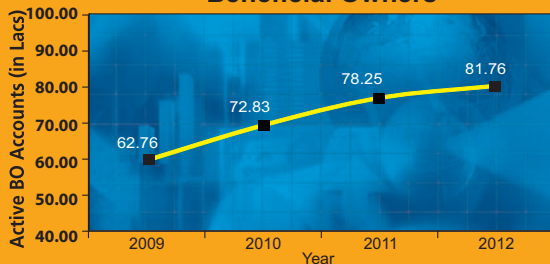




CDSL e-Infoline

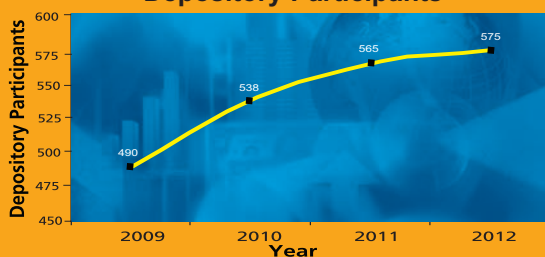
January 2013

Beneficial Owners



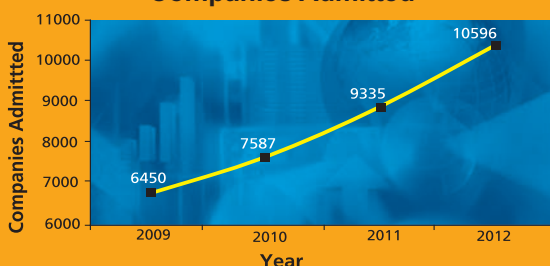
During the last 12 months, the number of active BO accounts with CDSL has increased from 78.25 lakh as on December 15, 2011 to 81.76 lakh as on December 15, 2012, which indicates growth of 4.49 %.

Depository Participants



As on December 15, 2012, CDSL has 575 DPs offering DP services from over 13,000 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians, Clearing Houses/Corporations and Regional Stock Exchanges.

Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on December 15, 2012, the securities of 10,596 companies have been admitted for demat with CDSL.

NOTIFICATION OF RAJIV GANDHI EQUITY SAVINGS SCHEME

The Rajiv Gandhi Equity Savings scheme (RGESS) was notified on November 23, 2012, by the Department of Revenue, Ministry of Finance, Government of India. This scheme is framed consequent to the introduction of Section 80-CCG in the Finance Act 2012, with a view to encourage small investors to mobilise their savings into the capital market. Under this scheme a one-time deduction for income tax purposes will be allowed to a 'new retail investor'. The deduction under this scheme shall be available to a new retail investor whose gross annual income for the financial year in which investment is made under the scheme does not exceed 10 lakh rupees. The holding period of eligible securities is three years with fixed lock in for the first year and flexible lock in for the subsequent two years. Any resident individual who has not opened a demat account and has not made any transactions in the equity and derivative segment as on the date of notification of the Scheme will fall under the definition of a 'new retail investor'. Also, any individual who has opened a demat account before the notification of the Scheme but has not made any transactions in the equity and derivative segment till the date of notification of the Scheme will be considered as a new retail investor. For further details, kindly refer to CDSL [communiqué no. 3361](#).

DISPATCH OF TRANSACTION AND HOLDING STATEMENTS ON BEHALF OF DEPOSITORY PARTICIPANTS

CDSL, based on requests from various Depository Participants (DPs), is pleased to announce that it will provide a service for dispatch of transaction cum holding statements on behalf of DPs w.e.f. January 15, 2013.

In order to register for this service, DPs need to enter into an agreement with CDSL as per the requisite format. DPs can avail of this service for dispatch of physical or electronic statements to all BOs or selective BOs as per their requirement. The dispatch can be made monthly, quarterly, half yearly or annually. Statements of the month will be dispatched on or before the 10th of

| Period | Receipt of upload files | Execution date |
|-------------------------|--|----------------------------------|
| Monday to Friday | 4:00 A.M. to 9:00 P.M. / any such cut-off time as decided by CDSL | Same business day |
| Monday to Friday | 9:00 P.M. to 11:30 P.M. / any such cut-off time as decided by CDSL | SOD of next business day |
| Saturday | 4:00 A.M. to 8:00 P.M. / any such cut-off time as decided by CDSL | Same business day |
| Saturday | 8:00 P.M. to 11:30 P.M. / any such cut-off time as decided by CDSL | SOD of next business day |
| Sunday/ System Holidays | 4:00 A.M. to 11:30 P.M. / any such cut-off time as decided by CDSL | SOD of next business day by CDSL |

every subsequent month. CDSL will provide the list of BOs to the DPs to whom the statements have been sent. Undelivered items will be provided to the DP from time to time. For further details about the service and to sign the agreement, kindly refer to [communiqué no. 3384](#).

COMMON UPLOAD THROUGH *easiest*: SUCCESS / FAILURE REPORTS

With a view to provide a common upload platform for all types of transactions, CDSL, vide its [communiqué no. 3300](#), has discontinued various individual uploads and has made it mandatory to use a common format for upload through the CDSL system as well as through its *easiest* facility w.e.f. January 1, 2013.

The aforesaid common upload format contains an "Operator Id" field which has to be mandatorily entered by DPs while uploading the file through CDSL's system or its *easiest* log in. However, as Clearing Members (CMs) and BOs do not possess an "Operator Id", they are not required to enter it in the file that they upload in *easiest*. Hence BOs and CMs may upload the file through their respective *easiest* logins without entering the Operator id.

PROVISION OF MULTIPLE ISIN RATE FILES

At present, CDSL provides one consolidated ISIN rate file to its DPs in order to enable them to update their back office software with the latest rates for Equity, Debt, Mutual Fund and Commodities. This rate file is a consolidation of rates received from BSE, NSE, MCX, NCDEX and other exchanges at various time intervals. CDSL provides the final rate file after the last rate file is received from the exchange.

CDSL is pleased to announce that it will now allow DPs to download the multiple ISIN rate files as and when they are received from exchanges during the day. Therefore, DPs do not need to wait for the single rate file as multiple files will be available in the CDSL system.

AMENDMENTS TO CDSL'S OPERATING INSTRUCTIONS

CDSL's operating instructions have been amended to include the below points:

1. DEMATERIALISATION OF EXISTING SCRIPS

On receipt of a demat request, DPs are now required to match the Distinctive Numbers (DN) on the physical certificates provided by BOs with the records of distinctive numbers provided by CDSL and select the correct temporary or permanent ISIN. DPs can check the DN database, which is available on www.cdslindia.com, through their *easi* log-in by clicking on **Inquiry** in the **DN database** menu.

2. STATEMENT OF ACCOUNTS

CDSL, vide its [communiqué no. 2494](#), had exempted its DPs from sending quarterly statements to BOs where there are no balances subject to the fulfilment of certain conditions. As per the amendment, DPs are now required to send atleast one physical statement annually to the abovementioned BOs.

BOs having demat account that become a nil balance account during the year need not be sent any transaction statement till the time the balance remains nil. However, an annual statement of holdings shall be sent to such BO. Also, one statement of holding should be sent to BOs having accounts with no transactions other than credit transactions. For more information refer [communiqué no. 3371](#).

SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System aims to reduce the administrative and storage costs associated with Postal Ballots as well as AGMs / EGMs while facilitating declaration of results immediately after the close of the voting. Additionally, investors can cast their votes at their convenience from home / office till the last day. At present, 106 companies have signed the agreement with CDSL for its e-Voting System of which 26 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 29 occasions. Shareholders of Sundaram Finance Limited, Muthoot Finance Limited, Jaiprakash Associates Limited, Royal Orchid Hotels Limited and TD Power Systems Limited took the opportunity to cast their electronic votes on www.evotingindia.com.

Further, Jyoti Structures Limited, M/s Tulip Telecom Limited, Infotech Enterprises Limited, Gujarat Gas Company Limited and S. Kumars Nationwide Limited commenced e-Voting w.e.f. December 1, 2012, December 7, 2012, December 13, 2012, December 18, 2012 and December 30, 2012 respectively.

CHANGE IN NAME OF DP

DPs and BOs are advised to note the change in name of the following DP:

| DPID | OLD NAME | NEW NAME |
|----------|---|--|
| 12070900 | Archi Shares and Stock Brokers Pvt. Limited | Affluence Shares & Stocks Pvt. Limited |

NEW DPs ADMITTED IN THE MONTH OF DECEMBER 2012

| DPID | DP Name | Location / City |
|----------|------------------------------------|-----------------|
| 12075300 | Oberois Portfolio Private Ltd. | New Delhi |
| 12075400 | Omkam Capital Markets Private Ltd. | New Delhi |
| 12075500 | Berkeley Securities Ltd. | Chandigarh |
| 12075600 | C. D. Integrated Services Limited | Ahmedabad |
| 12075700 | Prb Securities Private Ltd. | Kolkata |
| 12075800 | Celebrus Capital Ltd. | Kochi |

INVESTOR AWARENESS PROGRAMS (IAPs)

During December 2012, CDSL BOPF Trust has conducted 18 IAPs across India in association with BSE Investor Protection Fund. CDSL DPs and various institutions such as India Infoline Ltd., Bank of India, Indian Overseas Bank, Asit C. Mehta Inv. Int. Ltd, Geojit BNP Paribas Financial Services Limited, Marwadi Shares & Finance Ltd, Quantum Mutual Fund, Dreams Broking Pvt. Ltd., Western India Regional Council of The Institute of Chartered Accountants of India, Indian Medical Association, Kolhapur Investors Association, Consumer guidance Society, Vijaywada, contributed in making these IAPs a grand success. CDSL also conducted an awareness program with Aditya Birla Nuvo Limited for the benefit of their employees and shareholders in association with Aditya Birla Money Ltd.

For details on the forthcoming IAPs, kindly visit us at <http://www.cdslindia.com/investors/investor-meet.jsp>



Mr. Shibunath T, Manager - CDSL addressing the audience at an IAP conducted at Calicut on 20.12.2012 in association with Geojit BNP Paribas Financial Services Limited.



Mr. Utpal Shah, Sr. Manager-CDSL, addressing employees of Aditya Birla Nuvo Ltd. at Veraval, on 22.12.2012. It was attended by over 125 employees.

KNOWLEDGE CENTRE

Introduction to Rajiv Gandhi Equity Savings Scheme, 2012

1) What is Rajiv Gandhi Equity Savings Scheme, 2012 (RGESS)?

Ans. With an objective to encourage flow of savings of the small investors in domestic capital market, the Government of India announced a scheme named Rajiv Gandhi Equity Savings Scheme, 2012 (RGESS).

2) Does RGESS offer any tax benefits?

Ans. A new section 80CCG under the Income Tax Act, 1961 on 'Deduction in respect of investment under an equity savings scheme' has been introduced to give tax benefits to 'New Retail Investors' who invest up to ₹ 50,000 in 'Eligible Securities' and have gross total annual income less than or equal to ₹ 10 Lakhs.

3) Who is a 'New Retail Investor'?

Ans. A 'New Retail Investor' is any resident Individual

- who has not opened a demat account and has not made any transactions in the equity or derivative segment as on the date of notification of the scheme i.e., November 23, 2012. OR
- who has opened a demat account as a first holder, but has not transacted in the equity or derivative segment till November 23, 2012.

4) What are eligible securities?

Ans. • Equity shares of companies which are included in either 'BSE-100' or 'CNX-100' or equities of public sector enterprises which are categorized as Maharatna, Navratna or Miniratna by the Central Government

- Exchange Traded Funds and Mutual Fund schemes with RGESS eligible securities as underlying
- Follow on public offer of BSE-100 or CNX-100 and public sector enterprises which are categorized as Maharatna, Navratna or Miniratna
- New fund offers of eligible mutual fund schemes.
- IPOs of eligible public sector undertakings
- The details of eligible securities are available at the website of BSE (www.bseindia.com) & NSE (www.nseindia.com)

5) What is the maximum investment amount required for availing tax benefits in RGESS?

Ans. You can invest any amount upto ₹ 50,000 for availing tax benefits in RGESS.

6) How much tax deduction will I be eligible under RGESS?

Ans. Under RGESS, you are eligible for a tax deduction for 50% of the amount invested. Let us say, you invest ₹ 50,000 under

RGESS, the amount eligible for tax deduction from your income will be ₹ 25,000. Alternatively, if you invest ₹ 40,000 under RGESS, the amount eligible for tax deduction will be ₹ 20,000.

7) Is this tax deduction of maximum of ₹ 50,000 over and above limit of ₹ 1,00,000 currently available under Section 80C of Income Tax Act?

Ans. Yes. You can avail ₹ 1,00,000 under Section 80C of Income Tax Act and ₹ 25,000 for investment of ₹ 50,000 under 80CCG.

8) What will be the mode of holding eligible securities?

Ans. The mode of holding eligible securities under RGESS will be in a 'Demat account'.

9) How can I open a demat account?

Ans. You can open a demat account with any Depository Participant (DP) of CDSL.

10) What are the formalities that I need to fulfill at the time of opening demat account?

Ans. You will be required to fulfill the KYC requirements i.e., submit proof of PAN/identity, address, etc. as prescribed by SEBI to DP where you wish to open a demat account. along with declaration in prescribed format for availing RGESS benefits

11) Can I use an existing demat account for RGESS?

Ans. In case you already have a demat account and are otherwise eligible for RGESS benefit, you can designate your existing demat account as a RGESS account by approaching your DP.

12) Is there any specific document to be submitted to the DP for designating the demat account for RGESS?

Ans. Yes. You should submit a declaration in 'Form A' duly signed by the account holder(s) for designating the demat account for RGESS.

13) Where will I get 'Form A'?

Ans. You can get 'Form A' from your DP, where you want to designate your demat account for RGESS or download the same by clicking on SEBI Circular - Rajiv Gandhi Equity Savings Scheme-2012, under the What's New menu on www.cdslindia.com.

14) Can I hold other securities i.e., other than eligible securities in my demat account designated for RGESS?

Ans. Yes, other securities (viz., equity shares, debentures, bonds, mutual fund units, etc.) can be held in the demat account designated for RGESS.