BORROWER PAN	CHAR	20	BORROWER PAN
20	FIELD 5	CHAR	30	NA
21	FREEZE SUB OPTION	NUMBER	8	1 – LIEN
22	FREEZE REASON CODE	NUMBER	2	• 94 – NDU FREEZE
23	FIELD 6	DATE	14	NA
24	TERMINATE DATE	DATE	14	TERMINATE DATE
25	FREEZE QUANTITY TYPE	CHAR	1	• P – PARTIAL
26	BORROWER FLAG	CHAR	1	• P – PROMOTER • C – COMPANY • O – OTHERS
27	FROZEN FOR	NUMBER	2	FROZEN FOR
28	FIELD 8	DATE	14	NA
29	SETUP QUANTITY	NUMBER	16,3	NDU SETUP QUANTITY
30	FIELD 9	NUMBER	16,3	NA
31	FIELD 10	NUMBER	16,3	NA
32	FIELD 11	NUMBER	16,3	NA

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
33	FINANCIAL FLAG	NUMBER	2	1 - NDU Setup-Checker 1 - NDU Setup-Terminate Checker(Partial freeze) 1 - NDU Expiry-SOD job 1 - Transferred due to Auto CA, if BO-ISIN Level and Partial Freeze 1 - Closed due to Auto CA, if BO-ISIN Level and Partial Freeze 0 – NA
34	EFTS CODE	NUMBER	2	77 - NDU Setup-Checker 77 - NDU Setup-Terminate Checker(Partial freeze) 77 - NDU Expiry-SOD job 77 - Transferred due to Auto CA, if BO-ISIN Level and Partial Freeze 77 - Closed due to Auto CA, if BO-ISIN Level and Partial Freeze 0 - NA
35	TRANSACTION CODE	NUMBER	4	• 3651 - NDU Setup by Maker • 3652 - NDU SETUP MODIFY MAKER • 3653 - NDU TERMINATE MAKER • 3654 - NDU SETUP BY CHECKER ACCEPT • 3655 - NDU SETUP BY CHECKER REJECT • 3656 - NDU Cancelled due to AUTO-CA • 3657 - NDU SETUP BY CHECKER REVERSAL • 3663 - NDU TERMINATE CHECKER ACCEPT • 3664 - NDU TERMINATE CHECKER REJECT • 3659 - NDU Setup Maker Modification(Maker Stage Record) • 3660 - NDU Modify Checker Accept • 3661 - NDU Modify Checker Reject • 3662 - NDU Modify Checker Reversal • 2211 - SOD Activation of NDU -Partial/ Auto-CA benefit ISIN • 2261 - SOD Expiry of NDU / Auto-CA Parent ISIN
36	MODIFICATION FLAG	NUMBER	2	• 0 – NOT MODIFIED 1 – MODIFIED
37	INTERNAL REFERENCE NUMBER	CHAR	16	NDU INTERNAL REFERENCE NUMBER
38	LENDER EMAIL ID	CHAR	100	LENDER EMAIL ID
39	BORROWER EMAIL	CHAR	100	BORROWER EMAIL

SR. NO.	FIELD NAME	FIELD TYPE	FIELD LENGTH	FIELD DESCRIPTION / VALUE
40	OPERATOR ID	CHAR	6	OPERATOR ID
41	TRANSACTION SOURCE	NUMBER	2	• 1 – ONLINE
42	LAST MODIFICATION DATE	DATE	14	LAST MODIFICATION DATE
43	LENDER FLAG	CHAR	16	• P – PROMOTER • C – COMPANY • O – OTHERS
44	FIELD 13	CHAR	9	NA
45	FILLER 1	NUMBER	2	FOR FUTURE USE
46	FILLER 2	NUMBER	16	FOR FUTURE USE
47	FILLER 3	CHAR	100	FOR FUTURE USE
48	FILLER 4		14	FOR FUTURE USE
49	FILLER 5		19	FOR FUTURE USE
50	FILLER 6		1	FOR FUTURE USE
51	FILLER 7		35	FOR FUTURE USE
52	FILLER 8		100	FOR FUTURE USE
53	FILLER 9		100	FOR FUTURE USE
54	FILLER 10		25	FOR FUTURE USE

Report Documentation

Report ID : DPN6

Report Description : Report for Pending Authenticated Transactions for DP.

Module : Upload

Header Record:-

The format of the header record will be same as common upload file format header record.

Field	SIZE	Value
DP ID	Number (6)	DP ID
Total Number of Records	Number (6)	Total number of records for the given criteria

Detail Record: Obligation

Column	Size	XML Tag	Value	End XML Tag
TXN_TYPE	Number (02)	<Tp>	03 - Obligation	</Tp>
DEPO_ID	Number (02)	<Dpstry>	Bank id – 01	</Dpstry>
CH_ID	Number (02)	<Clr>		</Clr>
Exchange Id	Number (02)	<Xchg>		</Xchg>
Settlement Id	CHAR (13)	<Sttlm>		</Sttlm>
DP ID	Number (6)	<Ptcpt>		</Ptcpt>
CM_ID	CHAR (8)	<Mmb>		</Mmb>
BO_ID	CHAR (16)	<Bnfcry>		</Bnfcry>
ISIN	CHAR (12)	<ISIN>		</ISIN>
Quantity	Number (16,3)	<Qty>	E.g., <Qty>100</Qty> or <Qty>100.123</Qty>	</Qty>
Buy Sell Flag	CHAR (1)	<Flg>	B Or S	</Flg>
INT REF NO	CHAR (16)	<Ref>		</Ref>
AUTH REF NO	CHAR (16)	<Arf>		</Arf>

Detail Record: Interdepository

Column	Size	XML Tag	Value	End XML Tag
TXN_TYPE	Number (02)	<Tp>	04 – Inter Depository	</Tp>
Effective Date	Date (8) [DDMMYYYY]	<Dt>	Date in DDMMYYYY format	</Dt>
Settlement Id	CHAR (13)	<Sttlm>		</Sttlm>
BO_ID	CHAR (16)	<Bnfcry>		</Bnfcry>
ISIN	CHAR (12)	<ISIN>		</ISIN>
Quantity	Number (16,3)	<Qty>	E.g., <Qty>100</Qty> or <Qty>100.123</Qty>	</Qty>
Buy Sell Flag	CHAR (1)	<Flg>	B or S	</Flg>
Cash Transfer	CHAR (1)	<Trf>	Y or X	</Trf>
Trade Reason	Number (02)	<Rsn>		</Rsn>
NSDL Client Id	CHAR (8)	<Clnt>		</Clnt>
NSDL CM/BP ID	CHAR (8)	<Brkr>		</Brkr>
Counter Settle Id	CHAR (13)	<CntrSttlm>		</CntrSttlm>
INT REF NO	CHAR (16)	<Ref>		</Ref>
AUTH REF NO	CHAR (16)	<Arf>		</Arf>
CONSIDERATION AMOUNT	Number (16,3)	<Conamt>		</Conamt>
REMARKS	CHAR (100)	<Remk>		</Remk>
PAYMENT MODE	Number (1)	<Paymod>		</Paymod>
BANK ACCOUNT NO	CHAR (35)	<Bnkno>		</Bnkno>
BANK NAME	CHAR (100)	<Bnkname>		</Bnkname>
BRANCH NAME	CHAR (100)	<Brnchname>		</Brnchname>
TRANSFEREE NAME	CHAR (150)	<Xfername>		</Xfername>
DATE OF ISSUE	Date (8) [DDMMYYYY]	<Xferdt>	Date in DDMMYYYY Format	</Xferdt>
CHEQUE/REFERE NCE NO	CHAR (22)	<Chqrefno>		</Chqrefno>

Detail Record: Off / ON - Market

Column	Size	XML Tag	Value	End XML Tag
TXN_TYPE	Number (02)	<Tp>	05 – Off / ON - Market	</Tp>
Effective Date	Date (8) [DDMMYYYY]	<Dt>	Date in DDMMYYYY format	</Dt>
Settlement Id	CHAR (13)	<Sttlm>		</Sttlm>
BO_ID	CHAR (16)	<Bnfcry>		</Bnfcry>
ISIN	CHAR (12)	<ISIN>		</ISIN>
Quantity	Number (16,3)	<Qty>	E.g., <Qty>100</Qty> or <Qty>100.123</Qty>	</Qty>
Buy Sell Flag	CHAR (1)	<Flg>	B or S	</Flg>
Cash Transfer	CHAR (1)	<Trf>	Y or X	</Trf>
Trade Reason	Number (02)	<Rsn>		</Rsn>
Counter BO ID	CHAR (16)	<CtrPty>		</CtrPty>
Counter Settle Id	CHAR (13)	<CntrSttlm>		</CntrSttlm>
INT REF NO	CHAR (16)	<Ref>		</Ref>
AUTH REF NO	CHAR (16)	<Arf>		</Arf>
CONSIDERATION AMOUNT	Number (16,3)	<Conamt>		</Conamt>
REMARKS	CHAR (100)	<Remk>		</Remk>
PAYMENT MODE	Number (1)	<Paymod>		</Paymod>
BANK ACCOUNT NO	CHAR (35)	<Bnkno>		</Bnkno>
BANK NAME	CHAR (100)	<Bnkname>		</Bnkname>
BRANCH NAME	CHAR (100)	<Brnchname>		</Brnchname>
TRANSFEREE NAME	CHAR (150)	<Xfername>		</Xfername>
DATE OF ISSUE	Date (8) [DDMMYYYY]	<Xferdt>	Date in DDMMYYYY Format	</Xferdt>
CHEQUE/REFERENCE NO	CHAR (22)	<Chqrefno>		</Chqrefno>

Detail Record:- For Txn Type 07-Pledge

Field	SIZE	Start XML Tag	Value	End XML Tag
TXN TYPE	Number (02)	<Tp>	07-Pledge	</Tp>
UNIQUE SERIAL NO	Number (08)	<Usn>		</Usn>
PLEDGE TYPE	Char (1)	<Pldgtp>	Possible Values 'P'- Pledge 'U'- Unpledge 'C'- Confiscate 'A'- Auto Unpledge	</Pldgtp>
PLEDGE SUB TYPE	Char (1)	<Subtp>		</Subtp>
FREE LOCKIN FLAG	Char (1)	<Lcksts>		</Lcksts>
LOCKIN ID	Number (16)	<Lckid>		</Lckid>
PL REQUEST FORM NUMBER	Char (16)	<Prf>		</Prf>
PLEDGOR BO ID	Char (16)	<Bnfcry>		</Bnfcry>
PLEDGE BO ID	Char (16)	<Ctrpty>		</Ctrpty>
ISIN	Char (12)	<ISIN>		</ISIN>
QUANTITY	Number (16,3)	<Qty>		</Qty>
VALUE	Number (15,2)	<Val>		</Val>
PL EXPIRY DATE	Date (8) [DDMMYYYY]	<Xpry>		</Xpry>
PLEDGOR INT REF	Char (16)	<Ref>		</Ref>
AGREEMENT NO	Char (20)	<Agrmt>		</Agrmt>
REMARKS	Char (100)	<Remk>		</Remk>
PL SEQ NUMB	Number (10)	<Psn>		</Psn>
REQUEST RECEIVE DATE FROM BO	Date (14)	<Rcvdt>		</Rcvdt>
Auth Reference Number	CHAR (16)	<Arf>		</Arf>
Pledge Reason Code	CHAR (1)	<Rsn>		</Rsn>
Master POA ID	CHAR (16)	<Poa>		</Poa>

Detail Record: Early Payin

Column	Size	XML Tag	Value	End XML Tag
TXN_TYPE	Number (02)	<Tp>	10 – Early Payin	</Tp>
Effective Date	Date (8) [DDMMYYYY]	<Dt>	Date in DDMMYYYY format	</Dt>
CH_ID	Number (02)	<Clr>		</Clr>
Exchange Id	Number (02)	<Xchg>		</Xchg>
Settlement Id	CHAR (13)	<Sttlm>		</Sttlm>
CM_ID	CHAR (8)	<Mmb>		</Mmb>
BO_ID	CHAR (16)	<Bnfcry>		</Bnfcry>
ISIN	CHAR (12)	<ISIN>		</ISIN>
Quantity	Number (16,3)	<Qty>	E.g., <Qty>100</Qty> or <Qty>100.123</Qty>	</Qty>
Counter BO ID	CHAR (16)	<CtrPty>		</CtrPty>
INT REF NO	CHAR (16)	<Ref>		</Ref>
AUTH REF NO	CHAR (16)	<Arf>		</Arf>

Report Documentation

Report ID : DP36

Report Description : Pledge Master Report

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier Indicating Pledge Details –01	NUMBER	02	Value – 01 For Pledge Details
PLEDGE SEQ NUMB (PSN)	NUMBER	10	
PLEDGOR BO ID	VARCHAR2	16	
FIRST HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE BO ID	VARCHAR2	16	
FIRST HOLDER' S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE STATUS	CHAR	01	
VERIFY FLAG	CHAR	01	
ISIN	CHAR	12	
ISIN SHORT NAME	VARCHAR2	20	
PLEDGE QUANTITY	NUMBER	17	
PLEDGE VALUE	NUMBER	17	
PLEDGE SET-UP DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGE ACCEPTANCE DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
PLEDGE CLOSE DATE	DATE (DDMMYYYY: HH24MISS)	15	
PLEDGE EXPIRY DATE	DATE (DDMMYYYY)	8	
TOTAL UNPLEDGE QUANTITY	NUMBER	17	
TOTAL CONFISCATE DATE	NUMBER	17	
PLEDGE REMARKS	VARCHAR2	100	
FREE LOCKIN FLAG 1-FREE, 2-LOCKIN	CHAR	01	
LOCKIN ID	CHAR	16	
LOCKIN EXPIRY DATE	DATE (DDMMYYYY)	8	
LOCKIN REASON CODE	NUMBER	02	
LOCKIN REASON	VARCHAR2	40	
AGREEMENT NO	VARCHAR2	20	
PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re- Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP
Pledge Reason Description	Char	100	
Identifier Indicating Unpledge Details for a PSN -- 02	NUMBER	02	Value – 02 For Unpledge Details
PLEDGE SEQ NUMB (PSN)	NUMBER	10	
UNPLEDGE COUNTER	NUMBER	04	
UNPLEDGE QUANTITY	NUMBER	17	
UNPLEDGE INITIATED BY PLEDGOR/PLEDGE	CHAR	01	
UNPLEDGE STATUS	CHAR	01	

UNPLEDGE VERIFY FLAG	CHAR	01	
UNPLEDGE SETUP DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE ACCEPTANCE/REJECTION DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	
UNPLEDGE REMARKS	VARCHAR2	100	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re- Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP
Identifier Indicating Confiscate Details for a PSN -- 03	NUMBER	02	Value – 03 For Confiscate Details
PLEDGE SEQ NUMB (PSN)	NUMBER	10	

CONFISCATE COUNTER	NUMBER	04	
CONFISCATE QUANTITY	NUMBER	17	
CONFISCATE STATUS	CHAR	01	
CONFISCATE VERIFY FLAG	CHAR	01	
CONFISCATE SETUP DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE ACCEPTANCE/REJECTION DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE REVERSAL DATE	DATE (DDMMYYYY: HH24MISS)	15	
CONFISCATE REMARKS	VARCHAR2	100	
PLEDGEE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re- Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Identifier Indicating Summary Line -- 00	NUMBER	02
Total Number of Lines	NUMBER	04
Business Date	CHAR	11
System Date and Time	Char	24

Report Documentation

Report ID : DP37

Report Description : Online DP36(Pledge Master Report)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier Indicating Pledge Details --01	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	10	
PLEDGOR BO ID	VARCHAR2	16	
FIRST HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGEEO BO ID	VARCHAR2	16	
FIRST HOLDER' S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
SECOND HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
THIRD HOLDER'S FIRST NAME MIDDLE NAME LAST NAME	VARCHAR2	100 + 20 + 20 + 2	
PLEDGEEO DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE STATUS	CHAR	01	
VERIFY FLAG	CHAR	01	
ISIN	CHAR	12	
ISIN SHORT NAME	VARCHAR2	20	
PLEDGE QUANTITY	NUMBER	17	
PLEDGE VALUE	NUMBER	17	
PLEDGE SET-UP DATE	DATE (DDMMYYYY:H H24MISS)	15	
PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	
PLEDGE ACCEPTANCE DATE	DATE (DDMMYYYY:H H24MISS)	15	

PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	
REVERSAL DATE	1.1.1.1.1.1 DATE 1.1.1.1.1.2 (DDMMYYYY:H H24MISS)	15	
PLEDGE CLOSE DATE	DATE (DDMMYYYY:H H24MISS)	15	
PLEDGE EXPIRY DATE	DATE (DDMMYYYY)	8	
TOTAL UNPLEDGE QUANTITY	NUMBER	17	
TOTAL CONFISCATE DATE	NUMBER	17	
PLEDGE REMARKS	VARCHAR2	100	
FREE LOCKIN FLAG 1-FREE, 2-LOCKIN	CHAR	01	
LOCKIN ID	CHAR	16	
LOCKIN EXPIRY DATE	DATE (DDMMYYYY)	8	
LOCKIN REASON CODE	NUMBER	02	
LOCKIN REASON	VARCHAR2	40	
AGREEMENT NO	VARCHAR2	20	
PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP
Pledge Reason Description	Char	100	

Identifier Indicating Unpledge Details for a PSN – 02	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	10	
UNPLEDGE COUNTER	NUMBER	04	
UNPLEDGE QUANTITY	NUMBER	17	
UNPLEDGE INITIATED BY PLEDGOR/PLEDGE	CHAR	01	
UNPLEDGE STATUS	CHAR	01	
UNPLEDGE VERIFY FLAG	CHAR	01	
UNPLEDGE SETUP DATE	DATE (DDMMYYYY:H H24MISS)	15	
UNPLEDGE PLEDGOR VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	
UNPLEDGE ACCEPTANCE/REJECTION DATE	DATE (DDMMYYYY:H H24MISS)	15	
UNPLEDGE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	
UNPLEDGE REVERSAL DATE	DATE (DDMMYYYY:H H24MISS)	15	
UPLEDGE REMARKS	VARCHAR2	100	
PLEDGOR DP's INTERNAL REFERENCE NO	VARCHAR2	16	
PLEDGE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP
Identifier Indicating Confiscate Details for a PSN -- 03	NUMBER	02	
PLEDGE SEQ NUMB (PSN)	NUMBER	10	
CONFISCATE COUNTER	NUMBER	04	
CONFISCATE QUANTITY	NUMBER	17	
CONFISCATE STATUS	CHAR	01	
CONFISCATE VERIFY FLAG	CHAR	01	

CONFISCATE SETUP DATE	DATE (DDMMYYYY:H H24MISS)	15	
CONFISCATE ACCEPTANCE/REJECTION DATE	DATE (DDMMYYYY:H H24MISS)	15	
CONFISCATE PLEDGEE VERIFICATION DATE	DATE (DDMMYYYY:H H24MISS)	15	
CONFISCATE REVERSAL DATE	DATE (DDMMYYYY:H H24MISS)	15	
CONFISCATE REMARKS	VARCHAR2	100	
PLEDGEE DP's INTERNAL REFERENCE NO	VARCHAR2	16	
MARGIN PLEDGE RE-PLEDGE IDENTIFIER	CHAR	2	MP- Margin Pledge, MR- Margin Re-Pledge
MARGIN PLEDGE PARENT SEQUENCE NUMBER	NUMBER	10	Available only for Margin Repledge
Exchange ID	NUMBER	2	
UCC	VARCHAR2	11	
Segment ID	CHAR	2	CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – ALL Segments
CC ID	NUMBER	2	
CM ID	VARCHAR2	8	
TM ID / CP ID	VARCHAR2	12	
ENTITY IDENTIFIER	CHAR	2	If TM ID / CP ID is TM ID then Entity identifier will be TM. If TM ID / CP ID is CP ID then Entity Identifier will be CP

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH
Identifier Indicating Summary Line -- 00	NUMBER	02
Total Number of Lines	NUMBER	04
Business Date	CHAR	11
System Date and Time	Char	24