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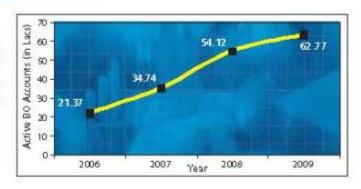




### CDSL TODAY

#### Beneficial Owners

During the last 12 months, the number of active BO accounts with CDSL has increased from 54.12 lakh as on December 15, 2008 to 62.77 lakh as on December 15, 2009, which indicates a growth of 15.98%.



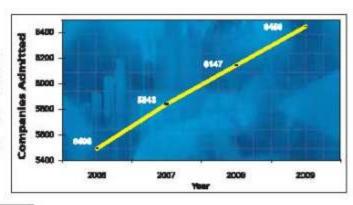
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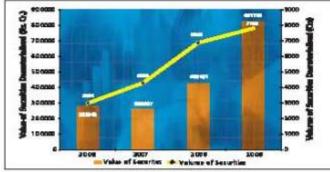
### **Depository Participants**

As on December 15, 2009, CDSL has 490 DPs offering DP services from over 6000 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians, Clearing Houses/ Corporations and Regional Stock Exchanges.

### Companies Admitted

The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on December 15, 2009, the securities of 6450 companies have been admitted for demat with CDSL.





#### Securities Dematerialised

During the last 12 months, the volume of securities under custody has increased by 13.91% and the value of securities has increased by 93.36% respectively.



### WHAT'S NEW ?

#### LAUNCH OF e-VOTING SYSTEM FOR CORPORATES

CDSL Ventures Limited [CVL], a wholly owned subsidiary of Central Depository Services (India) Limited [CDSL], launched "e-Voting System for Corporates" on Tuesday, November 17, 2009 at the BSE International Convention Hall. The e-Voting System was inaugurated by Shri Salman Khurshid, Hon'ble Minister for Corporate Affairs.



Shri Salman Khurshid, Hon'ble Minister for Corporate Affairs (2nd from left) inaugurating CVL's "e-Voting System".

The e-Voting System would permit a company or its registrar to set up the schedule on the e-Voting website, www.evotingindia.com and also upload the resolutions and register of shareholders. CVL would then generate and print a password on a pin mailer for each shareholder, which would be communicated to them along with the notices of the resolutions. Shareholders can then access the e-Voting website and cast their votes any time during the voting period at a place of their convenience. Companies would also benefit from this system as the remote voting process would be faster, accurate and would also result in savings on various cost overheads, which are currently incurred for postal ballots.

The e-Voting System launched by CVL will empower shareholders to participate in the decision making process of companies in which they have invested, thus making their vote count.

## CDSL AND BURSA MALAYSIA DEPOSITORY SIGN MOUTO ESTABLISH CO-OPERATIVE RELATIONSHIP

Central Depository Services (India) Ltd. [CDSL] signed a Memorandum of Understanding (MOU) with Bursa

Malaysia Depository Sdn. Bhd. on October 9, 2009, to pursue co-operative relationship and opportunities in the areas of securities clearing and depository. It will help foster the prosperity of their financial markets and explore opportunities in cross border investments as well as facilitate the exchange of information and expertise on business operating models and securities market developments.

Mr. Devanesan Evanson, Chief Market Operations Officer of Bursa Malaysia said, "with the signing of this MOU we see plenty of opportunities to develop and exchange ideas from various aspects such as educational and learning strategies, technology and



Mr. P.S.Reddy, Executive Director, CDS1 (left) and Mr. Devanesan Evanson, Chief Market Operations Officer, Bursa Malaysia Depository (on right) signing the MOU on October 9, 2009 at Hanoi, Vietnam.

expertise sharing as well as industrial issues and challenges."

### DEMAT OF UNITS OF OPEN ENDED MUTUAL FUNDS

Presently, demat is allowed for securities like equities. debt and close ended Mutual Funds. With the in troduction of BSE STAR MF (Bombay Stock Exchange) Platform for Allotment and Redemption of Mutual Fund units) and NEAT-MFSS (NSE's Mutual Fund Service System), open ended Mutual Funds have been admitted for dematerialisation. Currently, 865 ISINs. of 13 Asset Management Companies (AMCs) namely ICICI Prudential Asset Management Company Limited Reliance Asset Management Company Limited, Tata Asset Management Limited, Birla Sun Life Asset Management Company Limited, FIL (Fidelity) Fund Management Private Limited, SBI Funds Management Private Limited, HDFC Asset Management Company Limited, Kotak Mahindra Asset Management Company Limited, Quantum

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Asset Management Company Private Limited, UTI Asset Management Company Private Limited, Deutsche Asset Management (India) Private Limited, Religare Asset Management Company Ltd., IDFC Asset Management Company Limited are admitted for demat with CDSL.

Please refer to "Knowledge Centre" on page 5 for further details.

# CLEARING AND SETTLEMENT OF TRADES IN CORPORATE BONDS THROUGH CLEARING CORPORATIONS

SEBI vide its circular dated October 16, 2009 has mandated clearing and settlement of all trades in corporate bonds between specified entities namely, mutual funds, foreign institutional investors / subaccounts, venture capital funds, foreign venture capital investors, portfolio managers and RBI regulated entities through the National Securities Clearing Corporation Limited (NSCCL) or the Indian Clearing Corporation Limited (ICCL). The provisions of this circular shall be applicable to all corporate bonds traded Over The Counter (OTC) or on debt segment of the stock exchanges on or after December 1, 2009. However, it shall not be applicable to trades in corporate bonds that are traded on Capital Market segment or Equity segment of the stock exchanges and are required to be settled through clearing corporation / clearing house of the Stock Exchanges. Clearing members and investors are advised to take note of the same.

## ELECTRONIC FILING OF BENEFICIAL OWNER (BO) GRIEVANCE AND INTERNAL AUDIT REPORT

In order to facilitate DPs, CDSL has introduced a facility

whereby the BO grievance report to be submitted by

DPs every month to CDSL can be submitted electronically (via e-mail) with effect from October 1, 2009. However, only those online reports generated from CDSL system and received through the email ID of the Compliance Officer registered with CDSL, will be taken on record. In case the DP does not have any grievance to report, a NIL report should be submitted. Further, CDSL is pleased to introduce a facility whereby the Internal Audit Report may be submitted to CDSL electronically (via e-mail) through the DP's authorized email ID registered with CDSL, provided the same is digitally signed by the Internal auditor. In case the

Internal Auditors are different from the Concurrent

Auditors then the report should be digitally signed by the Concurrent Auditors and the same should be attached along with the main Internal Audit Report. However, currently the DPs may continue to submit the same in hard copy as well.

## UPDATION OF NEW BANK ACCOUNT NUMBERS IN DEMAT ACCOUNTS

In order to increase efficiency and simplify the ECS process, RBI has directed the member banks to initiate necessary steps to update old information pertaining to customer account numbers by December 31, 2009.

If the new bank account number is to be updated due to the Member Bank's migration to the Core Banking Solution [CBS] platform, the requirement of obtaining an Account Modification Form has been waived. The new bank account number may be updated based on the notice/letter received from the Bank. All other modifications to bank details should be effected as per SEBI guidelines and CDSL's operating instructions.

BOs are advised to update the new bank account numbers in their demat accounts at the earliest, as electronic transfers with old account numbers will be rejected.

### REQUIREMENT OF PRIOR APPROVAL OF CDSL FOR OPENING NEW DP SERVICE CENTRE

DPs are advised to note that w.e.f. November 1, 2009 prior approval of CDSL should be obtained for opening a new DP Service Centre including back-office connected branches and collection centres. In order to get such approval, DPs are required to submit an application to CDSL along with requisite information in the prescribed format.

For further details and formats, DPs may refer to communiqué no. CDSL/OPS/DP/1758 dated October 30, 2009.

### WITHDRAWAL OF DEPOSITORY PARTICIPANTS OF CDSL

DPs and BOs are advised to note that following DPs have closed down their DP operations:

- BLB Limited (DPID 12019400).
- Citigroup Wealth Advisers India Private Limited (DPID 12047300).

Investors are advised to take note of the same and take necessary steps to transfer their securities to another account with a DP of their choice or rematerialize the holdings in their existing demat account/s.



### KNOWLEDGE CENTRE

# SETTLEMENT OF TRANSACTIONS FOR MUTUAL FUND UNITS DONE THROUGH BSE / NSE MUTUAL FUND PLATFORMS

# Q. 1. Which exchange platforms are available for purchase and redemption of Mutual Fund units?

Ans: For purchase and redemption of Mutual Fund units, Bombay Stock Exchange Ltd. has launched BSE STAR MF and National Stock Exchange has launched NEAT-MFSS platform. CDSL would be settling transactions done on both these platforms.

## Q. 2. How can investors participate in the said process?

Ans: Investors, who wish to route their orders for purchase/redemption of Mutual Fund through exchange platform, need to register with a broker (registered Mutual Fund Intermediary) as a client.

## Q. 3. Are all Mutual Fund schemes allowed to be transacted over these stock exchange platforms?

Ans: Only open ended equity schemes (except Index funds) and all debt schemes (for investments less than Rs. 1 crore) are allowed to be transacted over the stock exchanges. Fixed Maturity Period (FMP)/Interval/Liquid schemes are not available on these exchange platforms.

# Q. 4. What are the types of transactions available on the exchange platform?

Ans: Investors can submit the following type of transactions to the stock exchange broker:

- > Fresh Subscriptions
- > Additional Subscriptions
- > Redemptions

# Q.5. What are the basis for purchase/subscription and redemption of Mutual Fund units?

Ans: Investors can only make amount based purchases and unit based redemptions.

### Q.6. Can an investor get his physical holdings in Mutual Fund units converted into electronic form?

Ans: Yes. However, the procedure to dematerialise the physical holdings will be announced shortly.

# Q. 7. Does an investor need to fill in any application form while applying for Mutual Fund units?

Ans: If an investor is taking credit of the mutual fund units in the demat account, he/she need not fill up any application form.

### Q. 8. Do investors need to have a demat account?

Ans: Investors who wish to take credit of Mutual Fund units in electronic form, need to have a demat account.

## Q. 9. Will the investor receive a separate account statement for Mutual Fund units held by him?

Ans: Investors who hold Mutual Fund units in demat form, will get the details in the demat account statement provided by the DP.

## Q. 10. What is the procedure for redemption of Mutual Fund units held in demat account?

Ans: Investors who hold units in demat account need to transfer the units to account number 11000029 00000011 on BSE and account number 11000011 00017837 on NSE.

# Q. 11. What are the benefits to the investor of holding Mutual Fund units in demat form?

Ans: The investor will enjoy following benefits:

- Convenience of holding entire portfolio including equity, debt, Mutual Fund units etc. in single demat account.
- Change of address, nominee details etc. can be effected across all companies / mutual funds by giving one instruction to the DP.
- Viewing demat account balance and valuation of portfolio through CDSL's internet based services 'easi' and 'easiest'.
- Receive SMS alerts for any debits / credits due to corporate actions in the demat account.

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### NEW DPs ADMITTED DURING OCTOBER - DECEMBER 2009

#### DP ID - 62000 SS Corporate Securities Limited

NDM-2, Block-D, 3rd Floor Netaji Subhash Place Pitampura, New Delhi - 110034 Tel. 011-47003600 Fax: 011-47003601/02 Email: sscorporate@rediffmail.com

#### DP ID – 62100 Celestial Securities Private Limited

C-103, Celestial House Sampatrao Colony R C Dutt Road, Alkapuri Vadodara - 390007, Gujarat Tel: 0265-2321603/04 Fax. 0265-2353050 Fmail: info@celestialsecurities.com

#### DP ID - 62200 M. J. Patel Share & Stock Brokers Limited

24/26, Cama Building CRD-5, Third Floor, Dalal Street, Fort, Mumbai – 400001 Tel 022-67378000 Fax 022-22646410 Email mehul@mjpdirect.com

#### DP ID – 62300 Prakash K. Shah Shares & Securities Private Limited

8-B, Rajabahadur Mansion 11/43, Tamarind Lane Fort, Mumbai – 400023 Tel: 022-22631041 Fax: 022-22702959 Email: pkshah549@yahoo.com

#### DP ID – 62400 Sajag Securities Private Limited

33/15-B, Regent Chambers Opp Garware College Karve Road Pune - 411004 Tel. 020-66014731-37 Fax. 020-25302555 Email. dpods@sajag.com

#### DP ID - 62500 Veetrag Securities Private Limited

40, Gordhandas Building R.R. Mohan Roy Marg Nr. Central Plaza, Charni Road Mumbal – 400004 Tel. 022-23873908 Fax. 022-23873908 Email: veetrag1@gmail.com

#### DP ID – 62600 MCX-SX Clearing Corporation Limited

Exchange Square, 2nd Floor Suren Road, Chakala Andheri (East) Mumbai – 400093 Tel. 022-67319080 Fax. 022-67319103 Email: clearing@mox-sx.com

#### DP ID – 62700 Airan Finstocks Private Limited

407, The Grand Mall Opposite SBI Zonal Office Ambawadi Ahmedabad – 380015 Gujarat Tel: 079-40222666

Fax: 079-40222699 Email: airan finstocks@yahoo.co.in

#### DP ID – 62800 Sunshine Stock Broking Private Limited

602, Express Chamber Andhen-Kurfa Road Andhen (East) Mumbai - 400069 Tel: 022-26845496 Fax: 022-26845497

Email ssbl@sunshinestockbroking.com

#### DP ID – 62900 Way2Wealth Brokers Private Limited

Frontline Grandeur, Ground Floor No. 14 Walton Road Bangalore – 560001, Karnataka Tel: 080 43676869 Fax: 080 43676999 Email: dp@way2wealth.com

### COMPANIES THAT HAVE CHANGED THEIR NAMES DURING OCTOBER - DECEMBER 2009

SR NO.	ISIN	ISSUER NAME	RTA NAME
1.	INE540801015	Adlabs Films Limited	Reliance MediaWorks Limited
2	INE126E01017	Anil Biochem Limited	Anil Bioplus Limited
3	INE865C01022	Apollo Sindhoori Capital Investments Limited	Aditya Birla Money Limited
4	INE099 C0 1010	Bhagawati Gases Limited	Bhagawati Gas Limited
5	INE693K01013	Brainpoint Infotech Limited	Brainpoint Infotech Private Limited
6	INES50C01012	Commitment Capital Services Limited	Urja Global Limited
7	INE218C01016	Core Emballage Limited	Ausom Enterprise Limited
8	INE169A01023	Coromandel Fertilisers Limited	Coromandel International Limited
9	INE034H01016	Indiabulis Retail Services Limited	Store One Retail India Limited
10	INE622H01018	Indo Farm Industries Limited	Indo Farm Equipment Limited
11	INE017E01018	Intec Securities Limited	Intec Capital Limited
12	INE774B01028	Karuna Cables Limited	Globus Corporation Limited
13	INE012801015	Kushagra Software Limited	Concurrent (India) Infrastructure Limited
14	INE602D01027	La - Mere Apparels Limited	Sanblue Corporation Limited
15	INE336B01018	Link International Corporate Services Limited	Creative World Telefilms Limited
16	INE943D01017	Macmillari India Limited	MPS Limited
1.7	INE406K01010	Mundus Hospitality Private Limited	Delta Hospitality and Entertainment Private Limited
18	INE639K01016	Nitesh Estates Private Limited	Nitesh Estates Limited
19	INE807F01019	P I Drugs & Pharmaceutical Limited	Sequent Scientific Limited
20	INE477C01018	Roselabs Limited	Roselabs Industries Limited
21	INE465K01016	Sarla Gems Limited	Kalpena Plastiks Limited
22	INE479C01014	Singal Overseas Limited	Roselabs Limited
23	INE502K01016	Talwalkars Better Value Fitness Private Limited	Taiwalkars Better Value Fitness Limited
24	INE926J01019	Voice Data Management Private Limited	Serco Bpo Private Limited
25	INE855C01015	Webel - SI Energy Systems Limited	Websol Energy System Limited



## **EQUITIES ADMITTED DURING OCTOBER - DECEMBER 2009**

SR.NO.	ISIN	ISSUER NAME	RTA NAME
1	INE605K01017	ABG Ports Private Limited	Satellite Corporate Services Pvt. Ltd.
2	INE559K01016	ABG-LDA Bulk Handling Private Limited	Bigshare Services Pvt. Ltd.
2 3	INE685K01019	Aimera Commodity and Derivatives Private Limited	Karvy Computershare Private Limited
4	INE546K01013	Almera Tracking and Impex Private Limited	Karw Computershare Private Limited
5	INE143F01019	Alirox Abrasives Limited	Karvy Computershare Private Limited
6	INE622K01012	Amazia Developers Private Limited	Link Intime India Pvt. Ltd.
7	INE623K01010	Ambience Limited	Karvy Computershare Private Limited
8		Anand Lease And Finance Limited	
	INE583K01016		Link Intime India Pvt. Ltd.
9	INE694K01011	Ansal Seagull SEZ Developers Limited	Link Intime India Pvt. Ltd New Delhi
10	INE643K01018	Arihant Superstructures Limited	Adroit Corporate Services Pvt. Ltd.
11	INE597K01016	Atom Technologies Limited	Karvy Computershare Private Limited
12	INE484K01017	Bhanot Construction & Housing Limited	Beetal Financial & Computer Services Private Ltd.
13	INE526K01015	Blue Circle Services Limited	Purva Sharegistry India Private Limited
14	INE644K01016	Bokadia Films Limited	Adroit Corporate Services Pvt. Ltd.
15	INE681K01018	Boss Securities Limited	XL Softech Systems Limited
16	INE693K01013	Brainpoint Infotech Limited	Link Intime India Pvt. Ltd.
17	INE672K01017	CIL Nova Petrochemicals Limited	Link Intime India Pvt. Ltd
18	INE701K01014	Crystal Sound & Music Private Limited	Sharepro Services (I) Pvt. Ltd.
19	INE584K01014	DB Hospitality Private Limited	Adroit Corporate Services Pvt. Ltd.
20	INE879(01012	DB Realty Limited	Link Intime India Pvt. Ltd.
21	INE699K01010	Delta Infosolutions Private Limited	Datamatics Financial Services Limited
22			Mondkar Computers Private Limited
	INE596K01018	Drive India Enterprise Solutions Limited	이 10일 살아보다 하는 사람들이 어려를 하는 사람들이 되어 되었습니다. 그 사람들이 살아보다 하는데
23	INE397G01019	Duke Offshore Limited	Purva Sharegistry India Private Limited
24	INE481K01013	Electrosteel Integrated Limited	Maheshwari Datamatics Pvt. Ltd.
25	INE593K01015	First Offshoring Technologies Private Limited	Mondkar Computers Private Limited
26	INE645K01013	Fisher Sanmar Limited	Integrated Enterprises (India) Limited
27	INE647K01019	Fourjone Realtors Private Limited	Link Intime India Pvt. Ltd.
28	INE600K01018	Galaxy Surfactants Limited	Link Intime India Pvt. Ltd.
29	INE661K01010	Gayatri Tissue and Papers Limited	Venture Capital and Corporate Investments Ltd
30	INE982F01028	Hathway Cable and Datacom Limited	Link Intime India Pvt. Ltd.
31	INE582K01018	Hindusthan Udyog Limited	Link Intime India Pvt. Ltd.
32	INE595K01010	Hi-Tech Offshoring Services Private Limited	Mondkar Computers Private Limited
33	INE975G01012	IL&FS Transportation Networks Limited	Link Intime India Pvt. Ltd.
34	INE491K01012	Innovative B2B Logistics Solutions Limited	Skyline Financial Services Limited
35	INE566K01011	IntraSoft Technologies Limited	Link Intime India Pvt. Ltd
36	INE700K01016	IVF Holdings Private Limited	Sharepro Services (I) Pvt. Ltd.
37	INE176K01019	KLI Resources Limited	R & D Infotech Private Limited
38	INE606K01015	M. S. Investments Limited	Cameo Corporate Services Limited
39	INE654K01015	Metrocorp Infrastructure Limited	Alankit Assignments Limited
40	INE710K01015	Nafto Gaz India Private Limited	Alankit Assignments Limited
41	INE639K01016	Nitesh Estates Private Limited	Karvy Computershare Private Limited
42	INE587K01017	NK Toll Road Limited	Karvy Computershare Private Limited
43	INE650K01013	P. M. Strips Limited	Adroit Corporate Services Pvt. Ltd.
44	INE994/01019	Pratibha Pipes & Structural Limited	Link Intime India Pvt. Ltd.
45	INES81K01010	Regency Hospital Limited	Skyline Financial Services Limited
46	INE655K01012	Rubix Trading Private Limited	Link Intime India Pvt. Ltd.
47	INE573K01017	Rushil Décor Limited	Purva Sharegistry India Private Limited
48	INE594K01013	Servicesource Offshore Technologies Private Limited	Mondkar Computers Private Limited
49	INE510K01019	Shilpi Cable Technologies Limited	Beetal Financial & Computer Services Private Ltd.
50	INE553K01019	Shree Ganesh Jewellery House Limited	Link Intime India Pvt. Ltd.
51	INE579K01014	Shri Badrinarain Alloys and Steels Limited	Maheshwari Datamatics Pvt. Ltd.
52	INES64J01018	Shri Jagdamba Pölymers Limited	Pinnacle Share Registry Private Ltd
53	INE541K01014	Star Delta Transformers Limited	System Support Services
54	INE6S3K01017	Starlight Systems Private Limited	Adroit Corporate Services Pvt. Ltd.
55	INE558K01018	SU Toll Road Private Limited	Karvy Computershare Private Limited
56	INE602K01014	Syncom Healthcare Limited	Link Intime India Pvt. Ltd.
57	INE567K01019	Today's Petrotech Limited	Satellite Corporate Services Pvt. Ltd.
58	INE956G01020	VA Tech Wabaq Limited	Integrated Enterprises (India) Limited
59	INE646K01011	Vinca Developer Private Limited	Link Intime India Pvt. Ltd.
00	11/E0401/01/01/1	kinda Developer kindte cittilea	Little and the mold har right





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