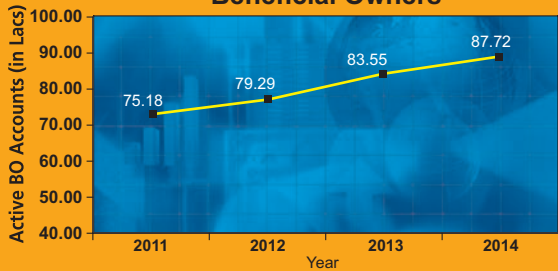




# CDSL e-Infoline

May 2014

## Beneficial Owners



During the last 12 months, the number of active BO accounts with CDSL has increased from 83.55 lakh as on April 30, 2013 to 87.72 lakh as on April 30, 2014, which indicates growth of 5.00%.

## Depository Participants



As on April 30, 2014 CDSL has 573 DPs offering DP services from over 11,290 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians and Clearing Houses/Corporations.

## Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on April 30, 2014, the securities of 12,913 companies have been admitted for demat with CDSL.

## PILOTING CORPORATE GOVERNANCE

In order to promote effective Corporate Governance in the light of recent changes, including the enactment of the Companies Act 2013 and recent revision from SEBI to clause 49, Department of Management studies, IIT Madras organised Seminar on "Piloting Corporate Governance" in association with CDSL and IDBI on Monday, 21st April 2014. Shri. S.Raman, Whole Time Member, SEBI, Shri. S.B.Mathur, Chairman, NSE, Shri.N. Rangachary, Chairman, CDSL shared the dais with dignitaries from corporates, banks and Insurance companies. Shri. S.Raman, Whole Time Member, SEBI and Shri. S.B.Mathur, Chairman, NSE enlightened on the recent amendments to Companies Act and recent SEBI note on revisions to clause 49. Shri. N Rangachary explained that regulation alone does not ensure good governance, it is positive attitude and behavior of corporate houses that helps to build well governed companies. The event was attended by senior officials from the industry, senior bankers and faculty members, scholars and students.



Shri N. Rangachary, Chairman, CDSL, addressing the audience at the seminar



Shri. P.S. Reddy, MD&CEO, CDSL and Prof. T. Narendran, IIT, Madras felicitating Shri. S. Raman, Whole Time Member, SEBI at seminar at IIT, Madras.

## NOTIFICATION ON ELECTRONIC VOTING FOR CORPORATES

The Ministry of Corporate Affairs (MCA), Government of India, has issued a notification, dated 27.03.2014, regarding voting through electronic means for postal ballots and other meetings. The notification provides that every listed company or a company having not less than one thousand shareholders shall provide to its members facility to exercise their right to vote at general meetings by electronic means.

A shareholder may exercise his right to vote at any general meeting by electronic means and company may pass a resolution by electronic voting system in accordance with the provisions of this rule.

## SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions at their convenience from home/office till the last minute. At present, 301 companies have signed the agreement with CDSL for its e-Voting System of which 124 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 171 occasions. Shareholders of 6 companies took the opportunity to cast their votes on [www.evotingindia.com](http://www.evotingindia.com) in the month of March 2014.

Further, another 13 companies commenced e-Voting in the month of April 2014. To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Mehboob Lakhani on 022-22725040, [mehboobl@cdslindia.com](mailto:mehboobl@cdslindia.com).

## DEMATERIALISATION OF TREASURY BILLS

Currently, CDSL has admitted all types of securities, which also include Government Securities (G-Secs) along with equity, debt, and other securities. BOs can subscribe, hold, transfer and transact in G-Secs through their demat accounts held with CDSL through the Depository Participants (Dps).

## CDSL is now pleased to announce commencement of dematerialisation of Treasury Bills (T-bills).

The operational guidelines for T-bills with respect to transfer from Subsidiary General Ledger (SGL)/Constituent Subsidiary General Ledger (CSGL) account to the depository account or vice versa will be similar to that of dated G-Secs.

For more details, kindly refer [CDSL communiqué no.4382](#).

## DISCONTINUATION OF INTER-DEPOSITORY TRANSACTIONS ON TRADING HOLIDAYS

Currently, inter depository transfers are available on days which are trading holidays. However, the volume of Interdepository transactions [IDT] being executed between the two depositories on trading holidays is miniscule, which indicates that most users observe the trading holiday. In view of the same, with effect from May 01, 2014, IDT between depositories will not be available on Trading holidays.

The CDSL system will, however, be available to users on trading holidays for all other types of transactions. BOs must ensure that the execution date entered in the Delivery Instruction Slip (DIS) is not a trading holiday as such instructions will be rejected. DPs may incorporate necessary changes, if any, in their Back-office system.

## INVESTOR AWARENESS PROGRAMS (IAPs)

During April 2014, CDSL BOPF Trust has conducted 10 IAPs across India in association with BSE Investor Protection Fund. DPs such as Motilal Oswal Securities Ltd., Sharekhan Ltd., Kunvarji Finstock Pvt. Ltd. and Janata Sahakari Bank Limited, Pune also participated in these IAPs.

CDSL also conducted two IAPs in association with Consumer Guidance Society, Vijaywada (a SEBI Recognized organization) at Chirala and Eluru, Andhra Pradesh on 11th and 12th April, 2014. Another such program was held at Kolhapur on 20th April, 2014 with Kolhapur Investors Association. Further, leading dailies such as Sandesh etc. also contributed in making these IAPs a grand success. These programs were directed towards educating the investors about depository services, risk management and the Rajiv Gandhi Equity Savings Scheme (RGESS). For details of forthcoming IAPs, kindly visit [Investor meet](#).



**Mr. Prasad Joglekar** from Motilal Oswal Securities Limited, addressing the audience at an IAP conducted at Ambernath, Maharashtra, jointly with CDSL BOPF on 26.04.2014.



**Mr. Dilip Parmar**, Senior Research Analyst, Kunvarji Finstock Pvt. Ltd, addressing the audience at an IAP conducted at Surat, Gujarat, jointly with CDSL BOPF and Sandesh on 05.04.2014.

## NSEL CIRCULAR - REMATERIALISATION AND SUBSEQUENT FINANCIAL CLOSURE OF e-SERIES

National Spot Exchange Limited (NSEL) has issued a circular no. NSEL/DP/2014/014 dated April 04, 2014 regarding Rematerialization and subsequent Financial Closure of e-series. BOs and Clearing Members (CMs) should follow the detailed procedure and submit the necessary documents to their DP.

As per the procedure prescribed by NSEL, the remat request should be setup by the unit holder through their DP only on the receipt of "Confirmation of Allocation from the Exchange". Therefore, DPs should ensure that the following documents are submitted by the unit holder for the set up of rematerialisation request.

1. Rematerialisation Request Form (RRF)
2. Copy of the "Rematerialisation Intention cum Allocation request form".
3. The confirmation of allocation email received from the Exchange by the unit holder.
4. The Remat request should be setup within three working days of the receipt of confirmation of allocation from the Exchange as per the procedure laid down by the Exchange.

For more details about dates specified by the Exchange for acceptance of requests, transfers and initiation of financial closure process, DPs may refer [CDSL communiqué no. 4369](#).

## CHANGE IN INVESTMENT CONDITIONS / RESTRICTIONS FOR FII/QFI INVESTMENTS IN GOVERNMENT DEBT SECURITIES

SEBI, vide its circular no. CIR/IMD/FIIC/8/2014 dated April 7, 2014, has informed changes in investment conditions/restrictions in government debt securities for FII/QFI.

Pursuant to the announcements made in the First Bimonthly Monetary Policy Statement, 2014-15 dated April 1, 2014 by the Reserve Bank of India (RBI), it has been decided as follows:

1. FIIs/QFIs shall henceforth be permitted to invest only in dated Government securities having residual maturity of one year or above.
2. Existing FII/QFI investments in T-Bills shall be allowed to taper off on maturity/sale. No further purchases in T-Bills shall be permitted. The investment limits vacated at the shorter end shall be available at longer maturities.
3. The overall Government Debt investment limit for FIIs/QFIs shall remain unchanged at US\$ 30 billion.

Kindly refer [CDSL communiqué no. 4370](#) for the FII/QFI debt investment limits as given in the above mentioned SEBI circular.


## WITHDRAWAL / TERMINATION OF CDSL DP

DPs and BOs may note that following entities have ceased to be DPs of CDSL from the months of April 2014:

Sr. No.	DP ID	DP NAME
1	12042700	Gogia Capital Services Limited
2	12029100	Grishma Securities Private Limited
3	12071400	MI Stock Broking Private Limited
4	12062500	Veetrag Securities Private Limited
5	12044300	Prime Broking Company (I) Limited
6	12055400	Shivnarayan Nemani Share & Stock Brokers Private Limited

## NEW MARKET TYPE AND THE DEADLINE TIME FOR SUBMISSION OF INSTRUCTIONS

CDSL has created a new market type in National Securities Clearing Corporation Limited (NSCCL) for settlement of "Institutional Trading Platform in a SME Exchange (i.e. ITP FOR SME)" segment. The deadline time for submission of instructions through easiest and CDSL system for the same are as follows:

Sr. No.	NSE Market Type Description	Corresponding CDSL Market Type	Pay-in Instruction Deadline Time	
				<b>CDSL System</b>
1	ITP For SME	31	10.00 am	10.50 am

BOs are requested to take note of the above and submit instructions within the specified timelines as prescribed by their DPs.

# Now! Make Every Vote Count

Introducing

## e-Voting

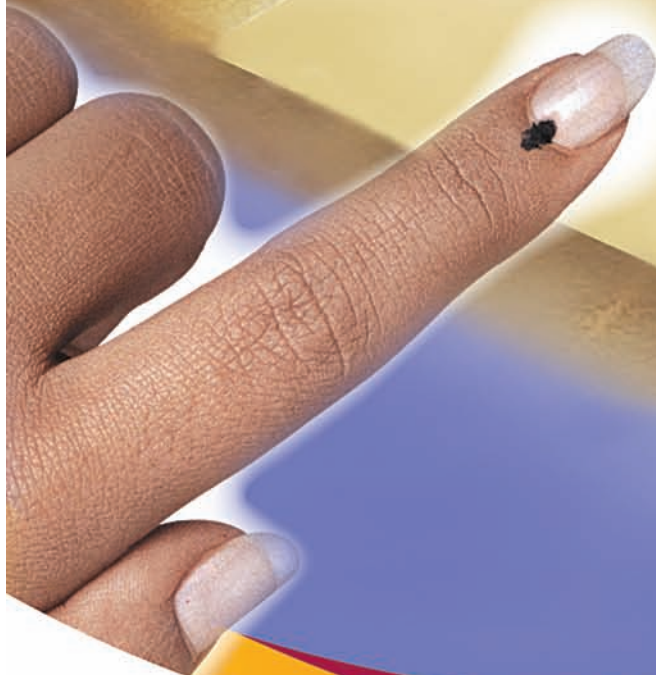
Empowering Investors

### Features:

- Web based facility enables you to cast your vote anytime - anywhere.
- Vote on resolutions of all companies held in the demat account with the same login details.
- Ease of voting by providing ample time to vote till the last minute.
- Accurate count of votes by eliminating the occurrence of invalid votes.
- Declaration of Voting Results Immediately.

**Over 300 companies have registered for CDSL's e-Voting facility. Have You?**

To join, please contact our e-Voting team on (022) 2272 8153 / 5040,  
or email: [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com)



**Central Depository Services (India) Limited**

Convenient ♦ Dependable ♦ Secure

[www.evotingindia.com](http://www.evotingindia.com)

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