



# CDSL e-Infoline

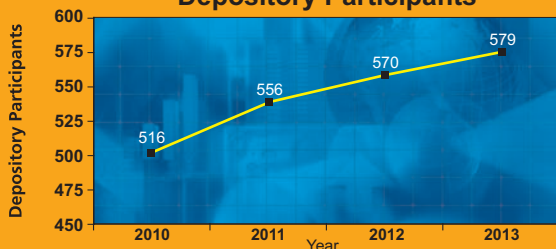
September 2013

**Beneficial Owners**



During the last 12 months, the number of active BO accounts with CDSL has increased from 80.28 lakh as on August 31, 2012 to 85.17 lakh as on August 31, 2013, which indicates growth of 6.09%.

**Depository Participants**



As on August 31, 2013, CDSL has 579 DPs offering DP services from over 11,564 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians, Clearing Houses/Corporations and Regional Stock Exchanges.

**Companies Admitted**



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on August 31, 2013, the securities of 11,592 companies have been admitted for demat with CDSL.

## CENTRAL INSURANCE REPOSITORY LIMITED (CIRL) RECEIVES INSURANCE REPOSITORY (IR) LICENSE

CIRL has received its insurance repository license from IRDA on July 31, 2013.

CIRL is an IR set up under the Guidelines on Insurance Repositories and electronic issuance of insurance policies. It is promoted by CDSL, CVL along with leading insurance companies like Life Insurance Corporation of India, United India Insurance Co. Ltd., ICICI Prudential Life Insurance Company Ltd., HDFC Standard Life Insurance Company Ltd., Bajaj Allianz Life Insurance Company Ltd., Birla Sun Life Insurance Company Ltd., Shriram Life Insurance Company Ltd. and India First Life Insurance Company Ltd. CIRL's objective is to increase efficiency, transparency and reduce costs by holding and maintaining insurance policies in the electronic form. CIRL facilitates opening of an e-Insurance account (eIA) for holding insurance policies in the electronic form. By opening an eIA with CIRL, you can get credit of your insurance policy in your account. Further, existing insurance policies issued by insurance companies registered with CIRL can be converted into electronic mode by submitting a request for conversion along with the insurance policy.

The salient features of CIRL's eIA are as follows:

- Facilitates one time KYC at the time of opening your eIA. You can, thereafter, quote your eIA number when you decide to buy a new policy and there is no need of submitting any KYC documents.
- Facility to convert existing policies into electronic form and to receive credits of new policies directly into the eIA. You can hold all insurance policies issued by different insurance companies in your eIA. Thus, enabling you to monitor your policies through CIRL's website [www.cirl.co.in](http://www.cirl.co.in).
- All policy credits in the eIA are in the electronic form and hence there is no fear of loss of policy documents.
- An eIA holder can appoint Authorised Representative who shall assist the nominee/s to get the benefits after the eIA holder's demise.
- You can pay premium for all your Insurance policies online and even log in service requests and complaints, if you have any. Service requests can also be submitted to any Approved Person (AP) of CIRL and there is no need to approach each insurance company individually.
- Currently, You can open only one eIA across all Insurance Repositories. CIRL is promoted by leading Insurance Companies, which are in the process of registering with CIRL. This will enable you to hold all your Insurance Policies in your CIRL account.
- In case of the unfortunate demise of the eIA holder, the annual statement from CIRL will assist the survivors to lodge claims.
- No charges to be levied for opening and servicing eIA

You may approach any of CIRL's APs to open an e-insurance account and empower yourself for holding and monitoring your insurance policies in the electronic form.

### DPs ACTIVATED DURING JULY-AUGUST 2013

DPID	DP Name	Creation Date
77100	RAJENDRA SHARE BROKING PRIVATE LIMITED	19-08-2013
77200	UPSE SECURITIES LIMITED	20-08-2013
77300	ISE SECURITIES AND SERVICES LIMITED	28-08-2013

## TRANSACTIONS USING SECURED TEXTING (TRUST) - PAY-IN THROUGH SMS

Beneficial Owners (BOs) have the option to give pay-in instructions by the way of Delivery Instruction Slip (DIS) or by using power of attorney given to broker or by using CDSL's internet based facility 'easiest'. CDSL is pleased to introduce an additional facility called 'TRUST' (TRAnsaCTIONS Using Secured Texting), for submitting pay-in instructions using SMS.

By using TRUST, BOs can send a confirmation by SMS to execute payin instruction from their demat account. For further details, refer communique no. [3804](#) dated 13th July 2013 and communique no. [3842](#) dated 5th August 2013.

### FEATURES:

- Clearing Members can execute pay-in transactions for their BOs in the CDSL system based on receipt of confirmation from the BO vide SMS.
- On giving confirmation through TRUST, BOs need not submit a DIS to their Depository Participant (DP) for market related transactions.
- BOs may continue to have POA registered in addition to getting registered for TRUST.

### PRE REQUISITES:

- BOs need to register for TRUST facility. This can be availed at the time of account opening or subsequently by submitting TRUST registration form to their DP.
- Currently, this service is being enabled only on mobile phones with Android Operating System. Further, it will be rolled out in phases for Blackberry, Apple and Java enabled mobile phones.
- The mobile number registered for SMS Alerts (SMART) and TRUST should be same.
- BOs have to register the eligible Clearing Members (CMs) (in whose favour the BO would be delivering securities from his demat account) by providing CM Name and Stock Exchange details at the time of TRUST registration or at any later date.

### PROCESS:

- DPs may register their BOs for TRUST using BO module under SMS Registration.
- After successful registration of a BO, a responsive text message will be sent to the BO on the registered mobile number requesting to download the TRUST Application on the mobile.
- On receipt of positive confirmation from the BO through SMS, the TRUST registration process will be completed and the BO will receive a final confirmation about the successful registration through an informative SMS.

In case of registration of CM for TRUST, an informative message will be sent to the BO. Thereafter, BO can use this facility for giving confirmation through Trust for transactions executed by him through his CM.

## SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System aims to reduce the administrative and storage costs associated with Postal Ballots as well as AGMs / EGMs while facilitating immediate declaration of results after the close of the voting. Additionally, investors can cast their votes at their convenience from home / office till the last day.

At present, 208 companies have signed the agreement with CDSL for its e-Voting System of which 74 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 104 occasions. Shareholders of Edelweiss Financial Services Limited, Forbes & Company Limited, Wadala Commodities Limited, Forbes & Company Limited and Shree Ganesh Jewellery House (I) Limited took the opportunity to cast their electronic votes on [www.evotingindia.com](http://www.evotingindia.com) in the month of August 2013.

Further, Bharti Airtel Limited, Raymonds Limited, KPIT Cummins Infosystems Limited, Mangalam Cement Limited, Infotech Enterprises Limited, Tulip Telecom Limited, Hindustan Motors Limited, Timbor Home Limited, Swan Energy Limited, Prime Focus Limited, Warren Tea Limited, Tamil Nadu Newsprint and Papers Limited, Hindusthan National Glass and Industries Limited and Omaxe Limited commenced e-Voting in the month of August 2013.

To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Huzaifa Topiwala on 022-2272 8634, [huzaifa@cdslindia.com](mailto:huzaifa@cdslindia.com).

## GREEN INITIATIVE FOR CORPORATES

CDSL's service 'Go Green' offers corporate entities to convey all communications to their shareholders through e-mail. Go Green aims to use 'greener' electronic practices of communication over the conventional printing and posting physical mail.

Companies can use this inexpensive and efficient service for sending any communication to their shareholders, which would result in reduced costs and contribute to a greener environment.

To register your company for Go Green, please contact Mr. Anand Tirodkar on 022-22728153, [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Huzaifa Topiwala on 022-2272 8634, [huzaifa@cdslindia.com](mailto:huzaifa@cdslindia.com).

## DISPATCH OF STATEMENTS

CDSL, based on requests from various Depository Participants (DPs), has started its new service for dispatch of transaction cum holding statements on behalf of DPs. The objective of providing this service is to ensure timely dispatch of statements to the beneficial owners (BOs).

In order to register for this service, DPs need to enter into an agreement with CDSL. Currently, over 140 DPs of CDSL have registered for this service. For further details refer communiqué no. [3582](#).

## STOCK CLINIC

CDSL regularly conducts programs specially designed for employees and customers of Bank DPs. These programs are arranged at a branch location where customers can be invited for awareness sessions on capital markets. In July-August 2013, CDSL has conducted 17 such programs across India for Bank of India and The Cosmos Co-operative Bank Ltd.



**Mr. Jasdeep Singh Kohli**, Chief Manager, Syndicate Bank, addressing customers and the bank staff at a stock clinic conducted in association with Asit C Mehta Investment Intermediates Ltd. at the Syndicate Bank, Matunga branch in Mumbai on 11.07.2013.

## NEW MARKET TYPE AND DEADLINE TIME FOR SUBMISSION OF INSTRUCTIONS – NSE

CDSL has created a new market type in its system for settlement of trades on NSE's debt segment.

SR. NO.	EXCHANGE	MARKET TYPE DESCRIPTION	CORRESPONDING CDSL MARKET TYPE	PAY-IN INSTRUCTION DEADLINE TIME	
				EASIEST	CDSL SYSTEM
1	NSE	Debt Segment (T+0)	47	14.45 PM	15.30 PM

Dps may refer to circulars issued by NSCCL to understand the procedure to be followed at NSE for settlement of debt segment.

## WITHDRAWAL OF CDSL DP

DPs and BOs may note that the following DPs have ceased to be a DPs of CDSL.

DPID	DPNAME	CITY/LOCATION
12019000	MLR Securities Private Limited	Mumbai
12034700	PUG securities private limited	New Delhi

## INVESTOR AWARENESS PROGRAMS (IAPs)

During July-August 2013, CDSL BOPF Trust has conducted 22 IAPs across India in association with BSE Investor Protection Fund. CDSL DPs such as HDFC Securities Ltd., Bank of India, ICICI Securities Ltd., Karvy Stock Broking Ltd., Abhudaya Co-operative Bank Ltd., Geojit BNP Paribas Financial Services Ltd., ATS Share Brokers Pvt. Ltd., Sharewealth Securities Ltd. and Capstocks and Securities (India) Pvt. Ltd. participated in these IAPs.

Leading dailies such as Eenadu, Sandesh, Prabhat and Lokprabha contributed in making these IAPs a grand success. These programs were directed towards educating the investors about depository service, risk management and the Rajiv Gandhi Equity Savings Scheme (RGESS). For details of forthcoming IAPs, please click: [Investor meet](#).

CDSL also conducted IAPs with Indo American Society at Mumbai and also participated in "Investor Awareness & Empowerment Workshop" organized by the Consumers' Guidance Society, Vijayawada under the aegis of Securities and Exchange Board of India [SEBI], at Guntur, Andhra Pradesh.



**Mr. Suresh NM**, Branch head, Sharewealth Securities Ltd. addressing investors at an IAP conducted jointly by CDSL and officials of at Tripiyar, Trissur District, Kerala on 17.08.2013.

## CVL NEWS

### ALL INDIA SEMINARS ON KRA INTEROPERABILITY AND OTHER COMPLIANCE

With a view to keep intermediaries updated about new developments with regards to KRA interoperability, CVL KRA, in association with BSE Brokers forum conducted 3 Seminars at Ahmedabad, Kolkata and Chennai in the month of August 2013. Similar such seminar will be conducted at Delhi on 13th September 2013. The presentation by the KRAs followed by a question-answer session wherein KYC related queries were resolved. For more details, kindly refer CDSL communiqué no. [3856](#).



Seminar on KRA Interoperability conducted by CVL-KRA at Kolkata and Ahmedabad.



**CDSL**  
 Welcome to the world of Depository services

CDSL facilitates holding and transacting in securities in the electronic form and facilitates settlement of trades on stock exchanges. In addition, CDSL offers the following value added services that are intelligent, safe and responsive to your needs.



Online access to your Demat Account anytime - anywhere



Submit your delivery instructions on the internet



Receive SMS alerts relating to debit and credit transactions



Now! cast your vote on company resolutions, online

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