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(DEPOSITORIES AND PARTICIPANTS) REGULATIONS, 1996 – **CODE OF CONDUCT**

**RTA COMMUNIQUÉ 122 DATED 31-12-2003**

REPORT RT21- DEMATERIALISATION SUMMARY

**RTA COMMUNIQUÉ 121 DATED 24-12-2003**

NON-AVAILABILITY OF CDSL SYSTEM

**RTA COMMUNIQUÉ 120 DATED 18-12-2003**

OPERATING FROM DISASTER RECOVERY SITE (DRS) ON DECEMBER 20, 2003

**RTA COMMUNIQUÉ 119 DATED 17-12-2003**

NON-AVAILABILITY OF CDSL SYSTEM

**RTA COMMUNIQUÉ 118 DATED 17-12-2003**

OPERATING FROM DISASTER RECOVERY SITE (DRS)  
ON DECEMBER 20, 2003

**RTA COMMUNIQUÉ 117 DATED 11-12-2003**

NON-AVAILABILITY OF CDSL SYSTEM

**RTA COMMUNIQUÉ 116 DATED 02-12-2003**

CDSL SYSTEM MAINTENANCE ON DECEMBER 6, 2003 (SATURDAY)

**RTA COMMUNIQUÉ 115 DATED 24-11-2003**

DISABLING OF REPORT RT01 AND RT94

**RTA COMMUNIQUÉ 114 DATED 19-11-2003**

HARDWARE SOFTWARE CONFIGURATION

**RTA COMMUNIQUÉ 113 DATED 19-11-2003**

CDSL SYSTEM MAINTENANCE ON NOVEMBER 29, 2003 (SATURDAY)

**RTA COMMUNIQUÉ 112 DATED 19-11-2003**

DETAILS OF ISSUER COMPANIES

**RTA COMMUNIQUÉ 111 DATED 18-11-2003**

CDSL SYSTEM MAINTENANCE ON NOVEMBER 23, 2003 (SUNDAY)

**RTA COMMUNIQUÉ 110 DATED 18-11-2003**

HARDWARE SOFTWARE CONFIGURATION

**RTA COMMUNIQUÉ 109 DATED 18-11-2003**

STOCKINVEST SCHEME

**RTA COMMUNIQUÉ 108 DATED 13-11-2003**

NAMING CONVENTION OF REPORT RT25 (BO VERIFICATION FILE)

**RTA COMMUNIQUÉ 107 DATED 10-11-2003**

CDSL SYSTEM MAINTENANCE ON NOVEMBER 16, 2003 (SUNDAY)

**RTA COMMUNIQUÉ 106 DATED 31-10-2003**

CDSL SYSTEM MAINTENANCE ON NOVEMBER 2, 2003 (SUNDAY)

**RTA COMMUNIQUÉ 105 DATED 29-10-2003**

NON AVAILABILITY OF CDSL SYSTEM DUE TO SYSTEM UPGRADATION

**RTA COMMUNIQUÉ 104 DATED 20-10-2003**

BOID SPLIT INTO (8 + 8 DIGITS)

**RTA COMMUNIQUÉ 103 DATED 16-10-2003**

NON-AVAILABILITY OF CDSL SYSTEM ON OCTOBER 19, 2003 (SUNDAY)

**RTA COMMUNIQUÉ 102 DATED 06-10-2003**

Amendments to Securities and Exchange Board of India  
(Depositories and Participants) Regulations, 1996

**RTA COMMUNIQUÉ 101 DATED 20-09-2003**

Disruption in VSAT services

**RTA COMMUNIQUÉ 100 DATED 21-08-2003**

New Features in Demat Module

**RTA COMMUNIQUÉ 99 DATED 14-08-2003**

Printing Mnemonic on Stationery Used For Communications With Investors

**RTA COMMUNIQUÉ 98 DATED 13-08-2003**

Printing Mnemonic on Stationery Used For Communications With Investors

**RTA COMMUNIQUÉ 97 DATED 12-08-2003**

Email IDs of Issuer Companies

**RTA COMMUNIQUÉ 96 DATED 06-08-2003**

Non-Availability of CDSL System on Friday, 15.08.2003

**RTA COMMUNIQUÉ 95 DATED 04-08-2003**

Important Release on 08-08-2003

**RTA COMMUNIQUÉ 94 DATED 04-08-2003**

Details of Compliance Officer and Authorised Signatories

**RTA COMMUNIQUÉ 93 DATED 31-07-2003**

Hardware/Software Configuration

**RTA COMMUNIQUÉ 92 DATED 30.07-2003**

System Availability on Friday, 01.08.2003

**RTA COMMUNIQUÉ 91 DATED 18-07-2003**

Important Release - Friday, July 25, 2003

**RTA COMMUNIQUÉ 90 DATED 17-07-2003**

ECS Details For Making Interest / Dividend Payments

**RTA COMMUNIQUÉ 89 DATED 16-07-2003**

Amendments to Operating Instructions

**RTA COMMUNIQUÉ 88 DATED 16-07-2003**

CDSL System Maintenance on Sunday, July 27, 2003

**RTA COMMUNIQUÉ 87 DATED 23-06-2003**

VSAT shifting charges for RTAs

**RTA COMMUNIQUÉ 86 DATED 17-06-2003**

Issuance of RTA communiqués through CDAS front end system

**RTA COMMUNIQUÉ 85 DATED 17-06-2003**

Change in name of Depository Participant

**RTA COMMUNIQUÉ 84 DATED 17-06-2003**

Change of RTA

**RTA COMMUNIQUÉ 83 DATED 17-06-2003**

CDSL System Maintenance on Sunday, June 22, 2003

**RTA COMMUNIQUÉ 82 DATED 11-06-2003**

Report RT01 and RT06

**RTA COMMUNIQUÉ 81 DATED 03-06-2003**

Hardware software configuration

**RTA COMMUNIQUÉ 80 DATED 23-05-2003**

CDSL system maintenance on second Sunday of every month

**RTA COMMUNIQUÉ 79 DATED 20-05-2003**

Hardware software configuration

**RTA COMMUNIQUÉ 78 DATED 15-05-2003**

Report RT97

**RTA COMMUNIQUÉ 77 DATED 14-05-2003**

1.Change of RTA

**RTA COMMUNIQUÉ 76 DATED 30-04-2003**

Annual issuer fees

**RTA COMMUNIQUÉ 75 DATED 28-04-2003**

New status "DR"

**RTA COMMUNIQUÉ 74 DATED 10-04-2003**

1.Network maintenance on 11-04-2003

**RTA COMMUNIQUÉ 73 DATED 10-04-2003**

Amendments to Bye laws

**RTA COMMUNIQUÉ 72 DATED 05-04-2003**

Details of compliance officer and list of authorised signatories

**RTA COMMUNIQUÉ 71 DATED 24-03-2003**

Purging of requests setup for report RT21

**RTA COMMUNIQUÉ 70 DATED 19-03-2003**

Appointment of common agency for share transfer work

**RTA COMMUNIQUÉ 69 DATED 13-03-2003**

Convenient upload inquiry

**RTA COMMUNIQUÉ 68 DATED 03-03-2003**

CDSL system maintenance on 09-03-2003

**RTA COMMUNIQUÉ 67 DATED 03-03-2003**

Transfer cum demat of shares

**RTA COMMUNIQUÉ 66 DATED 03-03-2003**

Withdrawal of pending demat requests and account closure

**RTA COMMUNIQUÉ 65 DATED 28-02-2003**

Amendments to operating procedures – Charges relating

**RTA COMMUNIQUÉ 64 DATED 18-02-2003**

Delay in demat of shares

**RTA COMMUNIQUÉ 63 DATED 18-02-2003**

Appointment of common agency for share registry work

**RTA COMMUNIQUÉ 62 DATED 18-02-2003**

1. Clarification on SEBI circulars 16 & 17

**RTA COMMUNIQUÉ 61 DATED 17-02-2003**

V-sat cancellation / shifting charges

**RTA COMMUNIQUÉ 60 DATED 12-02-2003**

Processing of corporate action files

**RTA COMMUNIQUÉ 59 DATED 25-01-2003**

Download of report RT97

**RTA COMMUNIQUÉ 58 DATED 23-01-2003**

Reconciliation of the admitted, issued and listed capital

**RTA COMMUNIQUÉ 57 DATED 21-01-2003**

V-sat maintenance activity

**RTA COMMUNIQUÉ 56 DATED 14-01-2003**

Simultaneous transfer and dematerialisation of securities – submission of quarterly certificate

**RTA COMMUNIQUÉ 55 DATED 14-01-2003**

Appointment of common agency for share registry work

**RTA COMMUNIQUÉ 54 DATED 01-01-2003**

SECRETARIAL AUDIT

**RTA COMMUNIQUÉ 53 DATED 31-12-2002**

APPOINTMENT OF COMMON AGENCY FOR SHARE REGISTRY WORK

**RTA COMMUNIQUÉ 52 DATED 20-12-2002**

Connectivity relating

**RTA COMMUNIQUÉ 51 DATED 13-12-2002**

REJECTION OF DEMAT REQUESTS

**RTA COMMUNIQUÉ 50 DATED 09-11-2002**

CHANGE IN TELEPHONE NUMBERS

**RTA COMMUNIQUÉ 49 DATED 12-12-2002**

ON-LINE INTER-DEPOSITORY TRANSFER (OLIDT) TRANSACTIONS

**RTA COMMUNIQUÉ 48 DATED 08-11-2002**

Debt admission compulsory in both the depositories.

**RTA COMMUNIQUÉ 47 DATED 07-11-2002**

Indemnity for securities lost in transit

**RTA COMMUNIQUÉ 46 DATED 29-10-2002**

Admission of securities

**RTA COMMUNIQUÉ 45 DATED 24-09-2002**

Fees for allotment of Pass through certificates

**RTA COMMUNIQUÉ 44 DATED 20-09-2002**

Processing of IPO files  
Report pulling procedure

**RTA COMMUNIQUÉ 43 DATED 12-09-2002**

Facility of incremental database update

**RTA COMMUNIQUÉ 42 DATED 14-08-2002**

Issuance of RTA communiqués through CDAS front end system

**RTA COMMUNIQUÉ 41 DATED 08-07-2002**

ECS details for making Interest / dividend payments

**RTA COMMUNIQUÉ 40 DATED 28-06-2002**

CDSL system upgradation on 30-06-2002 and 06-07-2002

### **RTA COMMUNIQUÉ 39 DATED 25-06-2002**

Reports RT02 and RT39

### **RTA COMMUNIQUÉ 38 DATED 29-05-2002**

Reports RT02 and RT39

### **RTA COMMUNIQUÉ 37 DATED 29-05-2002**

New status "DR"

### **RTA COMMUNIQUÉ 36 DATED 22-05-2002**

Amendments to Operating Instructions (Chapter 9 – Costs, Charges and Deposits relating)

### **RTA COMMUNIQUÉ 35 DATED 11-04-2002**

CDSL system upgradation on 13-04-2002

### **RTA COMMUNIQUÉ 34 DATED 22-03-2002**

Availability of CDSL system on 23-03-2002

### **RTA COMMUNIQUÉ 33 DATED 12-03-2002**

Upload of demat requests confirmation file

### **RTA COMMUNIQUÉ 32 DATED 08-03-2002**

1. Non availability of CDSL system on 23-03-2002 to 25-03-2002
2. Master creation form for debt instruments

### **RTA COMMUNIQUÉ 31 DATED 04-03-2002**

1. Non availability of CDSL system on 23-03-2002 to 25-03-2002

### **RTA COMMUNIQUÉ 30 DATED 04-03-2002**

1. V-sat connectivity

### **RTA COMMUNIQUÉ 29 DATED 27-02-2002**

1. Upload of demat request confirmation file

### **RTA COMMUNIQUÉ 28 DATED 18-02-2002**

1. Purging of demat data prior to 01-01-2001
2. SEBI Press release on the meeting of working group on dematerialisation
3. Upload of demat requests confirmation file

### **RTA COMMUNIQUÉ 27 DATED 21-11-2001**

1. Inter city lease line connections

### **RTA COMMUNIQUÉ 26 DATED 30-11-2001**

1. Penalty for delay in demat of shares – SEBI circular
2. Bank details
3. Procedure for change of RTA

### **RTA COMMUNIQUÉ 25 DATED 07-11-2001**

1. Charges for retrieval of old data
2. Amendments to Operating Instructions for RTA's in respect of commercial paper

### **RTA COMMUNIQUÉ 24 DATED 27-09-2001**

1. Rejected demat requests
2. Amendments to Operating Instructions
3. Procedure for transposition cum dematerialisation

### **RTA COMMUNIQUÉ 23 DATED 08-09-2001**

1. Amendments in the Operating Instructions
2. Demat of shares
3. Reports – RT02 & RT39
4. Signature download – RT97

### **RTA COMMUNIQUÉ 22 DATED 16-08-2001**

1. Non availability of CDS system on September 1, 2001 due to release of revised demat module.
2. Charges for reinstallation of application software

### **RTA COMMUNIQUÉ 21 DATED 29-07-2001**

1. Amendments to Issuer/RTA's Operating Instructions
  - a) Transposition cum dematerialisation
  - b) Commercial paper
2. CDS Website relaunch
3. ECS details
4. Report RT95
5. Indemnity for shares lost in transit
6. Telephonic enquiries

### **RTA COMMUNIQUÉ 20 DATED 09-04-2001**

1. Termination of Century Consultants Ltd., a DP of CDS

### **RTA COMMUNIQUÉ 19 DATED 31-03-2001**

1. Suspension of Century Consultants Ltd., a DP of CDS
2. Enhancing the performance of the RTA Front End System
3. Compliance with regulation 54(5) of SEBI Depositories and participants regulations, 1996
4. VSAT Shifting/Cancellation charges

### **RTA COMMUNIQUÉ 18 DATED 26-02-2001**

1. Delay in demat confirmation
2. Listing of freshly issued securities at the stock exchanges

### **RTA COMMUNIQUÉ 17 DATED 09-01-2001**

1. Non availability of CDS system on 26, 27, 28<sup>th</sup> January 2001 due to system upgrade

### **RTA COMMUNIQUÉ 16 DATED 01-12-2000**

1. Indemnity for shares lost in transit

### **RTA COMMUNIQUÉ 15 DATED 22-11-2000**

1. Reports – RT06, RT21
2. Bye law amendments
3. Signature downloads
4. Amendments to Operating Instructions

### **RTA COMMUNIQUÉ 14 DATED**

1. Changes in CDS system reports

### **RTA COMMUNIQUÉ 13 DATED 06-10-2000**

1. Beneficial Owner Accounts for more than 3 holders
2. Transfer cum demat of shares

### **RTA COMMUNIQUÉ 12 DATED 28-08-2000**

1. Amendments to operating procedures
  - a) Chapter on Costs, Charges and Deposits

### **RTA COMMUNIQUÉ 11 DATED 10-08-2000**

1. New version release of CDAS
2. New lock-in reason codes

### **RTA COMMUNIQUÉ 10 DATED 31-05-2000**

1. Issue of pari-pasu shares
2. Corporate action set up
3. Conversion of non pari-pasu ISIN to pari-pasu ISIN
4. Correspondence from Branch Depository Participants

### **RTA COMMUNIQUÉ 09 DATED 18-05-2000**

1. Simultaneous transfer and dematerialisation of securities
2. Issue off pari-pasu shares

### **RTA COMMUNIQUÉ 08 DATED 04-04-2000**

1. Simultaneous transfer and dematerialisation of securities
2. Issue off pari-pasu shares

### **RTA COMMUNIQUÉ 07 DATED 28-03-2000**

1. Beneficial Owner Holding file
2. Setup of Corporate actions

3. Purging of Reports on CDS system
4. Simultaneous transfer and dematerialisation of securities

### **RTA COMMUNIQUÉ 06A DATED 02-03-2000**

1. Simultaneous transfer and dematerialisation of securities
2. Issue off pari-pasu shares

### **RTA COMMUNIQUÉ 06 DATED 02-03-2000**

1. Simultaneous transfer and dematerialisation of securities
2. Issue off pari-pasu shares

### **RTA COMMUNIQUÉ 05 DATED 19-01-2000**

1. Certificate under regulation 54(5) of the SEBI (Depositories and Participants) Regulation, 1996

### **RTA COMMUNIQUÉ 04 DATED 24-12-1999**

1. Year 2000 activities to be performed by Issuers/RTAs

### **RTA COMMUNIQUÉ 03 DATED 04-10-1999**

1. Daily reconciliation of ISIN balances

### **RTA COMMUNIQUÉ 01 DATED 25-05-1999**

1. Delay in processing of demat requests

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