For Private Circulation Only



February 2015



During the last 12 months, the number of active BO accounts with CDSL has increased from 87.47 lakh as on January 31, 2014 to 94.33 lakh as on January 31, 2015, which indicates growth of 7.84 %.



As on January 31, 2015 CDSL has 572 DPs offering DP services from over 11,000 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians and Clearing Houses/ Corporations.



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on January 31, 2015, the securities of 8950 unique companies have been admitted for demat with CDSL.

UPDATION OF EMAIL STATEMENT FLAG IN DEMAT ACCOUNT OF BOs

SEBI, vide its Circular no. <u>CIR/MRD/DP/31/2014</u> dated November 12, 2014, has notified the requirement for depositories to issue a Consolidated Account Statement (CAS) to the Beneficial Owners (BOs). CAS will enable BOs to get a consolidated view of their transactions and investments in their Demat accounts across depositories as well as in Mutual Funds.

CDSL has therefore requested its Depository Participants (DPs) to update the e-mail Statement Flag to 'Y' in the demat accounts of BOs who have consented to receive transaction statements, electronically. DPs should also update the e-mail flag whenever a fresh consent is received. BOs who wish to receive their CAS electronically and have not updated their e-mail ids in the demat account are requested to contact their DPs and register their e-mail id.

NISM DEPOSITORY OPERATIONS CPE PROGRAM

As an NISM accredited CPE Provider for conducting the Depository Operations Program, CDSL has conducted a CPE training program in New Delhi on January 17, 2015.

RTA TRAINING PROGRAMME

CDSL conducted a training program on January 27 and 28, 2015 for officials of Registrar and Transfer Agents (RTAs). The program covered various RTA modules in the CDSL system and gave an overview of the RTA Operations.

SMS ALERTS TO BENEFICIAL OWNERS

As a risk mitigation measure, CDSL offers its SMART (SMS Alerts Related to Transactions) facility by sending SMS alerts to the registered mobile number of Beneficial Owners (BOs) for every debit transaction and credits related to corporate actions. It is also mandatory for demat account holders who have given Power of Attorney (POA) to operate their demat account to register for CDSL's SMART facility. CDSL has also been promoting the SMART facility through its investor awareness programs. However, it has been observed that some individual demat accounts are not registered for CDSL's SMS facility. SEBI, has also advised CDSL through its Depository Participants (DPs) to obtain and register the mobile numbers of their BOs for CDSL's SMS facility. BOs are therefore, requested to approach their DPs and register their mobile numbers for the SMART facility.

SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions through the internet till the closure of an e-voting event. At present, 3467 companies have signed the agreement with CDSL for availing of its e-Voting facility of which 3040 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 3790 occasions. To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, <u>anandt@cdslindia.com</u> or Mr. Ankit Bandivadekar on 022 22728634, <u>ankitb@cdslindia.com</u>.



CDSL e-Infoline

NEW E-MAIL ID FOR CLEARANCE OF HOLD CASES IN CVL-KRA

With a view to expedite the clearance of KYCs which are put on hold in CVL-KRA, CVL has created a new e-mail id - <u>holdcvlkra@cdslindia.com</u>. This e-mail id will be used exclusively to cater to queries and receive details related to hold cases in CVL-KRA. Intermediaries are advised to route all emails related to hold cases to this e-mail id only. For all the queries other than hold cases, Intermediaries are advised to send an email to <u>cvlhelpdesk@cdslindia.com</u>.

CHANGE IN THE NAME OF DP

DPID	OLD NAME	NEW NAME
54200	SAMRUDDHI STOCK BROKERS LIMITED.	SAMCO SECURITIES LIMITED.

NEW DPs ADMITTED

DPID	DP NAME	
80200	ARHAM WEALTH MANAGEMENT PRIVATE LIMITED	
80300	FORTUNE CAPITAL SERVICES PRIVATE LIMITED	
80400	ALICE BLUE SECURITIES PRIVATE LIMITED	

INVESTOR AWARENESS PROGRAMS (IAPs)

During January 2015, CDSL BOPF Trust has conducted 43 IAPs across India. DPs/CMs such as India Infoline Limited, ICICI Securities Limited, Tata Securities Limited, Way2wealth Brokers Private Limited, LKP Securities Limited, Karvy Stock Broking Limited, Motilal Oswal Securities Limited, Anand Rathi Share and Stock Brokers Limited, Stock Holding Corporation of India Limited, The South Indian Bank Limited, Inventure Growth & Securities Limited, Sharewealth Securities Limited, Suresh Rathi Securities Private Limited, Sharekhan Limited, Amrapali Aadya Trading & Investment Private Limited, Sykes & Ray Equities (India) Limited, Money Bee Institute Private Limited, Hedge Equities Limited, PSB Securities Limited, Acumen Capital Market (India) Limited, Allahabad Bank, VCK Share & Stock Broking Services Limited, Inditrade Capital Limited, Marwadi Shares & Finance Limited, etc., also participated in these IAPs. Further, leading dailies such as Sandesh also contributed in making these IAPs a success. These programs were directed towards educating the investors about depository services, financial planning, Online Trading, advantages of holding Mutual Fund units in demat account, Rajiv Gandhi Equity Savings Scheme (RGESS), 'Do's and Don'ts' in dealing in Capital Markets, etc. For details of forth coming IAPs, kindly visit www.cdslindia.com



Mr. Liju Raju of Motilal Oswal Securities Limited addressing the audience at an IAP conducted at Hadapsar, Pune on January 31, 2015.



Mr. A. K. Basu of Allahabad Bank addressing the audience at an IAP conducted at Patna, Bihar on January 31, 2015.



Mr. Eapen Varghese of Karvy Stock Broking Limited addressing the audience at an IAP conducted at Kozhikode, Kerala on January 16, 2015.



Mr. C. S. Thakur of CDSL and Mr. Sandeep Mehta of SBICAP Securities Limited addressing the audience at an IAP conducted with Central Railway Mazdoor Sangh (CRMS) at Mumbai on January 29, 2015.



.... continued from previous issue

FREQUENTLY ASKED QUESTIONS ON THE e-Voting SYSTEM

Q5. How do Corporates/Custodians use e-Voting?

Ans. Corporates/Custodians have to register themselves as an entity on <u>www.evotingindia.com</u>. After receiving the login details from CDSL they have to link the accounts serviced by them for voting on behalf of them. Once the linkages are approved by CDSL they can vote on behalf of their clients through their respective logins. The votes can also be uploaded through the bulk upload option.

Q6. How is the investor authentication done in case of electronic Voting?

Ans. The investor needs to enter some demat account specific details in order to cast their votes in a secure manner. Hence in this case the verification of the signature is not required. The security holder has to enter the user id (Folio no in case of physical shares or Demat account no in case of demat holding), PAN and either Date of Birth or Dividend Bank Account Number to login for first time which are validated against the data residing in the system. After successful authentication, the user can self generate their own password.

Q7. How will the results be made available at the end of the voting period?

Ans. The Scrutinizer will be able to download a consolidated report, which includes votes cast by the investor electronically and votes received by the Scrutinizer through Postal Ballot which have been entered in the system. The Voting Report download page contains the instructions for downloading, saving and viewing the report.

Q8. How do the shareholders obtain the Notice / Resolution for the meeting?

Ans. The explanatory note of the resolution would be visible to the shareholder, in a Portable Document Format (PDF). Once the shareholder clicks on the Resolution File link in their login they will be able to view the complete resolution.

Q9. Is there any User Manual or information pertaining to this facility?

Ans. The manual for security holders to cast their vote on e-Voting system and for Companies, RTAs, Scrutinizers, Custodians and Corporates to use the e-Voting system is available on the e-Voting website under the help section. In case of any queries you may contact us on <u>helpdesk.evoting@cdslindia.com</u>.



CDSL e-Infoline

Now! Make Every Vote Count

Introducing CDSL's e-Voting

Empowering Investors

Features:

- 1. Facilitates shareholders to vote electronically on www.evotingindia.com for Postal Ballot, AGMs, EGMs etc.
- 2. Reduction in administrative cost and faster and transparent voting process viz:
 - Saving in postage and storage of postal ballots
 - No verification of signatures
 - Automated counting of electronic votes
- 3. Elimination of invalid votes and loss in transit cases.
- 4. Ample time to vote for Shareholders Till the last minute.

Over 3467 companies have registered for CDSL's e-Voting facility. Have You?

> To join, please contact our e-Voting team on (022) 2272 8153 / 8634, or email: helpdesk.evoting@cdslindia.com

www.evotingindia.com



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Published by Mr. Yogesh Kundnani, Vice President - Business Development on behalf of Central Depository Services (India) Limited, 17th Floor, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001. Editor : Mr. Yogesh Kundnani

