



CDSL Infoline



CDSL is ISO 27001 certified

SMS ALERTS (SMART) FACILITY FOR CDSL DEMAT ACCOUNT HOLDERS

CDSL is pleased to announce the launch of a SMS alert (SMART) facility w.e.f. October 01, 2007, whereby Beneficial Owners (BOs) in CDSL will receive SMS alerts pertaining to debits and IPO / Corporate Action credits in their demat accounts.

To avail this facility, BOs should register their mobile numbers with their Depository Participants (DPs). The detailed procedure for registration for the SMART facility will be communicated to DPs shortly.

EMAIL ID FOR DP QUERIES

With a view to ensure that DP related queries get resolved quickly, CDSL had created a dedicated Email ID: helpdesk@cdslindia.com exclusively for receiving queries from DPs.

All DPs are requested to email their queries through the above mentioned Email ID.

INAUGURATION OF HYDERABAD BRANCH OFFICE

On August 14, 2007, CDSL inaugurated its branch office at Hyderabad. The address & the contact details of the office are as follows:

Mr. Shiva Prasad
Central Depository Services (India) Limited,
No.5, 1st Floor, 3-6-275,
The Hyderabad Stock Exchange Building,
Himayat Nagar, Hyderabad - 500 029.
Ph.No.9347033350.
Email: shivap@cdslindia.com

COMPLIANCE TRAINING PROGRAMME FOR INTERNAL & CONCURRENT AUDITORS OF DPs

To enhance the quality of internal audit reports, CDSL has decided that, at least one person conducting the internal

and/or concurrent audit (risk prone areas) of the DP should be BCCD certified or must participate in a training program conducted by CDSL. This requirement would be mandatory with effect from the internal audit for the half-year ended 30th September 2007 and concurrent audit of October 2007.

To assist internal as well as concurrent auditors fulfill this requirement, CDSL will be conducting a training program on compliance for auditors. The next such program will be conducted on September 13-14, 2007, from 9.30 am to 5.30 pm.

AGGREGATE VALUE OF PORTFOLIO OF SECURITIES & WAREHOUSE RECEIPTS OF THE BENEFICIAL OWNERS

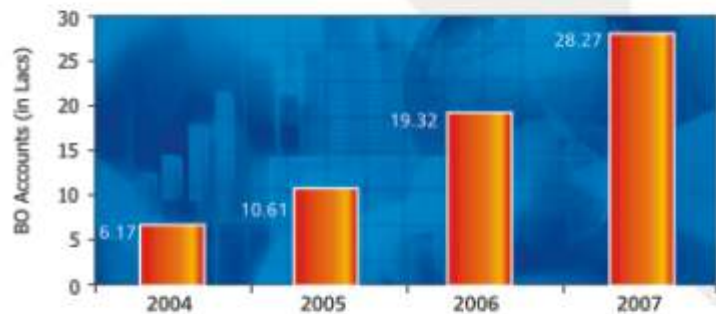
In terms of Regulation 19 (a) (viii) of SEBI (Depositories and Participants) Regulations, 1996 the aggregate value of the portfolio of securities of the beneficial owners held in dematerialized form in a depository through a Stock Broker DP, shall not exceed 100 times of the net worth of the stock broker. Further, where the stockbroker has a minimum net worth of Rupees Ten Crore, the limits on the aggregate value of the portfolio of securities of the beneficial owners, held in dematerialized form in a depository through him, shall not be applicable.

In accordance with SEBI's direction, the value of warehouse receipts held by the beneficial owners in dematerialized form through a Stock Broker will now be taken into consideration while calculating the total permissible custodial limit for Stock Broker DPs.

CDSL TODAY

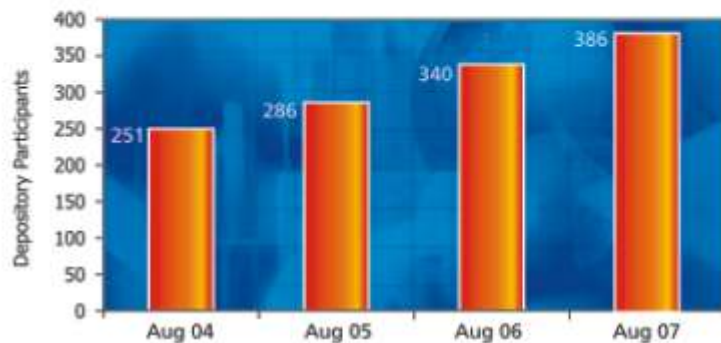
Beneficial Owners

During the last 12 months, the number of active BO accounts with CDSL has increased by 46 % from 19.32 lakh on August 31, 2006 to 28.27 lakh on August 31, 2007.



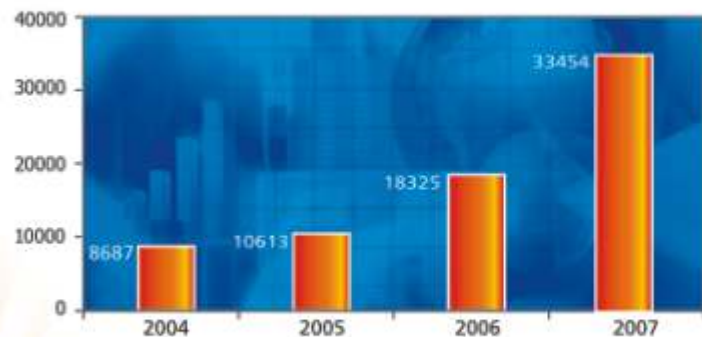
Depository Participants

As on August 31, 2007, CDSL has 386 DPs offering live DP services from 650 locations in 123 cities/towns across the country.



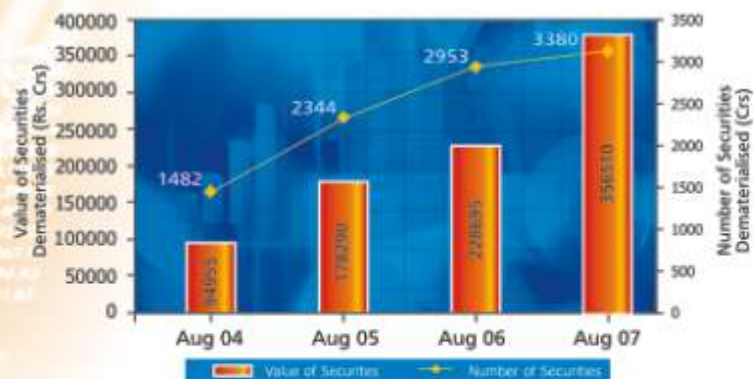
Securities Admitted

As on August 31, 2007, CDSL has admitted 33464 securities of which 5222 are equity, 4430 are debt instruments and 23812 are other securities, which include Government Securities, Commercial Papers, Certificates of Deposit and Units of Mutual Funds.



Securities Dematerialised

During the last 12 months, the volume and value of securities under custody have increased by 15% and 56% respectively.



COMPANIES THAT HAVE CHANGED THEIR NAMES DURING JULY-AUGUST 2007

Sr. No.	ISIN	Old Name	New Name
1	INE323B01016	ALLIANZ CAPITAL & MANAGEMENT SERVICES LTD.	ALMONDZ CAPITAL & MANAGEMENT SERVICES LTD.
2	INE866E01018	AMRIT BANASPATI COMPANY LTD.	AMRIT CORP LTD.
3	INE365D01013	ASHIANA HOUSING AND FINANCE (I) LTD.	ASHIANA HOUSING LTD.
4	INE116D01028	B A G FILMS LTD.	B A G FILMS AND MEDIA LTD.
5	INE662B01017	CENTURY LAMINATING COMPANY LTD.	MERINO INDUSTRIES LTD.
6	INE531F01015	EDELWEISS SECURITIES PVT. LTD.	EDELWEISS SECURITIES LTD.
7	INE250H01018	ESSAR SPACETEL LTD.	VODAFONE ESSAR SPACETEL LTD.
8	INE983D01013	FORD CREDIT KOTAK MAHINDRA LTD.	FORD AUTOMOTIVE FINANCE COMPANY INDIA PVT. LTD.
9	INE030A01027	HINDUSTAN LEVER LTD.	HINDUSTAN UNILEVER LTD.
10	INE140C01012	IFB SECURITIES LTD.	SIDDHA VENTURES LTD.
11	INE922D01011	INNOVA HEALTH SYSTEMS LTD.	TRINETHRA INFRA VENTURES LTD.
12	INE091G01018	JAI BALAJI SPONGE LTD.	JAI BALAJI INDUSTRIES LTD.
13	INF137A01011	JM MUTUAL FUND	JM FINANCIAL MUTUAL FUND
14	INE319B01014	KOTAWALA (INDIA) LTD.	SUAVE HOTELS LTD.
15	INE511C01014	MAGMA LEASING LTD.	MAGMA SHRACHI FINANCE LTD.
16	INE614G01017	RELIANCE ENERGY GENERATION LTD.	RELIANCE POWER LTD.
17	INE219G01015	SIRO PLAST LTD.	MAHINDRA COMPOSITES LTD.
18	INE238A01026	UTI BANK LTD.	AXIS BANK LTD.
19	INE219F01017	VIDEOCON COMMUNICATIONS LTD.	TREND ELECTRONICS LTD.
20	INE947B01012	VISION SOFT LTD.	EXENSYS SOFTWARE SOLUTIONS LTD.
21	INE183H01011	XL TELECOM LTD.	XL TELECOM & ENERGY LTD.

NEW DPs ACTIVATED DURING JULY-AUGUST 2007

DP ID-50400

Chona Financial Services Pvt. Ltd.
No. 46 (Old No.19),
Prakasam Street
T Nagar, Chennai-600017
Tel:044-42042222
Fax:044-28342195
Email:demat@chona.com

DP ID-50500

Arch Finance Ltd.
84, 2nd Floor, Daryaganj
New Delhi-110002
Tel:011-23259950
Fax:011-23245077
Email:archfin@archfin.com

DP ID-50600

Syndicate Bank
Syndicate Bank Building
2nd Floor, 26, P M Road
Fort, Mumbai-400005
Tel:022-22154232/4233/4175
Fax:022-22154133
Email:fimits@syndicatebank.co.in

DP ID-50700

Varun Capital Services Ltd.
F-301, 3rd Floor, Ashish 3,
Central Market, Surajmal Vihar,
Delhi-110092
Tel:011-22376022
Fax:011-42518143
Email:vikasrca@yahoo.com

DP ID-50800

Equi-Derive India Pvt. Ltd.
Ideal Plaza, 3rd Floor, Suite N316
11/1, Sarat Bose Road
Kolkata-700020
Tel:033-22902100-07
Fax:033-22896643
Email:rajesh@ssdscurities.in

DP ID-50900

Indo Thai Securities Ltd.
14, 4th Floor, Dawa Bazar
R N T Marg, Indore-452001
Tel:0731-2705420-22
Fax:0731-2705423
Email:anuragsaxena2000@yahoo.com

DP ID-51000

Mithun Securities Pvt. Ltd.
1224/5, 1st Floor, Suchet Chambers
Naiwala Bank Street, Karol Bagh
New Delhi-110005
Tel:011-41548522-25
Fax:011-28754997
Email:mithunsecurities@yahoo.com

DP ID-51100

Religare Finvest Ltd.
Right Wing, 3rd Floor
Devika Tower, 6, Nehru Place
New Delhi-110019
Tel:011-3015100/66562200
Fax:011-66325287
Email:depository@religare.in

DP ID-51200

Narayan Securities Pvt. Ltd.
2nd Floor, 70 Janpath
New Delhi-110001
Tel:011-30420301-10
Fax:011-30420309
Email:nspl@narayansecurities.com

DP ID-51300

United Bank of India
United Demat Cell
1st Floor
11, Hemanta Basu Sarani
(Old Court House Street Branch)
Kolkata-700001
Tel:033-22482471/22310513
Fax:033-22482471
Email:demat@unitedbank.co.in

DP ID-51400

B N Rathi Securities Ltd.
6-3-652, Kautilya,
Amrutha Estates,
Somajiguda,
Hyderabad - 500 082
Tel: 040-30527777
Fax: 040-30526283
Email:mvrao@bnrathi.com

EQUITIES ADMITTED DURING JULY-AUGUST 2007

ISIN No	Issuer Name	RTA Name
INE355H01015	A V Cottex Ltd.	Beetal Financial & Computer Services (P) Ltd.
INE236I01015	Acme Tele Power Ltd.	Kary Computershare Pvt Ltd.
INE356I01011	Ahmedabad Stock Exchange Ltd.	Kary Computershare Pvt Ltd.
INE408I01010	Ajanta Paper & General Products Ltd.	3i Infotech Ltd.
INE335I01015	Allied Computers International (Asia) Ltd.	Bigshare Services Pvt. Ltd.
INE385I01010	Alpa Laboratories Ltd.	Bigshare Services Pvt. Ltd.
INE416I01013	Aparna Infrahousing Pvt. Ltd.	CIL Securities
INE372I01018	Ashnoor Textile Mills Ltd.	Intime Spectrum Registry Ltd.
INE512I01019	Associated Hotesl Ltd.	Adroit Corporate Services
INE020D01014	Baid Leasing And Finance Company Ltd.	MCS Ltd.
INE506I01011	Bangalore Stock Exchange Ltd.	Alpha Systems Pvt. Ltd.
INE491I01016	BPTP Ltd.	Kary Computershare Pvt Ltd.
INE320I01017	Brahmaputra Consortium Ltd.	Intime Spectrum Registry Ltd.
INE429I01016	Consolidated Construction Consortium Ltd.	Kary Computershare Pvt Ltd.
INE390I01010	Coxswain Technologies Ltd.	Cameo Corporate Services Ltd.
INE406H01016	Dhanus Technologies Ltd.	Cameo Corporate Services Ltd.
INE451H01012	Emar MGF Land Pvt. Ltd.	RCMC Share Registry (P) Ltd.
INE546I01017	ETT Ltd.	Beetal Financial & Computer Services (P) Ltd.
INE871H01011	GSS America Infotech Ltd.	Bigshare Services Pvt. Ltd.
INE487I01014	Guna Infrastructure Ltd.	Sharex Dynamic (India) Pvt. Ltd.
INE230I01018	Hawa Engineers Ltd.	Pinnacle Share Registry Pvt. Ltd
INE299I01013	India Forge & Drop Stampings Ltd.	Integrated Enterprises (India) Ltd.
INE227G01018	Indowind Energy Ltd.	Bigshare Services Pvt. Ltd.
INE367I01018	Indu Projects Ltd.	CIL Securities
INE414I01018	IVR Prime Urban Developers Ltd.	Kary Computershare Pvt Ltd.
INE668F01023	Jyothy Laboratories Ltd.	Intime Spectrum Registry Ltd.
INE455I01011	Kaveri Seed Company Ltd.	Bigshare Services Pvt. Ltd.
INE415I01015	Kiri Dyes And Chemicals Ltd.	Cameo Corporate Services Ltd.
INE406I01014	Koutons Retail India Ltd.	Kary Computershare Pvt Ltd.
INE389I01012	Madras Stock Exchange Ltd.	Bigshare Services Pvt. Ltd.
INE387I01016	Magnum Ventures Ltd.	Bigshare Services Pvt. Ltd.
INE914H01019	Mangal Keshav Holdings Ltd.	Sharex Dynamic (India) Pvt. Ltd.
INE209I01012	Mani Market Creators Ltd.	Intime Spectrum Registry Ltd.
INE369I01014	Maytas Infra Ltd.	Kary Computershare Pvt Ltd.
INE365I01012	N B I Industrial Finance Company Ltd.	Maheshwari Datamatics Pvt. Ltd.
INE245I01016	Nagreeka Capital & Infrastructure Ltd.	Maheshwari Datamatics Pvt. Ltd.
INE048I01014	Neutral Publishing House Ltd.	C B Management Services Pvt. Ltd.
INE368I01016	Niraj Cement Structurals Ltd.	Intime Spectrum Registry Ltd.
INE800H01010	Omaxe Ltd.	Intime Spectrum Registry Ltd.
INE522I01018	Panchavati Projects Pvt. Ltd.	CIL Securities
INE370I01012	PFH Entertainment Ltd.	Intime Spectrum Registry Ltd.
INE600F01018	PFL Infotech Ltd.	Bigshare Services Pvt. Ltd.
INE486I01016	Phaarmasia Ltd.	Venture Capital and Corporate Invsts Ltd
INE386I01018	Porwal Auto Components Ltd.	Intime Spectrum Registry Ltd.
INE423I01019	R V Investment & Dealers Ltd.	C B Management Services Pvt. Ltd.
INE407I01012	Reliance Asset Reconstruction Company Ltd	Kary Computershare Pvt Ltd.
INE141I01017	Reliance Telecom Infrastructure Ltd.	Kary Computershare Pvt Ltd.
INE233G01016	S S Forgings Engineering Ltd.	Purva Sharegistry India Pvt. Ltd.
INE320H01019	Saamya Biotech (India) Ltd.	Aarthi Consultants Pvt. Ltd.
INE346I01012	Shantha Biotechnics Ltd.	Kary Computershare Pvt Ltd.
INE964H01014	Shriram EPC Ltd.	Integrated Enterprises (India) Ltd.
INE344I01017	Sonal Adhesives Ltd.	System Support Services
INE384I01013	Speech And Software Technologies (India) Pvt Ltd	TSR Darashaw Ltd.
INE528I01015	Spray Engineering Devices Ltd.	Intime Spectrum Registry Ltd.-New Delhi
INE305I01018	Techno Forge Ltd.	Intime Spectrum Registry Ltd.
INE473I01014	Teledata Marine Solutions Ltd.	Cameo Corporate Services Ltd.
INE391I01018	Teledata Technology Solutions Ltd.	Cameo Corporate Services Ltd.
INE510I01013	The Calcutta Stock Exchange Association Ltd	C B Management Services Pvt. Ltd.
INE275I01021	The Delhi Stock Exchange Association Ltd.	Abhipra Capital Ltd.
INE314I01010	Trimurthi Drugs & Pharmaceuticals Ltd.	Venture Capital and Corporate Invsts Ltd
INE474I01012	Tulsi Extrusions Ltd.	Intime Spectrum Registry Ltd.
INE357I01019	Uma Precision Ltd.	Kary Computershare Pvt Ltd.
INE366I01010	VRL Logistics Ltd.	Kary Computershare Pvt Ltd.

DPs EMPANELLED BY MCX

MCX has empanelled the following DP for opening of accounts for commodities and holding warehouse receipts in electronic Form.

Sr.No.	Name of DP
1	Abhipra Capital Limited
2	Alankit Assignments Limited
3	Anand Rathi Securities Private Limited
4	Angel Broking Limited
5	Apollo Sindhoori Capital Investment Limited
6	Ashika Stock Broking Limited
7	BCB Brokerage Private Limited
8	BLB Limited
9	BOI Shareholding Limited
10	Bonanza Portfolio Limited
11	DJS Stock & Shares Limited
12	Dynamic Equities Private Limited
13	East India Securities Limited
14	Geojit Financial Services Limited
15	Globe Capital Market Limited
16	HDFC Bank Limited
17	Indiabulls Securities Limited
18	IndusInd Bank Limited
19	Infrastructure Leasing & Financial Services Limited
20	Insight Share Brokers Limited
21	Jaypee Capital Services Limited
22	JRG Securities Limited
23	Karvy Stock Broking Limited
24	Kotak Securities Limited
25	Kunvarji Finstock Private Limited
26	Man Financial – SIFY Securities India Private Limited
27	Motilal Oswal Securities Limited
28	Network Stock Broking Limited
29	Oriental Bank of Commerce
30	Reliance Capital Limited
31	Religare Securities Limited
32	Sam Global Securities Limited
33	Sharekhan Limited
34	UTI Bank Limited
35	Vogue Commercial Co. Limited

DPs EMPANELLED BY NCDEX

NCDEX has empanelled the following DPs for opening of accounts for commodities and holding warehouse receipts in electronic form.

Sr.No.	Name of DP
1	Abhipra Capital Limited
2	Anand Rathi Securities Private Limited
3	Angel Broking Limited
4	Alankit Assignments Limited
5	Apollo Sindhoori Capital Investments Limited
6	Ashika Stock Broking Limited
7	Asit C Mehta Investment Intermediates Ltd.
8	Bank of India
9	BCB Brokerage Private Limited
10	BLB Limited
11	Bonanza Portfolio Limited
12	Dalmia Securities Limited
13	Dynamic Equities Private Limited
14	East India Securities Limited
15	Emkay Share and Stock Brokers Limited
16	Geojit Financial Services Limited
17	Globe Capital Market Limited
18	HDFC Bank Limited
19	ICICI Bank Limited
20	Indiabulls Securities Limited
21	Indus Ind Bank Limited
22	Infrastructure Leasing & Financial Services Limited
23	Insight Share Brokers Limited
24	Inter-connected Stock Exchange of India Limited
25	Jaypee Capital Services Limited
26	JRG Securities Limited
27	Jyoti Jain Investment & Finance Company Private Limited
28	K. Damani Securities Private Limited
29	Karvy Stock Broking Limited
30	Kotak Securities Limited
31	Man Financial – SIFY Securities India Private Limited
32	Marwadi Shares and Finance Private Limited
33	Motilal Oswal Securities Limited
34	Network Stock Broking Limited
35	Nirmal Bang Securities Private Limited
36	Oriental Bank of Commerce
37	R. B. K. Share Broking Limited
38	Religare Securities Limited
39	SAM Global Securities Limited
40	SKP Securities Limited
41	Stock Holding Corporation of India Limited
42	The Bank of Rajasthan Limited
43	UTI Bank Limited
44	Vogue Commercial Co. Ltd.
45	Zuari Investments Limited

DPs Registered for Easiest

SRN	DP_NAME	SRN	DP_NAME	SRN	DP_NAME
1	A S STOCK BROKING & MANAGEMENT PVT. LTD.	52	EXPONENTIAL FINANCIAL SERVICES PVT LTD	102	ORIENTAL BANK OF COMMERCE
2	A.C.CHOKSI SHARE BROKERS PVT. LTD.	53	EXPRESS SECURITIES PVT LTD	103	PADMAKSHI FINANCIAL SERVICES LTD
3	AJAY NATAVARLAL SECURITIES PVT LTD	54	FE SECURITIES PVT LTD	104	PARSOLI CORPORATION LTD
4	ALANKIT ASSIGNMENTS LTD	55	FINANCE MONITOR (INDIA) PVT LTD	105	PASHUPATI CAPITAL SERVICES PVT LTD
5	ALDAN INVESTMENTS PVT. LTD.	56	GANDHI SECURITIES AND INVESTMENT PVT LTD	106	PCS SECURITIES LTD
6	ANAND RATHI SECURITIES LTD.	57	GLOBE CAPITAL MARKET LTD	107	PRABHAT FINANCIAL SERVICES LTD
7	ANDHRA BANK	58	HDFC BANK LTD	108	PRABHUDAS LILLADHER PVT LTD
8	ANGEL BROKING LTD	59	HEM SECURITIES LTD	109	PRABODH ARTHA WARDHINI PVT LTD
9	APEX STOCK BROKERS PVT LTD	60	ICICI BANK LTD	110	PRATIBHUTI VINIYOG LTD
10	ARCADIA SHARE & STOCK BROKERS PVT LTD	61	INA STOCK BROKING CO. PVT. LTD.	111	PROGRESSIVE SHARE BROKERS PVT LTD
11	ARCH FINANCE LTD	62	INDUSIND BANK LTD	112	PSE SECURITIES LTD
12	ARJ SECURITIES PVT LTD	63	INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD	113	R L P SECURITIES PVT LTD
13	ASHIKA STOCK BROKING LTD	64	INNOVATE SECURITIES PVT LTD	114	R R S SHARES & STOCK BROKERS PVT LTD
14	ASIAN MARKETS SECURITIES PVT. LTD	65	INTER-CONNECTED STOCK EXCHANGE OF INDIA LTD	115	RAJVI STOCK BROKING LTD
15	ASIT C. MEHTA INVESTMENT INTERMEDIATES LTD	66	INTERFACE BROKERAGE AND RESEARCH LTD	116	SAAKETA CONSULTANTS LTD
16	ASSET ALLIANCE SECURITIES PVT LTD	67	J G SHAH FINANCIAL CONSULTANTS PVT. LTD	117	SAKRIPA SECURITIES LTD
17	AXIS BANK LTD	68	JAINAM SHARE CONSULTANTS PVT LTD	118	SAM GLOBAL SECURITIES LTD
18	B D SHAH SECURITIES LTD	69	JANATA SAHAKARI BANK LTD., PUNE	119	SDFC SECURITIES LTD
19	B R JALAN SECURITIES PVT LTD	70	JOINDRE CAPITAL SERVICES LTD	120	SEEMA SECURITIES PVT LTD
20	BAKLIWAL FINANCIAL SERVICES (I) PVT. LTD.	71	JSEL SECURITIES LTD	121	SEETA PORTFOLIO MANAGEMENT LTD.
21	BALAJI EQUITIES LTD	72	K & A SECURITIES PVT LTD	122	SELECT STOCK BROKERS LTD
22	BALANCE EQUITY BROKING (INDIA) PVT. LTD.	73	K G VORA SECURITIES LTD-FORT	123	SHAH INVESTOR'S HOME LTD
23	BALJIT SECURITIES PVT. LTD.	74	K G VORA SECURITIES LTD	124	SHILPA STOCK BROKERS PVT LTD
24	BANK OF BARODA - CAPITAL MARKET BRANCH	75	KALPATARIJ MULTIPLIER LTD	125	SHRIRAM INSIGHT SHARE BROKERS LTD
25	BANK OF INDIA - AHMEDABAD	76	KAMAL KUMAR JALAN SECURITIES PVT LTD	126	SIDDIH SHARES PVT LTD
26	BANK OF INDIA	77	KANTILAL CHHAGANLAL SECURITIES PVT LTD	127	SKSE SECURITIES LTD
27	BCB BROKERAGE PVT LTD	78	KHAMBATTA SECURITIES LTD	128	SRIPAD SECURITIES PVT LTD
28	BNR CAPITAL SERVICES PVT LTD	79	KISAN RATILAL CHOKSEY SHARES AND SECURITIES PVT LTD	129	STATE BANK OF INDIA
29	BOI SHAREHOLDING LTD.	80	KOTAK MAHINDRA BANK LTD	130	STATE BANK OF PATIALA
30	BONANZA PORTFOLIO LTD	81	L F C SECURITIES PVT LTD	131	STEEL CITY SECURITIES LTD
31	BRICS SECURITIES LTD	82	LKP SHARES & SECURITIES LTD	132	SUNIDHI SECURITIES & FINANCE LTD
32	CAPSTOCKS AND SECURITIES (INDIA) PVT LTD	83	LOHIA SECURITIES LTD	133	SURESH RATHI SECURITIES PVT. LTD
33	CD EQUISEARCH PVT LTD	84	LSE SECURITIES LTD	134	SUSHIL FINANCE CONSULTANTS LTD
34	CENTRAL BANK OF INDIA	85	MADRAS STOCK EXCHANGE LTD	135	SVV SHARES & STOCK BROKERS PVT. LTD
35	CENTRUM BROKING PVT LTD	86	MANALI TRADING & HOLDINGS PVT LTD	136	SYKES & RAY EQUITIES (INDIA) LTD
36	CENTURION BANK OF PUNJAB LTD	87	MANGAL KESHAV SECURITIES LTD	137	T R CAPITAL LTD
37	CHONA FINANCIAL SERVICES PVT LTD	88	MANI STOCK BROKERS LTD	138	TECHNO SHARES & STOCKS LTD
38	CHUGH SECURITIES PVT LTD	89	MANIPUT INVESTMENTS PVT LTD	139	THE AKOLA JANATA COMMERCIAL COOP. BANK LTD
39	CHURIWALA SECURITIES PVT LTD	90	MANGU JAVERI STOCK BROKING PVT LTD	140	THE GREATER BOMBAY CO-OPERATIVE BANK LTD.
40	CIL SECURITIES LTD	91	MARKET CREATORS LTD	141	THE HYDERABAD STOCK EXCHANGE LTD
41	COCHIN STOCK BROKERS LTD	92	MASTER CAPITAL SERVICES LTD	142	THE OMNISICENT SECURITIES PVT LTD
42	CRIMSON FINANCIAL SERVICES LTD	93	MAVERICK SHARE BROKERS PVT LTD	143	TRANSGLOBAL SECURITIES LTD
43	DANI SHARES AND STOCK PVT LTD	94	MF GLOBAL SIFY SECURITIES INDIA PVT LTD	144	UNIFI WEALTH MANAGEMENT LTD
44	DEVELOPMENT CREDIT BANK LTD.	95	MITHUN SECURITIES PVT LTD	145	UNION BANK OF INDIA
45	DIMENSIONAL SECURITIES PVT LTD	96	MLR SECURITIES PVT LTD	146	UTI SECURITIES LTD
46	DIPAN MEHTA SHARE & STOCK BROKERS PVT LTD	97	MOTILAL OSWAL SECURITIES LTD	147	UTTAM FINANCIAL SERVICES LTD
47	DSE FINANCIAL SERVICES LTD	98	MSB SECURITIES (P) LTD	148	VALUE CAPITAL SERVICES LTD
48	EAST INDIA SECURITIES LTD	99	NAMAN SECURITIES & FINANCE PVT LTD	149	VARUN CAPITAL SERVICES LTD
49	EDELWEISS SECURITIES PVT LTD	100	NETWORTH STOCK BROKING LTD	150	VEDIKA SECURITIES PVT LTD
50	EMKAY SHARE AND STOCK BROKERS LTD	101	ORACLE SECURITIES PVT LTD	151	VIJAN SHARE & SECURITIES PVT. LTD.
51	ESSAR STOCKS & SECURITIES PVT LTD			152	VNS FINANCE & CAPITAL SERVICES LTD
				153	VOGUE COMMERCIAL CO. LTD.
				154	WEGMANS FINANCIAL SERVICES PVT LTD
				155	WELLWORTH SHARES AND STOCK BROKING LTD
				156	ZEN SECURITIES LTD
				157	ZUARI INVESTMENTS LTD

KNOWLEDGE CENTRE - NOMINATION FACILITY

In the depository system, Beneficial Owners/ Demat account holders (BO) are allowed to nominate any person to whom the securities shall be transmitted in the event of the BO's death. The nomination facility thus reduces the problems normally faced by the legal heirs in getting the securities transmitted in their favour.

In the interest of BOs, CDSL urges all BOs, to avail of the nomination facility by submitting the nomination form, duly completed, to their DPs.

1. What are the benefits of nomination?

- 1) In the event of death of the BO, securities can be transmitted easily to the nominee. The cumbersome process of transmission is, thus, simplified.
- 2) The requirement of production of succession certificate/letter of administration/probate of will is eliminated.
- 3) A BO can nominate any person, including a minor (subject to operation by guardian).

2. Who can nominate?

Nomination can be made by individuals only applying for / holding securities on their own behalf, singly or jointly upto 2 persons. If the securities are held jointly, all joint holders are required to sign the nomination form.

3. Who cannot nominate?

Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of power of attorney cannot nominate.

4. Can a minor be nominated?

A BO can nominate a minor represented by a guardian.

5. Who cannot be appointed as nominee?

Trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of attorney cannot be appointed as a nominee.

6. Can a NRI be appointed as nominee?

Both resident Indians as well as NRIs can nominate an NRI. Similarly, an NRI can nominate a resident Indian or another NRI.

7. How many nominees can be appointed?

Every BO may nominate a person to whom his securities shall vest in the event of his death. In case the securities are held in a joint account, the joint holders may together nominate a person to whom all rights in the securities shall vest in the event of the death of all the joint holders. Thus, only one person can be appointed as a nominee

8. What measures DPs should take to encourage their BOs to appoint a nominee?

- 1) DPs are advised to generally insist that the investor make a nomination at the time of opening the demat account.
- 2) DPs should also consider the following avenues to popularise the nomination facility: -
 - a) Send a separate leaflet/handout along with a nomination form to their BOs, along with BO account statements /bills or other literature sent to BO bill or other literature sent to BOs.
 - b) Provide a footnote in the BO account statements /bills or other literature sent to BO bill or other literature sent to Bos.
 - c) Display messages on their website.
 - d) Cover the issue of nomination at the Investor Seminars.



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