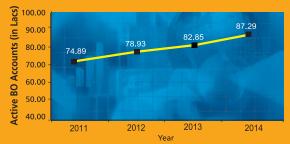


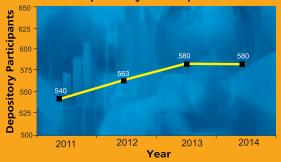
## March 2014

### **Beneficial Owners**



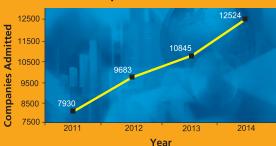
During the last 12 months, the number of active BO accounts with CDSL has increased from 82.85 lakh as on February 28, 2013 to 87.29 lakh as on February 28, 2014, which indicates growth of 5.36 %.

## **Depository Participants**



As on February 28, 2014, CDSL has 580 DPs offering DP services from over 11,639 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians and Clearing Houses / Corporations.

## **Companies Admitted**



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on February 28, 2014, the securities of 12,524 companies have been admitted for demat with CDSL.

## FINANCE MINISTER PROPOSES SINGLE DEMAT ACCOUNT FOR ALL FINANCIAL ASSETS

Honorable Finance Minister, Shri. P Chidambaram has proposed to bring all financial investments of an individual under one demat account in the Interim Union Budget 2014-2015.

Investors, will therefore, be able to maintain a record of their financial assets in one demat account. This is a step envisaged towards deepening the Indian financial market and simplifying the investment process.

Further, a common demat account for financial assets will add considerable benefits to investors.

## SEBI CIRCULAR – GUIDELINES FOR INSPECTION OF DPs BY DEPOSITORIES

SEBI had constituted a Depository System Review Committee (DSRC) to undertake a comprehensive review of the depository system of Indian Securities market. After reviewing the framework adopted by the depositories with regard to the inspection of depository participants (DPs), DSRC had given its recommendations. SEBI vide its circular no. CIR/MRD/DMS / 05 /2014 dated February 7, 2014 has advised depositories to ensure following points while inspecting their DPs with regard to:

- Inspection Areas and Sample Size
- Categorization / Risk Rating of DPs and
- Other requirements related to frequency of subsequent inspections, conducting specific purpose inspection of DPs, joint inspection of common DPs and putting in place appropriate technological tools to undertake off-site inspections of DPs etc.

For more details, DPs may refer CDSL communiqué no. 4243.

## NEW MARKET TYPE AND THE DEADLINE TIME FOR SUBMISSION OF INSTRUCTIONS – BSE

CDSL has created a new market type for settlement of "Institutional Trading Platform" (i.e. ITP) segment on BSE SME. The deadline time for submission of such instructions through the CDSL system and CDSL's online facility- Casiest is as follows:

Sr. No.	BSE Market Type Description	Corresponding CDSL Market Type	Pay-in Instruction Deadline Time	
			<b>C</b> asi est	CDSL System
1	ITP	31	9.05 am	9.50 am

Beneficial Owners (BOs) who wish to trade on the BSE SME segment may take note of the above-mentioned timelines. DPs may also carry out necessary changes, if any, in their back office system.

## CDSL e-Infoline

## **NISM DEPOSITORY OPERATIONS CPE PROGRAM**

As an NISM accredited CPE Provider for conducting the Depository Operations Program, CDSL conducted CPE training programs at Mumbai, New Delhi, Kolkata, Ahmedabad, Jaipur, Kanpur, Chandigarh, Kochi and Thrissur in the month of February 2014. The programs were attended by over 300 officials of various DPs. Similar programs are scheduled to be conducted in Hyderabad, Mumbai, New Delhi and Rajkot in the month of March, 2014.

## REVISED FORMAT FOR PRINCIPAL AND GRANDFATHER BY EXPERIENCE CATEGORY FOR CONTINUING PROFESSIONAL EDUCATION (CPE) PROGRAMME FOR DEPOSITORY OPERATIONS

As informed by National Institute of Securities Markets (NISM), vide its email dated February 21, 2014, the format for nominating candidates for the CPE program of Depository Operations Module has undergone change for following categories:

- a. Principal category
- b. Grandfather by Experience Category

Henceforth, eligible candidates are requested to submit the documents as per the prescribed format. DPs may refer CDSL <u>communiqué no. 4282</u> for further details.

## **NEW CDSL DP ADDED**

The following CDSL DP has been added in the month of February 2014:

DPID	DPNAME	CITY
12078200	VSE Stock Services Limited	Vadodara

## **CHANGE IN NAME OF CDSL DP**

DPs and Beneficial Owners (BOs) may note that following CDSL DP has changed its name:

DPID	OLD NAME	NEW NAME
12058900	Saffron Global	New Berry Capital Markets
		Private Limited

## WITHDRAWAL OF CDSL DP

DPs and BOs may note that following entities have ceased to be a DP of CDSL from the month of February 2014:

Sr. No.	DP ID	DP Name
1	12011400	SMK Shares and Stock Broking Private Limited
2	12078100	Indovision Securities Limited

## SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions at their convenience from home / office till the last day. At present, 263 companies have signed the agreement with CDSL for its e-Voting System of which 110 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 151 occasions. Shareholders of 4 companies took the opportunity to cast their votes on www.evotingindia.com in the month of January 2013.

Further, another 20 companies commenced e-Voting in the month of February 2014. To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, anandt@cdslindia.com or Mr. Mehboob Lakhani on 022-22725040, mehboobl@cdslindia.com.

## **INVESTOR AWARENESS PROGRAMS (IAPs)**

During February 2014, CDSL BOPF Trust has conducted 25 IAPs across India in association with BSE Investor Protection Fund. DPs such as ICICI Securities Ltd., HDFC Bank Ltd., HDFC Securities Ltd., Sharekhan Ltd., IL& FS Securities Ltd., Geojit BNP Paribas Financial Services Ltd., DBFS Securities Ltd. PCS Securities Ltd., Karvy Stock Broking Ltd., Guiness Securities Ltd., Kalpataru Multiplier Ltd., The Cosmos Co-operative Bank Ltd and Muthoot Securities Ltd. also participated in these IAPs.

Further, leading dailies such as Sakaal, Dainik Lokmat, Eenadu, Janmabhumi / Vyapaar, and Sandesh also contributed in making these IAPs a grand success. CDSL also conducted an IAP with Consumer Guidance Society, Vijaywada (a SEBI – recognized organization) on February 15, 2014 at Kakinada, Andhra Pradesh.

For details of forthcoming IAPs, kindly click here: Investor meet.



Mr. Prince George, MD & CEO, DBFS Securities Ltd, addressing the audience at an IAP conducted at Kochi, Kerala, jointly with CDSL BOPF and BSE Ltd. on 15.02.2014.



Mr. Krishnakumar Goyal, Chairman, The Cosmos Co-Operative Bank Ltd. addressing the audience at an IAP conducted at Pune, jointly with, CDSL BOPF and Maharashtra Chittapavan Sangh on 21.02.2014.

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Aaj Digital .... Toh Kal Befikar

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