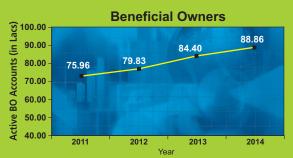


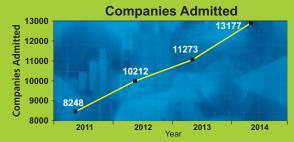
July 2014



During the last 12 months, the number of active BO accounts with CDSL has increased from 84.40 lakh as on June 30, 2013 to 88.86 lakh as on June 30, 2014, which indicates growth of 5.07%.



As on June 30, 2014 CDSL has 578 DPs offering DP services from over 11,176 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians and Clearing Houses/ Corporations.



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on June 30, 2014, the securities of 13,177 companies have been admitted for demat with CDSL.

DISPATCH OF PHYSICAL STATEMENTS TO BOS HAVING ZERO BALANCE AND NIL TRANSACTIONS

SEBI, vide its circular no. CIR/MRD/DP/ 22 /2012 dated August 27, 2012 had directed Depository Participants (DPs) to mandatorily send an annual physical statement of holdings to Beneficial Owners (BOs) having zero balance and nil transactions in their demat account. Based on representations from DPs and the Depositories, SEBI has amended the requirements of the above-mentioned circular which are as follows:

- DP shall send a physical statement to a BO whose demat account has zero balance and nil transactions for one year and inform the BO that the dispatch of the physical statement may be discontinued if the zero balance in the account is maintained even after one year.
- Accounts with zero balance and nil transactions during the year:
 DP shall send a physical statement to a BO whose account has
 zero balance and nil transactions for one year and inform the BO
 that if no Annual Maintenance Charge (AMC) is received by the
 DP, the dispatch of the physical statement may be discontinued for
 the account which continues to remain zero balance even after
 one year.
- DP shall send electronic statement of holding to all the BOs whose email ids are registered with them irrespective of the above conditions. BOs are therefore advised to update their e-mail ids in the demat account through their DP.

For further details kindly refer to CDSL communiqué CDSL/OPS/DP/POLCY/4546

DELIVERY INSTRUCTION SLIP (DIS) ISSUANCE AND TRANSACTION PROCESSING

SEBI had issued circular no. <u>CIR/MRD/DP/ 01/2014</u> dated January 7, 2014, to strengthen the supervisory and monitoring role of the depositories and its DPs with respect to issuance and processing of Delivery Instruction Slips (DIS).

In accordance with the above-mentioned circular, CDSL, vide communique no. CDSL/OPS/DP/POLCY/4488, has now laid down the operational guidelines to implement the necessary measures. DPs should comply with the guidelines and implement the necessary changes with respect to:

- Standardization of DIS
- Monitoring of DIS
- Issuance of DIS
- Issuance of loose DIS



CDSL e-Infoline

- Entry of lost / misplaced DIS serial numbers
- Transaction Processing
- Scanned images of DIS

Further, SEBI, vide its circular <u>CIR/MRD/DP/ 22 /2014</u> dated July 4, 2014, has extended the date for implementation of the above-mentioned circular to October 01, 2014.

PARTICIPATION OF FOREIGN PORTFOLIO INVESTORS (FPIs) IN THE CURRENCY DERIVATIVES SEGMENT

Foreign Portfolio Investors are individuals or institutions not resident in India and registered with SEBI as per the specified guidelines. As per SEBI circular no. CIR/MRD/DP/20/2014, dated June 20, 2014, FPIs who are eligible to invest in securities are now allowed to participate in the Currency Derivatives segment. For further details, kindly refer to communiqué no. CDSL/OPS/DP/POLCY/4524.

NSEL-REMITTANCE OF e-Gold AND e-Silver

National Spot Exchange Limited (NSEL) had issued a Circular no. NSEL/DP/2014/024 dated May 23, 2014 about the remittance of e-Gold and e-Silver. As per the circular, NSEL has directly remitted 89.7070 percentage at Rs. 2935.9925 per unit of e-Gold holdings and 70.4849 percent at Rs. 40.15796 per unit of e-Silver holdings. The total value of the amount issued is Rs.237 crores.

Further, NSEL has issued Circular no. NSEL/DP/2014/029 on June 30, 2014 wherein the remittance of Payment Tranche 2 (which is the final tranche) to unit holders holding free units of e-Gold has commenced at average rate of Rs. 2680.23326 per unit (i.e. per gram) for 10.2901 percent of holding. Hence, an amount of Rs. 275.79734 for every unit of e-Gold held is being released. With this, remittance of 100% e-Gold has been done.

The details of the remittance has been placed on NSEL's website, www.nationalspotexchange.com.

KNOW YOUR CLIENT (KYC) REQUIREMENTS FOR FOREIGN PORTFOLIO INVESTORS (FPIs)

SEBI, vide its circular no. CIR/IMD/FIIC/11/2014, dated June 16, 2014, has laid down the KYC requirements for FPIs. Designated Depository Participants (DDPs) are requested to strictly comply with the KYC requirements while opening FPI account. The KYC requirements are applicable for new as well as existing FPIs. For further details, kindly refer to CDSL communiqué number CDSL/OPS/DP/POLCY/4506.

INVESTOR AWARENESS PROGRAMS (IAPs)

During June 2014, CDSL BOPF Trust has conducted 18 IAPs across India in association with BSE Investor Protection Fund. DPs such as SBICAP Securities Ltd., HDFC Securities Limited, IL&FS Securities Services Ltd., Geojit BNP Paribas Financial Services Ltd., Anand Rathi Share & Stock Brokers Ltd., India Infoline Ltd., Karvy Stock Broking Ltd., Nirmal Bang Securities Limited., Sharekhan Limited., ASE Capital Market Ltd., also participated in these IAPs. Further, leading dailies such as Maharashtra Times, Janmabhoomi / Vyapaar, Sandesh, Goadoot etc. also contributed in making these IAPs a grand success. These programs were directed towards educating the investors about depository services, risk management and the Rajiv Gandhi Equity Savings Scheme (RGESS). For details of forthcoming IAPs, kindly visit www.cdslindia.com.



Mr. Ranjit Mishra, Zonal Head, HDFC Securities, addressing the audience at an IAP conducted at Tirupur, Tamil Nadu, jointly with CDSL BOPF and HDFC Securities Ltd. on 27.06.2014.



Mr. Yamal Vyas, Whole Time Member - Gujarat State Third Finance Commission, addressing the audience at an IAP conducted at Ahmedabad, Gujarat, jointly with CDSL BOPF, Ahmedabad Stock Exchange Ltd. and Sandesh daily on 09.06.2014.

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Editor: Mr. Yogesh Kundnani

