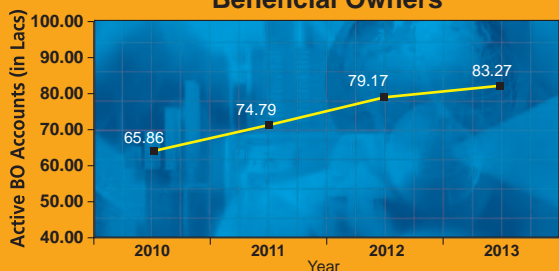




CDSL e-Infoline

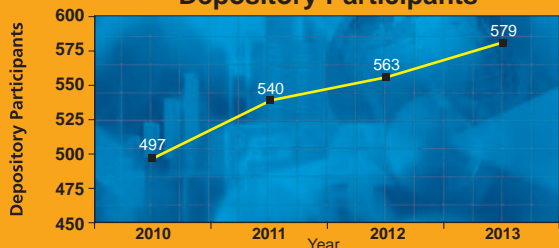
April 2013

Beneficial Owners



During the last 12 months, the number of active BO accounts with CDSL has increased from 79.17 lakh as on March 31, 2012 to 83.27 lakh as on March 31, 2013, which indicates growth of 4.92 %.

Depository Participants



As on March 31, 2013, CDSL has 579 DPs offering DP services from over 12,500 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians, Clearing Houses/ Corporations and Regional Stock Exchanges.

Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on March 31, 2013, the securities of 11,030 companies have been admitted for demat with CDSL.

CDSL COLLATERAL ACCOUNTS

Clearing Members (CMs) of NSE and MCX-SX can pledge securities for collateral purpose directly from their CDSL accounts to the respective clearing corporation via the exchange designated custodians. In order to open a CDSL collateral account, CMs may approach designated custodians like IL&FS Securities Services Limited, Stock Holding Corporation of India Ltd., etc.

REDUCTION IN CHARGES FOR COMMODITY TRANSACTIONS

With effect from April 1, 2013, CDSL has decided to reduce its fee from a volume based charge to a flat fee of Rs. 5.50 per debit transaction for commodity transactions. The revised tariff structure is as follows:

Details	Current Charges	Revised Charges
Transaction charges for CM accounts	0.01% of the value of the transaction subject to a minimum of Rs. 5/- and maximum of Rs.12/- (for debits). A minimum billing of Rs. 500/- per month for per CM Pool Account and maximum billing based on actuals, will be payable.	A flat fee of Rs. 5.50 per debit transaction with minimum billing of Rs.500/- per month per CM pool account.
Transaction Charges for accounts other than-CM	0.01% of the value of the transaction subject to a minimum of Rs.5/- and maximum of Rs.12/- (for debits).	A flat fee of Rs. 5.50 per debit transaction.

NISM SERIES – III A: SECURITIES INTERMEDIARIES COMPLIANCE (NON-FUND) CERTIFICATION EXAMINATION (SICCE)

SEBI, vide its notification no. [LAD-NRO/GN/202-13/33/1103](#) dated March 11, 2013, has informed all capital market intermediaries that any official acting as a compliance officer is required to obtain the SICCE certification.

Active compliance officers have to obtain SICCE certification within two years from the date of the notification. If a new person has been appointed as the compliance officer after the date of notification, the person needs to obtain SICCE certification within one year from the date of employment.

SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System aims to reduce the administrative and storage costs associated with Postal Ballots as well as AGMs / EGMs while facilitating immediate declaration of results after the close of the voting. Additionally, investors can cast their votes at their convenience from home / office till the last day.

Currently, 150 companies have signed the agreement with CDSL for its e-Voting System of which 48 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 57 occasions. Shareholders of Bharti Airtel Limited, Raymond Limited, L&T Finance Holdings Limited, Omaxe Limited, Unichem Laboratories Limited, Microsec Financial Services Limited, Jenburkt Pharmaceuticals Limited, Gyscoal Alloys Limited, Riddhi Siddhi Gluco Biols Limited and Magma Fincorp Limited took the opportunity to cast their electronic votes on [www.evotingindia.com](#) in March 2013.

Further, Cipla Limited, Godrej Properties Limited, Manappuram Finance Limited, Magma Fincorp Limited, Everest Kanto Cylinder Limited, Choice International Limited and Fairfield Atlas Limited commenced e-Voting in the month of March 2013.

To register your company for e-Voting, please contact Ms. Prajakta Ghugal on 022-22728634, prajaktag@cdslindia.com or Mr. Anand Tirodkar on 022-22728153, anandt@cdslindia.com.

DISPATCH OF STATEMENTS

CDSL, based on requests from various Depository Participants (DPs), is pleased to announce its new service for dispatch of transaction cum holding statements on behalf of DPs. The objective of providing this service is to ensure timely dispatch of statements to the beneficial owners (BOs).

In order to register for this service, DPs need to enter into an agreement with CDSL. Currently, over 75 DPs of CDSL have registered for this service.

DPs can avail of this service for dispatch of physical or electronic statements to all BOs or selective BOs as per their requirement. The dispatch can be made monthly, quarterly, half yearly or annually. Monthly statements are dispatched on or before the 10th of every subsequent month. CDSL provides DPs the list of BOs to whom the statements were sent. Undelivered items are returned to DP from time to time.

For further details about the service and to sign the agreement, kindly refer communiqué no. [3384](#) and [3582](#).

QUALIFIED DEPOSITORY PARTICIPANT (QDP)

SEBI has permitted Qualified Foreign Investors (QFI) to invest in schemes of Indian mutual funds and Indian equity shares by the way of a demat account opened with a qualified Depository Participant in India.

CDSL has 29 QDPs registered and eligible QFI can open a demat account with any of the following QDPs spread across India.

Sr. No.	DP
1	Alankit Assignments Limited
2	Anand Rathi Share & Stock Brokers Limited
3	Axis Bank Limited
4	Bank of India
5	Dalmia Securities Private Limited
6	DBS Bank Limited
7	Deutsche Bank AG
8	Edelweiss Securities Limited
9	Emkay global Financial Services Limited
10	Geojit BNP Paribas Financial Services Limited
11	Globe Capital Market Limited
12	HDFC Bank Limited
13	IL&FS Securities Services Limited
14	India Infoline Limited
15	J M Financial Services Private Limited
16	Karvy Stock Broking Limited
17	Latin Manharlal Securities Limited
18	Mangal Keshav Securities Limited
19	Marwadi Shares & Finance Limited
20	Motilal Oswal Securities Limited
21	Orbis Financial Corporation Limited
22	Reliance Securities Limited
23	SBICAP Securities Limited
24	SBISG Global securities Services Limited
25	Sharekhan Limited
26	SMC Global Securities Ltd
27	Stock Holding Corporation India Limited
28	Sunidhi Securities and Finance Limited
29	The Hongkong and Shanghai Banking Corporation Limited

INVESTOR AWARENESS PROGRAMS (IAPs)

During March 2013, CDSL BOPF Trust has conducted 15 IAPs across India in association with SEBI and BSE Investor Protection Fund. CDSL DPs such as India Infoline Ltd., Geojit BNP Paribas Financial Services Ltd, Nirmal Bang Securities Pvt Ltd, Bank of India, Cochin Stock Exchange Ltd., Inventure Growth & Sec. Ltd, Shilpa Stock Brokers Pvt. Ltd., Ambalal Shares & Stocks Pvt. Ltd. and Sharewealth Securities Ltd. as well as other institutes such as Consumer guidance Society, Vijaywada, Quantum Mutual Fund contributed in making these IAPs a grand success.



Mr. C.S. Thakur of CDSL, addressing investors at an IAP held in Sangli, Maharashtra on **March 16, 2013**. The IAP was organised in association with Bank of India.



Mr. Shivaprasad Venishetty of CDSL, addressing investors at an IAP held in Warangal on **March 23, 2013**. The IAP was organised in association with Consumer guidance Society, Vijaywada.

CVL NEWS

Amendment to the KYC (Know Your Client) Registration Agency (KRA) Regulations, 2011

SEBI, vide its notification no. [LAD-NRO/GN/2012-13/35/6998](#) dated March 22, 2013 has amended the KYC regulations. In the past, after performing the initial KYC of the client and uploading the KYC information on the KRA system, intermediaries were required to submit the original KYC documents to the KRA. Subsequent to the amendment, intermediaries are now required to submit scanned copies of the KYC documents and retain the physical copies. Whenever the need arises, KRA shall ask intermediaries to furnish the original documents. This would simplify the KRA process as all the original account opening documents will be retained by a single entity.

Introduction of INTER-OPERABILITY with CVL-KRA

Intermediaries registered with CVL-KRA were not able to view and fetch the KYC data of investors registered with other KRAs. This used to cause operational hindrance as intermediaries were required to obtain KYC data from the respective KRA.

In order to eradicate the above impediments, CVL-KRA introduced an inter-operability facility by which intermediaries will be able to view and fetch the KYC data of investors registered with other KRAs. Intermediaries may fetch the KYC details from other KRAs by choosing the **Fetch From Other KRA** option appearing under the KYC menu of [www.cvlkra.com](#).

The tariff for fetching KYC data from other KRAs is as under:

Sr. No.	Name of the KRA	Fees per fetch	Sr. No.	Name of the KRA	Fees per fetch
1.	NDML	Rs. 35/-	3.	CAMS	Rs. 35/-
2.	Dot-ex	Rs. 35/-	4.	Karvy	Rs. 35/-



CDSL
Welcome to the world of Depository services

CDSL tenders depository services to over 83 lakh Demat Account holders through its wide spread network of 579 Depository Participants operating from over 12500 locations across India. Apart from holding and transacting securities in demat mode, CDSL offers the following Value Added Services that are intelligent, safe and responsive to your needs.



Online access to your Demat Account anytime - anywhere



Submit your delivery instructions on the internet



Receive SMS alerts relating to debit and credit transactions



Now! cast your vote on company resolutions, online

To open your Demat Account and register for these services, contact a CDSL DP today!!!



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