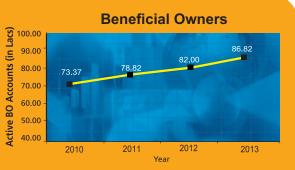
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Wishing You a Kappy & Prosperous New Year



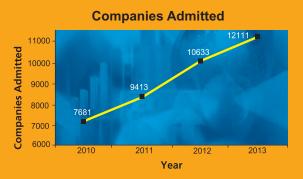
January 2014



During the last 12 months, the number of active BO accounts with CDSL has increased from 82.00 lakh as on December 31, 2012 to 86.82 lakh as on December 31, 2013, which indicates growth of 5.88 %.



As on December 31, 2013, CDSL has 580 DPs offering DP services from over 11,491 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians and Clearing Houses / Corporations.



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on December 31, 2013, the securities of 12,111 companies have been admitted for demat with CDSL.

SIMPLIFICATION OF DEMAT ACCOUNT OPENING PROCESS

With a view to simplify the demat account opening process, Securities and Exchange Board of India (SEBI), vide its <u>circular no. CIR/MIRSD/12/2013</u> dated December 4, 2013, has decided to replace the existing Depository Participant (DP) - Beneficial Owner (BO) Agreement with a common document of "Rights and Obligations" (R&O). This will help in reduction of signatures in the account opening form as well as reduce the cost as stamp duty is not required to be paid on the R&O document.

SEBI has given the format of the aforesaid R&O, which is mandatory and binding on all the existing and new clients and depository participants. The DP should provide a copy of R&O document to the BO and obtain acknowledgement of receipt. In case of new clients, the same should be implemented on immediate basis and DPs should ensure compliance within 3 months from the date of the above-mentioned SEBI circular. A detailed Q&A on the R&O document is available in <u>communiqué no. 4134</u>. All existing clients should be intimated about the modified provisions of the R&O document replacing the existing DP-BO agreement and record of such intimation sent should be maintained by the DP.

NOTIFICATION ON THE RAJIV GANDHI EQUITY SAVINGS SCHEME, 2013

The Rajiv Gandhi Equity Savings Scheme (RGESS) was framed consequent to the introduction of Section 80-CCG in the Finance Act 2012, with a view to encourage small investors to mobilise their savings into the capital market.

Under the RGESS a one-time deduction for income tax purposes is allowed to a 'new retail investor'. The deduction is now allowed for the actual amount invested in 'eligible securities', subject to maximum deduction limit of Rs. 50,000/-.

A notification, dated December 18, 2013, to this effect, has been issued by the Department of Revenue, Ministry of Finance, Government of India on the Rajiv Gandhi Equity Savings Scheme, 2013.

To view the notification, kindly refer CDSL communiqué no. 4145.

SHIFTING OF CDSL's HYDERABAD OFFICE

DPs and BOs may kindly note that CDSL's Hyderabad office has been shifted to following address w.e.f. December 18, 2013:



CDSL *e*-Infoline

Central Depository Services (India) Limited Flat No.302, Mari Gold Pavani Estate, Dwarakapuri Colony, Punjagutta, Hyderabad – 500 082, Andhra Pradesh. Tel: 040-30722562

CHANGE IN NAME OF DP

The following CDSL DP has changed its name:

DPID	OLD NAME	NEW NAME
42200	Rajvi Stock	Rajvi Stock Broking
	Broking Limited	Private Limited

SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions at their convenience from home / office till the last day. At present, 245 companies have signed the agreement with CDSL for its e-Voting System of which 95 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 125 occasions. Shareholders of 6 companies took the opportunity to cast their votes on www.evotingindia.com in the month of December 2013.

Further, another 10 companies commenced e-Voting in the month of December 2013. To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, anandt@cdslindia.com or Mr. Mehboob Lakhani on 022-22725040, mehboobl@cdslindia.com.

DISPATCH OF STATEMENTS

CDSL, based on requests from various Depository Participants (DPs), has started its new service for dispatch of transaction cum holding statements on behalf of DPs. The objective of providing this service is to ensure timely dispatch of statements to the beneficial owners (BOs). In order to register for this service, DPs need to enter into an agreement with CDSL. At present, over 160 DPs of CDSL have registered for this service. For further details refer communiqué no. 3582.

INVESTOR AWARENESS PROGRAMS (IAPs)

During December 2013, CDSL BOPF Trust has conducted 18 IAPs across India in association with BSE Investor Protection Fund. CDSL DPs such as ICICI Securities Limited, Bank of India, Sharekhan Ltd., Karvy Stock Broking Limited, IL&FS Securities Services Limited, Way2Wealth Brokers Private Limited, Sharewealth Securities Limited, Ambalal Shares and Stocks Private Limited, Abhyudaya Coop Bank Limited and The Cosmos Co-operative Bank Limited also participated in these IAPs. Further, leading dailies such as Sakaal, Sandesh & Janmabhumi Vyapar contributed in making these IAPs a grand success. CDSL also participated in investor education program conducted by the Consumers' Guidance Society, Vijayawada under the aegis of SEBI and conducted IAPs with the Institute of Chartered Accountants of India, Institute of Cost Accountants of India and Tamil Nadu Investors Association at Chennai. Further, CDSL also conducted an Executive Education program on 'Financial Markets Regulations for IAS Officers' at the BSE Training Institute.

These programs were directed towards educating the investors about depository services, risk management and the Rajiv Gandhi Equity Savings Scheme (RGESS). For details of forthcoming IAPs, kindly visit www.cdslindia.com/investor-meet.



Mr.Ravvi.G, Vice President – Sales, Ambalal Shares & Stocks Pvt.Ltd. addressing the audience at an IAP conducted by CDSL and Ambalal Shares & Stocks Pvt.Ltd. at S.R. College of Engineering, Tiruchengode, Namakkal District, Tamilnadu



Mr. Vijay Singh Bais, AGM – Bank of India, Mr. Anil Kumar, Chief Manager - Bank of India and Mr. Ajit Pawar, Regional Head, Asit C Mehta Investment Intermediates Ltd. (ACMIIL), addressing the audience at an IAP conducted at Bank of India, GandhiBaug branch, Nagpur, Maharashtra on 06.12.2013.



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