



# CDSL e-Infoline

April 2014

**Beneficial Owners**



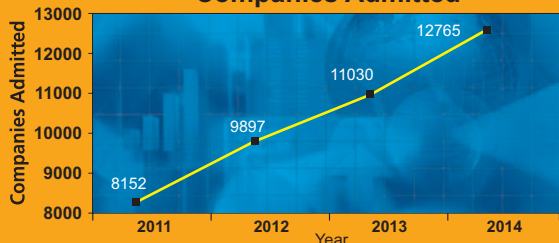
During the last 12 months, the number of active BO accounts with CDSL has increased to 87.77 lakh as on March 31, 2014 from 83.27 lakh as on March 31, 2013, which indicates a growth of 5.40%.

**Depository Participants**



As on March 31, 2014, CDSL has 578 DPs offering DP services from over 11,381 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians and Clearing Houses / Corporations.

**Companies Admitted**



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on March 31, 2014, the securities of 12,765 companies have been admitted for demat with CDSL.

## KYC STATUS THROUGH SMS

CDSL Ventures Limited (CVL) is pleased to announce a facility through which the KYC status of a client can be checked by an Intermediary using SMS. This will enable officials of Intermediaries to verify the KYC status of a client at the time of collecting the account opening documents.

The administrator of any Intermediary registered with CVL-KRA shall have the rights to register users with their mobile numbers to avail of this facility. The registered users can thereafter check or fetch the KYC details of a client as under:

**Check KYC Status:** A KYC status inquiry can be made for a particular PAN across all KYC Registration Agencies (KRAs) and the appropriate status shall be provided.

**Fetch/Download KYC details:** KYC details of PAN present only in CVL will be downloaded to the registered user.

The response for each SMS request shall be on real time basis. To view the SMS formats and to know more about this facility, kindly refer to CVL communiqué no. 86 available under the Downloads menu of [www.cvlkra.com](http://www.cvlkra.com).

## SEBI (KYC REGISTRATION AGENCY) (AMENDMENT) REGULATIONS, 2014

SEBI vide its notification no. LAD-NRO/GN/2013-14/46/522 dated March 13, 2014 has issued an amendment to the KYC (Know Your Client) Registration Agency (KRA) Regulations, 2014, as under:

- When the client approaches another intermediary to open an account, the intermediary should verify and download the client's details from the KRA system.
- In case there is any change in the KYC details or status of the client or upon knowledge of the same, at any stage, the intermediary shall be responsible for uploading the updated information in the KRA system and retaining physical documents related to such updation.

For more details, kindly refer CDSL [communiqué no. 4327](#) dated March 21, 2014.

## NOTIFICATION ON ELECTRONIC VOTING FOR CORPORATES

The Ministry of Corporate Affairs (MCA), Government of India, has issued a notification, dated 27.03.2014, regarding voting through electronic means for postal ballots and other meetings.

The notification provides that every listed company or a company having not less than one thousand shareholders shall provide to its members facility to exercise their right to vote at general meetings by electronic means.

A shareholder may exercise his right to vote at any general meeting by electronic means and company may pass any resolution by electronic voting system in accordance with the provisions of this rule. To view the notification kindly click here: [MCA Circular](#)

## SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions at their convenience from home/office till the last day. At present, 271 companies have signed the agreement with CDSL for its e-Voting System of which 114 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 158 occasions. Shareholders of 20 companies took the opportunity to cast their votes on [www.evotingindia.com](http://www.evotingindia.com) in the month of February 2014.

Further, another 6 companies commenced e-Voting in the month of March 2014. To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Mehboob Lakhani on 022-22725040, [mehboobl@cdslindia.com](mailto:mehboobl@cdslindia.com).

## NEW MARKET TYPE AND THE DEADLINE TIME FOR SUBMISSION OF INSTRUCTIONS

CDSL has created a new market type in National Securities Clearing Corporation Limited (NSCCL) for settlement of "Institutional Trading Platform in a SME Exchange (i.e. ITP FOR SME)" segment. The deadline time for submission of instructions through [Easiest](#) and CDSL system for the same are as follows:

Sr. No.	NSE Market Type Description	Corresponding CDSL Market Type	Pay-in Instruction Deadline Time	
			<a href="#">Easiest</a>	CDSL System
1	ITP For SME	31	10.00 am	10.50 am

Beneficial Owners (BOs) are requested to take note of the above and submit instructions within the specified timelines as prescribed by their Depository Participants (DPs).

## CHANGE IN NAME OF CDSL DPs

DPID	OLD NAME	NEW NAME
13042500	Development Credit Bank Limited	DCB Bank Limited
12048100	JRG Securities Limited	Inditrade Capital Limited

## WITHDRAWAL OF CDSL DPs

The following entities have ceased to be a DP of CDSL from March 2014:

Sr. No.	DP ID	DP NAME
1	13070700	Bhubaneswar Stock Exchange Limited
2	12059800	M. B. Parikh Finstocks Limited
3	12042700	Gogia Capital Services Limited

## INVESTOR AWARENESS PROGRAMS (IAPs)

During March 2014, CDSL BOPF Trust has conducted 34 IAPs across India in association with BSE Investor Protection Fund. DPs and CMS such as SBICAP Securities Ltd., HDFC Securities Ltd., ICICI Securities Ltd., Anand Rathi Shares & Stock Broking Ltd., Sharekhan Limited Kalpataru Multiplier Ltd., IL& FS Securities Services Ltd., RKSV Securities Pvt. Ltd., PCS Securities Ltd., Kavya Stock Broking Ltd., Steel City Securities Ltd., Sharewealth Securities Ltd., Network Stock Broking Ltd., and Kunvarji Finstock Pvt. Ltd. also participated in these IAPs.

Further, leading dailies such as Loksatta, Eenadu, Sandesh, Janmabhumi / Vyapaar, Prajavani etc. also contributed in making these IAPs a grand success. These programs were directed towards educating the investors about depository services, risk management and the Rajiv Gandhi Equity Savings Scheme (RGESS). For details of forthcoming IAPs, please click : [Investor meet](#)



**Mr. Sudhendoo Gandhi**, Head - Operations, Kavya Stock Broking Limited addressing the audience at an IAP conducted at Visakhapatnam, Andhra Pradesh, jointly with CDSL BOPF and Eenadu on 22.03.2014.



**Mr. Vinod Sharma** – Business Head Private Broking & Wealth Management, HDFC Securities & **Mr. Khalid Siddiqui** – Deputy Vice President, HDFC Securities, addressing the audience at an IAP conducted at Mumbai, Maharashtra, jointly with CDSL BOPF and Loksatta on 25.03.2014.



**Mr. Govindaraj** – CEO, Muthoottu Mini Financiers Pvt. Ltd. addressing investors at an IAP held in Trivandrum, Kerala on March 15, 2014 association with CDSL BOPF, NSE, DBFS Securities Limited and Muthoottu Mini Financiers Pvt. Ltd.



# Now! Make Every Vote Count

Introducing

## e-Voting

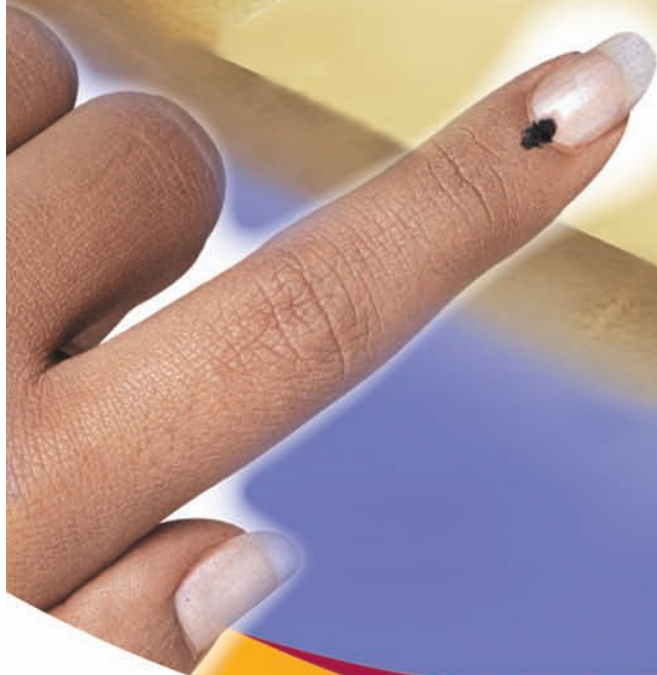
Empowering Investors

### Features:

- Web based facility enables you to cast your vote anytime - anywhere.
- Vote on resolutions of all companies held in the demat account with the same login details.
- Ease of voting by providing ample time to vote till the last minute.
- Accurate count of votes by eliminating the occurrence of invalid votes.
- Declaration of Voting Results Immediately.

**Over 270 companies have registered for CDSL's e-Voting facility. Have You?**

To join, please contact our e-Voting team on (022) 2272 8153 / 5040,  
or email: [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com)



**Central Depository Services (India) Limited**

Convenient ♦ Dependable ♦ Secure

[www.evotingindia.com](http://www.evotingindia.com)

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Secure

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