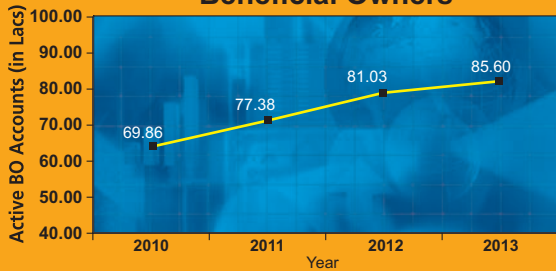




CDSL e-Infoline

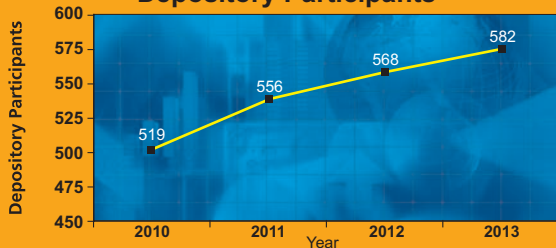
October 2013

Beneficial Owners



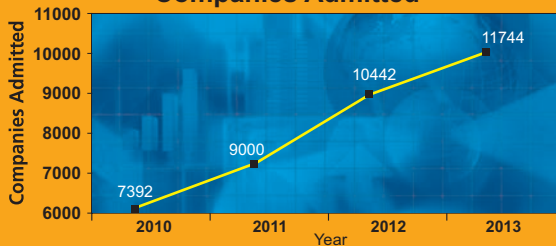
During the last 12 months, the number of active BO accounts with CDSL has increased from 81.03 lakh as on September 29, 2012 to 85.60 lakh as on September 30, 2013, which indicates growth of 5.63%.

Depository Participants



As on September 30, 2013, CDSL has 582 DPs offering DP services from over 11,573 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians, Clearing Houses/Corporations and Regional Stock Exchanges.

Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on September 30, 2013, the securities of 11,744 companies have been admitted for demat with CDSL.

FINANCE MINISTER UNVEILS INDIA'S INSURANCE REPOSITORY SYSTEM

Union finance minister Shri. P Chidambaram launched the Insurance Repository System (IRS) on September 16, 2013 at Hyderabad. IRS will provide policyholders an online access to their policies on opening an electronic insurance account (eIA). There will be no charges levied on the policyholder for opening and maintaining an eIA. IRDA Chairman, Shri. TS Vijayan was also present at the inauguration ceremony.

Central Insurance Repository Limited (CIRL) is an IR set up under the guidelines on Insurance Repositories and Electronic Issuance of Insurance Policies and had received its IR license from IRDA on 31st July 2013. It is promoted by CDSL, CVL along with leading insurance companies like LIC, United India, ICICI Prudential, HDFC Standard Life, Bajaj Allianz, Birla Sun Life, Shriram Life and India First. CIRL facilitates opening of an e-Insurance account (eIA) for holding insurance policies in the electronic form.



Shri. P. Chidambaram felicitating CIRL eIA holder Shri. Sunil Reddy (extreme right) with an e-insurance account opening kit in the presence of Shri. TS Vijayan, IRDA chairman (second from left) and Shri P.S. Reddy (extreme left), MD&CEO, CDSL

The salient features of CIRL's eIA are as follows:

- Facilitates one time KYC at the time of opening your eIA. You can, thereafter, quote your eIA number when you decide to buy a new policy and there is no need of submitting any KYC documents.
- Facility to convert existing policies into electronic form and to receive credits of new policies directly into the eIA. You can hold all insurance policies issued by different insurance companies in your eIA. Thus, enabling you to monitor your policies through CIRL's website www.cirl.co.in.
- All policy credits in the eIA are in the electronic form and hence there is no fear of loss of policy documents.

- An eIA holder can appoint Authorised Representative who shall assist the nominee/s to get the benefits after the eIA holder's demise.
- You can pay premium for all your Insurance policies online and even log in service requests and complaints, if any. Service requests can also be submitted to any Approved Person (AP) of CIRL and there is no need to approach each insurance company individually.
- In case of the unfortunate demise of the eIA holder, the annual statement from CIRL will assist the survivors to lodge claims.
- No charges levied for opening and servicing eIA by CIRL.

INAUGURATION OF CDSL'S "TRUST" FACILITY

Shri Rajeev Kumar Agarwal, Whole-Time-Member (WTM), SEBI inaugurated CDSL's "TRUST" – (Transactions Using Secured Texting) facility at a function held at the BSE International Convention Hall on Wednesday, September 25, 2013 in the presence of Shri P.K. Bindlish, CGM, SEBI, Shri. Ashish kumar Chauhan, MD & CEO, BSE Ltd, Shri. P.S. Reddy, MD&CEO, CDSL and Shri. Cyrus Khambata, EVP, CDSL.

On this occasion Shri. Agarwal said "The TRUST facility is another step in the direction of promoting investor protection as it will rule out the possibility of any misuse of the delivery instructions. It will also help in reducing cost to the investor as he need not visit the DP office to obtain and lodge delivery instruction slips. The new system enables the investor to confirm necessary instructions for delivery from his demat account, from anywhere in the world."

CDSL's TRUST facility provides an alternative channel to demat account holders to submit delivery instructions, for trades done on a stock exchange. This obviates the need of the investor visit the DP to submit delivery instructions done on a stock exchange, thus resulting in saving on cost and time for the DP and investors. By using TRUST facility, BOs can send a confirmation by SMS to execute payin instruction from their demat account.



Shri. Rajeev Agarwal, Whole-Time-Member (WTM), SEBI (extreme right) felicitating Shri. Animesh Jain (extreme left) with a Certificate of Appreciation for being the first investor to use CDSL's TRUST facility.

NISM DEPOSITORY OPERATIONS CPE PROGRAM

CDSL is pleased to announce that it has been approved as an NISM Accredited CPE Provider for conducting the Depository Operations Program. The schedule of CDSL's CPE programs to be conducted across India will be declared, shortly.

The eligibility criteria for NISM Depository Operations CPE Program are as follows:

General category

Any person holding any of the following five certificates:

- (a) Examination/CPE Certificate for NISM-Series-VI: Depository Operations Certification Examination
- (b) Certification in Financial Markets (NSDL – Depository Operations Module) of the National Stock Exchange of India Limited
- (c) Certification in Depository Operations (NCDO) of the National Securities Depository Limited
- (d) Certification in Financial Markets (BSE's Certification on Central Depository) of the Bombay Stock Exchange Limited
- (e) Certificate on completion of CDSL's DP Training Program on Central Depository Services (India) Limited and the validity of which has not expired may appear for NISM's CPE Program for Depository Operations, on submission of the required documents (as mentioned in (B)).

Note: Candidates with any of the above mentioned certificates and the validity of which has not expired can appear for NISM's CPE Program for Depository Operations not before twelve months prior to expiry of the said certificate.

Principal category

Any person who is actively engaged in the management of the intermediary's securities business including supervision, solicitation, conduct of business, and includes: a) Sole Proprietors, b) Managing Partners and c) Whole Time Directors, can be classified under the Principal category.

Grandfathered by age category:

Any person who has completed the age of 50 years as on March 29, 2011 may appear for NISM's CPE Program for Depository Operations on submission of the prescribed documents.

Grandfathered by experience category:

Any person who has actively engaged or employed by a registered Depository Participant in any of the below mentioned activities:

- (a) dealing or interacting with clients
- (b) dealing with securities of clients
- (c) handling redressal of investor grievances
- (d) internal control or risk management
- (e) activities having a bearing on operational risk
- (f) maintenance of books and records pertaining to the above activities

for 10 years or more, as on March 29, 2011 may appear for the CPE Program for Depository Operations under the Grandfather Type II category, on submission of required documents.

In order to enroll for CPE Program for Depository Operations provided by CDSL, kindly contact Ms. Vinaya Kunder/Ms. Prajakta Ghugal/Ms. Harshada Deshpande on 022-2272 8667/8613/8434.

CHANGE IN NAME IN THE BENEFICIAL OWNER ACCOUNT FOR NON-INDIVIDUALS

CDSL is pleased to extend the name change facility for non-individual BO accounts e.g. Corporate, FII, CM etc. at the DP's end. CDSL, had earlier allowed name change in the demat

account of individual BOs. In order to effect the name change, BOs should submit the account modification form duly signed by account holder(s), along with self attested copies of supporting documents to the DP.

DPs shall maintain the abovementioned documents for their records and should make the same available for inspection. As this facility has been made available at DP's end, CDSL has discontinued the earlier process of updating name change of non individual accounts at its end.

For more details and list of category wise documents required for effecting name change, kindly refer CDSL [communiqué no. 3915](#).

DPs ACTIVATED DURING SEPTEMBER 2013

DPID	DP Name	Creation Date
77400	Abira Securities Limited	04-09-2013
77500	ASE Capital Markets Limited	12-09-2013
77600	MSE Financial Services Limited	20-09-2013
77700	F6 Finserve Private Limited	26-09-2013
77800	Badjate Stock and Shares Private Limited	26-09-2013

SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System aims to reduce the administrative and storage costs associated with Postal Ballots as well as AGMs/EGMs while facilitating immediate declaration of results after the close of the voting. Additionally, investors can cast their votes at their convenience from home/office till the last day.

At present, **219 companies** have signed the agreement with CDSL for its e-Voting System of which **80 companies** have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on **104 occasions**. Shareholders of Bharti Airtel Limited, Raymonds Limited, KPIT Cummins Infosystems Limited, Mangalam Cement Limited, Infotech Enterprises Limited, Tulip Telecom Limited, Hindustan Motors Limited, Timbor Home Limited, Swan Energy Limited, Prime Focus Limited, Warren Tea Limited, Tamil Nadu Newsprint and Papers Limited, Hindusthan National Glass and Industries Limited and Omaxe Limited took the opportunity to cast their votes using www.evotingindia.com in the month of September 2013.

Further, Godrej Industries Limited, Jaiprakash Associates Limited, Development Credit Bank Limited, Karur Vysya Bank Limited, KEC International Limited, Clariant Chemicals (India) Limited, Shilpi Cable Technologies Limited and Kolte-Patil Developers Limited commenced e-Voting in the month of September 2013.

To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, anandt@cdslindia.com or Mr. Huzaifa Topiwala on 022-2272 8634, huzaifa@cdslindia.com.

CVL-KRA – HELP DESK CONTACT DETAILS

With a view to improve the accessibility of the CVL helpdesk, CVL has introduced a common helpline no. **022-6121 6969**. The other operational numbers will be gradually phased out and the afore-mentioned number will be the single dial-in access to the CVL-KRA's helpdesk.

Any queries or suggestions from Intermediaries may be e-mailed to cvlhelpdesk@cdslindia.com.

INVESTOR AWARENESS PROGRAMS (IAPs)

During September 2013, CDSL BOPF Trust has conducted 11 IAPs across India in association with BSE Investor Protection Fund. CDSL DPs such as ICICI Securities Ltd., Motilal Oswal Securities Ltd., Geojit BNP Paribas Financial Services Ltd., Muthoot Securities Ltd., Hedge Equities Ltd., Nirman Share Brokers Pvt. Ltd. and ATS Share Brokers Pvt. Ltd. also participated in these IAPs. Further, leading dailies such as Eenadu and Prabhat contributed in making these IAPs a grand success. These programs were directed towards educating the investors about depository service, risk management and the Rajiv Gandhi Equity Savings Scheme (RGESS). For details of forthcoming IAPs, please click : [Investor meet](#)



Mr. Suvajit Ray – Regional Head-Business Partners, ICICI Securities Ltd. addressing the audience of Prabhat Mahila Manch at an IAP organised by CDSL BOPF, Prabhat daily and ICICI securities at Pune on 21.09.2013.



Mr. Gaurang Shah – Vice President, Geojit BNP Paribas Financial Services Ltd. addressing the audience at an IAP organised by CDSL BOPF and Geojit BNP Paribas Financial Services Ltd. at Goa on 19.09.2013.

Now! Use your mobile to submit debit instructions for Stock Market trades



Introducing TRUST
(**TR**ansactions **U**sing **S**ecured **T**exting)

To know more, contact your DP today

Secure
Dependable
Convenient