



CDSL e-Infoline

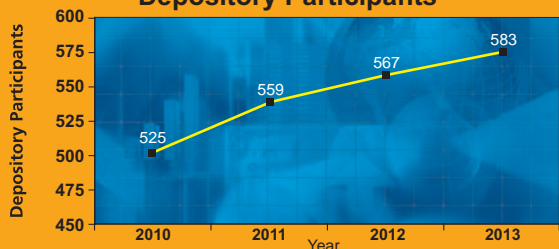
November 2013

Beneficial Owners



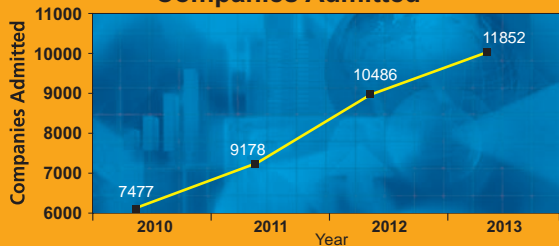
During the last 12 months, the number of active BO accounts with CDSL has increased from 81.24 lakh as on October 31, 2012 to 86.07 lakh as on October 31, 2013, which indicates growth of 5.95%.

Depository Participants



As on October 31, 2013, CDSL has 583 DPs offering DP services from over 11,555 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians and Clearing Houses/Corporations.

Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on October 31, 2013, the securities of 11,852 companies have been admitted for demat with CDSL.

NISM DEPOSITORY OPERATIONS CPE PROGRAM

CDSL is pleased to announce that it has been approved as an NISM Accredited CPE Provider for conducting the Depository Operations Program. The schedule of CDSL's CPE programs to be conducted across India is available on www.cdslindia.com

The eligibility criteria for NISM CPE Depository Operations Program are as follows:

General category

Any person holding any of the following five certificates:

- Examination / CPE Certificate for NISM-Series-VI: Depository Operations Certification Examination
- Certification in Financial Markets (NSDL - Depository Operations Module) of NSE
- Certification in Depository Operations (NCDO) of NSDL
- Certification in Financial Markets (BSE's Certification on Central Depository) of BSE Ltd.
- Certificate on completion of CDSL's DP Training Program and the validity of which has not expired may appear for NISM's CPE Program for Depository Operations, on submission of the required documents.

Note: Candidates with any of the above mentioned certificates and the validity of which has not expired can appear for NISM's CPE Program for Depository Operations not before twelve months prior to expiry of the said certificate.

Principal category

Any person who is actively engaged in the management of the intermediary's securities business including supervision, solicitation, conduct of business, and includes: a) Sole Proprietors, b) Managing Partners and c) Whole Time Directors, can be classified under the Principal category.

Grandfathered by age category:

Any person who has completed the age of 50 years as on March 29, 2011 may appear for NISM's CPE Program for Depository Operations on submission of the prescribed documents.

Grandfathered by experience category:

Any person who has actively engaged or employed by a registered Depository Participant in any of the below mentioned activities:

- dealing or interacting with clients
- dealing with securities of clients
- handling redressal of investor grievances
- internal control or risk management
- activities having a bearing on operational risk
- maintenance of books and records pertaining to the above activities

for 10 years or more, as on March 29, 2011 may appear for the CPE Program for Depository Operations under the Grandfather Type II category, on submission of required documents.

In the month of October 2013, CDSL conducted 10 CPE training programs at various cities across India - Mumbai, Bengaluru, Kolkata, Jaipur, New Delhi, Chennai, Ludhiana, Pune, Ahmedabad and Kochi.

In order to enroll for CPE Program for Depository Operations provided by CDSL, kindly contact Ms. Vinaya Kunder / Ms. Prajakta Ghugal / Ms. Harshada Deshpande on 022-2272 8667 / 8613 / 8434.

SEBI ACCEPTS e-KYC SERVICE LAUNCHED BY UIDAI

SEBI vide its circular no. [CIR/MIRSD/09/2013](#) dated October 8, 2013 has decided to accept e-KYC service launched by UIDAI also, as a valid process for KYC verification in the capital market. Thus, relevant client details and photograph made available by UIDAI's e-KYC process shall be treated as sufficient proof of Identity and Address of the client. To avail this facility, the client should allow the intermediaries to access his/her data through the UIDAI system.

SEBI vide its circular no. [CIR/MIRSD/09/2012](#) dated August 13, 2012, had earlier allowed Aadhaar Letter issued by UIDAI to be admissible as Proof of Address in addition to it being recognized as Proof of Identity.

WITHDRAWAL OF DEPOSITORY PARTICIPANT OF CDSL

DPs and BOs are advised to note that below-mentioned DPs have ceased to be Depository Participant of CDSL.

DP ID	DEPOSITORY PARTICIPANT
12065700	Equiquest Brokerage House Pvt. Limited
12055000	SAL Securities Private Limited
12061000	Fullerton Securities & Wealth Advisors Limited

BOs holding accounts with the aforementioned DPs are advised to take necessary steps to transfer their securities to another account with a DP of their choice.

SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions at their convenience from home / office till the last day.

At present, 229 companies have signed the agreement with CDSL for its e-Voting System of which 84 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 106 occasions. Shareholders of Godrej Properties Limited, Development Credit Bank Limited, Karur Vysya Bank Limited, Jaiprakash Associates Limited, ARI Consolidated Investments Limited, KEC International Limited, Kolte-Patil Developers Limited and Shilpi Cable Technologies Limited took the opportunity to cast their electronic votes on [www.evotingindia.com](#) in the month of October 2013.

Further, Zee Media Corporation Limited, Ambuja Cements Limited, Geometric Limited, Ester Industries Limited and Tamil Nadu Newsprint and Papers Limited commenced e-Voting in the month of October 2013.

To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, anandt@cdslindia.com or Mr. Huzaifa Topiwala on 022-2272 8634, huzaifa@cdslindia.com.

CVL-KRA - HELP DESK CONTACT DETAILS

With a view to improve the accessibility of the CVL helpdesk, CVL has introduced a common helpline no. 022-6121 6969. The other operational numbers will be gradually phased out and the afore-mentioned number will be the single dial-in access to the CVL-KRA's helpdesk.

Any queries or suggestions from Intermediaries may be e-mailed to cvlhelpdesk@cdslindia.com

INVESTOR AWARENESS PROGRAMS (IAPs)

During October 2013, CDSL BOPF Trust has conducted 10 IAPs across India in association with BSE Investor Protection Fund. CDSL DPs such as ICICI Securities Ltd., Motilal Oswal Securities Ltd., Geojit BNP Paribas Financial Services Ltd., Muthoot Securities Ltd., Karnataka Bank Ltd., Saraswat Co-Op. Bank Ltd., Hedge Equities Ltd., Nirman Share Brokers Pvt. Ltd. and ATS Share Brokers Pvt. Ltd. also participated in these IAPs. Further, leading dailies and magazines such as Eenadu, Nanayam Viketan and Prabhat contributed in making these IAPs a grand success. These programs were directed towards educating the investors about depository service, risk management and the Rajiv Gandhi Equity Savings Scheme (RGESS). For details of forthcoming IAPs, please click: [Investor meet](#)



Mr. Amit Mudliar, Branch Head, HDFC Securities Ltd. addressing the audience of Prabhat Mahila Manch at an IAP organised by CDSL BOPF and HDFC Securities Ltd. at Pune on 19.10.2013.



Mr. Chandrakant, Senior Channel Manager of ICICI Securities Ltd., addressing the audience at an IAP organised by CDSL BOPF and ICICI Securities Ltd. at Tirunelveli, Tamil Nadu on 30.10.2013.

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17th Floor, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001.

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Secure



Dependable



Convenient