# For Private Circulation Only



# February 2020



During the last 12 months, the number of active BO accounts with CDSL has increased from 1.70 Crore as on January 31, 2019 to 2.01 Crore as on January 31, 2020, which indicates growth of 18.24 %.



As on January 31, 2020 CDSL has 598 DPs offering DP services from over 19,400 locations across the country. These DPs comprise of CMs, Banks, NBFCs & Custodians.



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on January 31, 2020, the securities of 14,488 unique companies have been admitted for demat with CDSL.

### **CDSL BRANCH AT GIFT CITY, GUJARAT**

Government of India has been in the forefront of developing an internationally competitive market in the International Financial Services Centre at Gujarat International Finance Tec-City (GIFT City) at Gandhinagar, Gujarat State. The Government inter alia is preparing grounds to enable trading, transacting and holding securities, derivatives, Depository Receipts, etc. that are acceptable or listable in all other global markets.

### CDSL Branch at GIFT City to start operations

We are pleased to inform you that CDSL has set up a branch at GIFT City as per the provisions of the extant regulations. CDSL is the first and only depository to have received the approval from SEBI to commence operations at GIFT City. CDSL has inaugurated its IFSC Branch at the GIFT City, Gandhinagar in Gujarat on 6th January, 2020.



Shri B.V.Chaubal, Chairman-CDSL, addressing the delegates at inauguration of CDSL's IFSC branch at GIFT City.

#### Types of securities / derivatives that can be held

Further, the extant relevant regulations allow admission of equity shares of company incorporated ouside India, Depository Receipts (DRs), Foreign Currency Convertible Bonds (FCCBs), Currency and Interest rate derivatives, Index based derivatives, etc. Government of India has in the recent past notified 'GIFT City IFSC' as a permissible jurisdiction for the purpose of listing, trading, transacting and holding such instruments. For further details kindly contact Mr. Ramkumar K on (022) 2305-8633 or email on ramk@cdslindia.com.

# CDSL PARTICIPATED IN 11<sup>™</sup> INTERNATIONAL CONVENTION 2020 OF ASSOCIATION OF NATIONAL EXCHANGE MEMBERS OF INDIA



Mr. Nehal Vora, MD & CEO, CDSL addressing the gathering at 11<sup>th</sup> International Convention 2020 of ANMI.

#### HANGE MEMBERS OF INDIA (ANMI) ON FEBRUARY 15<sup>™,</sup> 2020, NEW DELHI

ANMI is an association comprising Stock Brokers from across the country who are members of exchanges having national presence. The basic objective of ANMI is to work for growth of the capital markets thus



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contributing to the economic development of the country and over all interest of investors. ANMI's 11<sup>th</sup> International Convention was focused on redefining capital markets - A must for \$ 5 Trillion Economy. Shri Anurag Singh Thakur, Hon'ble Minister of state for Finance and Corporate Affairs, GOI was Chief Guest for the convention.

The Convention offered a unique opportunity for all capital market representatives and stakeholders to identify the current short-comings and roadblocks which slow down and hold back opportunities much needed for exploring and establishing a world-class Capital Market framework in India.

# ENHANCED DUE DILIGENCE FOR DEMATERIALIZATION

SEBI, vide its circular No. <u>SEBI/HO/MIRSD/RTAMB/CIR/P/2019/122</u> dated November 05, 2019 had communicated that there is a change in process of Dematerialization of Physical Securities of Listed companies. At the time of Demat setup by DP, following new fields viz., Folio No., Certificate No. (From and To), distinctive number range (From and To) are required to be captured by the DP.

All Listed companies or their RTAs were directed to provide data of their members holding shares in physical mode, viz the name of shareholders, folio numbers, certificate numbers, distinctive number ranges, PAN, etc. (known as static data) as on March 31, 2019, to the Depositories, latest by December 31, 2019. CDSL system shall validate the various fields entered by the DP with the static data provided by the RTA while setting up a demat request. In case there is a mismatch in the name of Demat account holder entered by the DP with the name present in Static database, the demat request will be setup and the DP will be given a "Mismatch in Name" alert in the response report. DP may then collect one or more of the following additional documents from the investor supporting mismatch in name:

- i. Copy of Passport
- ii. Copy of legally recognized marriage certificate
- iii. Copy of gazette notification regarding change in name
- iv. Copy of Aadhar Card

Beneficial Owners (BOs) are requested to take utmost care while filling the demat request form and submitting to their DP. DPs are advised to capture the correct details in the CDSL system to avoid any rejection. For further details, kindly refer to CDSL communique number <u>CDSL/OPS/DP/POLCY/2020/31</u>, dated January 15, 2020.

# ISSUE OF SECURITIES IN DEMATERIALISED FORM BY UNLISTED PUBLIC COMPANIES

Ministry of Corporate Affairs (MCA), vide its circular <u>CDSL/OPS/RTA/POLCY/-2018/119</u> dated September 10, 2018 has made amendments to the Companies (Prospectus and Allotment of Securities) Rules, 2014.

In order to fulfil the above requirements, we invite you to admit your securities with  $\ensuremath{\mathsf{CDSL}}$  .

# Admission procedure with CDSL

- Issuer appoints RTA

- Issuer admits securities with CDSL
- Dematerialization of Shares

# Benefits of Admission with CDSL:

- Prompt & efficient Services
- Online Portal to upload scanned documents.
- Tracking the status of application received.
- Acceptance of Digitally Signed Documents.
- Online Payment Gateway.

For a detailed procedure and documentation, kindly visit <u>https://www.cdslindia.com/issuer/issuer-joiningpro.html</u>. For further clarification/ information in this regard, kindly contact Ms. Neeta Phadke, Ms. Vaishali Dhumal, Ms. Veena Yadav or Mr. Santosh Kulkarni on (022) 2305- 8683/8688/8695/8690 and email on <u>issueradmission@cdslindia.com</u>.

## **DP ADMITTED IN CDSL**

DP ID	DP NAME
12089900	AMTIGER CONSULTANTS PRIVATE LIMITED

### CHANGE OF DP NAME

DPID	OLD NAME	NEW NAME
74100	INDO JATALIA	INDO MONEY
	SECURITIES	SECURITIES
	PRIVATE LIMITED	PRIVATE LIMITED

## NISM DEPOSITORY OPERATIONS CPE PROGRAM

As an NISM accredited CPE Provider for conducting the Depository Operations Program, CDSL conducted Continuing Professional Education (CPE) training programme at Mumbai and Kochi on 04<sup>th</sup> January, 2020.

## SUCCESSFUL FACILITATION OF e-VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions through the internet till the closure of an e-Voting event. At present, more than 4600 companies have signed agreements with CDSL for its e-Voting facility, of which over 4500 companies have used CDSL's e-Voting platform, www. evotingindia.com to conduct electronic voting for AGMs and Postal ballot resolutions for over 24,500 occasions. CDSL also provides its e-Voting system at the venue of meeting. This has enabled shareholders to cast their vote electronically and also helped the Scrutinizer in getting a consolidated voting report. To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-23058615, anandt@cdslindia.com or Mr. Ankit Bandivadekar on 022-23058634, ankitb@cdslindia.com.

## INVESTOR AWARENESS PROGRAMMS (IAPs)

During January 2020, CDSL IPF Trust has conducted 30 IAPs across India. DPs / CMs also participated in these IAPs. These programs were directed towards educating Investors about Depository Services, Investing in Capital Markets, etc. For details on forthcoming IAPs, kindly click on <u>Investor Meet</u>.



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Mr. Basavraj Gurav from Zerodha Securities Private Limited addressing the audience at an IAP conducted in Pune, Maharashtra on January 18, 2020.



Mr. Nagendraa Parakh, ED -SEBI addressing the audience at an IAP conducted in Gurugram, Haryana on January 16, 2020.



Mr. N Hariharan, CGM -SEBI addressing the audience at an IAP conducted in Thiruvananthapuram, Kerala on January 24, 2020.



Mr. Prashant Mishra, AGM -SEBI addressing the audience at an IAP conducted in Puri, Odisha on January 31, 2020.

