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# CDSL e-Infoline

## March 2015



During the last 12 months, the number of active BO accounts with CDSL has increased from 87.30 lakh as on February 28, 2014 to 95.21 lakh as on February 28, 2015, which indicates growth of 7.09 %.



As on February 28, 2015 CDSL has 571 DPs offering DP services from over 11,000 locations across the country. These DPs comprise of CMs, Banks, NBFCs and Custodians.





The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on February 28, 2015, the securities of 9016 companies have been admitted for demat with CDSL.

## TRANSFER OF SECURITIES TO CM'S CLIENT MARGIN ACCOUNT THROUGH EASIEST

CDSL's internet facility 'easiest'- enables a BO/CM to submit transactions on the Internet "anytime-anywhere" in an efficient and convenient manner, all in a state-of the- art secure environment. CMs (Clearing Members) can also obtain regular reports from CDSL through easiest, which they may use to monitor their settlements closely.

At present, CMs who are registered for the easiest facility and have received POA from the BOs (which are registered with the DP) can submit debit transactions for transfer of securities from BO accounts to their settlement accounts. CDSL is pleased to inform that the aforesaid facility has now been enhanced whereby it is possible to transfer securities from the BO's demat accounts to the 'Client Margin account' of the CM. This will enable CMs to transfer securities electronically without having to use a Delivery Instruction Slip (DIS).

#### **SMS ALERTS TO BENEFICIAL OWNERS**

As a risk mitigation measure, CDSL offers its SMART (SMS Alerts Related to Transactions) facility by sending SMS alerts to the registered mobile number of Beneficial Owners (BOs) for every debit transaction and credits related to corporate actions. It is also mandatory for demat account holders who have given Power of Attorney (POA) to operate their demat account to register for CDSL's SMART facility. CDSL has also been promoting the SMART facility through its investor awareness programs. However, it has been observed that some individual demat accounts are not registered for CDSL's SMS facility. BOs are therefore, requested to approach their DPs and register their mobile numbers for the SMART facility.

DPs may update the mobile number of BOs for SMS alerts (SMART) registration using the following alternate sources of information:

- i. A letter signed by the first holder stating the mobile number.
- ii. An email received from the registered email id of the demat account stating the mobile number.
- iii. KYC-KRA form with mobile number details of the first holder.
- iv. Document duly signed by the first holder mentioning mobile number submitted for trading account or bank account.
- v. Mobile number submitted by the holder through online trading or banking portal of the DP.
- vi. Mobile number captured as the contact number or alternate number in the account opening form/ duly signed modification form submitted to the DP.
- vii. Mobile number of the first holder as per UIDAI database.

#### **CHANGE IN THE NAME OF DP**

DPID	OLD NAME	NEW NAME
42000	INDIA ADVANTAGE SECURITIES LIMITED.	INDIA ADVANTAGE SECURITIES PRIVATE LIMITED.
79800	AVON MANAGEMENT PRIVATE LIMITED.	ADWEALTH STOCK BROKING PRIVATE LIMITED.

#### WITHDRAWL OF DPs

DPID	DP NAME
27800	G M BOSU & CO. PRIVATE LIMITED.
39500	ASSET ALLIANCE SECURITIES PRIVATE LIMITED
53500	UNICKON SECURITIES PRIVATE LIMITED



## **CDSL** e-Infoline

#### SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions through the internet till the closure of an e-voting event. At present, 3504 companies have signed the agreement with CDSL for availing of its e-Voting facility of which 3282 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 3940 occasions. To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, anandt@cdslindia.com or Mr. Ankit Bandivadekar on 022 22728634, ankitb@cdslindia.com

#### CDSL CONDUCTS "PHYSICAL TO DEMAT MELA" IN NAGPUR TO **FACILITATE DEMAT**

As an initiative to benefit investors, CDSL conducted an "Physical to demat Mela" at Nagpur from February 24 to 28, 2015 in association with Money Bee Institute Pvt Ltd to facilitate easy conversion of physical shares and securities into demat mode. Guidance was provided at the venue to the shareholders holding shares in physical form for easy conversion to demat. Shareholders holding physical securities of various companies were able to benefit from this initiative as they could demat their shares at the venue. Investors were also given the facility to open a demat account in case they did not possess one. Approx 500 investors visited the venue. Officials of 6 RTAs were present at the venue to ensure completion of the demat process and to credit the concerned demat accounts.

#### TRAINING PROGRAMME FOR INTERNAL AUDITORS AND DP OFFICIALS AT CHENNAI

CDSL conducted compliance training program for DP officials and internal/concurrent auditors in Chennai on February 21, 2015. The program was directed towards updating them about the new developments in DP compliance.



Mr. Rajan Vaidya of CDSL conducting the compliance training program.



Investors interacting with RTA officials at "Physical to Demat Mela".

#### **INVESTOR AWARENESS PROGRAMS (IAPs)**

During February 2015, CDSL BOPF Trust has conducted 54 IAPs across India. DPs/CMs also participated in these IAPs. Further, leading dailies such as Sandesh, Dalal Street Investment Journal also contributed in making these IAPs a success. These programs were directed towards educating the investors about depository services, financial planning, Online Trading, advantages of holding Mutual Fund units in demat account, Rajiv Gandhi Equity Savings Scheme (RGESS), 'Do's and Don'ts' in dealing in Capital Markets, etc. For details of forth coming IAPs, kindly visit www.cdslindia.com.



Mr. Anup Chandak of Sharekhan Limited Mr. A. R. Vaasudevan of CDSL interacting with addressing the audience at an IAP conducted investors at an exibition for Investors (INVEST at Jaipur, Rajasthan on February 06, 2015. EXPO 2015) at Chennai on February 14, 2015.





Mr. Pritesh Mehta of India Infoline Limited addressing the audience at an IAP conducted at Kota, Rajasthan on February 20, 2015.

# **CDSL** e-Infoline

# **Now! Make Every Vote Count**

Introducing CDSL's e-Voting Empowering Investors

## Features:

- 1. Facilitates shareholders to vote electronically on www.evotingindia.com for Postal Ballot, AGMs, EGMs etc.
- 2. Reduction in administrative cost and faster and transparent voting process viz:
  - Saving in postage and storage of postal ballots
  - No verification of signatures
  - Automated counting of electronic votes
- 3. Elimination of invalid votes and loss in transit cases.
- 4. Ample time to vote for Shareholders Till the last minute.

Over 3504 companies have registered for CDSL's e-Voting facility. Have You?

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www.evotingindia.com

To join, please contact our e-Voting team on (022) 2272 8153 / 8634, or email: helpdesk.evoting@cdslindia.com



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