



# CDSL Infoline



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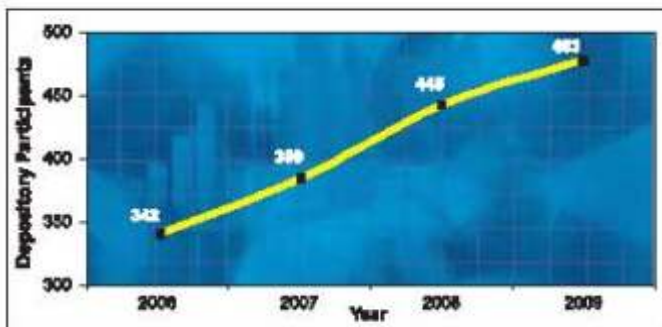
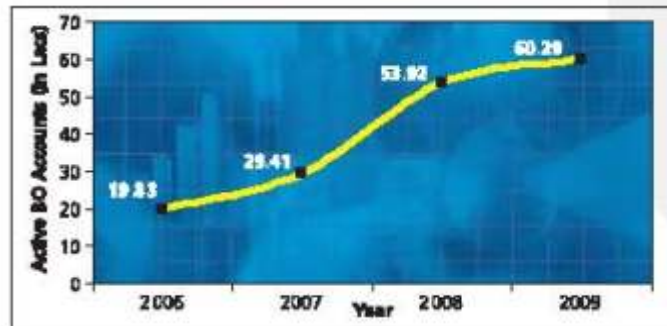
**CDSL  
Wishes You  
Happy Diwali  
&  
Prosperous  
New Year**



## CDSL TODAY

### Beneficial Owners

During the last 12 months, the number of active BO accounts with CDSL has increased from 53.92 lakh as on September 30, 2008 to 60.29 lakh as on September 30, 2009, which indicates growth of 11.81%

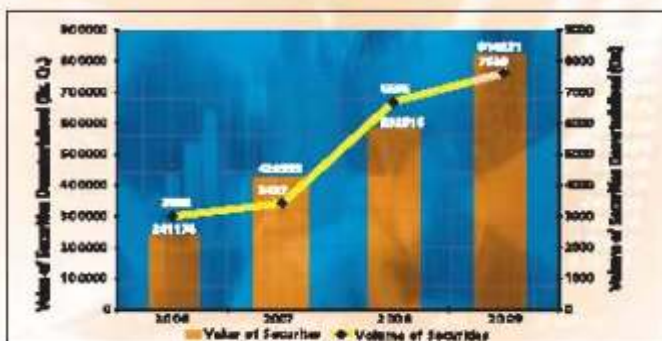
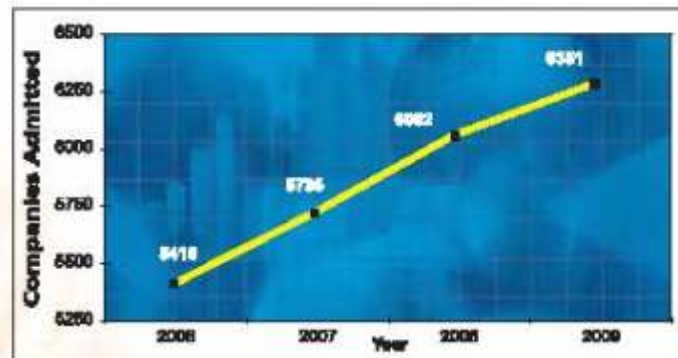


### Depository Participants

As on September 30, 2009, CDSL has 483 DPs offering DP services from over 6000 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians, Clearing Houses/Corporations and Regional Stock Exchanges.

### Companies Admitted

The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on September 30, 2009, the securities of 6351 companies have been admitted for demat with CDSL.



### Securities Dematerialised

During the last 12 months, the volume of securities under custody has increased by 13.80% and the value of securities has increased by 37.54% respectively.

## WHAT'S NEW ?

### UNCLAIMED SHARES OF LISTED COMPANIES

In order to deal with unclaimed shares of a listed company pursuant to a public issue, SEBI, vide Circular no. SEBI/CFD/DIL/LA/1/2009/24/04 dated April 24, 2009 has provided a uniform procedure for allotment of such unclaimed shares, which could not be allotted to the rightful shareholder due to insufficient / incorrect information or any other reason.

As per the procedure, a listed company should open a suspense account, which should be held by the Issuer purely on behalf of the allottees who are entitled to receive the shares. The shares held in such suspense account shall not be transferred in any manner whatsoever except for the purpose of allotting the shares to the allottee, as and when he/she approaches the Issuer. A declaration to that effect, signed by all the authorized signatories, along with the Board Resolution and all other documents as prescribed by SEBI and CDSL for opening a Corporate Account shall be obtained.

Any corporate benefits in terms of securities accruing on such unclaimed shares, will be credited to such suspense demat account. The Issuer should debit the shares lying in the suspense account and credit the same to the demat account of the allottee to the extent of the allottee's entitlement, after proper verification of the identity/bonafides of the allottee.

### P. O. BOX NO. AS PROOF OF ADDRESS FOR NRI ACCOUNTS

In view of the various representations received from NRIs, a procedure for accepting /recording the Post Office Box Number as valid address at the time of opening of NRI / FN demat accounts, has been outlined by CDSL. Accordingly, the address containing P.O.Box No. may be accepted as valid Permanent and/or Correspondence address provided

such P.O. Box No. is mentioned in the valid proof of address documents. In such cases, at the time of account opening the investor shall additionally provide the complete foreign residential address, under declaration stating that any change in the residential address would be intimated to the DP.

### SET UP OF ARBITRATION PANELS ACROSS INDIA

For the convenience of investors and DPs, CDSL has decided to set up panels of Arbitrators at Chennai, Kolkata and New Delhi, apart from Mumbai. DPs are advised to note that unresolved disputes and claims referred for arbitration may now be addressed to the "**Arbitration Secretary**" of the nearest regional centre, where arbitration proceedings will, henceforth, be conducted.

BOs are advised to take note of the same.

### QUARTERLY TRANSACTION STATEMENT

As informed earlier, DPs were exempted from sending quarterly transaction statements to BOs having demat accounts with no transactions and no security balances, subject to certain conditions. One such condition was "the BO must have opted for SMS Alert facility, as provided by CDSL".

DPs and BOs are advised to note that the said condition has now been removed.

### ESTABLISHING CONNECTIVITY BETWEEN BACK OFFICE & CDSL WORKSTATION

Currently, CDSL system provides transaction files to be generated in the DP back office software and the same are then uploaded to the CDSL system. The transaction files created in the back office, need to be transferred to the CDSL workstation by copying the same on some media as the workstation on which CDSL software is installed is not connected to the DP's internal network.



In order to eliminate the need to physically transfer files from back office to the CDSL workstation, CDSL has decided to allow connectivity between the CDSL workstation and the DP's back office setup. Interested DPs will have to send an application to CDSL giving connectivity details between the two networks i.e. network diagram, connection protocols, firewalls to be deployed, etc. On verifying the information CDSL will give approval, if satisfied, after due diligence.

#### TRAINING PROGRAMMES CONDUCTED BY CDSL

CDSL regularly conducts training programmes for internal and concurrent auditors of the DP in order to assist them to fulfill the mandatory requirement of trained person for conducting audit. During the month of August 2009, CDSL has conducted 8 such two-day programmes at various cities such as Mumbai, Indore, Kochi, Ahmedabad, Hyderabad, Jaipur & Kolkata. On the first day of training, the candidates were apprised about the depository environment and various functions carried out by DPs. On the second day they were briefed about the areas to be covered under Internal and Concurrent audit and suggested methodology. A written test was conducted at the end of this programme and certificates were issued to successful candidates.

Details of various training programmes conducted by CDSL during July-September 2009 are as follows:

Sr. No.	Particulars	Duration	No. of Programmes conducted	No. of Participants
1	Auditors Training Programme	2 days	8	216
2	Back office Training Programme	1 day	72	2354
3	DP Training Programme	5 days	3	84
4	BSE's Certification on Central Depository (BCCD)	On-line exam	—	337



*Mr. Sulistyo Budi, Head – Information Technology Division of Indonesian Central Securities Depository (KSEI) with CDSL Executive Director, Mr. P. S. Reddy during the visit of KSEI and Indonesia Clearing and Guarantee Corporation (KPEI) in August 2009.*



*CDSL officials with KSEI and KPEI delegates during their visit to Mumbai for 'A Comparative Study' of CDSL depository system.*

#### OVERSEAS BRANCHES OF CVL POS

CDSL, through its wholly owned subsidiary, CDSL Ventures Limited (CVL), has been doing client profiling and record keeping (commonly known as KYC) for Mutual Fund Industry. In order to serve NRI investors, CVL has recently permitted its Point of Service (POS) to open 8 overseas branches in 4 countries namely Kenya, U.K., U.A.E. and Bahrain.

#### INVESTOR AWARENESS PROGRAMS (IAPs)

During July - September 2009, CDSL conducted 17 IAPs, details of which are as follows:

1. On July 4, 2009, IAP was jointly conducted with Cochin Stock Brokers Limited at Ernakulum District, Kerala.
2. On July 4, 2009, IAP was jointly conducted with Bombay Stock Exchange Limited and SKP Securities Limited at Birbhum, West Bengal.

3. On July 4, 2009, IAP was jointly conducted with Saturday Club at Mumbai, Maharashtra.
4. On July 18, 2009, IAP was jointly conducted with Bombay Stock Exchange Limited and DB (International) Stock Brokers Limited at Rewari, Haryana.
5. On July 18, 2009, IAP was jointly conducted with Bank of Baroda at Mumbai, Maharashtra.
6. On July 19, 2009, IAP was jointly conducted with Mumbai Grahak Panchayat at Mumbai, Maharashtra.
7. On August 1, 2009, IAP was jointly conducted with State Bank of India at Chalisagaon, Jalgaon, Maharashtra.
8. On August 9, 2009, IAP was jointly conducted with Bombay Stock Exchange Limited and DB (International) Stock Brokers Limited at Bikaner, Rajasthan.
9. On August 29, 2009, IAP was conducted jointly with Bombay Stock Exchange at and DB (International) Stock Brokers Limited at Haridwar, Uttarakhand.
10. On August 30, 2009, IAP was conducted at Ghatkopar, Mumbai.
11. On August 30, 2009, IAP was conducted jointly with Bombay Stock Exchange Ltd. & DB (International) Stock Brokers Limited at Roorkee, Uttarakhand.
12. On September 6, 2009, IAP was conducted jointly with Sakaal, Marathi newspaper & Reliance Capital Limited at Satara, Maharashtra.
13. On September 12 & 13, 2009, 2 IAPs were conducted jointly with Bombay Stock Exchange Limited and DB (International) Stock Brokers Limited at Solan, Himachal Pradesh.
14. On September 19, 2009, IAP was conducted jointly with State Bank of India at Amravati, Maharashtra.



*IAP organized by CDSL at Chalisgaon, Jalgaon on 01.08.2009 along with State Bank of India which was attended by over 300 investors.*

15. On September 19, 2009, IAP was conducted jointly with Bombay Stock Exchange Limited & Tata Capital Limited at Jamshedpur, Jharkhand.
16. On September 24, 2009, IAP was conducted jointly with Bombay Stock Exchange Limited at Mumbai.

#### **TERMINATION / WITHDRAWAL OF DEPOSITORY PARTICIPANTS OF CDSL**

DPs and BOs are advised to note that the agreement between CDSL and Interface Brokerage and Research Limited, Ahmedabad [DPID 12034300] a DP of CDSL, stands terminated w.e.f. August 26, 2009.

Further, the following DPs have closed down their DP operations: -

1. K G Vora Securities Limited, DPID 12032200
2. OHM Stock Broker Private Limited, DPID 12055500
3. Canara Bank, DPID 13052100
4. Gem Equities and Securities Private Limited, DPID 12046600

Investors are advised to take note of the same and take necessary steps to transfer their securities to another account with a DP of their choice or rematerialize the holdings in their existing demat account/s.

**CDSL DP TRAINING SCHEDULE**  
October 26 - 30, 2009

**CDSL RTA TRAINING SCHEDULE**  
October 8 - 9, 2009

## NEW DPs ACTIVATED DURING JULY - SEPTEMBER 2009

### DP ID – 60200

#### Amol Capital Markets Private Limited

106, Motilal Wadia Building,  
22-D, S.A. Brelvi Road,  
Fort, Mumbai - 400001  
Tel: 022-22855168 / 169  
Fax: 022-22841437  
Email: amolfinan@vsnl.com

### DP ID – 61400

#### Gigantic Securities Limited

15/240-L, Civil Lines,  
Kanpur – 208001, Uttar Pradesh  
Tel: 0512-3071647 / 48  
Fax: 0512-6711033  
Email: sunil.bansal@gigantic.in

### DP ID – 61500

#### Star Finvest Private Limited

311-314, Arunachal Building

19, Barakhamba Road, Connaught Place  
New Delhi - 110001  
Tel: 011-43070700 / 23328111  
Fax: 011-23351305  
Email: star@starinvest.in

### DP ID – 61600

#### Garg Securities Private Limited

1, Gulati Complex  
(In Front of Milkhiram Market)  
Sakchi, Jamshedpur - 831001, Jharkhand  
Tel: 0657-2423265 / 7850  
Fax: 0657-2428342  
Email: gargeq@yahoo.co.in

### DP ID – 61700

#### The Calcutta Stock Exchange Limited

7, Lyons Range, Kolkata – 700001  
West Bengal  
Tel: 033-22104461

Fax: 033-22104500  
Email: dp@cse-india.com

### DP ID – 61800

#### Century Finvest Private Limited

75, 2nd Floor, Vijay Block,  
Near Vikas Marg, Laxmi Nagar  
New Delhi – 110092  
Tel: 011-43054444 / 22043900  
Fax: 011-22519765  
Email: munish@centuryinvest.com

### DP ID – 61900

#### Money Matters Securities Private Limited

4th Floor, 41, Merchant Chambers  
Sir Vithaldas Thackersey Marg  
New Marine Lines, Mumbai – 400020  
Tel: 022-40888100 / 175  
Fax: 022-40888170  
Email: ramgopal.bansal@money-matters.in

## EQUITIES ADMITTED DURING JULY – AUGUST 2009

SR. NO.	ISIN	ISSUER NAME	RTA NAME
1	INE425K01010	AAA Industries Pvt. Ltd.	Kany Computershare Pvt. Ltd.
2	INE428K01014	AAA Infrastructure Consulting & Engineers Pvt. Ltd.	Kany Computershare Pvt. Ltd.
3	INE424K01013	AAA International Capital Pvt. Ltd.	Kany Computershare Pvt. Ltd.
4	INE184K01013	AAR Commercial Company Ltd.	Niche Technologies Pvt. Ltd.
5	INE427K01016	ADA Enterprises and Ventures Pvt. Ltd.	Kany Computershare Pvt. Ltd.
6	INE464K01019	Ankita Knit Wear Ltd.	Bigshare Services Pvt. Ltd.
7	INE185K01010	Arnold Holdings Ltd.	Niche Technologies Pvt. Ltd.
8	INE423K01015	Continental Chemicals Ltd.	Beetal Financial & Computer Services Pvt. Ltd.
9	INE382K01013	ELEL Hotels & Investments Ltd.	The Indian Hotels Company Ltd
10	INE475K01015	EON Aviation Pvt. Ltd.	Adroit Corporate Services Pvt. Ltd.
11	INE383K01011	Excalibur Assets And Capital Management Pvt. Ltd.	The Indian Hotels Company Ltd
12	INE389K01010	Gagandeep Services Ltd	Skyline Financial Services Ltd.
13	INE421K01019	Genesis Finance Company Ltd.	Skyline Financial Services Ltd.
14	INE252K01018	Harringtons Industries Ltd.	Integrated Enterprises (India) Ltd.
15	INE353K01014	India Tourism Development Corporation Ltd	Kany Computershare Pvt. Ltd.
16	INE399K01017	Indiabulls Power Ltd.	Kany Computershare Pvt. Ltd.
17	INE130K01016	Kabirdass Motor Company Ltd	Cameo Corporate Services Ltd.
18	INE476K01013	Marble Finvest Ltd.	Link Intime India Pvt. Ltd.
19	INE483K01019	Marketplace Technologies Pvt. Ltd.	Sharepro Services (I) Pvt. Ltd.
20	INE406K01010	Mundus Hospitality Pvt. Ltd	Amtrac Management Services Ltd.
21	INE474K01018	National Bulk Handling Corporation Ltd.	Kany Computershare Pvt. Ltd.
22	INE429K01012	Premier Energy and Infrastructure Ltd.	Cameo Corporate Services Ltd.
23	INE325H01018	Pyretic Trading & Finance Co. Ltd.	ABS Consultants Pvt. Ltd.
24	INE405K01012	Rajahmundry Godavari Bridge Ltd.	Link Intime India Pvt. Ltd.
25	INE455H01013	Rajathi Finance Ltd.	Link Intime India Pvt. Ltd.
26	INE450K01018	Reliance Cementation Pvt. Ltd.	Kany Computershare Pvt. Ltd.
27	INE426K01018	Reliance Enterprises and Ventures Pvt. Ltd.	Kany Computershare Pvt. Ltd.
28	INE445K01018	Reliance Media World Ltd.	Kany Computershare Pvt. Ltd.
29	INE413K01016	Sabarmati Gas Ltd.	Link Intime India Pvt. Ltd.
30	INE438K01013	Sai Silks (Kalamandir) Ltd.	Bigshare Services Pvt. Ltd.
31	INE472K01012	Sanmar Foundries Ltd.	Integrated Enterprises (India) Ltd.
32	INE249K01014	Sasan Power Ltd.	Kany Computershare Pvt. Ltd.
33	INE385K01016	Sheena Investments Pvt. Ltd	The Indian Hotels Company Ltd
34	INE384K01019	Skydeck Properties & Developers Pvt. Ltd	The Indian Hotels Company Ltd
35	INE471K01014	Som Shiva (Impex) Ltd.	Link Intime India Pvt. Ltd.
36	INE347I01010	Starcom Information Technology Ltd.	Purva Sharegistry India Pvt. Ltd.
37	INE407K01018	Trisha Infrastructure Ltd.	Link Intime India Pvt. Ltd.
38	INE420K01011	Venturbay Consultants Pvt. Ltd.	Link Intime India Pvt. Ltd.
39	INE526H01011	Vinyoflex Ltd.	MCS Ltd.
40	INE390K01017	Vivo Bio Tech Ltd	Aarshi Consultants Pvt. Ltd.
41	INE358K01013	Weitex India Ltd.	XL Softech Systems Ltd.
42	INE390K01016	Welspun Global Brands Ltd.	Link Intime India Pvt. Ltd.
43	INE389K01018	Welspun Investments Ltd.	Link Intime India Pvt. Ltd.

## Social Responsibility Spreading light...The CDSL Way

During the last few years, the employees of CDSL have been making attempts to reach out to the underprivileged sections of society. CDSL employees have financially assisted two schools located in a tribal belt of Karjat, Maharashtra. Mr. Vijay Raut, MD & CEO, CDSL is the Secretary of Adijan Vidya Prasarak Mandal which looks after the day-to-day functioning of these schools located at Diksal and Kashele in Karjat. These schools have currently enrolled 2000 students in various classes from Kindergarten to Standard XII.



*School at Diksal*

Both these schools have posted excellent results in the last SSC and HSC examinations. While, the school at Kashele posted 97.46% results in the SSC examinations and 100% results in the HSC examinations, the school at Diksal posted 79% results in the SSC examinations. These results would



*Kindergarten & Primary School at Diksal*



*School at Kashele*

not have been possible without the efforts of the teachers who conduct extra classes, free of cost. Many students, from the earlier batches, have since graduated and are now suitably employed.

CDSL employees have also conducted medical camps at these schools for the benefit of the surrounding villages. During the last medical camp held at Diksal, a team of 18 eminent doctors from Mumbai, which included Neurologists, Orthopedic Surgeons, Skin Specialists, General Surgeons, Gynecologist, Child Specialists etc. attended to over 450 patients, who took benefit of this free Medical Camp.



*Shri S. S. Thakur, Chairman, CDSL inaugurating the Medical Camp organized by CDSL employees at Diksal, Karjat, Maharashtra.*



## CDSL Regional Offices

### Western Region

#### Ahmedabad Office

Mr. Utpal Shah  
BSE Investor Service Centre,  
B04, Corporate House,  
Opposite Torrent House,  
Near Income Tax,  
Ahmedabad - 380 009,  
Phone : 079 - 32938232  
Email : utpal@cDSLindia.com

### Northern Region

#### New Delhi Office

Mr. Jasdeep Singh Kohli  
514, New Delhi House,  
5th Floor,  
27 Barakhamba Road,  
New Delhi - 110 001.  
Telefax : 011 - 41511917  
Email : jasdeepk@cDSLindia.com

#### Jaipur Office

Mr. Yashwant Gupta  
C/o Jaipur Stock Exchange,  
Room No. 379, 3rd Floor,  
J.L.N. Marg, Mahatma Nagar,  
Jaipur - 302 017.  
Mobile No.: 09314647828  
Email: yashwantg@cDSLindia.com

#### Ludhiana Office

Mr. Indejit Singh  
250, Ludhiana Stock Exchange,  
Feroze Gandhi Market,  
Ludhiana - 141 001.  
Mobile No.: 09872603304  
Email: indejitp@cDSLindia.com

### Eastern Region

#### Kolkata Office

Mr. Mokoy Biswas  
BSE Investor Service Centre  
1st floor, Klahor Bhasan  
17, R. N. Mukherjee Road  
Kolkata - 700 001  
Phone : 033 - 32974880  
Email: mokoyb@cDSLindia.com

### Southern Region

#### Chennai Office

Mr. AR. Vasudevan  
BSE Investor Service Centre,  
4th Floor, Exchange Building,  
No. 11, Second Line Beach,  
Chennai - 600 001.  
Mobile No.: 09381990000  
Email: vasudevan@cDSLindia.com

#### Hyderabad Office

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Building, Himayat Nagar,  
Hyderabad - 600 029.  
Phone: 040 - 30722662  
Email: shivsp@cDSLindia.com

#### Kochi Office

Mr. Shibu Nath T.  
BSE Investor Service Centre,  
4th Floor, M.E.S,  
Dr. P.K. Abdul Gaffoor  
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Judges Avenue, Kalam,  
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