



CDSL e-Infoline

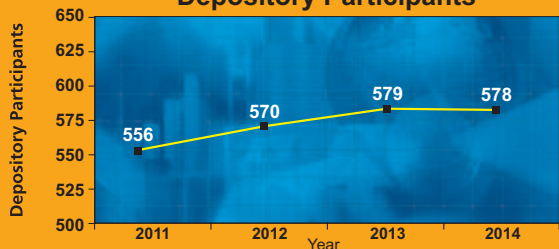
September 2014

Beneficial Owners



During the last 12 months, the number of active BO accounts with CDSL has increased from 85.17 lakh as on August 31, 2013 to 90.37 lakh as on August 31, 2014, which indicates growth of 6.01%.

Depository Participants



As on August 31, 2014 CDSL has 578 DPs offering DP services from over 11,000 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians and Clearing Houses/Corporations.

Unique Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on August 31, 2014, the securities of 8598 unique companies have been admitted for demat with CDSL.

CLARIFICATIONS REGARDING NISM SERIES -- VI: DOCE

SEBI, vide its notification dated March 29, 2011, had specified the scope of activities under which Associated Persons engaged or employed by a registered Depository Participant would have to obtain the National Institute of Securities Markets (NISM) Certification by passing the NISM-Series-VI: Depository Operations Certification Examination (DOCE).

Based on the representations submitted by DPs, SEBI has clarified as under:

For associated persons doing basic elementary level / clerical level work and whose work is supervised by NISM Series VI-Depository Operations Certification Examination (DOCE) certified personnel, passing of DOCE exam may be optional.

- The categorization of activities falling under basic elementary level/ clerical level may be decided by depositories in co-ordination with each other.
- The compliance by the Depository Participants with respect to above shall be checked by depositories during the internal audits and inspections.

Accordingly, DPs may note that passing of DOCE examination will be optional for persons engaged in the following activities whose work is supervised by DOCE certified personnel.

- a) Collection of documents from clients for onward transmission for processing
- b) Verification of copies with original
- c) In-person verification to confirm presence of the individual
- d) Giving print outs and/or information from system to clients
- e) Doing data entry at maker level
- f) Entry in inward and outward system

DELIVERY INSTRUCTION SLIP (DIS) ISSUANCE AND TRANSACTION PROCESSING

SEBI had issued circular no. [CIR/MRD/DP/ 01/2014](#) dated January 7, 2014, to strengthen the supervisory and monitoring role of the depositories and its DPs with respect to issuance and processing of Delivery Instruction Slips (DIS). CDSL, vide its communiqué no. [CDSL/OPS/DP/POLCY/4488](#) had issued the operational guidelines to implement the necessary measures.

With a view to enable DPs to comply with the requirements,

CDSL has now released communiqué no. [CDSL/OPS/DP/POLCY/4664](http://www.cdslindia.com/ops/dp/policy/4664) dated August 27, 2014, which provides procedural clarifications with regards to implementation of DIS issuance and transaction processing. The implementation of these guidelines will take effect from October 1, 2014.

REMATERIALISATION OF SECURITIES

CDSL, vide, its communiqué no. [CDSL/OPS/DP/REPR/3383](http://www.cdslindia.com/ops/dp/reprt/3383), had provided a facility wherein DPs could enter the 'number of securities per certificate' in the Remat Module of the CDSL system based on remat requests received from BOs. It has been observed that some DPs are inadvertently mentioning the number of certificates instead of 'quantity of securities per certificate' under the field for 'number of securities per certificate' in the CDAS system thereby resulting in inflated bills.

DPs are therefore requested to note that the remat tariff is as follows:

- Number of certificates (i.e. Rs.10/- per certificate) or number of securities being rematerialised (i.e.Rs.10/- per 100 securities subject to maximum fee of Rs. 5,00,000/-) whichever is higher.

E.g. If a DP sets up a remat request for 1,00,000 shares with quantity of securities-per certificate as 1,00,000 (i.e.one certificate) then charges for the said request will be Rs.10,000/- Further, charges are levied by CDSL only on confirmation of the remat request. BOs are also advised to inquire about the DP Remat Tariff before submitting a Remat request to their DPs.

SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions at their convenience from home/office till the last day. At present, 2722 companies have signed the agreement with CDSL for its e-Voting System and 1200 e-Voting instances have been completed.

To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, anandt@cdslindia.com or Mr. Ankit Bandivadekar on 022-22728634, ankitb@cdslindia.com.

DPS ADMITTED IN CDSL

DP ID	DP NAME	LOCATION
79700	F R Ratnagar And Company Private Limited	Mumbai

WITHDRAWAL OF CDSL DP

DP ID	DP NAME
57600	TULI INVESTMENT LIMITED

INVESTOR AWARENESS PROGRAMS (IAPs)

During August 2014, CDSL BOPF Trust has conducted 10 IAPs across India in association with BSE Investor Protection Fund. DPs and CMs such as SBICAP Securities Limited, HDFC Securities Limited, ICICI Securities Ltd, India Infoline Limited, Abira Securities and Way2Wealth Brokers Private Limited also participated in these IAPs. Further, leading dailies such as Sakal, Hindustan Times etc. also contributed in making these IAPs a grand success. These programs were directed towards educating the investors about depository services, risk management and the Rajiv Gandhi Equity Savings Scheme (RGESS).

For details of forthcoming IAPs, Kindly visit <http://www.cdslindia.com/investors/investor-meet.aspx>.



Shri Aditya Agarwal of Way2Wealth Brokers Private Limited addressing the investors at an IAP conducted at Tiruchirapalli, Tamilnadu on August 8, 2014.



Mr Moloy Biswas of CDSL addressing the investors at an IAP conducted at Siliguri, West Bengal on August 23, 2014, in association with Abira Securities Ltd. and BSE Investors Protection Fund.

Now! Make Every Vote Count

Introducing CDSL's
e-Voting
Empowering Investors

Features:

1. Facilitates shareholders to vote electronically on www.evotingindia.com for Postal Ballot, AGMs, EGMs etc.
2. Reduction in administrative cost and faster and transparent voting process viz:
 - Saving in postage and storage of postal ballots
 - No verification of signatures
 - Automated counting of electronic votes
3. Elimination of invalid votes and loss in transit cases.
4. Ample time to vote for Shareholders - Till the last minute.

**Over 2722 companies have registered for CDSL's e-Voting facility.
Have You?**

To join, please contact our e-Voting team
on (022) 2272 8153 / 5040,
or email: helpdesk.evoting@cdslindia.com



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Dependable



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