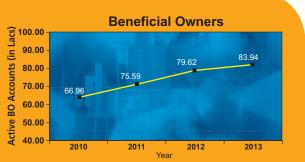
### For Private Circulation Only



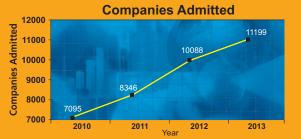
### June 2013



During the last 12 months, the number of active BO accounts with CDSL has increased from 79.62 lakh as on May 30, 2012 to 83.94 lakh as on May 31, 2013, which indicates growth of 5.43%.



As on May 31, 2013, CDSL has 576 DPs offering DP services from over 12,450 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians, Clearing Houses/ Corporations and Regional Stock Exchanges.



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on May 31, 2013, the securities of 11,199 companies have been admitted for demat with CDSL.

#### CHANGE OF NAME EFFECTED BY DPs

DPs are advised to note the change in name of the following DP:

| DPID  | OLD NAME                                 | NEW NAME                            |
|-------|--|-------------------------------------|
| 33300 | JM Financial Services<br>Private Limited | JM Financial<br>Services Limited    |
| 65100 | Future Capital<br>Securities Limited     | Capital First<br>Securities Limited |

#### **UID DETAILS IN CDSL SYSTEM**

CDSL is pleased to inform its Depository Participants (DPs) and Beneficial Owners (BOs) that it has made a provision to capture UID details of individual account holder(s) in the CDSL system. This facility has been released on May 31, 2013 along with increase in width of BO sub-status field from 2 digits to 4 digits.

For more details and file formats, kindly refer CDSL communiqué no. <u>3584</u> and <u>3688</u>

#### **PROVISION OF ONLINE/SCHEDULED DP89 REPORT**

As informed to the DPs wide communiqué no. <u>3671</u>, the facility of auto generation of probable shortage report (DP89) at specific intervals has been discontinued w.e.f. May 10, 2013 EOD. Further, DPs can now generate an online or scheduled DP89 report to monitor settlement shortages on BSE, NSE and MCX-SX as per their choice. DPs have also been informed to advise their Clearing Members (CMs) to register for scheduled DP89 report through their easi / easiest login.

## INCLUSION OF REGISTRATION / RENEWAL FEES FOR E-TOKEN (DIGITAL SIGNATURE) IN THE MONTHLY BILL OF THE DP

Users registered for CDSL's internet based facility, easiest, can submit their transactions online through their easiest login. In order to transfer securities from one account to another account, users registered under easiest's account of choice facility, require Digital Signature Certificate (DSC) issued by any of RAs (Registering Authority) of Tata Consultancy Services (TCS). CDSL is also one of the RAs of TCS. As requested by DPs, CDSL has decided to include charges towards issue of fresh and/ or renewal of DSC issued by CDSL-RA for the DP or their BOs in the monthly bill of the respective DP. Therefore, payment of such charges through cheque will not be accepted for all such requests received on or after May 20, 2013.

For revised format of Renewal Request Form, kindly refer CDSL communiqué no. <u>3675</u>.

#### **NEW MARKET TYPES FOR BSE AND NSE**

Based on the request received from "Indian Clearing Corporation Limited" [ICCL] and "National Securities Clearing Corporation Limited" [NSCCL], CDSL had added following market types for settlement of Debt Segment in the CDSL system:

| Sr. No. | Exchange | Market Type<br>Description | Corresponding<br>CDSL Market Type |
|---------|----------|----------------------------|-----------------------------------|
| 1       | BSE      | DEBT T1                    | 47                                |
| 2       | BSE      | DEBT T2                    | 46                                |
| 3       | NSE      | DEBT SEGMENT (T+2)         | 46                                |

DPs are advised to refer to the various circulars of BSE & NSCCL to understand the procedure to be followed by the exchange for settlement of debt segment.



## **CDSL** *e*-Infoline

#### SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System aims to reduce the administrative and storage costs associated with Postal Ballots as well as AGMs / EGMs while facilitating immediate declaration of results after the close of the voting. Additionally, investors can cast their votes at their convenience from home / office till the last day.

At present, 175 companies have signed the agreement with CDSL for its e-Voting System of which 60 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 72 occasions. Shareholders of Godrej Properties Limited, Sun Pharma Advanced Research Company Limited, Clariant Chemicals (India) Limited, Balmer Lawrie & Co. Ltd, Moser Baer India Limited, E.I.D.-Parry (India) Limited, Vakrangee Softwares Limited, Shree Ganesh Jewellery House (I) Limited, Fresenius Kabi Oncology Limited and V-Guard Industries Limited cast their electronic votes on www.evotingindia.com in April 2013.

Further, Cairn India Limited, Emami Limited, eClerx Services Limited, Motilal Oswal Financial Services Limited, Tulip Telecom Limited, Transformers and Rectifiers (India) Limited and Godrej Properties Limited commenced e-Voting in the month of May 2013.

To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, anandt@cdslindia.com or Mr. Pratish Nair on 022-22725178.

#### **CVL NEWS**

#### CVL-KRATARIFF STRUCTURE

CVL, vide its communiqué no. CVL/OPS/INTERM/GENRL/13-062 dated February 9, 2013 informed intermediaries about the provision to upload scanned images on www.cvlkra.com. Intermediaries that do not have scanning and storing facilities can send their physical documents to CVL-KRA at Mumbai and avail of CVL-KRA's scanning and storage.

After taking the above-mentioned factors into consideration CVL-KRA has revised the existing tariff structure, which reads as below:

| No. | Particulars   | Fees per KYC (₹) | Remarks   |
|-----|---|------------------|---|
| 1   | Creation of<br>KYC data by<br>an intermediary<br>for a new client | 20/-             | The fee would be<br>levied immediately<br>on set up but<br>would be reversed<br>if KYC is already<br>registered with<br>another KRA |
| 2   | Scanning &<br>storage of<br>images for<br>KYC data                | 12/-             | The fee would<br>be levied<br>if this activity<br>is done by CVL-KRA  |
| 3   | Fetching of<br>New /MF<br>Verified KYC data                       | 35/-             | The fee would<br>be levied on<br>downloading KYC details.   |

# DISCONTINUATION OF KYC DOCUMENT SUBMISSION AT BRANCHES

Sebi, vide its notification no. <u>LAD-NRO/GN/2012-13/35/6998</u> dated March 22, 2013 has mandated upload of scanned images of KYC documents in the KRA system. As a result, there is a significant decrease in the receipt of physical KYC documents from intermediaries. After considering the above circumstances, CVL-KRA has discontinued the acceptance of KYC documents at its regional offices. Intermediaries should submit the physical copies of such documents to the Mumbai address only.

#### INVESTOR AWARENESS PROGRAMS (IAPs)

During May 2013, CDSL BOPF Trust conducted 9 IAPs across India in association with BSE Investor Protection Fund. CDSL DPs such as Janata Sahakari Bank Ltd, Karvy Stock Broking Ltd., India Infoline Ltd. and SMC Global Securities Ltd. as well as leading dailies such as Maharashtra Times, Sakal and Eenadu contributed in making these IAPs a grand success. CDSL, also conducted 3 IAPs for corporate employees of ACG Capsules Limited, Essar Oil Limited and Resources India which were attended by over 200 employees. CDSL participated in an "Investor Awareness & Empowerment Workshop" organized by the Consumers' Guidance Society, Vijayawada under the aegis of Securities and Exchange Board of India [SEBI]. These programs were directed towards educating the investors about depository service, risk management and the Rajiv Gandhi Equity Savings Scheme (RGESS). For details of forthcoming IAPs, please click: **Investor meets** 



**Mr. Chandrashekhar Thakur,** Head - Investor Education addressing the audience at an IAP conducted at Pune on 09.05.2013 jointly with Janata Sahakari Bank Ltd. and Maharashtra Times, a leading Marathi daily. Ms. Ashvini Thorat of CDSL - Pune office, welcomed the audience. The IAP was attended by over 400 investors.



**Mr. Ajit Manjure,** Manager - CDSL, addressing the audience at an IAP conducted for employees of Resources India on May 17, 2013 in association with India Infoline Limited. Over 125 employees attended the program.



# **CDSL** e-Infoline

### Now! Make Every Vote Count Introducing

e-Voting

**Empowering Investors** 



- Web based facility enables you to cast your vote anytime anywhere.
- Vote on resolutions of all companies held in the demat account with the same login details.
- Ease of voting by providing ample time to vote till the last minute.
- Accurate count of votes by eliminating the occurrence of invalid votes.
- Declaration of Voting Results Immediately.
- Over 175 companies have registered for CDSL'se-Voting facility. Have You?

To join, please contact our e-Voting team on (022) 2272 8153 / 5040, or email: helpdesk.evoting@cdslindia.com

Enter



Published by Mr. Sunil Alvares, Senior Vice President - Business Development on behalf of Central Depository Services (India) Limited, 17th Floor, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001. Editor : Mr. Sunil Alvares



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