Central Depository Services (India) Limited

Convenient ⊹ Dependable ⊹ Secure

COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/2023/757   **December 28, 2023**

FACILITY TO REMEDY ERRONEOUS TRANSFERS IN DEMAT ACCOUNTS

DPs are advised to refer communique **CDSL/OPS/DP/POLCY/2023/745 dated December 22, 2023**, regarding facility being made available to BO/DP to add **buyer account (s) as beneficiary for all 'Off-Market' transactions** to remedy erroneous transfers in demat accounts.

As per the aforementioned circular, under point no. 8 (b), DPs are further advised to refer to the **upload file format** enclosed herewith as **Annexure-A,** provisioned to add buyer account as 'beneficiary' under the seller account before executing 'off-market' 'Intra-Depository' & 'Inter-Depository' transactions. Success/Failure response report will be made available to the DPs in webcdas front end once the file is uploaded.

DPs are also required to note that a separate **'online'** report – **DPU9** will be made available for DPs for download which will provide details of the buyer account added as 'beneficiary' under the seller account, the format of which is enclosed herewith as **Annexure - B**. DPs can download the same under 'Report' module through download option in webcdas using **Module ID – 38** (Settlement), **Report ID – DPU9**.

DPs are required to note that the following facilities are scheduled for live release on **December 29, 2023**, to make it effective from **December 30, 2023.**
   a) Adding beneficiaries through upload.
   b) Provision of online DPU9 report for the list of the buyer account(s) added as 'beneficiary' under the seller account.
   c) Mechanism for validating buyer account as 'Beneficiary' under the seller account before executing intra-depository / inter-depository off-market transactions.

DPs are advised to take note of the above-mentioned points and initiate suitable changes in their back office, if any.

Queries regarding this communiqué may be addressed to:
CDSL – Helpdesk Emails may be sent to: helpdesk@cdslindia.com and telephone number 08069144800.

sd/-

Shelton Joseph
Asst. Vice President – Operations

FILE FORMAT: Reducing instances of erroneous off-market transfers

File Name : **OFFLINKSETUP_<DPID>_<DDMMYYYY>_<3 digit seq no>.txt**

E.g. OFFLINKSETUP_10900_18122023_001.txt

Input Type : **M**andatory / **O**ptional

Records: This record will contain following lines:

FIELD DESCRIPTION	FIELD TYPE	INPUT TYPE	FIELD SIZE	REMARKS
SELLER BOID	CHAR	M	16	CDSL BOID
BUYER BO TYPE	CHAR	M	1	C- CDSL / N-NSDL
BUYER BOID	CHAR	M	16	CDSL/NSDL BOID
BUYER EXEMPTION FLAG	CHAR	M	1	BO EXEMPTION FLAG Y = Exempted N = Not Exempted
BUYER PAN	CHAR	M / O Optional for PAN exempted cases	10	Buyer BO PAN

NOTES:

- Field separator is ('|') pipe symbol in the keyboard should be used.
- Padding should not be used for any field. Only actual values are to be entered.
- If the PAN Exemption flag is Y then the same will be validated against the exemption flag value available in BO Account details.
- Header record not required.
- Sample Record = 1209910000000011|C|1209910000000021|N|AAJPP1111K
- Response for the upload file will have a summary of total number of records, the Total number of successful records, and the Total number of failure records.
- Failed records will have the line number of upload record ~ (tilde) Error description.

Report Documentation

Report ID	:	DPU9
Report Description	:	Offmarket Buyer-Seller BO/PAN Link Report
Module	:	Settlement
Selection criterion	:	**From_date,**To_Date,DPID.
Sort criterion	:	N.A.

Report Contents : For Seller BO/PAN Active or Delink records between to and from dates are printed.

Naming convention :

Serial No	Report	Report Name
1	Online DPU9	OFF_TXN_Link_<ddmmyyhhmiss>.<3 digit seq number>

Report Format :

Column Name	Description	Data type	Length
Record Number	Sequence	Number	8
DP ID		Number	6
Seller BO		Number	16
Seller PAN		Char	10
Seller Name		Char	140
Buyer BO		Number	16
Buyer PAN		Char	10
Status	Active/Delinked	Char	7
Setup Date		Char	8
Link activated Date		Char	8
OTP date/time		Char	14
Operator ID		Char	6
Seller PAN exemption flag	Y/N	Char	1
Buyer PAN exemption flag	Y/N	Char	1
Transaction source	CDAS/EASIEST	Char	10