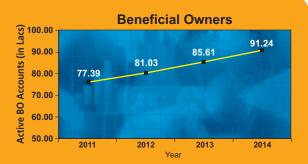


October 2014



During the last 12 months, the number of active BO accounts with CDSL has increased to 91.24 lakh as on September 30, 2014 from 85.61 lakh as on September 30, 2013, which indicates growth of 6.57 %.



As on September 30, 2014, CDSL has 576 DPs offering DP services from over 11,000 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians and Clearing Houses / Corporations.



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on September 30, 2014, the securities of 8656 companies have been admitted for demat with CDSL.

SCANNING OF DELIVERY INSTRUCTION SLIPS (DIS)

SEBI vide its circular no. <u>CIR/MRD/DP/ 01/2014</u> dated January 7, 2014, has issued guidelines to strengthen the supervisory and monitoring role of the depositories and its DPs with respect to issuance and processing of Delivery Instruction Slips (DIS). CDSL, vide its communiqués <u>CDSL/OPS/DP/POLCY/4488, CDSL/A,I&C/DP/POLCY/4685</u>, and <u>CDSL/A,I&C/DP/POLCY/4729</u> has issued the operational guidelines to implement the necessary measures and provided procedural clarifications with regards to implementation of DIS issuance and transaction processing.

It has been made mandatory for DPs to scan and upload the DIS entered / executed in the system on or after 1.10.2014 even if the DP had issued the DIS before the said date. This has been communicated through CDSL communiqué no. CDSL/A,I&C/DP/POLCY/4734, dated September 27, 2014.

TICKER ON WEBSITE OF DEPOSITORY PARTICIPANTS (DPs) FOR SMS ALERTS

CDSL's SMS alert facility SMART (SMS Alerts Related to Transactions) enables BOs registered for this facility to receive SMS alerts in case of any debits or credits related to corporate actions and for any change in demographic details in their accounts.

As a risk mitigation measure, SEBI has also informed the DPs to encourage Beneficial Owners to subscribe for the SMS facility by displaying a ticker message on their website.

BOs are, therefore, advised to register their mobile numbers with their DPs and avail the benefits of SMART.

AWARENESS PROGRAM ON DIS PROCESSING AND SCANNING REQUIREMENTS

CDSL and BSE Brokers' forum, jointly, conducted an awareness program for DPs on DIS processing and Scanning Requirements at YMCA, Mumbai on September 16, 2014. Over 300 DP officials attended the program. Similar awareness programmes were conducted by CDSL's regional offices for DP officials.

Mr. Vispi Bhatena, CEO, BSE Broker's Forum gave the inaugural address, which was followed by procedure and precautions for DIS issuance and processing by Ms. Nayana Ovalekar, SVP, CDSL. Mr. Rajan Vaidya, AVP, CDSL, educated the audience on Scanning of DIS. The presentations were followed by a Q&A session in which the gueries of the audience were addressed.

CDSL e-Infoline



Ms. Nayana Ovalekar, SVP, CDSL addressing the queries of participants at the program.



Mr. Rajan Vaidya, AVP, CDSL addressing the participants at the program.

SHIFTING OF OFFICE

CDSL has shifted its Kochi office to a new premises in the month of September, 2014. The new address is as follows:

BSE Investors Service Centre, Sree Lakshmi Tower, 2nd Floor, Ravipuram Road, Ravipuram, Ernakulam, Kerala – 680016

CHANGE OF NAME OF RTA

OLD NAME	NEW NAME
S K Computers	S. K. Infosolutions Private Limited
_	

WITHDRAWAL/TERMINATION OF CDSL DP

Sr. No.	DPID	DP NAME
1	62100	Celestial Securities Private Limited
2	64100	HSBC Invest Direct Securities (I) Limited

SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions at their convenience from home/office till the last day. As on September 30, 2014, 3315 companies have signed the agreement with CDSL for its e-Voting System and 3392 e-Voting instances have been completed. Shareholders of 2378 companies took the opportunity to cast their votes on www.evotingindia.com in the month of September, 2014.

To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, anandt@cdslindia.com or Mr. Ankit Bandivadekar on 022-22728634, ankitb@cdslindia.com..

INVESTOR AWARENESS PROGRAMS (IAPs)

During September 2014, CDSL BOPF Trust has conducted 16 IAPs across India in association with BSE Investor Protection Fund. DPs such as SBICAP Securities Ltd, India Infoline Limited, HDFC Securities Limited, Way2Wealth Brokers Private Limited, VCK Shares & Stock Broking Services Limited, Sharewealth Securities Limited, Sharekhan Limited, Celebrus Capital Limited, Karvy Stock Broking Limited, The Cosmos Co-operative Bank Ltd, N J India Invest Private Limited. also participated in these IAPs.

Further, leading dailies such as Hindustan, Prajavani, Eenadu, Pudhari Newspaper etc. also contributed in making these IAPs a success. These programs were directed towards educating the investors about depository services, risk management and the Rajiv Gandhi Equity Savings Scheme (RGESS). For details of forthcoming IAPs, please click: Investor meet

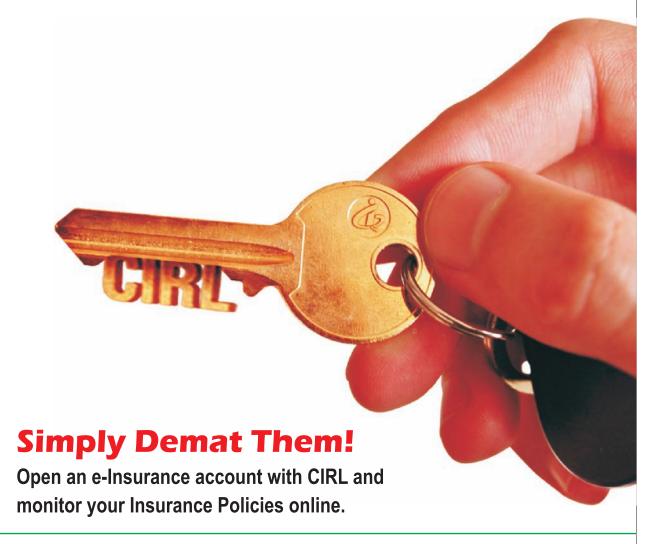


Mr. Swapnil Admane of N J India Invest Private Limited, addressing the audience at an IAP conducted at Chakan, Pune, on September 27,2014.



Mr Arnab Bhujabal of SBICAP Securities Ltd addressing the audience at an IAP conducted at Hyderabad, on September 13, 2014.

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Published by **Mr. Yogesh Kundnani**, Vice President - Business Development on behalf of **Central Depository Services (India) Limited**, 17th Floor, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001.

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